

# Dilates and Baumslag-Solitar groups

Patrizia LONGOBARDI

UNIVERSITÀ DEGLI STUDI DI SALERNO

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**Gregory A. Freiman, Marcel Herzog, P. L., Mercede Maj, Yonutz V. Stanchescu**

*Direct and inverse problems*

*in additive number theory and in non – abelian group theory*

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*A small doubling structure theorem in a Baumslag – Solitar group*

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**Gregory A. Freiman - Marcel Herzog**

School of Mathematical Sciences, Tel Aviv University,  
Tel Aviv 69978, Israel

e-mail: grisha@post.tau.ac.il

email: herzogm@post.tau.ac.il

**Patrizia Longobardi - Mercede Maj**

Dipartimento di Matematica, Università' di Salerno,  
84084 Fisciano (Salerno), Italy

email: plongobardi@unisa.it

email: mmaj@unisa.it

**Yonutz V. Stanchescu**

Afeka Academic College, Tel Aviv 69107, Israel and  
The Open University of Israel, Raanana 43107, Israel

email: yonis@afeka.ac.il and ionut@openu.ac.il

# Basic definition

## Definition

If  $X, Y$  are subsets of a (semi)group  $G$ , then we denote

$$XY = \{xy \mid x \in X, y \in Y\} \quad \text{and} \quad X^2 = \{x_1x_2 \mid x_1, x_2 \in X\} .$$

If  $X = \{x\}$ , then we denote  $XY$  by  $xY$  and if  $Y = \{y\}$ , then we write  $Xy$  instead of  $X\{y\}$ .

If  $G$  is an **additive** group, then we denote

$$X + Y = \{x + y \mid x \in X, y \in Y\} \quad \text{and} \quad 2X = \{x_1 + x_2 \mid x_1, x_2 \in X\} .$$

$X + Y$  is also called the **(Minkowski) sumset** of  $X$  and  $Y$ .

## Direct and Inverse problems

**Gregory A. Freiman**, Structure theory of set addition, *Astérisque*, **258** (1999), 1-33

*"Thus a **direct problem** in additive number theory is a problem which, given summands and some conditions, we discover something about the set of sums. An **inverse problem** in additive number theory is a problem in which, using some knowledge of the set of sums, we learn something about the set of summands."*

## Remark

Let  $X$  and  $Y$  be *finite non-empty sets of integers*.

Obviously

$$|X + Y| \geq |X| + |Y| - 1$$

and

$$|X + Y| = |X| + |Y| - 1$$

*if and only if*

$X$  and  $Y$  are *arithmetic progressions* with the same difference, unless one of them is a singleton.

# Small doubling property

Let  $G$  be a **group** and  $S$  a **finite subset** of  $G$ .

Let  $S^2 = \{s_1 s_2 \mid s_1, s_2 \in S\}$ .

## Problem

What if the structure of  $S$  if  $|S^2|$  satisfies

$$|S^2| \leq \alpha|S| + \beta,$$

for some small  $\alpha \geq 1$  and small  $|\beta|$  ?

## Definition

The subset  $S$  of  $G$  is said to satisfy the **small doubling property** if

$$|S^2| \leq \alpha|S| + \beta,$$

where  $\alpha$  and  $\beta$  denote real numbers,  $\alpha \geq 1$ .

## A remark

Let  $X$  and  $Y$  be **finite sets of integers** with  $k$  and  $h$  elements, respectively. Assume that

$X$  and  $Y$  are **arithmetic progressions** with the same difference:

$$X = \{a, a + d, a + 2d, \dots, a + (k - 1)d\} \text{ and}$$

$$Y = \{b, b + d, b + 2d, \dots, b + (h - 1)d\} \text{ for some } k, h > 1 \text{ and } d \neq 0.$$

If, for instance,  $h \leq k$ , then

$$Y \subseteq \{(b - a) + x \mid x \in X\} = (b - a) + X.$$



Subsets of  $\mathbb{Z}$  of the form

$$r * A := \{rx \mid x \in A\},$$

where  $r$  is a **positive** integer and  $A$  is a **finite** subset of  $\mathbb{Z}$ , are called  *$r$ -dilates*.

