

BMS symmetry of gravity from Hamiltonian formulation(s)

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Asymptotic symmetry analysis: the 'usual' way

BMS at \mathcal{I}^+ : Bondi Approach [Bondi-van der Burg-Metzner-Sachs, 1962]

BMS group as the symmetry of gravity at null infinity for asymptotically flat spacetimes

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- Use Bondi coordinates (u, r, x^A) , Bondi gauge and impose boundary conditions as $r \rightarrow \infty$

$$ds^2 = e^{2\beta} \frac{V}{r} du^2 - 2 e^{2\beta} du dr + g_{AB} (dx^A - U^A du) (dx^B - U^B du)$$

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- Look for diffeomorphisms that preserve the form of the metric

Poincaré in the bulk: $\xi^\mu(x) = \omega_\nu^\mu x^\nu + a^\mu$

(6-dim Lorentz ω_ν^μ) + (4 translations a^μ): 10 dim

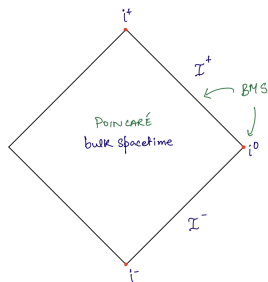
Poincaré = Lorentz \times Translations

↓

BMS at \mathcal{I}^+ : a^μ replaced by a function α : ∞ -dim

BMS_4 = Lorentz \times "Supertranslations"

- Define Noether charges and compute the asymptotic algebra



All roads lead to BMS?

Bondi approach: [since 1960s]

- BMS as the asymptotic symmetry group at null infinity
- Further extensions to superrotations, $Diff(\mathbb{S}^2)$, Celestial Holography, $\mathcal{W}_{+\infty}$ algebras
- Links to soft theorems, Ward identities and memory effects, Strominger's IR triangle, ...

[**Bondi-van der Burg-Metzner-Sachs '62**, Barnich-Troessaert, Hawking-Perry-Strominger Compère, Campiglia, Detournay, Donnay, Freidel, Geiller, Grumiller, Laddha, Pasterski, Puhm, Raclariu, Sen, Sheikh-Jabbari, Zwickel and many more]

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Conformal Carroll approach: [since 2014]

- BMS group as conformal Carroll group
- Further extensions to other Carrollian structures
- Symmetries of null hypersurfaces, Carrollian field theory, Carrollian fluids

[**Duval-Gibbons-Hovarth '14**, Campoleoni, Ciambelli, Donnay, Fiorucci, Freidel, Flanagan, Heffray, Leigh, Obers, Petropoulos, Ruzziconi and many more]

Hamiltonian approach: [since 2017]

- BMS symmetry at spatial infinity from the ADM Hamiltonian action
- Canonical realization of BMS in the ADM phase space
- Relevant for Initial value problem of GR on Cauchy/ Characteristics hypersurfaces, etc.

[**Henneaux-Troessaert '17**, Fuentealba, Guilini, SM, Matulich, Neogi, Riello, Tanzi, ...]

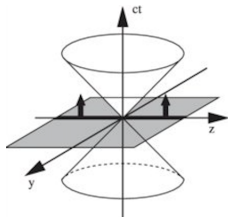
Two lessons from Dirac

Lesson I: Forms of relativistic dynamics [Dirac 1959]

Three choices of “time” for describing Hamiltonian dynamics of relativistic systems

Instant form:

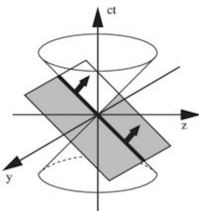
$$t = x^0$$



Spacelike foliations

Front form: :

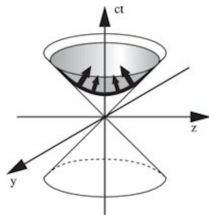
$$x^+ = (x^0 + x^3)/\sqrt{2}$$



Null foliations

Point form:

$\tau =$ proper time



Hyperbolic foliations

Poincaré algebra splits into

→ Kinematical generators \mathbb{K} that are “simple”

→ Dynamical generators or “Hamiltonians” \mathbb{D} that involve time derivatives

Two lessons from Dirac

Lesson II: Constrained Hamiltonian systems [Bargmann 1959; Dirac 1959]

Gauge theories are constrained Hamiltonian systems

$$\mathcal{S}_H[\phi, \pi_\phi, \lambda_i] = \int dt \int d^3x \left(\pi_\phi \dot{\phi} - \mathcal{H} - \lambda_i \mathcal{G}^i \right)$$

$\mathcal{G}^i \rightarrow$ gauge constraints, $\lambda_i \rightarrow$ Lagrange multipliers

- algorithm for classifying gauge constraints (primary, first-class, ...)
- symmetries generated by first-class constraints

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The usual route: Instant form + Constrained Hamiltonian systems

Many successes: BRST quantization, Duality-invariant actions, *Asymptotic symmetries at i^0* , ...

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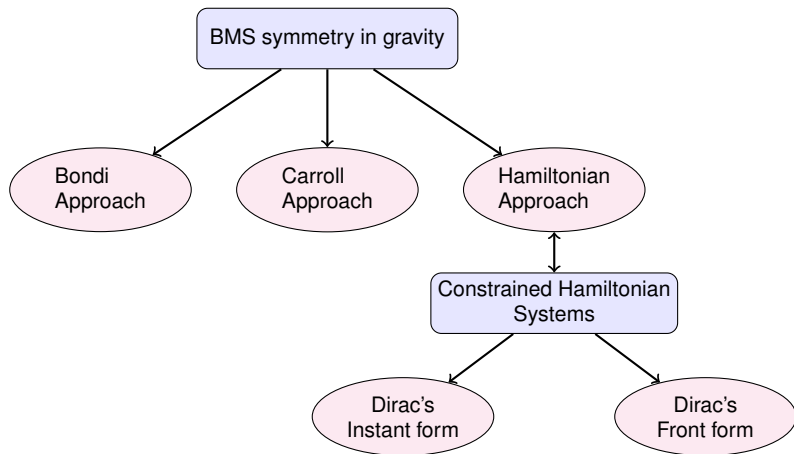
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An alternative route: Front form + Constrained Hamiltonian systems

- Gauge constraint in the front form are often solvable
- Provides a Hamiltonian framework for symmetries of null hypersurfaces
- Many successes: Discrete light-cone quantization (DLCQ), Light-cone quantization of strings, UV finiteness of $\mathcal{N} = 4$ SYM, Higher-spin cubic action, etc.

