ODE+saturation 00000 PDE+saturation

Focus

Conclusion

# Systems subject to input saturation: from ODEs to PDEs

## Sophie Tarbouriech LAAS-CNRS, Toulouse, France

Joint work with C. Prieur, A. Mattioni (GIPSA-lab), M. Gauvrit (ENS Saclay), J.M. Gomes da Silva Jr (UFRGS, Brazil)

Workshop EDP-COSy, Toulouse, France, October 2023

ODE+saturatior 00000 PDE+saturation

Focus

Conclusion

## Outline



- 2 ODE+saturation
- 3 PDE+saturation
- 4) Focus



## Presence of constraints

Any dynamical system is subject to constraints due to physical, safety or technological reasons

## Motivation

000

▶ Take into account these constraints when studying desirable properties of the system (stability, performance, convergence)

## Constraints affecting the actuators and/or sensors









Need to develop adequate methodologies

ODE+saturation

PDE+saturation 00000000

Conclusion

#### Different types of isolated nonlinearities

- Actuators/sensors practical limitations: saturation, hysteresis, dead-zone, discontinuities, ...
- Refs: E. Sontag, A. Teel, M. Turner, L. Zaccarian, J.M. Gomes da Silva, Z. Lin, J.M. Biannic, B. Jayawardhana, H. Logemann, P.O. Gutman, ...
- Communication channels or information capacity: quantizer, coding, sampling ...
- Refs: D. Liberzon, C. De Persis, D. F. Delchamps, S. K. Mitter, F. Ferrante, ...
- Problem under study: positivity, ReLu or ramp, gradient ∇f(x), ...

Refs: M. Ait-Rami, Y. Ebihara, P. Seiler, M. Arcak, M.Korda, L. Lessard, R. Sanfelice, ...







General context	ODE+saturation	PDE+saturation	Conclusion
000			

#### Main objectives

- The main objectives are the ability to develop certificates of some properties (stability, performance, robustness, safety, tolerance, algorithmic convergence, ...), which are difficult or impossible to check analytically.
  - The solutions consist in using suitable abstractions sufficiently representative.
  - ▶ Very simple example. Vertical position of the baby.

Indeed, the baby tries to control its position (from the ground to stand up)

- baby = inverted pendulum
- diaper = a sort of uncertainty
- muscle strength = constraint



ODE+saturation ●○○○○ PDE+saturation 000000000 Focus

Conclusion 00

## Particular case: saturation. Class of nonlinearities apparently simple but difficult to manage

 Limitations in magnitude, rate, acceleration ... leading to saturations. Examples: PIO (Pilot-Induced-Oscillations) in aircrafts and problem of formation flight (satellites)



 The saturation function allows to approximate other types of nonlinearities. Examples: robust landing and on-ground control for civil aircraft - Approx. of ground forces (nose wheel force)



General context	ODE+saturation	PDE+saturation		Conclusion
000	○●○○○	000000000		00
	Main idea:	embed the nonli	nearity	

• Consider for example a continuous-time plant

$$\dot{x} = f(x) + g(x)\psi(u(x)) \tag{1}$$

• One can provide

sector conditions on 
$$\phi(u(x)) = \psi(u(x)) - u(x)$$
:  
 $(\psi(u(x)) - u(x))^{\top} h(x) \ge 0, x \in \Omega$  (2)

Used to handle different problems (stability analysis, optimization of the region of stability, anti-windup schemes, delay, sampling, event-triggered control, ...)



 Other methods: via differential inclusions, PWA, IQC (Khalil, Lin, Alamo, Rantzer, Scherer, Valmorbida, ...) but may reveal to be "more complex/conservative" in the control design context

ODE+saturation

PDE+saturation 00000000

Conclusion 00

Main idea: embed the nonlinearity (cont'd)

System (1) can be re-written as

 $\dot{x} = (f(x) + g(x)u(x)) + g(x)(\psi(u(x)) - u(x))$ (3)

▷ The closed loop f(x) + g(x)u(x) is assumed to satisfy the desired property (stability).