**Minkowski sums** of dilates are defined as follows:

$$r_1 * A + \dots + r_s * A = \{r_1 x_1 + \dots + r_s x_s \mid x_i \in A, 1 \leq i \leq s\}.$$

These sums have been recently studied in different situations by *Bukh*, *Cilleruelo*, *Hamidoune*, *Plagne*, *Rué*, *Silva*, *Vinuesa* and others.

In particular, they examined sums of two dilates of the form

$$A + r * A = \{a + rb \mid a, b \in A\}$$

and solved various *direct* and *inverse* problems concerning their sizes.

For example, it was shown by **J. Cilleruelo, M. Silva, C. Vinuesa**  
(A sumset problem, *J. Comb. Number Theory* **2** (2010), no. 1, 79–89)  
that

$$|A + 2 * A| \geq 3|A| - 2$$

and that

Theorem

$$|A + 2 * A| = 3|A| - 2$$

*if and only if*

*A is an arithmetic progression.*

$$|A + 2 * A| \geq 3|A| - 2$$

**J. Cilleruelo, M. Silva, C. Vinuesa,**

A sunset problem,

*J. Comb. Number Theory* **2** (2010), no. 1, 79–89

announced in

**M. B. Nathanson,**

Inverse problems for linear forms over finite sets of integers,

*J. Ramanujan Math. Soc.* **23** (2008), no. 2, 151–165.

Theorem (Y.O. Hamidoune, A. Plagne, 2002)

Let  $A$  be a finite set of integers. Then

$$|A + r * A| \geq 3|A| - 2$$

for any integer  $r \geq 2$ .

Theorem (M.B. Nathanson, 2008)

Let  $A$  be a finite set of integers. Then

$$|A + r * A| \geq \frac{7}{2}|A| - 2$$

for any integer  $r \geq 3$ .

Theorem (J. Cilleruelo, M. Silva, C. Vinuesa, 2010)

*For any finite set  $A$  of integers we have*

$$|A + 3 * A| \geq 4|A| - 4.$$

*Furthermore if  $|A + 3 * A| = 4|A| - 4$ , then*

*$A = 3 * \{0, \dots, n\} \cup (3 * \{0, \dots, n\} + 1)$  or  $A = \{0, 1, 3\}$  or  $A = \{0, 1, 4\}$  or  $A$  is an *affine transform* of one of these sets.*

Let  $A$  be **finite** subset of  $\mathbb{Z}$ .

Theorem (J. Cilleruelo, M. Silva, C. Vinuesa)

$$|A + 3 * A| \geq 4|A| - 4.$$

Question

*What about  $|A + r * A|$ , where  $r \geq 4$  ?*

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

*If  $r \geq 3$ , then  $|A + r * A| \geq 4|A| - 4$ .*

Dilates:  $|A + 2 * A| \geq 3|A| - 2$

## J. Cilleruelo, M. Silva, C. Vinuesa

Let  $A$  be a finite set of integers and let  $r > 1$ . Divide  $A$  into residue classes modulo  $r$ , and define  $\hat{A}$  to be the projection of  $A$  into  $\mathbb{Z}/r\mathbb{Z}$ .

### Lemma

For arbitrary finite non empty sets  $B$  and  $A = \bigcup_{i \in \hat{A}} (r * A_i + i)$  we have

- (i)  $|A + r * B| = \sum_{i \in \hat{A}} |A_i + B|$
- (ii)  $|A + r * B| \geq |A| + |\hat{A}|(|B| - 1)$
- (iii) Furthermore, if equality holds in (ii), then either  $|B| = 1$  or  $|A_i| = 1$  for all  $i \in \hat{A}$ ; or  $B$  and all the sets  $A_i$  with more than one element are arithmetic progressions with the same difference.