BMS symmetry at a glance



Focus of this talk:

BMS-like symmetries (infinite-dimensional extension of Poincaré) using Hamiltonian methods

BMS in Hamiltonian formulations: (3+1) and (2+2)¹

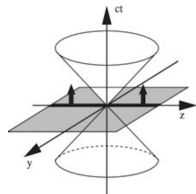
Part 1: Instant form

(3+1): Hamiltonian dynamics on a spatial hypersurface

→ BMS symmetry from ADM action

Work done with Oscar **Feuntealba**, Marc **Henneaux**,
Javier **Matulich** and Cedric **Troessaert**

[[ArXiv:1904.04495](https://arxiv.org/abs/1904.04495) and [ArXiv:2007.12721](https://arxiv.org/abs/2007.12721)]



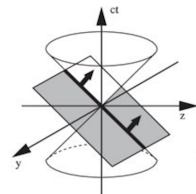
Part 2: Front form

(2+2): Hamiltonian dynamics on a null hypersurface

→ BMS symmetry from light-cone action

Work done with Sudarshan **Ananth** and Lars **Brink**

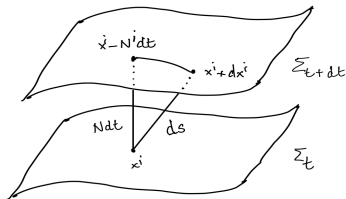
[[ArXiv:2012.07880](https://arxiv.org/abs/2012.07880) and [ArXiv:2101.00019](https://arxiv.org/abs/2101.00019)]



¹split of four dimensional spacetime into 2 null + 2 transverse spatial coordinates

Hamiltonian formulation of GR à la Dirac and ADM

- 3+1 foliation of spacetime by a family of spacelike surfaces Σ_t
- ADM decomposition: $(^4)g_{00} = -N^2 + N^i N_i$, $(^4)g_{0i} = N_i$, $(^4)g_{ij} = g_{ij}$



Dynamical variables:

g_{ij} = metric on Σ_t

π^{ij} = conjugate momenta

ADM action for gravity [Dirac '58, Arnowitt-Deser-Misner '62]

$$S_{ADM}[g_{ij}, \pi^{ij}, N, N^i] = \int dt \left\{ \int d^3x (\pi^{ij} \dot{g}_{ij} - N\mathcal{H} - N^i \mathcal{H}_i) - \oint B_\infty \right\}$$

Boundary terms B_∞ ensure a good variational principle [Regge-Teitelboim '74]

Hamiltonian formulation of GR à la Dirac and ADM

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- Lagrange multipliers, N and N^i implement the constraints

$$\mathcal{H} = -\sqrt{g}R + \frac{1}{\sqrt{g}}(\pi^{ij}\pi_{ij} - \frac{1}{2}\pi^2), \quad \mathcal{H}_i = -2\nabla_j \pi_i^j$$

Constraints generate gauge symmetries

- Symplectic form

$$\Omega = \int d^3x d_V \pi^{ij} \wedge d_V g_{ij}, \quad d_V \equiv \text{exterior derivative in field space}$$

Phase space : $\{g_{ij}, \pi^{ij}\}$

Poisson bracket: $\{g_{ij}(x), \pi^{kl}(x')\} = \delta_{(i}^{(k} \delta_{j)}^{l)} \delta^{(3)}(x - x')$

Symmetries of the ADM action

Symmetries \equiv *Strict* invariance of the symplectic form

$$\Omega = \int d^3x d_V \pi^{ij} \wedge d_V g_{ij},$$

ξ generates a canonical transformation if

$$\mathcal{L}_\xi \Omega = d_V(\iota_\xi \Omega) = 0 \quad \Rightarrow \quad \iota_\xi \Omega = -d_V G_\xi$$

G_ξ is the generator associated with this canonical transformation.

- Diffeomorphisms:

$$\delta_\xi g_{ij} = \frac{2\xi}{\sqrt{g}} \left(\pi_{ij} - \frac{1}{2} g_{ij} \pi \right) + \mathcal{L}_\xi g_{ij},$$

$$\begin{aligned} \delta_\xi \pi^{ij} = & -\xi \sqrt{g} \left(R^{ij} - \frac{1}{2} g^{ij} R \right) + \frac{1}{2} \xi \sqrt{g} \left(\pi_{mn} \pi^{mn} - \frac{1}{2} \pi^2 \right) \\ & - 2\xi \sqrt{g} \left(\pi^{im} \pi_m^j - \frac{1}{2} \pi^{ij} \pi \right) + \sqrt{g} \left(\xi^{lj} - g^{lj} \xi^m{}_{|m} \right) + \mathcal{L}_\xi \pi^{ij} \end{aligned}$$

- Canonical generator for *all* symmetries

$$G_{\xi, \xi^i} = \int d^3x \left(\xi \mathcal{H} + \xi^i \mathcal{H}_i \right) + Q_{\xi, \xi^i},$$

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Proper gauge transformations do not affect the physical states

- b) True symmetry: $Q_{\xi, \xi^i} \neq 0$

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Improper gauge transformations affect the physical states

E.g. Poincaré symmetry

$$\xi = b_i x^i + a^0,$$

$$\xi^i = \omega^i_j x^j + a^i$$

b^i boosts, ω^i_j rotations, a^0 time translation, a^i spatial translations

$Q_{\text{Poincaré}} \neq 0$ but no ∞ -dimensional BMS at spatial infinity [Regge-Teitelboim' 74]

How to recover the BMS group at spatial infinity?

How to 'see' BMS symmetry in the ADM formulation?

Hamiltonian action with standard boundary conditions



Carefully relax the boundary conditions

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Ensure finiteness of the ADM action and the symplectic form

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Ensure finiteness of the ADM action and the symplectic form



Check that all Poincaré charges are *still* canonical



Define canonical generators and compute the asymptotic symmetry algebra

Asymptotic conditions I

First ingredient: fall-off conditions

We use spherical coordinates (r, x^A) where x^A are coordinates on the sphere at i^0

- **Asymptotically flat spacetimes:** metric approaches Minkowski as $r \rightarrow \infty$

$$g_{rr} = 1 + \frac{1}{r} \bar{h}_{rr} + \mathcal{O}(r^{-2}),$$

$$g_{rA} = \bar{\lambda}_A + \frac{1}{r} h_{rA}^{(2)} + \mathcal{O}(r^{-2}),$$

$$g_{AB} = r^2 \bar{g}_{AB} + r \bar{h}_{AB} + h_{AB}^{(2)} + \mathcal{O}(r^{-1}).$$

Barred quantities (e.g., \bar{h}_{ij} , $\bar{\pi}^{ij}$) are functions on the 2-sphere

- Conjugate momenta

$$\pi^{rr} = \bar{\pi}^{rr} + \frac{1}{r} \pi_{(2)}^{rr} + \mathcal{O}(r^{-2}),$$

$$\pi^{rA} = \frac{1}{r} \bar{\pi}^{rA} + \frac{1}{r^2} \pi_{(2)}^{rA} + \mathcal{O}(r^{-3}),$$

