

A PANORAMA OF SINGULAR SUB-LAPLACIANS AND THEIR SPECTRA

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(joint works w. U. Boscain, D. Prandoli, L. Rizzi)

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U. ANGERS

MOTIVATION

GEOMETRY OF
RIEMANNIAN MANIFOLD

\leftrightarrow

SPECTRAL PROPERTIES OF
LAPLACE-BELTRAMI OPERATOR

Such as

BOUNDS ON LOW LYING SPECTRUM

$$\lambda_1(M) \geq^* \frac{h^2(M)}{4}$$

$$h(M) = \inf_{A \subset M} \frac{\text{Vol}_{d-1}(\partial A)}{\text{Vol}_d(A)} \quad \text{Cheeger constant}$$

WEYL'S LAW

$$N(\lambda) =^* C_d \text{Vol}_d(M) \lambda^{d/2} \\ - C_{d-1} \text{Vol}_{d-1}(\partial M) \lambda^{\frac{d-1}{2}} \\ + o(\lambda^{\frac{d-1}{2}})$$

QUANTUM - CLASSICAL CORRESPONDENCE ...

MOTIVATION

GEOMETRY OF
RIEMANNIAN MANIFOLD

\leftrightarrow

SPECTRAL PROPERTIES OF
LAPLACE-BELTRAMI OPERATOR

Such as

BOUNDS ON LOW LYING SPECTRUM, WEYL'S LAW, QUANTUM-CLASSICAL CORRESPONDENCE

How much of the correspondence is retained,
and in which form, if we allow important
objects to vanish or blow up?

PROPERTY ZERO: SELF-ADJOINTNESS (A BRIEF DETOUR)

Tension between

- BOUNDARY $\partial M \neq \emptyset \Rightarrow$ BOUNDARY CONDITIONS
- SINGULARITIES, NON COMPACTNESS \Rightarrow DECAY OF EIGENFUNCTIONS

No brainer for "nice operators"

- (M, g) complete Riemannian manifold $\Rightarrow \Delta_g = \operatorname{div}_{\mu_g} \nabla_g$ essentially S.A.
- M closed manifold, μ smooth density, P symmetric op on $L^2(M, \mu)$.
 P elliptic $\Rightarrow P$ essentially S.A.

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No brainer for "nice operators" classical confinement
of the geodesic flow

• (M, g) complete Riemannian manifold $\Rightarrow \Delta_g = \operatorname{div}_{\mu_g} \nabla_g$ essentially S.A. quantum confinement

• M closed manifold, μ smooth density, P symmetric op on $L^2(M, \mu)$.

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SELF-ADJOINTNESS & QUANTUM-CLASSICAL CORR.

closed smooth manifold
w. density μ

CONJECTURE (Y. COLIN DE VERDIÈRE & C. LE BIHAN, 2020)

P formally self-adjoint differential operator on $C^\infty(M)$.

Hamiltonian flow of
symbol p of P complete
(classical confinement)



P essentially self-adjoint
(quantum confinement)

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VERIFIED FOR

- P elliptic on complete Riemannian manifold M , not necessarily compact
- P of deg 1 (e.g. $P = i(X + \frac{1}{2}\text{div}_\mu(X))$, $X \in \Gamma(TM)$)
- P Sturm-Liouville on \mathbb{S}^1 [Colin de Verdière, Bihan 2020, Toire 2020]

\boxplus examples in which NOT COMPLETE \Rightarrow NOT E.S.A.

SELF-ADJOINTNESS & QUANTUM-CLASSICAL CORR.

closed smooth manifold
w. density μ

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P essentially self-adjoint
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WHAT ABOUT

NOT GEODESICALLY COMPLETE BUT
ESSENTIALLY SELF-ADJOINT?

PROPERTY ZERO: SELF-ADJOINTNESS

Tension between

- BOUNDARY $\partial M \neq \emptyset \Rightarrow$ BOUNDARY CONDITIONS
- SINGULARITIES, NON COMPACTNESS \Rightarrow DECAY

results in

$-\Delta + V(x)$ on $C_0^\infty(0,1)$ is $\begin{cases} \text{ESA} & \text{if } V(x) \geq \frac{3}{4} \frac{1}{\text{dist}(x, \{0,1\})^2} \\ \text{not ESA} & \text{if } V(x) < \frac{3}{4} (1-\varepsilon) \frac{1}{\text{dist}(x, \{0,1\})^2} \end{cases}$

on $\Omega \subset \mathbb{R}^d$ is "or above" w.r.t. $\text{dist}(x, \partial\Omega)$

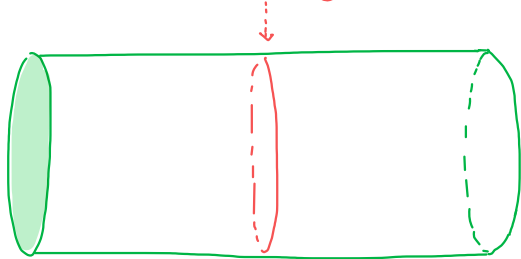
[Nenciu, Nenciu 2009]

MOTIVATING EXAMPLE (BADUENDI - GRUSHIN CYLINDER)

$$M = \mathbb{R}_x \times \mathbb{S}_\theta^1, \quad g = dx^2 + \frac{d\theta^2}{x^2}, \quad \mu_g = \frac{dx d\theta}{|x|}$$

singular set

$$S = \{x=0\}$$

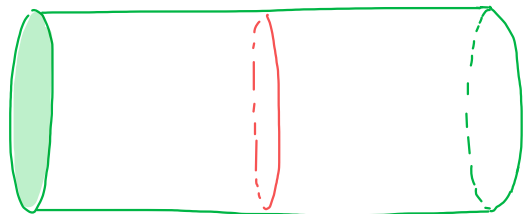


$$\Rightarrow \Delta = \operatorname{div}_\mu \circ \operatorname{grad}_g = \frac{\partial}{\partial x^2} + x^2 \frac{\partial}{\partial \theta^2} - \frac{1}{x} \frac{\partial}{\partial x} \quad \text{on } L^2(M, \mu_g)$$

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singular set
 $S = \{x=0\}$



BOSCANI, LAURENT (2011)