Dilates:  $|A + r * A| \geq 3|A| - 2$ , for any  $r \geq 2$

*Proof.* Let  $A = \{x_1, x_2, \dots, x_k\}$  and assume  $x_1 < x_2 < \dots < x_k$ . Clearly

$$\begin{aligned}x_1 + rx_1 &< x_2 + rx_1 < x_1 + rx_2 < x_2 + rx_2 < x_3 + rx_2 < x_2 + rx_3 < \dots \\ &\dots < x_{k-1} + rx_{k-1} < x_k + rx_{k-1} < x_{k-1} + rx_k < x_k + rx_k.\end{aligned}$$

Then, for each  $i$  such that  $1 \leq i \leq k-1$  we have the three elements

$x_i + rx_i < x_{i+1} + rx_i < x_i + rx_{i+1}$  and one more element  $x_k + rx_k$ .

Therefore  $|A + r * A| \geq 3(k-1) + 1 = 3|A| - 2$ , as required. //

A similar argument shows that:

## Theorem

*If  $A$  is a finite set of integers and  $r, s$  are positive integers,  $r \neq s$ , then*

$$|s * A + r * A| \geq 3|A| - 2.$$

## Theorem (M.B. Nathanson, 2008)

*If  $A$  is a finite set of integers and  $r, s$  are positive coprime integers, at least one of which is  $\geq 3$ , then*

$$|s * A + r * A| \geq \frac{7}{2}|A| - 3.$$

## Theorem (A. Balog, G. Shakan)

For any relatively prime integers  $1 \leq p < q$  and for any finite set  $A$  of integers, one has

$$|p * A + q * A| \geq (p + q)|A| - (pq)^{(p+q-3)(p+q)+1}.$$

**A. Balog, G. Shakan**, On the sum of dilatations of a set, *Acta Arith.* **2360** (2014), 153-162.

Theorem (J. Cilleruelo, M. Silva, C. Vinuesa)

If  $|A + 2 * A| = 3|A| - 2$ , then  $A$  must be an *arithmetic progression*.

Question

What is the structure of the set  $A$  if  $|A + 2 * A| < 4|A| - 4$  ?

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

If  $|A + 2 * A| < 4|A| - 4$ ,  $|A| \geq 3$ ,  
then  $A$  is a **subset** of an *arithmetic progression* of size  $\leq 2|A| - 3$ .

# Useful results

Write  $[m, n] = \{x \in \mathbb{Z} \mid m \leq x \leq n\}$  and  $\mathbb{N} = \{x \in \mathbb{Z} \mid x \geq 0\}$ .

Let  $A$  be a finite subset of  $\mathbb{Z}$ .

Let  $A = \{a_0 < a_1 < \dots < a_{k-1}\}$  be a finite increasing set of  $k$  integers.

By the *length*  $\ell(A)$  of  $A$  we mean the difference

$$\ell(A) := \max(A) - \min(A) = a_{k-1} - a_0$$

between its maximal and minimal elements and

$$h_A := \ell(A) + 1 - |A|$$

denotes the number of *holes* in  $A$ , that is  $h_A = |[a_0, a_{k-1}] \setminus A|$ .

Finally, if  $k \geq 2$ , then we denote

$$d(A) := \text{g.c.d.}(a_1 - a_0, a_2 - a_0, \dots, a_{k-1} - a_0).$$

## Theorem (V.F. Lev - P.Y. Smelianski and Y.V. Stanchescu)

Let  $A$  and  $B$  be finite subsets of  $\mathbb{N}$  such that  $0 \in A \cap B$ . Define

$$\delta_{A,B} = \begin{cases} 1, & \text{if } \ell(A) = \ell(B), \\ 0, & \text{if } \ell(A) \neq \ell(B). \end{cases}$$

Then the following statements hold:

- (i) If  $\ell(A) = \max(\ell(A), \ell(B)) \geq |A| + |B| - 1 - \delta_{A,B}$  and  $d(A) = 1$ , then

$$|A + B| \geq |A| + 2|B| - 2 - \delta_{A,B}.$$

- (ii) If  $\max(\ell(A), \ell(B)) \leq |A| + |B| - 2 - \delta_{A,B}$ , then

$$|A + B| \geq (|A| + |B| - 1) + \max(h_A, h_B) = \max(\ell(A) + |B|, \ell(B) + |A|).$$

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

$$\text{If } |A + 2 * A| < 4|A| - 4 ,$$

then  $A$  is a **subset** of an *arithmetic progression* of size  $\leq 2|A| - 3$ .