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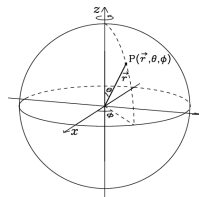
This part is the same as that of Regge-Teitelboim

Asymptotic conditions II

Second ingredient: parity conditions on leading terms

“Gauge-twisted” parity conditions: [Henneaux-Troessaert '18]

Parity: $(r, \theta, \phi) \rightarrow (r, \pi - \theta, \phi + 2\pi)$



Recall:

$$\oint (\text{odd function}) = 0 \text{ on the sphere}$$

$$\begin{aligned} \bar{h}_{rr} &= \text{even} , \\ \bar{\lambda}_A &= (\bar{\lambda}_A)^{\text{odd}} + \bar{D}_A \zeta_r - \bar{\zeta}_A , \\ \bar{h}_{AB} &= (\bar{h}_{AB})^{\text{even}} + \bar{D}_A \bar{\zeta}_B + \bar{D}_B \bar{\zeta}_A + 2\bar{g}_{AB} \zeta_r \\ \bar{\pi}^{rr} &= (\bar{\pi}^{rr})^{\text{odd}} - \sqrt{\bar{g}} \bar{\Delta} V , \\ \bar{\pi}^{rA} &= (\bar{\pi}^{rA})^{\text{even}} - \sqrt{\bar{g}} \bar{D}^A V , \\ \bar{\pi}^{AB} &= (\bar{\pi}^{AB})^{\text{odd}} + \sqrt{\bar{g}} (\bar{D}^A \bar{D}^B V - \bar{g}^{AB} \bar{\Delta} V) , \end{aligned}$$

With these parity conditions, Hamiltonian action and symplectic form are finite as $r \rightarrow \infty$

Generalization of Regge-Teitelboim strict parity conditions

Asymptotic conditions III

- Third ingredient: stronger fall-off of the constraints

$$\mathcal{H} \sim \mathcal{O}(r^{-3}), \quad \mathcal{H}_i \sim \mathcal{O}(r^{-3})$$

to remove divergent contributions to Poincaré charges

- Fourth ingredient: Involves the mixed radial-angular component, $\bar{h}_{rA} \rightarrow$ [more on this later](#)
- Fall-off of the Poincaré (ξ, ξ^i) :

$$\begin{aligned}\xi &= br + a^0, \\ \xi^r &= w_1, \\ \xi^A &= Y^A + \frac{1}{r} \bar{D}^A w_1,\end{aligned}$$

Y^A rotations, b boosts, a^0 time translations, w_1 spatial translations

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Next step: Check if Poincaré generators are still canonical

Canonical realization of Poincare generators

Strict invariance of the symplectic form

$$\Omega = \int d^3x d_V \pi^{ij} \wedge d_V g_{ij},$$

ξ generates a canonical transformation if

$$\mathcal{L}_\xi \Omega = d_V(\iota_\xi \Omega) = 0 \quad \Rightarrow \quad \iota_\xi \Omega = -d_V G_\xi$$

G_ξ is the generator associated with this canonical transformation.

- Under Lorentz rotations Y^A and spacetime translations (a^0, a^i) ,

$$\mathcal{L}_{(Y^A, a^0, a^i)} \Omega = 0 \quad \Rightarrow \quad \text{Canonical generators well-defined}$$

- Under Lorentz boosts b (in spherical coordinates)

$$d_V(\iota_b \Omega) = - \int d\theta d\varphi \sqrt{g} \left[b d_V \bar{h} d_V (\bar{h}_{rr} + \bar{D}_A \bar{\lambda}^A) - \bar{D}_A b d_V \bar{\lambda}^A d_V \bar{h} + b \bar{D}^A d_V \bar{\lambda}^B d_V \bar{h}_{AB} \right] \neq 0$$

How to make the symplectic form invariant under boosts?

Non-integrability of the boost generators: Resolution

- Perform a gauge transformation

$$\epsilon_{(b)} \equiv bF, \quad F \text{ is field-dependent}$$

$$d_V(\iota_b \Omega) + d_V(\iota_{\epsilon(b)} \Omega) = - \int d\theta d\varphi \sqrt{\bar{g}} \left[2b \left(d_V F + \frac{1}{2} d_V \bar{h} \right) d_V (\bar{h}_{rr} + \bar{D}_A \bar{\lambda}^A) \right. \\ \left. - \bar{D}_A b d_V \bar{\lambda}^A d_V \bar{h} + b \bar{D}^A d_V \bar{\lambda}^B d_V \bar{h}_{AB} \right]$$

$$\text{Set } F = -\frac{1}{2} \bar{h}$$

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- Fourth ingredient of asymptotic conditions (Recall: $h_{rA} = \bar{\lambda}_A + \mathcal{O}(r^{-1})$)

$$\int d\theta d\varphi \sqrt{\bar{g}} \left[\bar{D}_A b d_V \bar{\lambda}^A d_V \bar{h} - b \bar{D}^A d_V \bar{\lambda}^B d_V \bar{h}_{AB} \right]$$

$$\text{Set } \bar{\lambda}_A = 0$$

$$d_V(\iota_b \Omega) = 0 \quad \Rightarrow \quad \iota_b \Omega = -d_V G_b \quad \rightarrow \quad \text{Boosts are canonical again!}$$

No need for *an extra boundary field* in order to define canonical generators: [more on this later](#)

Finally, the new boundary conditions read

$$\begin{aligned}
 g_{rr} &= 1 + \frac{1}{r} \bar{h}_{rr} + \dots, & \pi^{rr} &= \bar{\pi}^{rr} + \frac{1}{r} \pi_{(2)}^{rr} + \dots, \\
 g_{rA} &= \cancel{\bar{\lambda}_A} + \frac{1}{r} h_{rA}^{(2)} + \dots, & \pi^{rA} &= \frac{1}{r} \bar{\pi}^{rA} + \frac{1}{r^2} \pi_{(2)}^{rA} + \dots, \\
 g_{AB} &= r^2 \bar{g}_{AB} + r \bar{h}_{AB} + h_{AB}^{(2)} + \dots. & \pi^{AB} &= \frac{1}{r^2} \bar{\pi}^{AB} + \frac{1}{r^3} \pi_{(2)}^{AB} + \dots.
 \end{aligned}$$

With gauge-twisted parity conditions

$$\begin{aligned}
 \bar{h}_{rr} &= \text{even}, \\
 \bar{\lambda}_A &= (\bar{\lambda}_A)^{\text{odd}} + \bar{D}_A \zeta_r - \bar{\zeta}_A = 0 \quad \Rightarrow \quad \bar{\zeta}_A = \bar{D}_A \zeta_r = \bar{D}_A U, \\
 \bar{h}_{AB} &= (\bar{h}_{AB})^{\text{even}} + 2(\bar{D}_A \bar{D}_B U + \bar{g}_{AB} U) \\
 \bar{\pi}^{rr} &= (\bar{\pi}^{rr})^{\text{odd}} - \sqrt{\bar{g}} \bar{\Delta} V, \\
 \bar{\pi}^{rA} &= (\bar{\pi}^{rA})^{\text{even}} - \sqrt{\bar{g}} \bar{D}^A V, \\
 \bar{\pi}^{AB} &= (\bar{\pi}^{AB})^{\text{odd}} + \sqrt{\bar{g}} (\bar{D}^A \bar{D}^B V - \bar{g}^{AB} \bar{\Delta} V),
 \end{aligned}$$

Regge-Teitelboim parity conditions relaxed with two functions: U odd and V even

Are there more symmetries?