Δ essentially self-adjoint on each connected component of $M \setminus S$, $\sigma_c(H) = [0, \infty)$ with embedded eigenvalues

$$\lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots$$

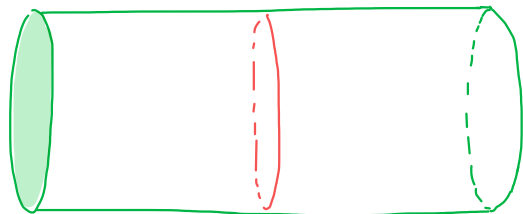
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MOTIVATING EXAMPLE (BAOUGHDI - GRUSHIN CYLINDER)

$$M = \mathbb{R}_x \times \mathbb{S}^1_\theta, \quad g = dx^2 + \frac{d\theta^2}{x^2}, \quad \mu_g = \frac{dx d\theta}{|x|}$$

Quantum confinement,
what about *classical*
confinement? (1)

singular set
 $S = \{x=0\}$



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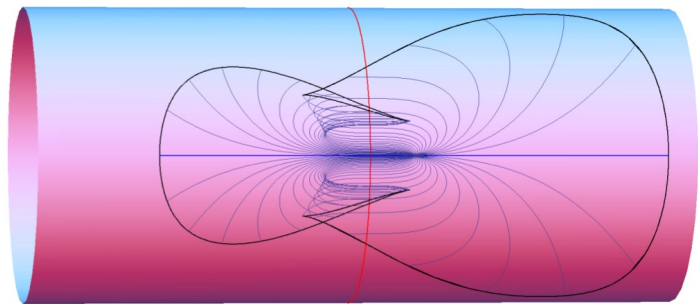
$$\lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots$$

We have eigenvalues to count,
what about *Weyl's law*? (2)

$$\Rightarrow \Delta = \operatorname{div}_\mu \circ \operatorname{grad}_g = \frac{\partial}{\partial x^2} + x^2 \frac{\partial}{\partial \theta^2} - \frac{1}{x} \frac{\partial}{\partial x} \quad \text{on } L^2(M, \mu_g)$$

MOTIVATING EXAMPLE (BAOUGENDI - GROSHIN CYLINDER)

$$M = \mathbb{R}_x \times \mathbb{S}'_0, \quad g = dx^2 + \frac{d\theta^2}{x^2}, \quad \mu_g = \frac{dx d\theta}{|x|}$$



Caustic front of length L from $(1,0)$

• symbol of Δ

$$p(x, \xi) = \xi_x^2 + x^2 \xi_\theta^2$$

• flow of p is **not complete**
on connected components
of $M \setminus S$

\Rightarrow QUANTUM CONFINEMENT
WITHOUT CLASSICAL CONFINEMENT.

①

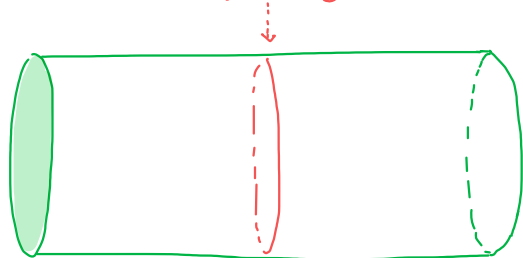
MOTIVATING EXAMPLE (BAOUGENDI - GRUSHIN CYLINDER)

$$M = \mathbb{R}_x \times \mathbb{S}_\theta^1, \quad g = dx^2 + \frac{d\theta^2}{x^2}, \quad \mu_g = \frac{dx d\theta}{|x|}$$

(2)

singular set

$$S = \{x=0\}$$



BOSCAIN, PRANDI, S. (2015)

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$\lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots$ satisfying

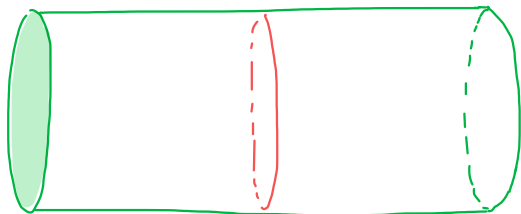
$$N(\lambda) = \frac{\lambda}{2} \log \lambda + \left(8 - \log 2 - \frac{1}{2}\right) \lambda + O(\sqrt{\lambda})^*$$

* $O\left(\lambda^{\frac{131}{416} + \varepsilon}\right)$ since remainder is from a Dirichlet counting problem...

MOTIVATING EXAMPLE (BAOUCENDI - GRUSHIN CYLINDER)

$$M = \mathbb{R}_x \times \mathbb{S}_\theta^1, \quad g = dx^2 + \frac{d\theta^2}{x^2}, \quad \mu_g = \frac{dx \, d\theta}{|x|}$$

singular set
 $S = \{x=0\}$



$$\begin{aligned} \text{Vol}(M) &= +\infty \\ K &= -\frac{2}{x^2} \longleftrightarrow -\infty \end{aligned}$$

BOSCAIN, PRANDI, S. (2015)

Δ essentially self-adjoint on each connected component of $M \setminus S$, $\sigma_c(H) = [0, \infty)$ with embedded eigenvalues

$\lambda_1 < \lambda_2 \leq \lambda_3 \leq \dots$ satisfying

$$N(\lambda) = \frac{\lambda}{2} \log \lambda + \left(\gamma - \log 2 - \frac{1}{2} \right) \lambda + O(\sqrt{\lambda})^*$$

so what is Weyl's law picking up now?

A SINGULAR SUB-LAPLACIAN

Almost-Riemannian:
Riemannian outside
singular set; \mathcal{D} changes
dimension at $\{x=0\}$

BAOUENDI-GRUSHIN EXAMPLE IS sub-Riemannian

$$M = \mathbb{R} \times \mathbb{S}^1, \quad \mu = \frac{dx d\theta}{|x|}, \quad g = dx^2 + \frac{dy^2}{x^2} \quad \text{and} \quad \mathcal{D} = \text{span} \left\{ \frac{\partial}{\partial x}, x \frac{\partial}{\partial \theta} \right\}$$

$$\Rightarrow \Delta_{\mu} = \frac{\partial^2}{\partial x^2} + x^2 \frac{\partial^2}{\partial \theta^2} - \frac{1}{x} \frac{\partial}{\partial x}$$

A SINGULAR SUB-LAPLACIAN

BAOUENDI-GRUSHIN EXAMPLE is sub-Riemannian

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$$\Rightarrow \Delta_{\mu} = \frac{\partial^2}{\partial x^2} + x^2 \frac{\partial^2}{\partial \theta^2} - \frac{1}{x} \frac{\partial}{\partial x}$$

"Simple" but interesting example, e.g.