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

Let  $A = \{a_0 < a_1 < a_2 < \dots < a_{k-1}\} \subset \mathbb{Z}$  be a finite set of integers of size  $k = |A| \geq 1$ . Then the following statements hold.

(a) If  $1 \leq k \leq 2$ , then  $|A + 2 * A| = 3k - 2$  and  $A$  is an arithmetic progression of size  $k$ .

(b) If  $k \geq 3$ , assume that  $|A + 2 * A| = (3k - 2) + h < 4k - 4$ .

Then  $h \geq 0$ ,  $|A + 2 * A| \geq 3k - 2$

and the set  $A$  is a **subset** of an arithmetic progression

$$P = \{a_0, a_0 + d, a_0 + 2d, \dots, a_0 + (t - 1)d\}$$

of size  $|P|$  bounded by  $|P| \leq k + h = |A + 2 * A| - 2k + 2 \leq 2k - 3$ .

(c) If  $k \geq 1$  and  $|A + 2 * A| = 3k - 2$ , then  $A$  is an arithmetic progression  $A = \{a_0, a_0 + d, a_0 + 2d, \dots, a_0 + (k - 1)d\}$ .



# Proof of the Theorem - sketch

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

Let  $A = \{a_0 < a_1 < a_2 < \dots < a_{k-1}\} \subset \mathbb{Z}$  be a finite set of integers of size  $k = |A| \geq 1$ . Then the following statements hold.

(b) If  $k \geq 3$ , assume that  $|A + 2 * A| = (3k - 2) + h < 4k - 4$ .

Then  $h \geq 0$ ,  $|A + 2 * A| \geq 3k - 2$

and the set  $A$  is a **subset** of an arithmetic progression

$$P = \{a_0, a_0 + d, a_0 + 2d, \dots, a_0 + (t - 1)d\}$$

of size  $|P|$  bounded by  $|P| \leq k + h = |A + 2 * A| - 2k + 2 \leq 2k - 3$ .

*Sketch of the Proof (b)* Suppose, first, that  $A$  is **normal**, i.e.

$\min(A) = a_0 = 0$  and  $d = d(A) = \gcd(A) = 1$ . Thus  $\ell(A) = a_{k-1}$ .

We **split** the set  $A$  into a disjoint union  $A = A_0 \cup A_1$ ,

where  $A_0 \subseteq 2\mathbb{Z}$  and  $A_1 \subseteq 2\mathbb{Z} + 1$ . Since  $0 = a_0 \in A_0$  and  $d(A) = 1$ , it follows that  $A_0 \neq \emptyset$  and  $A_1 \neq \emptyset$ . Therefore

$$m := |A_0| \geq 1, n := |A_1| \geq 1 \text{ and } k = m + n. \dots$$

# Proof of the Theorem - sketch

. . . It follows that Theorem (b) holds for **normal** sets  $A$  satisfying the hypothesis.

Let now  $A$  be an **arbitrary** finite set of  $k = |A| \geq 3$  integers satisfying the hypothesis. We define

$$B = \frac{1}{d(A)}(A - a_0) = \left\{ \frac{1}{d(A)}(x - a_0) : x \in A \right\}.$$

...

//

# Small doubling property

Let  $G$  be a (semi)group and  $S$  a finite subset of  $G$ .

Let  $S^2 = \{s_1 s_2 \mid s_1, s_2 \in S\}$ .