Yes, diffeos $x^\mu \rightarrow x^\mu + \epsilon^\mu(x)$ with parameters

$$\epsilon^0(\theta, \phi) = T^{\text{even}}, \quad \epsilon^i(\theta, \phi) = \partial_i W^{\text{odd}} \quad \rightarrow \quad \text{one single arbitrary function } \boxed{\mathcal{T}(\theta, \phi)}$$

- Time component of gauge parameter

$$\epsilon^0 = T^{\text{even}} = T_0 + T_2 + T_4 + T_6 + \dots$$

- Spatial components

$$\epsilon^i = \bar{\epsilon}^i + \mathcal{O}(r^{-1}), \quad \bar{\epsilon}^i = D^i(rW),$$

$$W^r = W = W_1 + W_3 + W_5 + W_7 + \dots, \quad W^A = \frac{1}{r} \bar{D}^A W,$$

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$$\epsilon^i = \bar{\epsilon}^i + \mathcal{O}(r^{-1}), \quad \bar{\epsilon}^i = D^i(rW),$$

$$W^r = W = W_1 + W_3 + W_5 + W_7 + \dots, \quad W^A = \frac{1}{r} \bar{D}^A W,$$

- Where are the spacetime translations? Expand $T(\theta, \phi)$ in spherical harmonics

$$T(\theta, \phi) = \underbrace{T_{0,0} Y_{0,0}}_{\text{time translations } a^0} + \underbrace{\sum_{m=-1}^1 W_{1,m} Y_{1,m}}_{\text{spatial translations } a^i} + \underbrace{\frac{1}{4} \sum_{m=-2}^2 T_{2,m} Y_{2,m} + \dots}_{\text{supertranslations}}$$

[Henneaux-Troessaert 2018; Henneaux-Fuentealba-SM-Matulich-Troessaert 2020]

Asymptotic symmetries at spatial infinity

- Canonical generator for BMS

$$G_{\xi, \xi^i} = \int d^3x \left(\xi \mathcal{H} + \xi^i \mathcal{H}_i \right) + Q_{\xi, \xi^i},$$

$$Q_{\xi, \xi^i} = \int d\theta d\varphi \left\{ b \left[\sqrt{\bar{g}} \left(-\frac{1}{2} \bar{h} \bar{h}_{rr} + \frac{1}{4} \bar{h}^2 - \frac{3}{4} \bar{h}_{AB} \bar{h}^{AB} \right) + \frac{2}{\sqrt{\bar{g}}} \bar{\pi}_A^r \bar{\pi}^{rA} \right] + 2Y_A \bar{\pi}^{rB} \bar{h}_B^A \right. \\ \left. + 2\sqrt{\bar{g}} T \underbrace{\bar{h}_{rr}}_{\text{even}} + 2W \underbrace{(\bar{\pi}^{rr} - \bar{\pi}_A^A)}_{\text{odd}} \right\}$$

$(T_{\text{odd}}, W_{\text{even}}) \rightarrow$ proper gauge transformations

$(T_{\text{even}}, W_{\text{odd}}) \rightarrow$ improper gauge transformations : [Supertranslations](#)

- Poisson bracket algebra

$$\left\{ G_{\xi_1, \xi_1^i}, G_{\xi_2, \xi_2^i} \right\} = \hat{G}_{\xi, \xi^i},$$

Asymptotic symmetry algebra of gravity at spatial infinity

$$BMS_4 = SO(3, 1) \ltimes \text{supertranslations}$$

Asymptotic symmetry algebra

- Poisson bracket algebra

$$\left\{ G_{\xi_1, \xi_1^i}, G_{\xi_2, \xi_2^i} \right\} = \hat{G}_{\xi, \xi^i},$$

with the parameters

$$\hat{Y}^A = Y_1^B \partial_B Y_2^A + \bar{\gamma}^{AB} b_1 \partial_B b_2 - (1 \leftrightarrow 2),$$

$$\hat{b} = Y_1^B \partial_B b_2 - (1 \leftrightarrow 2),$$

$$\hat{T} = Y_1^A \partial_A T_2 - 3b_1 W_2 - \partial_A b_1 \bar{D}^A - 2W - b_1 \bar{D}_A \bar{D}^A W_2 - (1 \leftrightarrow 2),$$

$$\hat{W} = Y_1^A \partial_A W_2 - b_1 T_2 - (1 \leftrightarrow 2)$$

- BMS as the infinite-dimensional enhancement of Poincaré, $G_{\xi, \xi^i} = G_{Lorentz} + G_{T, W}$

Asymptotic symmetry algebra

- Poisson bracket algebra

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- BMS as the infinite-dimensional enhancement of Poincaré, $G_{\xi, \xi^i} = G_{Lorentz} + G_{T, W}$

$$\begin{aligned} \left\{ G_{Lorentz}, G_{Lorentz} \right\} &= G_{Lorentz} & \left\{ G_{Lorentz}, G_{Lorentz} \right\} &= G_{Lorentz} \\ \left\{ G_{Lorentz}, G_{a, a^i} \right\} &= \hat{G}_{(\hat{a}, \hat{a}^i)} & \boxed{G_{a, a^i} \rightarrow G_{T, W}} & \left\{ G_{Lorentz}, G_{T, W} \right\} = \hat{G}_{\hat{T}, \hat{W}} \\ \left\{ G_{a, a^i}, G_{a, a^i} \right\} &= 0 & & \left\{ G_{T, W}, G_{T, W} \right\} = 0 \end{aligned}$$

[Henneaux-Troessaert 2018; Henneaux-Fuentealba-SM-Matulich-Troessaert 2020]

BMS in Hamiltonian formulations: (3+1) and (2+2)

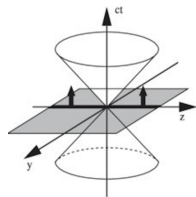
Part 1: Instant form

(3+1): Hamiltonian dynamics on a spatial hypersurface

→ BMS symmetry from ADM action

Work done with Oscar **Feuntealba**, Marc **Henneaux**,
Javier **Matulich** and Cedric **Troessaert**