 One can build a Lyapunov function V using the abstraction on the nonlinearity to guarantee its decreasing along the closed-loop trajectories:

> $V(x) > 0, x \neq 0, x \in \Omega$  $\dot{V}(x) + \tau(x)(\psi(u(x)) - u(x))^{\top}h(x) < 0$ (4)

ODE+saturation

PDE+saturation 00000000 Focus

Conclusion 00

Focus: linear systems with saturating input

Consider the following system:

$$\dot{x} = Ax + Bsat(u)$$
  
 $u = Kx$ 
(5)

 Indeed, saturation is an *abrupt* nonlinearity [Tarbouriech et al., 2011], [Teel and Zaccarian, 2011]

- Small signal (around the origin): sat(u) = u and no effect on the system trajectories
- Large signal (far from the origin): sat(u) is uniformly bounded and there is a severe effect on the system



9 / 37

ODE+saturation

PDE+saturation 00000000

Conclusion

- In general,  $\exists x(0)$  such that the trajectories converge to the origin, i.e.  $x(t) \rightarrow 0$  as  $t \rightarrow \infty$ , but also initial conditions leading to diverging trajectories, i.e.  $x(t) \rightarrow \infty$  as  $t \rightarrow \infty$ .
- Region of attraction RA of the origin = the set of all points  $x(0) \in \mathbb{R}^n$  leading to solutions that converge asymptotically to the origin.
- RA(0) = the exact stability region of the saturated system.
- ▷ Global stability:  $RA(0) = \mathbb{R}^n$
- ▶ Local stability:  $RA(0) \neq \mathbb{R}^n$



## Objective: Approximate the RA

Stability may be local or global

ODE+saturation	PDE+saturation	Conclu
	●00000000	

## Quick overview

- Seminal works but with different saturation maps as L<sup>2</sup> saturation: [Slemrod, 1989], [Lasiecka and Seidman, 2003] (See also [Curtain and Zwart, 2020] for the case of Lipschitz nonlinearity)
- Recent works dealing with cone bounded nonlinearly/saturation for abstract systems, hyperbolic systems, reaction-diffusion systems:
  [Prieur et al., 2016], [Marx et al., 2017], [Prieur and Tarbouriech, 2019], [Chitour et al., 2020], [Mironchenko et al., 2021],
  [Vanspranghe et al., 2021], [Gauvrit et al., 2023],
  [Lhachemi and Prieur, 2023], ...

ODE+saturation

PDE+saturation

Focus

Conclusion

## Questions

- What happens in the context of PDE in presence of saturation?
- Distributed or boundary control subject to saturation?
- Is it possible to use the same framework (quadratic abstraction + Lyapunov-based conditions)?



Lyapunov function to ensure the ۲ stability

- <u>Stability</u> guarantees
- Characterization of the basin of attraction (Estimate)

ODE+saturation

PDE+saturation

Focus

Conclusion

Two kinds of PDE

(6)



 $\begin{array}{rcl} z_{tt}(x,t) &=& z_{xx}(x,t) + f(t) \\ z(0,t) &=& 0 \\ z_x(1,t) + g(t) &=& 0 \end{array}$ 

with the following initial condition, for all x in (0, 1),

$$z(x,0) = z^0(x)$$
  
 $z_t(x,0) = z^1(x)$ 
(7)

where  $z^0$  and  $z^1$  stand respectively for the initial deflection of the slope and the initial deflection speed.



Vibrating slope subject to a external distributed action f(x, t) and to a boundary action g(t)

ODE+saturation

PDE+saturation

Conclusion

(8)

#### Two kinds of PDE (cont'd)

## Beam equation

$$\begin{split} & w_{tt}(x,t) + w_{xxxx}(x,t) = u(t) \frac{d}{dx} [\delta_{\eta}(x) - \delta_{\xi}(x)], \\ & w(0,t) = w_{x}(0,t) = w_{xx}(\pi,t) = w_{xxx}(\pi,t) = 0, \\ & w(x,0) = w^{0}(x), \\ & w_{t}(x,0) = w^{1}(x) \end{split}$$

with w(x, t) the deflection of the beam with respect to the rest position, at point x in  $[0, \pi]$  and at time t, u(t) the voltage applied on a actuator located between on the interval  $[\eta, \xi]$ .