## Problem

What if the structure of  $S$  if  $|S^2|$  satisfies

$$|S^2| \leq \alpha|S| + \beta,$$

for some small  $\alpha \geq 1$  and small  $|\beta|$  ?

## Definition

The subset  $S$  of  $G$  is said to satisfy the *small doubling property* if

$$|S^2| \leq \alpha|S| + \beta,$$

where  $\alpha$  and  $\beta$  denote real numbers,  $\alpha \geq 1$ .

# The groups $BS(m, n)$

For integers  $m$  and  $n$ , the general **Baumslag-Solitar group**  $BS(m, n)$  is a group with two generators  $a, b$  and one defining relation  $b^{-1}a^mb = a^n$ :

$$BS(m, n) = \langle a, b \mid a^m b = b a^n \rangle.$$

"The Baumslag-Solitar groups are a particular class of two-generator one-relator groups which have played a surprisingly useful role in **combinatorial** and, more recently (the 1990s), **geometric group theory**. In a number of situations they have provided **examples** which mark boundaries between different classes of groups and they often provide a **testbed** for theories and techniques."

*Encyclopedia of Mathematics*

The groups  $\mathcal{BS}(m, n) = \langle a, b \mid a^m b = b a^n \rangle$

$$\mathcal{BS}(m, n) = \langle a, b \mid a^m b = b a^n \rangle$$



1933-2014

These groups were introduced by **Gilbert Baumslag** and **Donald Solitar** in 1962 in order to provide some simple examples of non-Hopfian groups.



1932-2008

("Some two generator one-relator non-Hopfian groups", *Bull. Amer. Math. Soc.*, **689** (1962), 199-201).

A group is called *Hopfian* (or nowadays *Hopf*) if every **epimorphism** from the group to itself is an **isomorphism**.

# Hopfian groups

The name is derived from the topologist *Heinz Hopf* and is thought to reflect the fact that whether fundamental groups of manifolds are *Hopfian* is of interest.



*Heinz Hopf*

1874-1971

In the early 30's *Heinz Hopf* asked whether a finitely generated group can be isomorphic to a proper factor group of itself (*i.e. whether a **finitely generated non-Hopfian** group exists*).

# Hopfian groups

In 1944 *Reinhold Baer* published an example of a non-Hopfian 2-generator group but then he discovered a mistake.



1902-1979



*B.H. Neumann* in 1950 found an example of a 2-generator infinitely related non-Hopfian group.

1909-2002

("A two-generator group isomorphic to a proper factor group, *J. London Math. Soc.*, **25** (1950), 247-248)

# Hopfian groups

One year after *Graham Higman* exhibited an example of a **finitely presented non-Hopfian** group; more precisely, this group was **3-generator** and with **2 defining relations**.



1917-2008

("A finitely related group with an isomorphic proper factor group, *J. London Math. Soc.*, **26** (1951), 59-61).

In his paper he quoted *Bernhard* and *Hanna Neumann* for a proof that one-relator groups had to be Hopfian, but they were only trying to show this, unsuccessfully.

Finally, in 1962, *Gilbert Baumslag* and *Donald Solitar* showed that the group

$$BS(2, 3) = \langle a, b \mid a^2b = ba^3 \rangle$$

is **non-Hopfian**.



# When $BS(m, n)$ is a Hopfian group

More generally:

$$BS(m, n) = \langle a, b \mid a^m b = b a^n \rangle$$

is Hopfian if and only if :

- (i)  $|m| = |n|$  or
- (ii)  $|m| = 1$  or
- (iii)  $|n| = 1$  or
- (iv)  $\pi(m) = \pi(n)$  where  $\pi(m)$  denotes the set of prime divisors of  $m$ .

We shall concentrate on the Baumslag-Solitar groups

$$BS(1, n) = \langle a, b \mid ab = b a^n \rangle.$$

They are extensions of a copy of the additive group of  $n$ -adic rational numbers by an infinite cyclic group. They are orderable groups.