[Boscain, Prandi 2013] Heat evolution & stochastic completeness

[Boscain, Prandi, S. 2015] Weyl's law, Aharonov-Bohm effect & spectral deformation

[Gallone, Michelangeli, Pozzoli 2019, 2021] Fully non-compact case & scattering

[Bauer, Furutani, Iwasaki 2013]

Spectral analysis of d -dimensional Grushin spheres

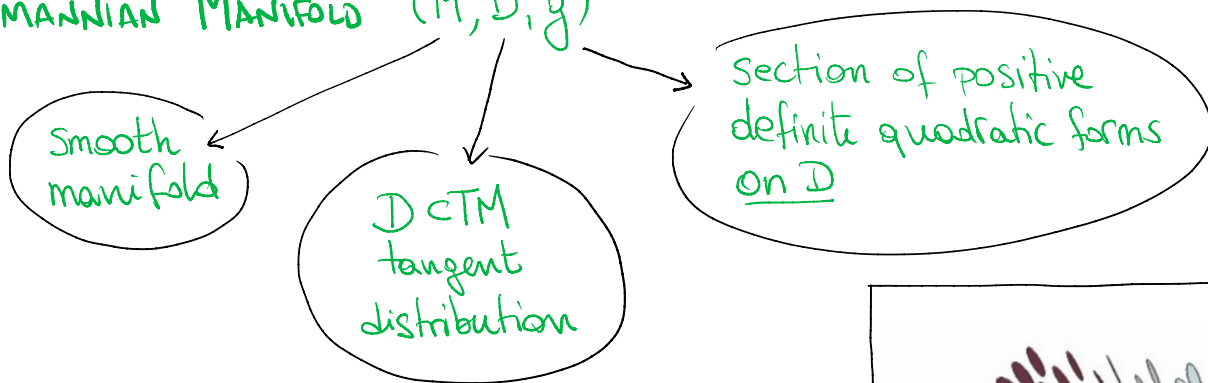
[Coseriu, Ciatti, Mastini 2020]

OBJECTIVES

- 1) How general is the quantum confinement with conical tunnelling for singular sub-Riemannian manifolds?
- 2) A brief panoramic of sub-Riemannian Weyl's laws in the regular & singular setting

SUB-RIEMANNIAN MANIFOLDS

SUB-RIEMANNIAN MANIFOLD (M, \mathcal{D}, g)

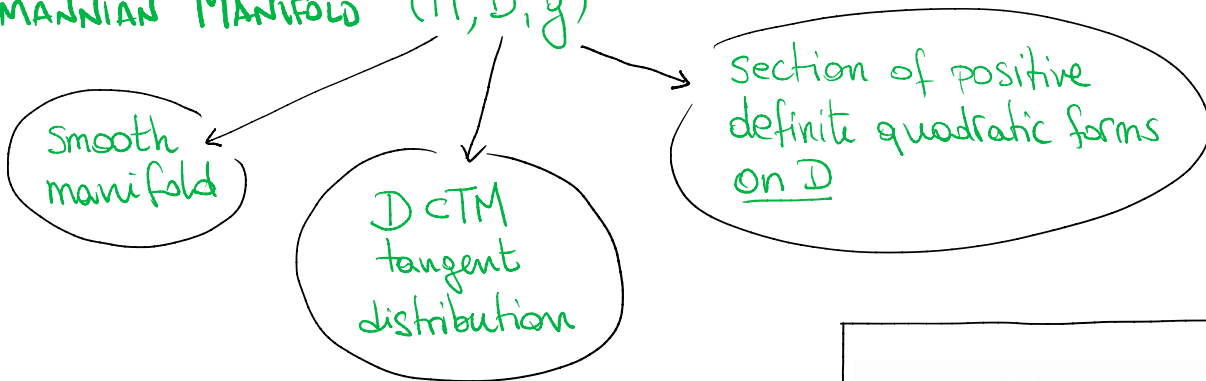


$\oplus \text{Lie}(\mathcal{D}) = TM$ (HÖRMANDER CONDITION BRACKET GENERATING)

$M = \mathbb{R}^3$
 $\mathcal{D} = \text{span}\left\{\partial_x - \frac{y}{2}\partial_z, \partial_y + \frac{x}{2}\partial_z\right\}$
 $g = dx^2 + dy^2$

SUB-RIEMANNIAN MANIFOLDS

SUB-RIEMANNIAN MANIFOLD (M, D, g)



\oplus $\text{Lie}(D) = TM$ (HÖRMANDER CONDITION)
BRACKET GENERATING

Chow-Rashevski ~ 39

Any two points in M are joined by **admissible curves** $\gamma: \mathbb{R} \rightarrow M$ s.t.
 $\dot{\gamma}(t) \in D_{\gamma(t)}$

dsr sub Riemannian distance & geodesic flow

$M = \mathbb{R}^3$
 $D = \text{span}\left\{\partial_x - \frac{y}{2}\partial_z, \partial_y + \frac{x}{2}\partial_z\right\}$
 $g = dx^2 + dy^2$

SUB-LAPLACIANS

SUB-RIEMANNIAN MANIFOLD (M, D, g) \oplus BRACKET GENERATING D

(!)

$\oplus \mu \in \Omega^n(M)$ SMOOTH VOLUME ON M

- \Rightarrow
- HORIZONTAL GRADIENT $\langle \nabla_H f, X \rangle_g = X(f)$ for $X \in \Gamma(D)$, $f \in C^\infty(M)$
 - DIVERGENCE $L_X \mu = \operatorname{div}_\mu(X) \mu$ for $X \in \Gamma(TM)$

SUB-LAPLACIANS

SUB-RIEMANNIAN MANIFOLD $(M, D, g) \oplus$ BRACKET GENERATING D

(!)

$\oplus \mu \in \Omega^n(M)$ SMOOTH VOLUME ON M

\Rightarrow • HORIZONTAL GRADIENT $\langle \nabla_H f, X \rangle_g = X(f)$

for $X \in \Gamma(D)$, $f \in C^\infty(M)$

• DIVERGENCE $\mathcal{L}_X \mu = \operatorname{div}_\mu(X) \mu$


for $X \in \Gamma(TM)$

\Rightarrow sub-Laplacian (associated to μ)

$$\Delta_\mu f = \operatorname{div}_\mu(\nabla_H f)$$

$$= \sum_i (X_i^2(f) + \operatorname{div}_\mu(X_i) X_i(f))$$

on a frame
 $\{X_i\}$ of D



$M = \mathbb{R}^3$
 $D = \operatorname{span}\left\{ \overbrace{\partial_x - \frac{y}{2} \partial_z}^{x_1}, \overbrace{\partial_y + \frac{x}{2} \partial_z}^{x_2} \right\}$
 $g = dx^2 + dy^2$ $\mu = dx dy dz$
 $\Delta_\mu = X_1^2 + X_2^2$

SUB-LAPLACIANS

SUB-RIEMANNIAN MANIFOLD $(M, D, g) \oplus$ BRACKET GENERATING D

(!)