15 / 37

ODE+saturation 00000 PDE+saturation 00000000

Conclusion

#### Two kinds of control law

- Static nonlinear control: saturating static control
- > Wave equation:  $g(t) = sat(dz_t(1, t)), \forall t \ge 0$  yields the boundary conditions become:

z(0,t) = 0,  $z_x(1,t) + sat(dz_t(1,t)) = 0$ 

where sat is the localized saturated map [Prieur et al., 2016].

$$sat(v_{(i)}) = \begin{cases} u_{max(i)} & \text{if } v_{(i)} > u_{max(i)} \\ v_{(i)} & \text{if } - u_{min(i)} \le v_{(i)} \le u_{max(i)} \\ -u_{min(i)} & \text{if } v_{(i)} < -u_{min(i)} \end{cases}$$



	ODE+saturation	PDE+saturation		Conclusion
000	00000	000000000	0000000000000000	

Two kinds of control law (cont'd)

- Static nonlinear control: saturating static control
- > Beam equation:  $u(t) = sat(k(w_{xt}(\eta) w_{xt}(\xi))), \ \forall t \ge 0$  yields:

$$w_{tt}(x, t) + w_{xxxx}(x, t) = sat(k(w_{xt}(\eta) - w_{xt}(\xi)))\frac{d}{dx}[\delta_{\eta}(x) - \delta_{\xi}(x)],$$
  

$$w(0, t) = w_{x}(0, t) = w_{xx}(\pi, t) = w_{xxx}(\pi, t) = 0,$$
  

$$w(x, 0) = w^{0}(x), \ w_{t}(x, 0) = w^{1}(x)$$
(9)

where sat is the localized saturated map [Prieur and Tarbouriech, 2019].

ODE+saturation 00000	PDE+saturation 0000000000	Conclusion 00

Two kinds of control law (cont'd)

Dynamic nonlinear control:

▷ Wave equation:  $g(t) = sat(Dz_t(1, t) + Cw(t)), \forall t \ge 0$  yields the boundary conditions:

$$egin{aligned} z(0,t) &= 0 \ , \ z_x(1,t) + sat(Dz_t(1,t) + Cw(t)) &= 0 \ , \ \dot{w} &= Aw + Bz_t(1,t) \end{aligned}$$

where  $w(t) \in \mathbb{R}^n$  and *sat* is the localized saturated map [Gauvrit et al., 2023].

ODE+saturation 00000	PDE+saturation 00000000●	Conclusion 00

Two kinds of control law (cont'd)

- Dynamic nonlinear control:
- Beam equation: u(t) is the output of a first order dynamical system and  $u_e$  is the new control law to design

$$\begin{split} & w_{tt}(x,t) + w_{xxxx}(x,t) = sat(u(t)) \frac{d}{dx} [\delta_{\eta}(x) - \delta_{\xi}(x)], \\ & \dot{u}(t) = -\frac{1}{\tau} u(t) + \frac{1}{\tau} u_{e}(t) \\ & w(0,t) = w_{x}(0,t) = w_{xx}(\pi,t) = w_{xxx}(\pi,t) = 0, \\ & w(x,0) = w^{0}(x), \ w_{t}(x,0) = w^{1}(x) \end{split}$$
(11)

where sat is the localized saturated map [Prieur and Tarbouriech, 2019].

ODE+saturation 00000 PDE+saturation

 Conclusion

Focus on wave equation + dynamic nonlinear control

- We are interested in a PDE coupled at the boundary with an ODE.
- For all 0 < x < 1 and for all  $t \ge 0$ , one gets

$$z_{tt}(x,t) = z_{xx}(x,t)$$
, (12)

$$\dot{w} = Aw + Bz_t(1,t) , \qquad (13)$$

$$z(0,t) = 0$$
, (14)

$$z_{x}(1,t) + sat(Dz_{t}(1,t) + Cw(t)) = 0, \qquad (15)$$

- $\triangleright$  with the initial condition  $z(x,0)=z^0(x)$  and  $z_t(x,0)=z^1(x)$
- ▷ z(x, t) is the amplitude of the wave dynamics with respect to the rest position, at point x in [0, 1] and at time  $t \ge 0$ ,
- w(t) is a dynamical state (in ℝ<sup>n</sup>) solving a linear finite-dimensional differential equation,

A, B and C are matrices of appropriate dimensions.