[ArXiv:1904.04495 and ArXiv:2007.12721]



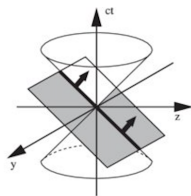
Part 2: Front form

(2+2): Hamiltonian dynamics on a null hypersurface

→ BMS symmetry from light-cone action

Work done with Sudarshan **Ananth** and Lars **Brink**

[ArXiv:2012.07880 and ArXiv:2101.00019]



Poincaré algebra in Dirac's front form

- Light-cone coordinates

$$x^+ = \frac{x^0 + x^3}{\sqrt{2}}, \quad x^- = \frac{x^0 - x^3}{\sqrt{2}}, \quad x^i \quad (i = 1, 2)$$

$$x^+ \quad \text{Light-cone time} \quad \Rightarrow \quad P_+ = i\partial_+ = -P^- \quad \text{Hamiltonian}$$

- The three “Hamiltonians” in the front form

Poincaré generators in the instant form: $(P_\mu, M_{\mu\nu})$

$$[P, P] \sim 0, \quad [P, M] \sim P, \quad [M, M] \sim M$$

$(P_0, M_{0i}) \rightarrow$ four dynamical generators or “Hamiltonians”

Poincaré generators in front form

Kinematical $\mathbb{K} = \{P^i, P^+, M^{ij}, M^{+i}, M^{+-}\}, \quad (i = 1, 2)$

Dynamical $\mathbb{D} = \{P^-, M^{i-} \equiv \underbrace{J^-, \bar{J}^-}_{2 \text{ boosts}}\} \rightarrow$ three “Hamiltonians” in the front form

$$[\mathbb{K}, \mathbb{K}] = \mathbb{K}, \quad [\mathbb{K}, \mathbb{D}] = \mathbb{D}, \quad [\mathbb{D}, \mathbb{D}] = 0$$

Poincaré algebra in front form has a Carrollian structure – isometry of null hypersurfaces

Null-front Hamiltonian formulation of gravity

"Forms of relativistic dynamics" [Dirac '49] → Use a null time parameter to study dynamics

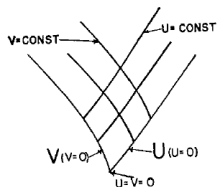
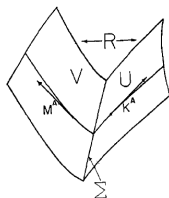
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“On the characteristic initial value problem in gravitational theory” [R. K. Sachs '62]

“Covariant 2+2 formulation of the initial-value problem in general relativity”

[d’Inverno and Smallwood '79] [Gambini-Restuccia, C. Torre, M. Kaku,...]



- Spacelike foliation of codim 2 (instead of 1)
- Unconstrained Hamiltonian systems: constraint equations often become solvable
- Gravitational d.o.f. identified with the “conformal two-metric”

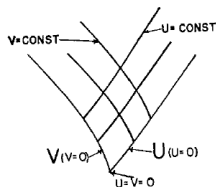
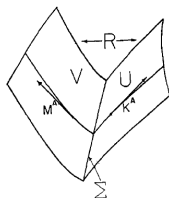
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- Unconstrained Hamiltonian systems: constraint equations often become solvable
- Gravitational d.o.f. identified with the “conformal two-metric”

Our focus:

- Set up a particular example of the 2+2 formulation: lc_2 gravity [Scherk-Schwarz' 75]
- Study the BMS symmetry from residual gauge invariance

Gravity in the light-cone gauge

“ lc_2 formalism” [Scherk-Schwarz, Schwarz-Goroff, Bengtsson-Cederwall-Lindgren]

- Light-cone gauge: Set the “minus” components to zero

$$g_{--} = g_{-i} = 0, \quad (i = 1, 2)$$

$$10 - 3 = 7$$

Parametrization

$$g_{+-} = -e^\phi, \quad g_{ij} = e^\psi \gamma_{ij}$$

ϕ, ψ, γ_{ij} are real and $\det \gamma_{ij} = 1$

Light-cone metric

$$dS_{LC}^2 = g_{\mu\nu} dx^\mu dx^\nu = -2e^\phi dx^+ dx^+ + g_{++}(dx^+)^2 + g_{+i} dx^+ dx^i + e^\psi \gamma_{ij} dx^i dx^j$$

given in terms of 7 functions $\{\phi, \psi, \gamma_{ij}, g_{++}, g_{+i}\}$

- “2+2” split of the Einstein field equations $R_{\mu\nu} = 0$ [Sachs, d’Inverno-Smallwood, ...]

Dynamical equations: $R_{ij} = 0$

Constraint equations: $R_{--} = R_{-i} = 0$

Subsidiary equations: $R_{++} = R_{+i} = 0$

Trivial equations: $R_{+-} = 0$

Gravity in the light-cone gauge

Can we solve the constraint equations? Subject to choice of coordinates, gauge conditions, etc.

- Constraint equation $R_{--} = 0$

$$2\partial_{-}\phi\partial_{-}\psi - (\partial_{-}\psi)^2 - 2\partial_{-}^2\psi + \frac{1}{2}\partial_{-}\gamma^{ij}\partial_{-}\gamma_{ij} = 0.$$

Fourth gauge choice : [Scherk-Schwarz]

$$\phi = \frac{\psi}{2}$$

$$7 - 1 = 6$$

allows us to integrate² out ψ

$$\psi = \frac{1}{4} \frac{1}{\partial_{-}^2} (\partial_{-}\gamma^{ij}\partial_{-}\gamma_{ij})$$

$$6 - 1 = 5$$

- The constraint $R_{-i} = 0$ eliminates g_{+i}
- $R_{-+} = 0$ allows us to eliminate g_{++}

$$5 - 2 = 3$$

$$3 - 1 = 2$$

²Inverse derivative defined as

$$f(x^{-}) = \frac{1}{\partial_{-}} g(x^{-}) = - \int \epsilon(x^{-} - y^{-}) g(y^{-}) dy^{-} + \text{“constant”}$$

Integration constants set to zero for asymptotically Minkowski spacetimes

Light-cone action for gravity

- Closed form expression

$$S[\gamma_{ij}] = \frac{1}{2\kappa^2} \int d^4x e^\psi \left(2\partial_+\partial_-\phi + \partial_+\partial_-\psi - \frac{1}{2}\partial_+\gamma^{ij}\partial_-\gamma_{ij} \right) - \frac{1}{2}e^{\phi-2\psi}\gamma^{ij}\frac{1}{\partial_-}R_i\frac{1}{\partial_-}R_j, \\ -e^\phi\gamma^{ij}\left(\partial_i\partial_j\phi + \frac{1}{2}\partial_i\phi\partial_j\phi - \partial_i\phi\partial_j\psi - \frac{1}{4}\partial_i\gamma^{kl}\partial_j\gamma_{kl} + \frac{1}{2}\partial_i\gamma^{kl}\partial_k\gamma_{jl}\right)$$

where

$$R_i \equiv e^\psi \left(\frac{1}{2}\partial_-\gamma^{jk}\partial_i\gamma_{jk} - \partial_-\partial_i\phi - \partial_-\partial_i\psi + \partial_i\phi\partial_-\psi \right) + \partial_k(e^\psi\gamma^{jk}\partial_-\gamma_{ij})$$