$\oplus \mu \in \Omega^n(M)$ SMOOTH VOLUME ON M

- \Rightarrow • HORIZONTAL GRADIENT $\langle \nabla_H f, X \rangle_g = X(f)$ for $X \in \Gamma(D)$, $f \in C^\infty(M)$
 • DIVERGENCE $\int_X \mu = \text{div}_\mu(X) \mu$ for $X \in \Gamma(TM)$

\Rightarrow sub-Laplacian (associated to μ)

$$\Delta_\mu f = \text{div}_\mu(\nabla_H f)$$

on a frame $\{X_i\}$ of D

$$= \underbrace{\sum (X_i^2(f))}_{\mu \text{ independent}} + \text{div}_\mu(X_i) X_i(f)$$

- Δ_μ SYMMETRIC, NEGATIVE operator on $C^\infty(M)$
- (M, d_{SR}) complete
- $\Rightarrow \Delta_\mu$ essentially self-adjoint on $L^2(M, \mu)$ with domain $C^\infty(M)$
- $\oplus e^{-t\Delta_\mu}$ has positive C^∞ kernel & defines contractive semigroup

QUANTUM CONFINEMENT WITH CLASSICAL TUNNELING FOR SUB-LAPLACIANS

THEOREM [PRANDI, RIZZI, S. 2019; FRANCESCHI, PRANDI, RIZZI 2019]

(M, D, g) complete SR manifold, SCM smooth embedded compact hypersurface with no characteristic points, μ smooth measure on $M \setminus S$.

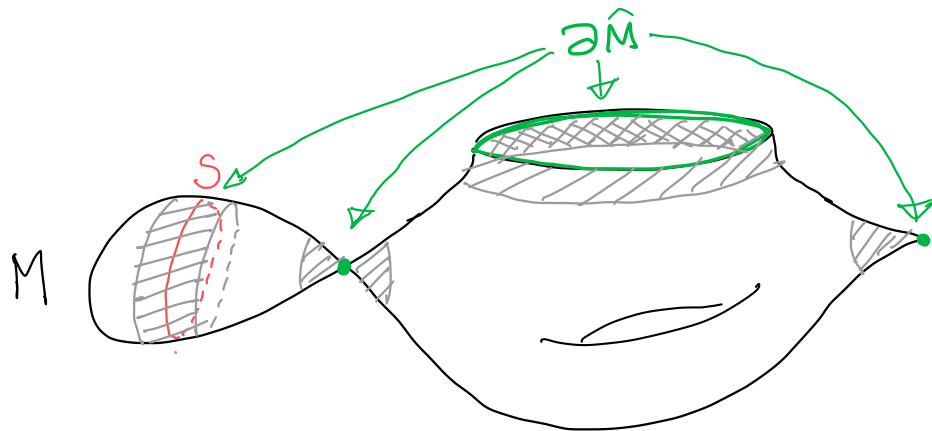
Denote $\delta := d(S, \cdot)$, if $\delta \in C^2$ and for some $\epsilon > 0 \exists \kappa \geq 0$ such that in the region $0 < \delta \leq \epsilon$ it holds

$$\left(\frac{\Delta_\mu \delta}{2}\right)^2 + \left(\frac{\Delta_\mu \delta}{2}\right)' \geq \frac{3}{4\delta^2} - \frac{\kappa}{\delta}$$

$\Rightarrow \Delta_\mu$ with domain $C_0^\infty(M \setminus S)$ is essentially self-adjoint on $L^2(M \setminus S, \mu)$ on any of the connected components of $M \setminus S$.

⊕ If M relatively compact $\Rightarrow \Delta_\mu$ has compact resolvent

A SKETCH OF THE PROOF



In the spirit of

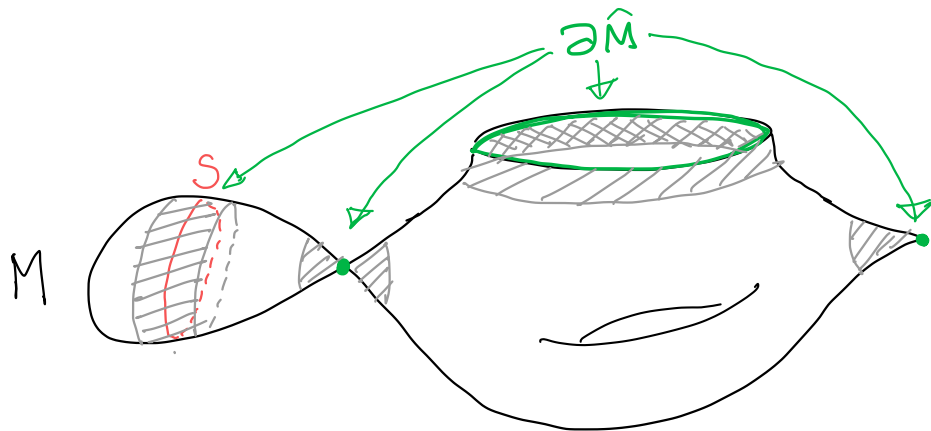
[Colin de Verdière, Truc 2010]
[Nenciu, Nenciu 2009]

$$(H = -\Delta_{\mu} + V \text{ on } C_c^{\infty}(M), \quad V_{\text{eff}} + V \geq \frac{3}{4\delta^2} - \frac{\kappa \geq 0}{\delta} - \nu^2 \text{ on } \delta \leq \varepsilon)$$