Objectives. Well-posedness + stability

	ODE+saturation 00000	PDE+saturation 000000000	Focus o●oooooooooooooo	Conclusion 00
	N	Well-posedness		
Well-posedness	without saturation	l		
Let us use the for $\mathcal{H}=H^1_{(0)}(0,1)$ :	ollowing notation $ imes \ L^2(0,1)$ and $\mathfrak{H}=$	$egin{aligned} &\mathcal{H}^1_{(0)}(0,1)=\{z\in \mathcal{H}\ &=\mathcal{H} imes \mathbb{R}^n. \end{aligned}$ The line	${\cal H}^1(0,1),\; z(0)=0\},$ ear system	
	$z_{tt}(x,t)$	$= z_{xx}(x,t)$ ,		(16)
	$\dot{w} = Aw$	$\nu + Bz_t(1,t)$ ,		(17)
	z(0, t) =	= 0 ,		(18)
	$z_x(1,t)$	$+ Dz_t(1,t) + Cw($	t)=0 ,	(19)
is well-posed	f and only if $D \neq$	<b>_1</b> .		

• The proof of this well-posedness results from the classical Lumer-Philips theorem (see e.g., Chapter 1 in [Pazy, 1983]).

ODE+saturation 00000 PDE+saturation 00000000

Conclusion 00

## Well-posedness with saturation

The saturated system (12)-(15)

$$egin{aligned} & z_{tt}(x,t) = z_{xx}(x,t) \;, \ & \dot{w} = Aw + Bz_t(1,t) \;, \ & z(0,t) = 0 \;, \ & z_x(1,t) + sat(Dz_t(1,t) + Cw(t)) = 0 \;, \end{aligned}$$

is well-posed if D > -1

• The proof proposed in [Gauvrit et al., 2023] is based on the use of semigroups+quasi-dissipativity [Miyadera, 1992]:

 $(z, z_t, w) ext{ in } D(\mathcal{A}) = \left\{(u, v, w) \in H^1_{(0)}(0, 1) \times L^2(0, 1) \times \mathbb{R}^n, 
ight.$ 

 $u \in H^2(0,1), \ v \in H^1_{(0)}(0,1), \ u'(1) + sat(Dv(1) + Cw) = 0 \Big\}$ 

ODE+saturation	PDE+saturation		Conclusion
		00000000000000	

Stability

• Recall the saturated system (12)-(15)

$$egin{aligned} & z_{tt}(x,t) = z_{xx}(x,t) \;, \ & \dot{w} = Aw + Bz_t(1,t) \;, \ & z(0,t) = 0 \;, \ & z_x(1,t) + sat(Dz_t(1,t) + Cw(t)) = 0 \;, \end{aligned}$$

- The state of the system is constituted from z (PDE) and w (ODE).
  - Preliminary result: stability for the linear case (without saturation)

ODE+saturation	PDE+saturation		Conclusion
		000000000000000000000000000000000000000	

#### Stability without saturation

The linear system (12)-(15) recalled below

$$egin{aligned} & z_{tt}(x,t) = z_{xx}(x,t) \;, \ & \dot{w} = Aw + Bz_t(1,t) \;, \ & z(0,t) = 0 \;, \ & z_x(1,t) + Dz_t(1,t) + Cw(t) = 0 \;, \end{aligned}$$

is exponentially stable if and only if the spectrum of A is in the strict left part of the plane,  $\sigma(A) \subset \mathbb{C}_{-}$ , and if D > 0.

- This result could be proven by a spectral analysis of the linear operator describing (12)-(15).
- We consider the following assumption

$$\sigma(A) \subset \mathbb{C}_{-}$$
 and  $D > 0$ .

	ODE+saturation 00000	PDE+saturation 000000000	Focus 00000 <b>0</b> 000000000000000	Conclusion 00
	T	wo cases of stuc	dy	
<ul> <li>Assumption</li> </ul>	otion: $\sigma(A) \subset$	$\mathbb{C}_{-}$ and $D>0$	).	
We con	sider two cases	in order to be	able to prove expo	nential

stability > PDE-to-ODE case.

That corresponds to consider

*C* = 0

ODE-to-PDE case.