The groups  $\mathcal{BS}(1, n) = \langle a, b \mid ab = ba^n \rangle$

Let  $S$  be a finite subset of  $\mathcal{BS}(1, n)$  of size  $k$  contained in the coset  $b^r \langle a \rangle$  for some  $r \geq 0$ . Then

$$S = \{b^r a^{x_0}, b^r a^{x_1}, \dots, b^r a^{x_{k-1}}\},$$

where  $A = \{x_0, x_1, \dots, x_{k-1}\}$  is a subset of  $\mathbb{Z}$ . We introduce now the notation

$$S = \{b^r a^x : x \in A\} =: b^r a^A.$$

Thus  $|S| = |A|$ .

The groups  $\mathcal{BS}(1, n) = \langle a, b \mid ab = ba^n \rangle$

Let  $S$  be a finite subset of  $\mathcal{BS}(1, n)$  of size  $k$  contained in the coset  $b^r \langle a \rangle$  for some  $r \in \mathbb{N}$  and let  $T$  be a finite subset of  $\mathcal{BS}(1, n)$  of size  $h$  contained in the coset  $b^s \langle a \rangle$  for some  $s \in \mathbb{N}$ .

Then

$$S = b^r a^A, \quad T = b^s a^B$$

for some subsets  $A = \{x_0, x_1, \dots, x_{k-1}\}$  and  $B = \{y_0, y_1, \dots, y_{h-1}\}$  of  $\mathbb{Z}$ .

From  $a^x b = ba^{nx}$  for each  $x \in \mathbb{Z}$  it follows

$$(b^r a^x)(b^s a^y) = b^r (a^x b^s) a^y = b^r (b^s a^{n^s x}) a^y = b^{r+s} a^{n^{s x} + y}.$$

The groups  $\mathcal{BS}(1, n) = \langle a, b \mid ab = ba^n \rangle$

Therefore if

$$S = b^r a^A, \quad T = b^s a^B$$

where  $A = \{x_0, x_1, \dots, x_{k-1}\}$  and  $B = \{y_0, y_1, \dots, y_{h-1}\}$  are subsets of  $\mathbb{Z}$ , from  $(b^r a^x)(b^s a^y) = b^{r+s} a^{n^s x + y}$  it follows

$$ST = b^{r+s} a^{n^s * A + B} \quad \text{and} \quad |ST| = |n^s * A + B|.$$

In particular

$$S^2 = b^{2r} a^{n^r * A + A} \quad \text{and} \quad |S^2| = |n^r * A + A|.$$

The groups  $\mathcal{BS}(1, n) = \langle a, b \mid ab = ba^n \rangle$

### Theorem

Suppose that  $S = b^r a^A \subseteq \mathcal{BS}(1, n)$ ,  $T = b^s a^B \subseteq \mathcal{BS}(1, n)$ , where  $r, s \in \mathbb{Z}$ ,  $r, s \geq 0$  and  $A, B$  are finite subsets of  $\mathbb{Z}$ . Then

$$ST = b^{r+s} a^{n^s * A + B}$$

and

$$|ST| = |n^s * A + B|.$$

In particular,

$$S^2 = b^{2r} a^{n^r * A + A}$$

and

$$|S^2| = |n^r * A + A| = |A + n^r * A|.$$

The group  $\mathcal{BS}(1, 2) = \langle a, b \mid ab = ba^2 \rangle$

Theorem (J. Cilleruelo, M. Silva, C. Vinuesa)

If  $A$  is a finite set of integers, then  $|A + 2 * A| \geq 3|A| - 2$  and  $|A + 2 * A| = 3|A| - 2$  if and only if  $A$  is an *arithmetic progression*.

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

If  $S = ba^A \subseteq \mathcal{BS}(1, 2)$ , where  $A$  is a finite subset of  $\mathbb{Z}$ , then

$$|S^2| \geq 3|S| - 2$$

and if  $|S^2| = 3|S| - 2$ , then  $A$  is an *arithmetic progression* and  $S$  is a *geometric progression*.