1. Localize around the boundaries

2. Agmon-type estimates $\Rightarrow \exists E < 0$ s.t. $H^* \psi = E \psi$ iff $\psi \equiv 0$

A SKETCH OF THE PROOF



In the spirit of

[Colin de Verdière, Truc 2010]
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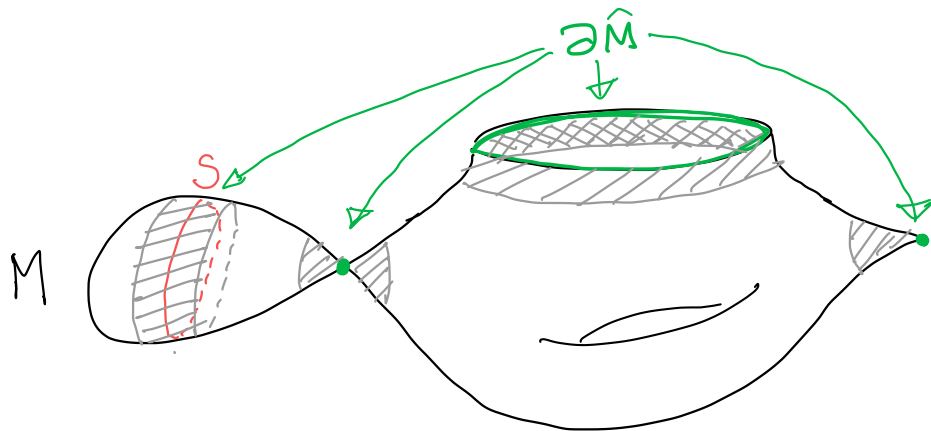
$$\left(H = -\Delta_{\mu} + V \text{ on } C_c^{\infty}(M), \quad V_{\text{eff}} + V \geq \frac{3}{4\delta^2} - \frac{k}{\delta} - \nu^2 \text{ on } \delta \leq \varepsilon \right)$$

1. Localize around the boundaries

2. Agmon-type estimates $\Rightarrow \exists \varepsilon > 0$ s.t. $H^* \psi = E \psi$ iff $\psi \equiv 0$
via SR Hardy inequality
and SR Rellich-Kondrakov

[Franceschi, Proudi, Rizzo 2019]

A SKETCH OF THE PROOF

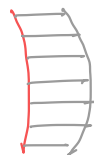


• $(M, d_{SE}) \longrightarrow (\hat{M}, \hat{d}_{SE})$
metric completion

• δ distance to $\partial\hat{M} = \hat{M} - M$

• $\delta \in C^2(M_\varepsilon)$

$\Rightarrow M_\varepsilon$ has tubular nbd



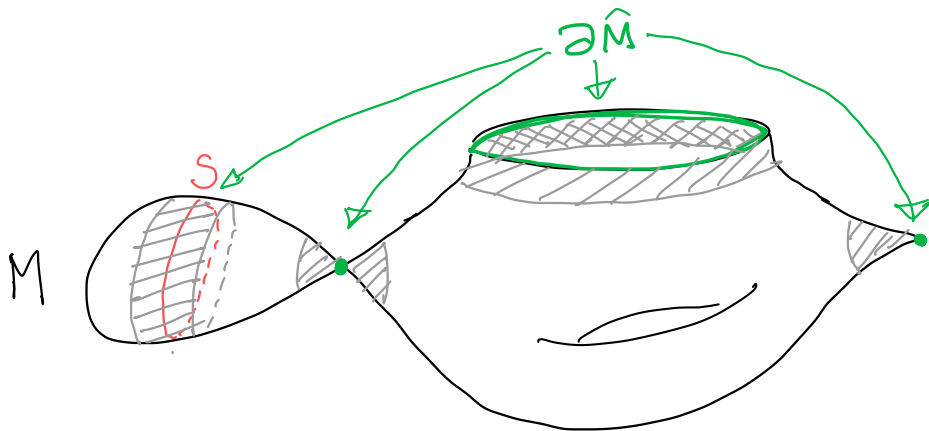
$M_\varepsilon \simeq (0, \varepsilon]_t \times X_\varepsilon$

$X_\varepsilon = \{ t = \varepsilon \}$

where

$d\mu = e^{2(t,x)} dt d\nu(x)$

A SKETCH OF THE PROOF



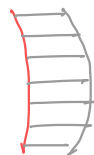
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$$M_\epsilon \simeq (0, \epsilon]_t \times X_\epsilon$$

$$X_\epsilon = \{ t = \epsilon \}$$

where

$$d\mu = e^{2\theta(t,x)} dt d\nu(x)$$

$$V_{\text{eff}} = (\partial_t \theta)^2 + \partial_t^2 \theta$$

does not depend on
the choice of $d\nu$

Intrinsic quantity!

COROLLARY I

If $\mu = t^a dt dW(x)$ on $M_\varepsilon \cong (0, \varepsilon]_t \times X_\varepsilon$

$\Rightarrow \Delta\mu$ em. s.o. for $a \leq -1$ and $a \geq 3$

\swarrow
 $a = -1$ includes

Bacaud - Grushin
cylinder & sphere

A REMARK ON V_{eff}

$$V_{\text{eff}} = \frac{1}{4} \left((\Delta_{\mu} \delta)^2 + \|\text{Hess}(\delta)\|_{\text{HS}}^2 + \text{Ric}_{\mu}(\nabla \delta, \nabla \delta) \right)$$

a function of mean curvature $\Delta_{\mu} \delta$ of levelsets of f

COROLLARY II

Let $\mu = \text{vol}g$.

If $\exists c_1 \geq c_2 \geq 0$ and $r \geq 2$ s.t.

$$-\frac{c_1}{g^r} \leq \text{Sec}(g) \leq -\frac{c_2}{g^r} \quad \text{for all } g \text{ planes containing } \nabla \mathcal{E}$$

and $\text{Hess}(\mathcal{E})|_{X_{\mathcal{E}}} < h_{\mathcal{E}}^*(c_2, r)$

$\Rightarrow \Delta$ with domain $C_c^\infty(M)$ is e.s.s.e. in $L^2(M)$.

{ Both sectional curvature and principal curvature of levelsets of \mathcal{E} contribute to self-adjointness!