That corresponds to consider



Warning: For the moment no solution for  $B \neq 0$  and  $C \neq 0$ 

ODE+saturation	PDE+saturation		Conclusion
		000000000000000	

#### Case 1: C = 0

• PDE-to-ODE case. Consider the case where the PDE and the ODE are in cascade form in this order, that is when C = 0, namely:

$$z_{tt}(x,t) = z_{xx}(x,t)$$
, (20)

$$\dot{w} = Aw + Bz_t(1,t) , \qquad (21)$$

$$z(0,t) = 0$$
, (22)

$$z_x(1,t) + sat(Dz_t(1,t)) = 0$$
, (23)

▷ Remark. The ODE dynamics do not have any impact on the PDE, but the boundary value  $z_t(1, t)$  is the input of the ODE.

- - The necessary and sufficient condition for the asymptotic stability of the linear system (12)-(15) is also a sufficient condition for the asymptotic stability of the nonlinear system (20)-(23).

• Assumption:

 $\sigma(A)\subset \mathbb{C}_-$  and D>0.

### Stability - Case 1

System (20)-(23) is globally asymptotically stable, that is, there exists a symmetric definite positive matrix P in  $\mathbb{R}^{n \times n}$  such that the following stability condition

$$\begin{aligned} \|z(.,t)\|_{H^1_0(0,1)} + \|z_t(.,t)\|_{L^2(0,1)} + w(t)^\top Pw(t) \\ &\leq \|z^0\|_{H^1_1(0,1)} + \|z^1\|_{L^2(0,1)} + w(0)^\top Pw(0), \; \forall t \geq 0 \;, \end{aligned}$$

holds, together with the attractivity property (convergence property)

$$\|z(.,t)\|_{H^1_0(0,1)} + \|z_t(.,t)\|_{L^2(0,1)} + \|w(t)\| \to_{t\to\infty} 0.$$
<sup>(25)</sup>

#### Main ingredients of the proo

- The proof is inspired by the proof of Thm 2 in [Prieur et al., 2016] (see, also, the proof of Thm 2.2 in [Marx et al., 2017]): LES+GA
- The following Lyapunov function candidate

$$V(z,w) = \frac{1}{2} (\int_0^1 e^{\mu x} (z_t + z_x)^2 dx + \int_0^1 e^{-\mu x} (z_t - z_x)^2 dx) + w^\top P w$$
  
with  $\mu > 0$  and  $P = P^\top > 0$ .

• 
$$\dot{V} = -\mu V + \frac{e^{\mu}}{2} (z_t(1,t) - sat(Dz_t(1,t)))^2 - \frac{e^{-\mu}}{2} (z_t(1,t) + sat(Dz_t(1,t)))^2 + w^{\top} (A^{\top}P + PA + \mu P)w + 2w^{\top} PBz_t(1,t))$$

$$\dot{V} = -\mu V + \xi^{\top} \left( \begin{array}{ccc} A^{\top} P + PA + \mu P & PB & 0 \\ B^{\top} P & \frac{e^{\mu}}{2} - \frac{e^{-\mu}}{2} & -\frac{e^{\mu}}{2} - \frac{e^{-\mu}}{2} \\ 0 & -\frac{e^{\mu}}{2} - \frac{e^{-\mu}}{2} & \frac{e^{\mu}}{2} - \frac{e^{-\mu}}{2} \end{array} \right) \xi$$

Not sign definite

with 
$$\xi = \left(egin{array}{c} w \ z_t(1,t) \ sat(Dz_t(1,t)) \end{array}
ight)$$

ODE+saturation

PDE+saturation

Conclusion 00

#### Main ingredients of the proof (cont'd)

- We then need to use more information about the nonlinearity  $sat(Dz_t(1, t))$ .
- Quadratic abstraction for the nonlinearity  $\phi_1 = sat(Dz_t(1, t)) Dz_t(1, t)$  $\eta \phi_1(sat(Dz_t(1, t)) + Gz_t(1, t)) \le 0 \iff \eta \phi_1(\phi_1 + (D + G)z_t(1, t)) \le 0$

$$orall z_t(1,t) \in \{v; \phi_1(Gv) = 0\}, \iff |Gz_t(1,t)| \le |G||z_t(1,t)| \le u_0$$
  