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- Perturbative expansion

$$\gamma_{ij} = (e^{\kappa H})_{ij}, \quad H = \begin{pmatrix} h_{11} & h_{12} \\ h_{12} & -h_{11} \end{pmatrix}$$

Complexify the fields (and x^i)

$$h = \frac{1}{\sqrt{2}}(h_{11} + i h_{12}), \quad \bar{h} = \frac{1}{\sqrt{2}}(h_{11} - i h_{12})$$

h and \bar{h} have helicity +2 and -2 respectively \rightarrow gravitational d.o.f. identified with γ_{ij}

- Light-cone Lagrangian (perturbative)

$$\mathcal{L} = \frac{1}{2}\bar{h}\square h + 2\kappa\bar{h}\partial_-^2\left(\frac{\bar{\partial}}{\partial_-}h\frac{\bar{\partial}}{\partial_-}h - h\frac{\bar{\partial}^2}{\partial_-^2}h\right) + c.c. + \text{higher order terms}$$

Light-cone Hamiltonian for gravity

- Conjugate momenta (recall: x^+ is time)

$$\mathcal{L} = \bar{h} (\partial_- \partial_+ - \partial \bar{\partial}) h + 2\kappa \bar{h} \partial_-^2 \left(\frac{\bar{\partial}}{\partial_-} h \frac{\bar{\partial}}{\partial_-} h - h \frac{\bar{\partial}^2}{\partial_-^2} h \right) + \dots$$

$$\pi = \frac{\delta \mathcal{L}}{\delta(\partial_+ h)} = -\partial_- \bar{h}, \quad \bar{\pi} = \frac{\delta \mathcal{L}}{\delta(\partial_+ \bar{h})} = -\partial_- h$$

$(\pi, \bar{\pi})$ are primary constraints \Rightarrow **Half the d.o.f than in the 3+1 formalism**

\rightarrow a feature of *all* null-front Hamiltonian systems

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- Light-cone Hamiltonian for gravity

$$\mathcal{H} = \partial \bar{h} \bar{\partial} h + 2\kappa \partial_-^2 \bar{h} \left(h \frac{\bar{\partial}^2}{\partial_-^2} h - \frac{\bar{\partial}}{\partial_-} h \frac{\bar{\partial}}{\partial_-} h \right) + \text{c.c.} + \mathcal{O}(\kappa^2)$$

- Poisson brackets

$$[h(x), \pi(y)] = \delta(x^- - y^-) \delta^{(2)}(x - y) \Rightarrow [h(x), \bar{h}(y)] = \epsilon(x^- - y^-) \delta^{(2)}(x - y),$$

$$[h(x), h(y)] = [\bar{h}(x), \bar{h}(y)] = 0.$$

[Scherk-Schwarz' 75, Bengtsson-Cederwall-Lindgren '83]

Symmetries of light-cone gravity

Notion of symmetry

A canonical transformation $(h, \bar{h}) \xrightarrow{\delta_X} (\tilde{h}, \tilde{\bar{h}})$

which leaves the action invariant

$$\delta_X \mathcal{S}[h, \bar{h}] = 0$$

Transformation laws = P.B. with the generator $G_X[h, \bar{h}]$, e.g.

$$\delta_X h = \{G_X, h\}_{PB}$$

For instance,

Poincaré generators in terms of the fields h and \bar{h} [Bengtsson-Bengtsson-Brink, 1983]

$$H = P_+ = \int d^3x \mathcal{H}(h, \bar{h}), \quad P = \int d^3x \partial_- \bar{h} \partial h, \quad P_- = \int d^3x \partial_- \bar{h} \partial_- h, \quad \dots$$

$$J = i \int d^3x \partial_- \bar{h} (x \bar{\partial} - \bar{x} \partial - 2) h,$$

$$J^- = \int d^3x [x \mathcal{H}(h, \bar{h}) + \partial_- \bar{h} (x^- \partial - 2 \frac{\partial}{\partial_-}) h + \mathcal{S}], \quad \dots$$

→ canonical realization of Poincaré algebra in light-cone gravity

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$$J = i \int d^3x \partial_- \bar{h} (x \bar{\partial} - \bar{x} \partial - 2) h,$$

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→ canonical realization of Poincaré algebra in light-cone gravity

Is there any residual reparameterization freedom left?

BMS symmetry from residual gauge invariance

- First gauge condition $g_{--} = 0$

$$\Rightarrow \partial_- \xi^+ = 0 \quad \Rightarrow \quad \xi^+ = f(x^+, x^j)$$

Second gauge condition $g_{-i} = 0$ yields

$$\partial_- \xi^j g_{ij} + \partial_i \xi^+ g_{+-} = 0$$

Fourth gauge condition fixes x^+ dependence of $f(x^+, x^j)$, etc.

- Residual diffeomorphisms (expressed in x, \bar{x} basis)

$$\xi^+ = f(x^+, x, \bar{x}) = \frac{1}{2} x^+ (\partial \bar{Y} + \bar{\partial} Y) + T(x, \bar{x})$$

$$\xi = -\partial f x^- + \kappa \bar{\partial} f \frac{1}{\partial_-} h + Y(x, \bar{x}) + \mathcal{O}(\kappa^2), \quad \bar{\xi} = (\xi)^*$$

$$\xi^- = -(\partial \bar{Y} + \bar{\partial} Y) x^- + (\partial_+ \xi) x + (\partial_+ \bar{\xi}) \bar{x}$$

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$$\xi^- = -(\partial \bar{Y} + \bar{\partial} Y) x^- + (\partial_+ \xi) x + (\partial_+ \bar{\xi}) \bar{x}$$

- Is this a symmetry of the light-cone action? Yes,

$$\delta_\xi \mathcal{S}[h, \bar{h}] = 0 \quad \text{iff} \quad \partial^2 Y = 0 = \bar{\partial}^2 \bar{Y}$$

Y, \bar{Y} at most linear in $x, \bar{x} \rightarrow$ only Lorentz rotations, no superrotations :(