COROLLARY III (Riemannian Kalf-Walter-Schmincke-Simon theorem)

(N, g) n -dim complete Riemannian manifold

$Z \subset N$ embedded compact C^2 submanifold, $\delta(\cdot) := d_g(\cdot, Z)$

If $V \in L^2_{loc}(N \setminus Z)$ such that

$$V(q) \geq -\frac{(n-k)(n-k-4)}{4\delta^2(q)} - \frac{k}{\delta(q)} - \lambda(q) \quad \text{on } \{\delta \leq \varepsilon\}$$

$$\text{and } V(q) \geq -\lambda(q) \quad \text{on } \{\delta > \varepsilon\}$$

$\Rightarrow H = -\Delta + V$ w. domain $C_c^\infty(M)$ is ess. s.o. on $L^2(M)$ or any of its connected components

For points, $k=0$,
weaker than previous
conditions

submanifolds of
 0 -dimension $n-k \geq 4$
are "invisible" to solutions
free Laplacians ($V=0$)

RECENT UPDATES

- $-\Delta_\omega$ ess. s.o. on $M \setminus \{q\}$ iff $\text{Flag } Q(q) \geq 4$
[Colin de Verdière, Hillebrand, Trélat 2023]
- Sharp ess. s.o. on regular α -Grushin manifolds via adapted 0-calculus
[Beschastnyi, Quen 2023]
- Ess. s.o. via Pseudodifferential calculus on Lie groupoid for generic 2-ARS,
allows unique treatment of some tangency points [Beschastnyi 2021]
- Sharp ess. s.o. on conic and anticonic manifolds
[Gallone, Michelangeli, Pozzoli 2019]
- Confinement for curvature leplecion on 2-ARS [Beschastnyi, Boscaïn, Pozzoli 2021]
- Point interactions for 3D sub-leplecions [Adami, Boscaïn, Franceschi, Prandi 2019]

A LANDSCAPE OF OPEN PROBLEMS: TWO EXPLICIT OPEN QUESTIONS

(1) $M = \mathbb{R}^2$, $\mathcal{D} = \text{span} \left\{ \partial_x, x(x^{2\ell} + y^2) \frac{\partial}{\partial y} \right\}$

$\Rightarrow S = \{x=0\}$, $\delta(x,y) = |x|$ and $M_g = \frac{1}{|x|(x^{2\ell} + y^2)} dx dy$

- $\ell=1$: Δ_μ is ESA
- $\ell \geq 2$: Δ_μ is ESA (conjecture)

(2) $M = \mathbb{R}^2$, $\mathcal{D} = \text{span} \left\{ \partial_x, \phi(x,y) \partial_y \right\}$ where $\phi(x,y) = y - x^2$

$\Rightarrow S = \{ \phi(x,y) = 0 \}$, \circ is a tangency point ($\mathcal{D} \parallel T_{\circ} S$)

$\delta(x,y) \approx \sqrt{|y|} - |x|$ and $M_g = \frac{1}{|\phi(x,y)|} dx dy$

$\Delta_\mu = \partial_x^2 + \phi^2 \partial_y^2 + \phi \partial_y + \frac{\partial_x \phi}{\phi} \partial_x$ symmetric, very explicit

but its self-adjointness is unknown

← $\Delta_\mu - \frac{k}{\phi^2}$ is
ess. s. o.
[Beschevnyi 2021]

SPECTRUM OF SUB-LAPLACIANS: THE REGULAR CASE

3D CONTACT E.G. $(\mathbb{R}^3, dx^2 + dy^2)$, $D = \text{span} \left\{ \partial_x - \frac{y}{2} \partial_z, \partial_y + \frac{x}{2} \partial_z \right\}$

$\Rightarrow \Delta$ is related to magnetic laplacian for constant field $(0, 0, 1)$

MANY ADVANCES IN PAST FEW YEARS, E.G., (SORRY FOR THE MANY PAPERS I COULD NOT FIT)

• 3D Contact:

[Colin de Verdière, Hilgert, Trélat 2017-2023, Colin de Verdière 2021] Weyl's law, Semidynamics, Quantum ergodicity

[Flynn 2020] X-Ray transform, Santaló formula

[Fermannian-Kammerer, Fischer 2019-2022] Semidynamical analysis

• 4D quoricontact: [Sardole 2019] Microlocalization, Weyl's law w. contribution by abnormal

• Smooth compact sub laplacians, equiregular D :

[Hassanmehdi, Kokarev 2014] upper bounds on spectrum & Weyl's law

[Chen, Chen 2019] lower bounds on spectrum

[Colin de Verdière, Hilgert, Trélat 2020-2023] small time asymptotics of heat kernel & Weyl's law

[Sardole, Masinescu 2018] Heat trace & Weyl's law for sub laplacians on unit circle bundles

[Prandi, Rizzi, S. 2018] Santaló formula, functional & isoperimetric-type inequalities

[Uttrouit 2020-2021] Quantum limit

SPECTRUM OF SUB-LAPLACIANS: THE REGULAR CASE

FUNCTIONAL INEQUALITIES [Prandi, Rizzi, Serì 2018]

HARDY $\int_M |\nabla_H f|^p \omega \geq C_{p, \text{rank } D} \int_M \frac{f^p}{r^p} \omega$, r harmonic mean distance from boundary

see also [Prandi, Franceschi 2020] for sharp versions

BOTTOM OF THE SPECTRUM (DIRICHLET) $\lambda_1(M) \geq \frac{(\text{rank } D) \pi^2}{L^2}$, L^2 longest reduced geodesic in \mathcal{H}

M compact n -dim sub-Riemannian mfd w. boundary, ω smooth volume form

SPECTRUM OF SUB-LAPLACIANS: THE REGULAR CASE

WEYL'S LAW

$$N(\lambda) = \frac{\text{Popp}(M)}{32} \lambda^2 + o(\lambda^2)$$

(compact 3D contact)
[Colin de Verdière, Hillairet, Trélat 2018]

Q , Hausdorff dimension, replaces d
(Flag of the distribution)

$$N(\lambda) = \frac{\int \tilde{e}^g(1,0,0) d\mu(g)}{\Gamma(Q/2 + 1)} \lambda^{Q/2} + o(\lambda^{Q/2})$$

Depends on g
but not on μ !