with  $\eta > 0$ 

• Condition:  $\dot{V} \leq \dot{V} - 2\eta\phi_1(\phi_1 + Dz_t(1, t)) < 0$  along the trajectories of the closed loop  $\Rightarrow \dot{V} \leq -\mu V$ 

$$\dot{V} \leq -\mu V + \zeta^{\top} \underbrace{\begin{pmatrix} A^{\top}P + PA + \mu P & PB & 0\\ B^{\top}P & (1-D)^{2}\frac{e^{\mu}}{2} - (1+D)^{2}\frac{e^{-\mu}}{2} & \star\\ 0 & -(1-D)\frac{e^{\mu}}{2} - (1+D)\frac{e^{-\mu}}{2} - \eta(D+G) & \frac{e^{\mu}}{2} - \frac{e^{-\mu}}{2} - 2\eta \end{pmatrix}}_{\mathcal{L}} \zeta$$

 $\exists \eta, \mu, G, P$  such that negative definite

with 
$$\zeta = \begin{pmatrix} w \\ z_t(1,t) \\ \phi_1 \end{pmatrix} = \begin{pmatrix} I & 0 & 0 \\ 0 & 1 & 0 \\ 0 & -D & 1 \end{pmatrix} \xi$$

ODE+saturation

PDE+saturation

Conclusion

#### Main ingredients of the proof (cont'd)

• Initial condition:  $(z, z_t, w)$  in  $D(\mathcal{A}) = \left\{ (u, v, w) \in H^1_{(0)}(0, 1) \times L^2(0, 1) \times \mathbb{R}^n, u \in H^2(0, 1), v \in H^1_{(0)}(0, 1), u'(1) + sat(Dv(1) + Cw) = 0 \right\}$ 

> Since  $z_t(0,t) = 0$ , it holds  $|z_t(1,t)|^2 = |\int_0^1 z_{xt}(.,t)dx|^2 \le \int_0^1 |z_{xt}(.,t)|^2 dx = ||z_t(.,t)||_{H^1_{(0)}(0,1)}^2$ .

> Thus,

$$egin{array}{rll} |z_t(1,t)| &\leq & \|z(.,t)\|_{H^1_0(0,1)} + \|z_t(.,t)\|_{L^2(0,1)} + w(t)^ op {\sf P} w(t) \ &\leq & \|z^0\|_{H^1_0(0,1)} + \|z^1\|_{L^2(0,1)} + w(0)^ op {\sf P} w(0) \end{array}$$

Then for any initial condition satisfying

$$G|(||z^{0}||_{H_{0}^{1}(0,1)} + ||z^{1}||_{L^{2}(0,1)} + w(0)^{\top} Pw(0)) \leq u_{0}$$

one gets

$$|Gz_t(1,t)| \le |G||z_t(1,t)| \le u_0$$

ODE+saturation	PDE+saturation		Conclusion
		0000000000000000	

## Case 2: B = 0

• **ODE-to-PDE case**. Let us now consider the case where the ODE and the PDE are in cascade form in this order, that is when B = 0:

$$z_{tt}(x,t) = z_{xx}(x,t)$$
, (26)

$$\dot{w} = Aw$$
, (27)

$$z(0,t) = 0$$
, (28)

$$z_x(1,t) + sat(Dz_t(1,t) + Cw(t)) = 0$$
, (29)

▶ Remark. The ODE dynamics has an impact on the PDE, since the boundary value  $z_x(1, t)$  depends on w, but the stability of the dynamics of w only depends on A.

ODE+saturation	PDE+saturation	Focus	Conclusion
00000	000000000	000000000000000000000000000000000000	00

• Assumption: 
$$\sigma(A) \subset \mathbb{C}_-$$
 and  $D>0.$ 

## Stability - Case 2

System (20)-(23) is globally asymptotically stable, that is, there exists a symmetric definite positive matrix P in  $\mathbb{R}^{n \times n}$  such that the following stability condition

$$\begin{aligned} \|z(.,t)\|_{H^1_0(0,1)} + \|z_t(.,t)\|_{L^2(0,1)} + w(t)^\top Pw(t) \\ &\leq \|z^0\|_{H^1_0(0,1)} + \|z^1\|_{L^2(0,1)} + w(0)^\top Pw(0), \ \forall t \ge 0 \ , \end{aligned}$$

holds, together with the attractivity property (convergence property)

$$\|z(.,t)\|_{H^{1}_{0}(0,1)} + \|z_{t}(.,t)\|_{L^{2}(0,1)} + \|w(t)\| \to_{t\to\infty} 0.$$
(31)