Poincaré symmetry enhanced by one arbitrary constant: $T(x, \bar{x})$

BMS algebra in light-cone gravity

- Transformation law (on $x^+ = 0$ surface),

$$\begin{aligned} \delta_{Y, \bar{Y}, T} h &= Y(x) \bar{\partial} h + \bar{Y}(\bar{x}) \partial h + (\partial \bar{Y} - \bar{\partial} Y) h + T \frac{\partial \bar{\partial}}{\partial_-} h - \partial T \frac{\bar{\partial}}{\partial_-} h - \bar{\partial} T \frac{\partial}{\partial_-} h \\ &\quad - 2 \kappa T \partial_- \left(h \frac{\bar{\partial}^2}{\partial_-^2} h - \frac{\bar{\partial}}{\partial_-} h \frac{\bar{\partial}}{\partial_-} h \right) \\ &\quad - 2 \kappa T \frac{\partial^2}{\partial_-^3} (\bar{h} \partial_-^2 h) + 4 \kappa T \frac{\partial}{\partial_-^2} \left(\frac{\partial}{\partial_-} \bar{h} \partial_-^2 h \right) + \mathcal{O}(\kappa^2) \end{aligned}$$

- BMS algebra in the phase space of (h, \bar{h})

$$\left[\delta(Y_1, \bar{Y}_1, T_1), \delta(Y_2, \bar{Y}_2, T_2) \right] h = \delta(Y_{12}, \bar{Y}_{12}, T_{12}) h,$$

with parameters

$$\begin{aligned} Y_{12} &\equiv Y_2 \bar{\partial} Y_1 - Y_1 \bar{\partial} Y_2 \\ \bar{Y}_{12} &\equiv \bar{Y}_2 \partial \bar{Y}_1 - \bar{Y}_1 \partial \bar{Y}_2 \\ T_{12} &\equiv [Y_2 \bar{\partial} T_1 + \bar{Y}_2 \partial T_1 + \frac{1}{2} T_2 (\bar{\partial} Y_1 + \partial \bar{Y}_1)] - (1 \leftrightarrow 2). \end{aligned}$$

- Canonical generator for supertranslations

$$\begin{aligned} G_T &= \int d^3x \partial_- \bar{h} (\delta_T h) = \int d^3x \partial_- \bar{h} \left\{ T \frac{\partial \bar{\partial}}{\partial_-} h - \partial T \frac{\bar{\partial}}{\partial_-} h - \bar{\partial} T \frac{\partial}{\partial_-} h \right\} + \mathcal{O}(\kappa), \\ \delta_T h &= [G_T, h], \quad \delta_T \bar{h} = [G_T, \bar{h}]. \end{aligned}$$

Light-cone representation of the BMS algebra

- Light-cone Poincaré algebra

$$\mathbb{K} : \{P, \bar{P}, P^+, J, J^+, \bar{J}^+, J^{+-}\}$$

$$\mathbb{D} : \{P^- \equiv H, J^-, \bar{J}^-\}$$

$$[\mathbb{K}, \mathbb{K}] = \mathbb{K}, \quad [\mathbb{K}, \mathbb{D}] = \mathbb{D}, \quad [\mathbb{D}, \mathbb{D}] = 0.$$

- Light-cone BMS algebra

$$\mathbb{K} \rightarrow \mathbb{K},$$

$$\mathbb{D} \rightarrow \mathbb{D}(T),$$

$$[\mathbb{K}, \mathbb{K}] = \mathbb{K}, \quad [\mathbb{K}, \mathbb{D}(T)] = \mathbb{D}(T), \quad [\mathbb{D}(T), \mathbb{D}(T)] = 0.$$

Dynamical part enhanced to infinite-dim supertranslations **labeled by a single parameter**

$$T(x, \bar{x}) = \sum_{m,n=0}^{\infty} c_{m,n} x^m \bar{x}^n = c_{0,0} + c_{1,0} x + c_{0,1} \bar{x} + \dots$$

- Poincaré part of the BMS

$$\partial^2 T = \bar{\partial}^2 T = 0$$

$\Rightarrow \mathbb{D}(T)$ reduces to $\mathbb{D} : \{H, J^-, \bar{J}^-\} \rightarrow$ the three “Hamiltonians” of Dirac

[Ananth, Brink and SM]

Light-cone BMS versus BMS at spatial infinity

BMS in front form

- Light-cone Poincaré algebra

$$\mathbb{K} : \{P, \bar{P}, P^+, J^{12}, J^+, \bar{J}^+, J^{+-}\}$$

$$\mathbb{D} : \{P^- \equiv H, J^-, \bar{J}^-\}$$

$$[\mathbb{K}, \mathbb{K}] = \mathbb{K}, [\mathbb{K}, \mathbb{D}] = \mathbb{D}, [\mathbb{D}, \mathbb{D}] = 0$$

- Going from Poincaré to BMS

$$\mathbb{K} \rightarrow \mathbb{K}, \quad \mathbb{D} \rightarrow \mathbb{D}(T),$$

$$[\mathbb{D}(T), \mathbb{D}(T)] = 0$$

labelled by

$$T(x, \bar{x}) = c_{0,0} + c_{1,0}x + c_{0,1}\bar{x} + \dots$$

- Poincaré subgroup

$$\partial^2 T = \bar{\partial}^2 T = 0$$

$$\mathbb{D}(T) \rightarrow \{H, J^-, \bar{J}^-\}$$

→ 3 “Hamiltonians” of Dirac’s front form

BMS in Instant form

- Poincaré algebra

in spherical coordinates : $x^\mu = (t, r, \theta, \varphi)$

{Lorentz $M^{\mu\nu}$, Translations P^μ }

$$[M, M] = M, [P, M] = P, [P, P] = 0$$

- Going from Poincaré to BMS

$$M^{\mu\nu} \rightarrow M^{\mu\nu}, \quad P^\mu \rightarrow ST,$$

$$[ST, ST] = 0$$

labelled by

$$T(\theta, \varphi) = a_{0,0} Y_{0,0} + \sum_{m=-1}^1 a_{1,m} \underbrace{Y_{1,m}} + \dots$$

spherical harmonics

- Poincaré subgroup

$$\partial_A T(\theta, \varphi) = 0, \quad x^A = \{\theta, \varphi\}$$

$$ST \rightarrow \{P^0, P^r, P^\theta, P^\varphi\} : \text{Abelian ideal}$$

Summary: Does (3+1) equal (2+2)?

(3+1): Asymptotic symmetries at spatial infinity

- Symmetry \equiv invariance of symplectic form or Hamiltonian action
- Boundary value problem on a Cauchy hypersurface
- Integrability of boost charges is a subtle issue
- **Spin 1:** Must include a surface dof $\bar{\Psi}$ to obtain full U(1) gauge symmetries
Setting $\bar{\Psi}$ to zero amounts to improper gauge fixing
- **Spin 2:** Supertranslations obtained without any extra surface degrees of freedom
- Superrotations could not be canonically realized (for asymptotically flat BCs)

(2+2): Residual gauge symmetries in light-cone formulation

- Symmetry \equiv invariance of light-cone action
- Characteristic initial value problem on a null hypersurface
- Integrability of boost charges is a subtle issue
- **Spin 1:** Must include a zero mode α to obtain *all* residual gauge symmetries
Setting α to zero amounts to residual gauge fixing
- **Spin 2:** Supertranslations obtained without introducing any zero modes
- Superrotations could not be canonically realized (on Mink background)

Some concluding remarks...