⇒ new canonical measure:
Local Weyl Measure

The complete expansion with a geometric interpretation of all terms is in
[Colin de Verdière, Hillairet, Trélat 2023]

SPECTRUM OF SINGULAR SUB-LAPLACIANS

WEYL'S LAW (I)

- [Boscain, Prandi, S 2015] Grushin sphere & cylinder, first two terms of Weyl's law but **no geometric description**
- [Chitour, Prandi, Rizzi 2019] Under rather general conditions on the growth and oscillation of $\text{volume}(\text{nbhd of } S \text{ at distance } > \lambda^{-1/2} \text{ from } S) = V(\lambda)$:

$$\lim_{\lambda \rightarrow \infty} \frac{N(\lambda)}{\lambda^{n/2} V(\lambda)} = \frac{W_n}{(2\pi)^n}$$

For Grushin-type 2-ARS $N(\lambda) \sim \frac{\text{Regularized Vol}(S)}{8\pi} \lambda \log \lambda$

SPECTRUM OF SINGULAR SUB-LAPLACIANS

WEYL'S LAW (II) [Colin de Verdière, Hilbert, Trélat 2023]

- Full asymptotic expansion of heat kernel for a very large class of nilpotentizable singular sub-laplacians with Whitney stratifiable singular set
- Detailed 2-terms asymptotics for Brouendi-Grushin and Martinet
- Quantum Ergodicity for Brouendi-Grushin with singular set containing at most one tangency point

In these cases the Weyl's law is led by

$$N(\lambda) \underset{\lambda \rightarrow +\infty}{\sim} \frac{\nu(N_s)}{\Gamma(Q^s/2 + 1)} \lambda^{Q^s/2} (\ln \lambda)^{m_s - 1}$$

SPECTRUM OF SINGULAR SUB-LAPLACIANS

WEYL'S LAW (III) [Colin de Verdière, Hiliret, Trélat 2023]

- Weyl's law for some examples with non-stratifiable singular sets

$$N(\lambda) \sim \frac{\nu(N)}{\Gamma(\delta+1)} \lambda^\delta \sum_{k \in \mathbb{N}} \lambda^k$$

$\mathbb{Q} \ni \delta \geq \frac{\mathbb{Q}^s}{2}$ depends only on \mathcal{D}
 $k \in \mathbb{N}$

	Case	$\text{Tr}(M_f e^{t\Delta})$	$N(\lambda)$	\mathcal{N}
1	$X_1 = \partial_1, X_2 = (x_1^k - x_2) \partial_2$ $k \geq 2$	$\frac{ \ln t }{t}$	$\lambda \ln \lambda$	$\{x_2 = x_1^k\}$
2	$X_1 = \partial_1, X_2 = (x_1^{2p} + x_1 x_2^k) \partial_2$ $p, k \in \mathbb{N}^*$	$\frac{ \ln t ^2}{t}$ $\frac{1}{t^{p+\frac{1}{2}-\frac{2p-1}{2k}}}$	$\lambda \ln^2 \lambda$ $\lambda^{p+\frac{1}{2}-\frac{2p-1}{2k}}$	$\{(0,0)\}$ if $k=1$ $\{(0,0)\}$ if $k \geq 2$
3	$X_1 = \partial_1, X_2 = (x_1^2 + x_2^2)^k \partial_2$ $k \in \mathbb{N}^*$	$\frac{ \ln t }{t}$ $\frac{1}{t^k}$	$\lambda \ln \lambda$ λ^k	$\{(0,0)\}$ if $k=1$ $\{(0,0)\}$ if $k \geq 2$
4	$X_1 = \partial_1, X_2 = x_1^m (x_1^{2p} + x_2^{2k}) \partial_2$ $m \in \mathbb{N}, p, k \in \mathbb{N}^*$	$\frac{ \ln t }{t^{1+\frac{m}{2}}}$ $\frac{1}{t^{\frac{m+1}{2}+p-\frac{p}{2k}}}$	$\lambda^{1+\frac{m}{2}} \ln \lambda$ $\lambda^{\frac{m+1}{2}+p-\frac{p}{2k}}$	$\{(0,0)\}$ if $p=k=1$ $\{(0,0)\}$
5	$X_1 = \partial_1, X_2 = (x_1^2 - x_2^3) \partial_2$	$\frac{1}{t^{7/6}}$	$\lambda^{7/6}$	$\{(0,0)\}$
6	$X_1 = \partial_1, X_2 = (x_1^4 + x_1^2 x_2^2 + x_2^{2k}) \partial_2$	$\frac{1}{t^2}$	λ^2	$\{(0,0)\}$ if $k \geq 3$
7	$X_1 = \partial_1, X_2 = \partial_2 + x_1 \partial_3 + x_1^2 \partial_5,$ $X_3 = \partial_4 + (x_1^k + x_2^k) \partial_5$ $k \geq 2$	$\frac{1}{t^{7/2}}$ $\frac{ \ln t }{t^2}$ $\frac{1}{t^{4-\frac{1}{k-1}}}$	$\lambda^{7/2}$ $\lambda^{7/2} \ln \lambda$ $\lambda^{4-\frac{1}{k-1}}$	\mathbb{R}^5 if $k=2$ $\{x_1 = x_2 = 0\}$ if $k=3$ $\{x_1 = x_2 = 0\}$ if $k \geq 4$

SPECTRUM OF SINGULAR SUB-LAPLACIANS

IS THERE ANYTHING LEFT TO DO?

- Role of **abnormal minimizers** in the Weyl's law [Sarnak 2019] [Glinde-Verdière, Letrouit 2021]
- Self-adjointness and Weyl's law in presence of **tangency pts**
- Inverse problems:
 - given $\gamma \geq \frac{Q}{2}$ rational and $K \in \mathbb{N}$ is there a singular SR with those values as its leading Weyl order
 - reconstruction of singularities from asymptotic data
- Localization of Weyl's measure
 - Structure of nodal domains [Swarathan, Letrouit 2023]
- Magnetic SR Laplacians
 - Bounds on low lying eigenvalues
 - Quantum ergodicity
- Still few methods: more techniques & alternative proofs are needed!



“That’s all Folks!”
Thanks!

Abnormal extremals (EXAMPLE)

$$M = \mathbb{R}^3, \quad \mathcal{D} = \ker\left(dz - \frac{y^2}{2} dx\right) = \text{span} \left\{ \overbrace{\frac{\partial}{\partial y}}^{x_1}, \overbrace{\frac{\partial}{\partial x} + \frac{y^2}{2} \frac{\partial}{\partial z}}^{x_2} \right\}$$

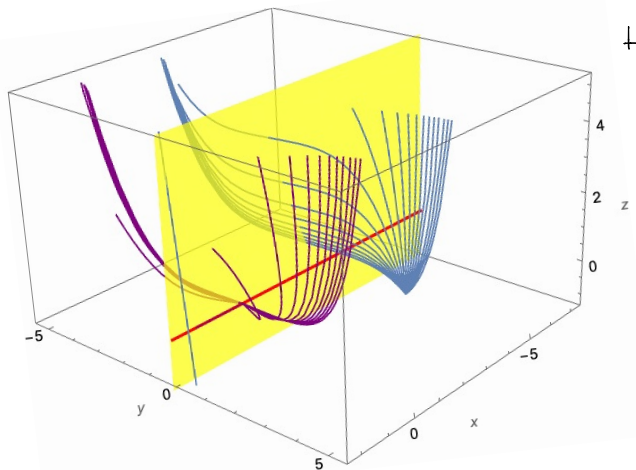
\Rightarrow singular set $Z = \{y=0\}$ — $\det(x_1, x_2, [x_1, x_2]) = 0$
 MARTINET SURFACE