ODE+saturation	PDE+saturation		Conclusior
		000000000000000000000000000000000000000	

#### Main ingredients of the proof

The following Lyapunov function candidate

$$V(z,w) = \frac{1}{2} (\int_0^1 e^{\mu x} (z_t + z_x)^2 dx + \int_0^1 e^{-\mu x} (z_t - z_x)^2 dx) + w^\top P w$$

with  $\mu > 0$  and  $P = P^{\top} > 0$ .

• From the assumption, there exists  $P = P^{\top} > 0$  such that

$$A^{\top}P + PA + rac{D^{-1}}{2}C^{\top}C = -Q$$
 with  $Q = Q^{\top} > 0$ 

• 
$$\dot{V} = -\mu V + \frac{e^{\mu}}{2} (z_t(1,t) - sat(Dz_t(1,t) + Cw(t)))^2 - \frac{e^{-\mu}}{2} (z_t(1,t) + sat(Dz_t(1,t) + Cw(t)))^2 + w^{\top} (A^{\top}P + PA + \mu P)w$$

ODE+saturation 00000 PDE+saturation 00000000

Conclusion

## Main ingredients of the proof (cont'd)

- We then need to use more information about the nonlineartity  $sat(Dz_t(1, t) + Cw(t))$ .
- Quadratic abstraction for the nonlinearity  $\phi_2 = sat(Dz_t(1, t) + Cw(t)) - Dz_t(1, t) - Cw(t)$

$$\begin{split} \eta\phi_2(\mathsf{sat}(\mathsf{Dz}_t(1,t)+\mathsf{Cw}(t))+\mathsf{Gz}_t(1,t)+\mathsf{G}_2\mathsf{w}(t)) &\leq 0\\ \Longleftrightarrow \eta\phi_2(\phi_2+(\mathsf{D}+\mathsf{G}_1)\mathsf{z}_t(1,t)+(\mathsf{C}+\mathsf{G}_2)\mathsf{w}(t)) &\leq 0 \end{split}$$

 $\begin{aligned} \forall z_t(1,t), w(t) \in \{v_1, v_2; \phi_1(G_1v + G_2v_2) = 0\}, \\ \iff |G_1z_t(1,t) + G_2w(t)| \le u_0 \end{aligned}$ 

with  $\eta > 0$ 

• 
$$\dot{V} \leq -\mu V + \zeta_2^\top M \zeta_2 < -\mu V$$
 with  $\zeta = \left(egin{array}{c} w \\ z_t(1,t) \\ \phi_2 \end{array}
ight)$ 

ODE+saturation

PDE+saturation 00000000 Focus

Conclusion

#### Main ingredients of the proof (cont'd)

M is defined as follows

$$M =$$

$$\left( \begin{array}{ccc} A^{\top}P + PA + \mu P + sh(\mu)C^{\top}C & \star & \star \\ -ch(\mu) + sh(\mu)DC & (1-D)^2 \frac{e^{\mu}}{2} - (1+D)^2 \frac{e^{-\mu}}{2} & \star \\ sh(\mu)C - \eta(G_2 + C) & -(1-D)\frac{e^{\mu}}{2} - (1+D)\frac{e^{-\mu}}{2} - \eta(D + G_1) & \frac{e^{\mu}}{2} - \frac{e^{-\mu}}{2} - 2\eta \end{array} \right)$$

•  $\exists \eta, \mu, G_1, G_2, P$  such that M < 0

- For example one can choose  ${\it G}_1=(\ell-1)D$  and  ${\it G}_2=(\ell-1)C$
- Initial condition: Due to the generalized sector condition (see e.g.,Lemma 1.5 in [Tarbouriech et al., 2011]), for all  $1 > \ell > 0$ , and for all  $\eta > 0$ , for any initial condition  $(z^0, z^1, w_0)$  in  $D(\mathcal{A})$  such that

$$(1-\ell)V(z^0,w_0)) \le u_0$$
, (32)

it holds

$$\eta\phi_2(\phi_2 + \ell Dz_t(1,t) + \ell Cw(t)) \leq 0$$
.