How to connect to null infinity?

- Celestial and Carrollian holography, scattering amplitudes, ...
- Superrotations, $Diff(\mathbb{S}^2)$ and other extensions:
Do we need to extend the phase space?
Why do we need boundary d.o.f. in some cases, such as spin 1 and spin 3/2?
- Decoupling of gauge algebra ('pure supertranslations') from Poincaré using supertranslation-inv Lorentz charges → Can we see this at \mathcal{I}^+ or in the front form?

[Oscar Fuentealba, Marc Henneaux, and Cédric Troessaert]

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Connections with amplitudes, (Anti) self-dual and all that

- Light-cone action in a basis of helicity states - well suited for on-shell physics
- Various applications- MHV Lagrangians , KLT relations , Double copy methods

[Gorsky-Rosly, Ananth-Theisen, Ananth-Kovacs-Parikh, ...]

- Double copy construction for SD sectors [Campiglia-Nagy '21]
- Double copy for BMS symmetries, Newmann-Penrose formalism, Weyl double copy, ...

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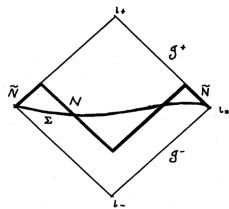
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Formal aspects of null-front Hamiltonian analysis

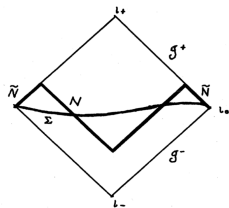
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[Nagarajan-Goldberg '85]

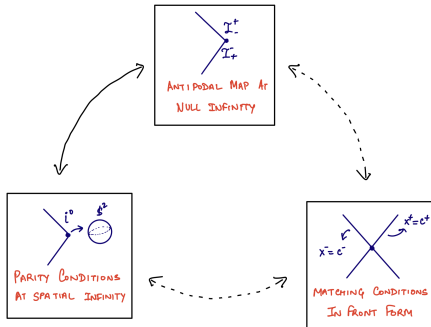
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[Nagarajan-Goldberg '85]

Parity conditions at $i^0 \longleftrightarrow$ Antipodal map at $\mathcal{I}^+ \longleftrightarrow$ Null matching conditions in Front form



“I feel that there will always be something missing from them [non-Hamiltonian methods], which we can only get by working from a Hamiltonian”

-*P.A.M. Dirac*,
Lectures on Quantum Mechanics (1964)

THANK YOU!

APPENDIX

Light-cone Poincaré algebra in $d = 4$

- Non-vanishing commutators of the Poincaré algebra

$$J^+ = \frac{J^{+1} + iJ^{+2}}{\sqrt{2}}, \quad \bar{J}^+ = \frac{J^{+1} - iJ^{+2}}{\sqrt{2}}, \quad J = J^{12}, \quad H = P_+ = -P_-.$$

$$\begin{aligned} [H, J^{+-}] &= -iH, & [H, J^+] &= -iP, & [H, \bar{J}^+] &= -i\bar{P} \\ [P^+, J^{+-}] &= iP^+, & [P^+, J^-] &= -iP, & [P^+, \bar{J}^-] &= -i\bar{P} \\ [P, \bar{J}^-] &= -iH, & [P, \bar{J}^+] &= -iP^+, & [P, J] &= P \end{aligned}$$

... and many more

- Underlying Carrollian algebra

Rotation $\mathbb{J} = \{J^{12}, J^{+-}, J^+, \bar{J}^+\}$, Boosts $\mathbb{K} = \{J^-, \bar{J}^-\}$

Translations $\mathbb{P} = \{P, \bar{P}, P_-\}$, Hamiltonian $\mathbb{H} = P_+$

$$[\mathbb{J}, \mathbb{J}] = \mathbb{J}, \quad [\mathbb{J}, \mathbb{P}] = \mathbb{P}, \quad [\mathbb{J}, \mathbb{K}] = \mathbb{K}$$

$$[\mathbb{J}, \mathbb{H}] = 0, \quad [\mathbb{H}, \mathbb{P}] = 0, \quad [\mathbb{H}, \mathbb{K}] = 0$$

$$[\mathbb{P}, \mathbb{P}] = 0, \quad [\mathbb{K}, \mathbb{K}] = 0, \quad [\mathbb{P}, \mathbb{K}] = \mathbb{H}$$

- In terms of the Kinematical-Dynamical split

$$\mathbb{K} = \{P_i, P_-, M_{ij}, M_{-i}, M_{+-}\}, \quad \mathbb{D} = \{P_+, M_{i+}\}$$

$$[\mathbb{K}, \mathbb{K}] = \mathbb{K}, \quad [\mathbb{K}, \mathbb{D}] = \mathbb{D}, \quad [\mathbb{D}, \mathbb{D}] = 0$$

Decoupling of gauge algebra from Poincaré at i^0

Recent developments in the asymptotic symmetry analysis at spatial infinity

[Oscar Fuentealba, Marc Henneaux, and Cédric Troessaert]

- Spin 1: Large gauge transformations

$$A_\mu = \partial_\mu \epsilon, \quad \epsilon \sim a(\theta, \varphi)r + b(\theta, \varphi) \ln r + c(\theta, \varphi) + \dots$$

Asymptotic algebra

$$[G_{\text{Poincaré}}, G_{\text{Poincaré}}] = G_{\text{Poincaré}}, \quad [G_{\text{Gauge}}, G_{\text{Poincaré}}] = G_{\text{Gauge}}, \quad [G_{\text{Gauge}}, G_{\text{Gauge}}] = 0,$$

↓

$$[G_{\text{Gauge}}, G_{\text{Gauge}}] = C,$$

Central charge C allows a definition of ‘gauge-invariant’ Lorentz generators such that

$$[G_{\text{Poincaré}}, G_{\text{Poincaré}}] = G_{\text{Poincaré}}, \quad [G_{\text{Gauge}}, G_{\text{Poincaré}}] = 0,$$

→ Gauge algebra completely decoupled from Poincaré

[arXiv: 2301.05989]

- Further extended to spin-2: BMS_4 , BMS_5 , super- BMS_4 etc.

[arXiv: 2211.10941 and arXiv:2305.05436]

- Can we find similar decoupling at \mathcal{I}^+ or in the front form? Does this happen only at i^0 ?