$\Rightarrow \gamma(t) = (\pm t, 0, 0)$ ABNORMAL EXTREMAL

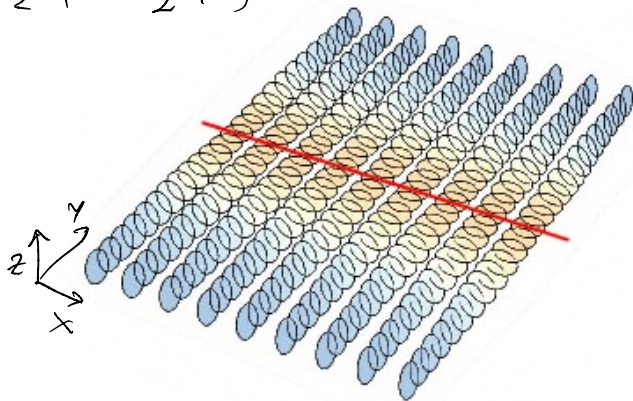
Simplest example.

No abnormal in step 2 (Carnot)

Plenty of abnormal in quasi-contact and Engels



$$H = \frac{1}{2} p_1^2 + \frac{1}{2} \left(p_x + \frac{y^2}{2} p_z \right)^2$$



Flags and dimensions

Canonical flag of a distribution D of steps s is filtration

$$\{0\} = D_q^0 \subset \underset{\substack{\uparrow \\ D_q}}{D_q^1} \subset \dots \subset D_q^j \subset \dots \subset D_q^{s(q)} = T_q M$$

$$D_q^j = D_q^{j-1} + [D_q, D_q^{j-1}]$$

span of applications of j Lie brackets

\Rightarrow Growth vector $k^D(q) = (\dim D_q^1, \dots, \dim D_q^{s(q)})$

If $k^D(q) \equiv k^D$ constant $\Rightarrow D$ is called EQUIREGULAR

DIDO: $D^0 = \{0\}$, $D^1 = \text{span} \{ \partial_x, \partial_y + x \partial_z \}$, $D^2 = TM$

$k^D(q) = (2, 3)$ CONSTANT \Rightarrow EQUIREGULAR

Hausdorff dimension

(X, d) metric space

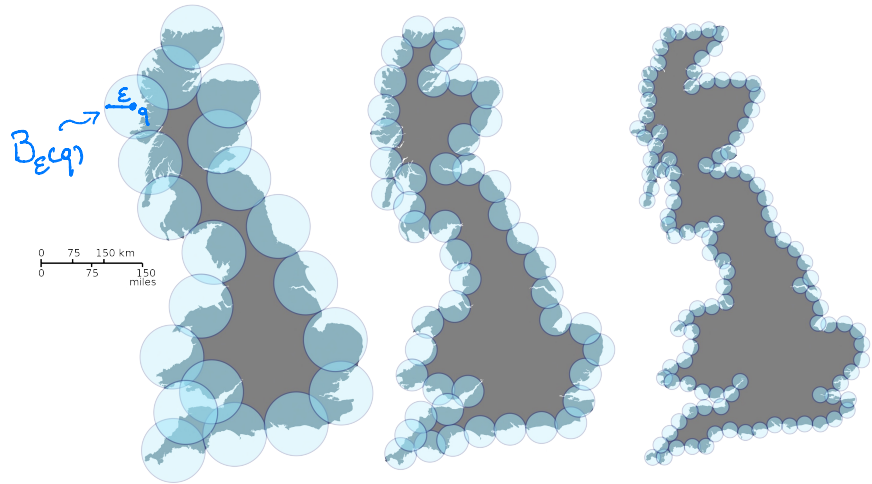
⇒ Hausdorff dimension

$$Q(q) = \lim_{\varepsilon \rightarrow 0} \frac{\ln(\text{vol } B_\varepsilon(q))}{\ln \varepsilon}$$

BALL-BOX
THEOREM

In the case of an equiregular
sub Riemannian structure (M^n, \mathcal{D}, g)

$$= \sum_{j=1}^s j (\dim D_q^j - \dim D_q^{j-1}) \begin{cases} > n & \text{in sub-Riemannian case} \\ = n & \text{in Riemannian case} \end{cases}$$



[Wikimedia Foundation]

Examples

① Contact structures

$$\begin{aligned} M & \quad \dim \quad 2n+1 \\ \mathbb{D} &= \ker(dz - y dx) \\ &= \text{span} \{ \partial y_i, \partial x_i + y_i \partial z \} \\ & \text{STEP 2 EQUIREGULAR} \end{aligned}$$

③ Grashin plane

$$\begin{aligned} M & \quad (\dim 2) \\ \mathbb{D} &= \text{span} \{ \partial x, x \partial y \} \\ & \text{STEP 2 NOT EQUIREGULAR} \end{aligned}$$

⑤ Martinet structure

$$\begin{aligned} M & \quad \dim 3 \\ \mathbb{D} &= \ker(dz - y^2 dx) \\ &= \text{span} \{ \partial y, \partial x + y^2 \partial z \} \\ & \text{STEP 3 EQUIREGULAR} \end{aligned}$$

② Quasi-contact structures

$$\begin{aligned} M & \quad \dim \quad 2n+2 \\ \mathbb{D} &= \text{span} \{ \partial y_i, \partial x_i + y_i \partial z, \partial w \} \\ & \text{STEP 2 EQUIREGULAR} \end{aligned}$$

④ Engel structure

$$\begin{aligned} M & \quad \dim 4 \\ \mathbb{D} &= \text{span} \{ \partial x, \partial y + x \partial z + z \partial w \} \\ & \text{STEP 3 EQUIREGULAR} \end{aligned}$$

⑥ (???)

$$\begin{aligned} M & \quad \dim 3 \\ \mathbb{D} &= \text{span} \{ \partial x, \partial y + xz \partial z \} \\ & z=0 \Rightarrow \text{NOT BRACKET GENERATING} \end{aligned}$$