ODE+saturation	PDE+saturation	Conclusio
		•0

## Concluding remarks

- Context. Presence of constraint on the input (as magnitude saturation)
- Main topic: Stability analysis/stabilization via static or dynamic controller
  - ▷ ODE+saturation
  - PDE+saturation (wave equation, beam equation). Other results in the literature (reaction-diffusion systems, KdV for example)
- Focus on a case of dynamic controller: wave equation in closed loop with a dynamic boundary control
  - > Two particular cases (C = 0 and B = 0)
  - Main tools: Lyapunov function and generalized sector condition

ODE+saturation	PDE+saturation	Conclusion
		0•

#### Prospectives

- What happens with respect to the focus when  $B \neq 0$  and  $C \neq 0$ ?
- Design of A, B, C, D
- Presence of constraint on the input (as magnitude and rate saturation)
- Extension to other nonlinearities
  - Beam with nonlinear piezoelectric control (Joint work with A. Mattioni and C. Prieur)
- Extension to other PDE (Schrödinger)
- Regulation problem: exosystem  $\dot{\rho} = S\rho$ ,  $r = E\rho$  (Joint work with J.M. Gomes da Silva Jr and C. Prieur)

ODE+saturation 00000 PDE+saturation 000000000

Conclusion

#### Chitour, Y., Marx, S., and Prieur, C. (2020)

 $L^p$ -asymptotic stability analysis of a 1d wave equation with a nonlinear damping. Journal of Differential Equations, 269:8107–8131.



#### Curtain, R. and Zwart, H. (2020)

Introduction to Infinite-Dimensional Linear Systems Theory, a State-Space Approach. Springer, 1st edition.



#### Gauvrit, M., Prieur, C., and Tarbouriech, S. (2023).

A note on the wave equation controlled with a dynamic saturating boundary control. In *IFAC PapersOnLine*, volume 56-1, pages 108–113.



#### Lasiecka, I. and Seidman, T. (2003).

Strong stability of elastic control systems with dissipative saturating feedback. Systems and Control Letters, 48(3-4):243–252.



Local output feedback stabilization of a reaction-diffusion equation with saturated actuation. *IEEE Transaction on Automatic Control*, 68(1):564–571.



Ē

#### Marx, S., Andrieu, V., and Prieur, C. (2017)

Cone-bounded feedback laws for *m*-dissipative operators on hilbert spaces. Math. Control Signals Syst., 29(18):1-32.

#### Mironchenko, A., Prieur, C., and Wirth, F. (2021)

Local stabilization of an unstable parabolic equation via saturated controls. *IEEE Transaction on Automatic Control*, 66(5):2162–2176.



#### Miyadera, I. (1992).

Nonlinear Semigroups. Translations of mathematical monographs. American Mathematical Society.

ODE+saturation

PDE+saturation

Conclusion



#### Pazy, A. (1983)

Semigroups of linear operators and applications to partial differential equations. Springer-Verlag.



#### Prieur, C. and Tarbouriech, S. (2019)

Beam equation with saturating piezoelectric controls. *IFAC-PapersOnLine*, 52(16):66–71.



#### Prieur, C., Tarbouriech, S., and Gomes da Silva Jr., J. M. (2016).

Wave equation with cone-bounded control laws. IEEE Transactions on Automatic Control, 61(11):3452-3463.



#### Slemrod, M. (1989)

Feedback stabilization of a linear control system in Hilbert space with an a priori bounded control. Mathematics of Control, Signals and Systems, 2(3):265–285.



Stability and stabilization of linear systems with saturating actuators. Springer Science & Business Media.



i

#### Teel, A. R. and Zaccarian, L. (2011).

Modern Anti-Windup Synthesis: Control Augmentation for Actuator Saturation. Princeton University Press.

#### Vanspranghe, N., Ferrante, F., and Prieur, C. (2021)

Stabilization of the wave equation by the mean of a saturating dirichlet feedback. In 3rd IFAC Confer- ence on Modelling, Identification and Control of Nonlinear Systems (MICNON), Tokyo, Japan.