The group  $\mathcal{BS}(1, 2) = \langle a, b \mid ab = ba^2 \rangle$

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

If  $A$  is a finite set of integers,  $|A| \geq 3$  and  $|A + 2 * A| < 4|A| - 4$ , then  $A$  is a **subset** of an *arithmetic progression* of size  $\leq 2|A| - 3$ .

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

If  $S = ba^A \subseteq \mathcal{BS}(1, 2)$ ,  $|S| \geq 3$  and  $|S^2| < 4|S| - 4$ , then  $A$  is a **subset** of an *arithmetic progression* of size  $\leq 2|S| - 3$ .

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Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

If  $A$  is a finite set of integers,  $r \geq 3$ , then  $|A + r * A| \geq 4|A| - 4$ .

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

Let  $S = b^m a^A \subseteq \mathcal{BS}(1, 2)$ , where  $A$  is a finite set of integers of size  $k \geq 2$  and  $m \geq 2$ . Then

$$|S^2| \geq 4k - 4.$$



The group  $\mathcal{BS}(1, n) = \langle a, b \mid ab = ba^n \rangle$

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

If  $A$  is a finite set of integers,  $r \geq 3$ , then  $|A + r * A| \geq 4|A| - 4$ .

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

Let  $S \subseteq \mathcal{BS}(1, n)$  be a finite set of size  $k = |S| \geq 2$  and suppose that  $n \geq 3$  and

$$S = ba^A,$$

where  $A \subseteq \mathbb{Z}$  is a finite set of integers.

Then

$$|S^2| = |A + n * A| \geq 4k - 4.$$

The group  $\mathcal{BS}(1, 2) = \langle a, b \mid ab = ba^2 \rangle$

### Problem

What is the structure of an *arbitrary* subset of  $\mathcal{BS}(1, 2)$ , satisfying some small doubling condition?

Very difficult!

### Definition

Consider the submonoid

$$\mathcal{BS}^+(1, 2) := \{b^m a^x \in \mathcal{BS}(1, 2) \mid x, m \in \mathbb{Z}, m \geq 0\}$$

of  $\mathcal{BS}(1, 2)$ .

# The monoid $\mathcal{BS}^+(1, 2)$

## Definition

Consider the submonoid

$$\mathcal{BS}^+(1, 2) = \{b^m a^x \in \mathcal{BS}(1, 2) \mid x, m \in \mathbb{Z}, m \geq 0\}$$

of  $\mathcal{BS}(1, 2)$ .

## Remark

All elements of

$$\mathcal{BS}^+(1, 2)$$

can be *uniquely* represented by a word of the form  $b^m a^x$ , which is not the case in  $\mathcal{BS}(1, 2)$ .

$$\mathcal{BS}^+(1, 2) = \{b^m a^x \in \mathcal{BS}(1, 2) \mid x, m \in \mathbb{Z}, m \geq 0\}$$

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

Let  $S$  be a *finite non-abelian* subset of  $\mathcal{BS}^+(1, 2)$  and suppose that

$$|S^2| < \frac{7}{2}|S| - 4.$$

Then

$$S = ba^A,$$

where  $A$  is a set of integers of size  $|S|$ , which is *contained* in an *arithmetic progression* of size less than  $\frac{3}{2}|S| - 2$ .

$$\mathcal{BS}^+(1, 2) = \{b^m a^x \in \mathcal{BS}(1, 2) \mid x, m \in \mathbb{Z}, m \geq 0\}$$

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Remark

This result is *best possible*.

In fact, there exist non-abelian subsets  $S$  of  $\mathcal{BS}^+(1, 2)$  satisfying  $|S^2| = \frac{7}{2}|S| - 4$ , which are *not contained in one coset* of  $\langle a \rangle$  in  $\mathcal{BS}^+(1, 2)$ .

$$\mathcal{BS}^+(1, 2) = \{b^m a^x \in \mathcal{BS}(1, 2) \mid x, m \in \mathbb{Z}, m \geq 0\}$$

There exist non-abelian subsets  $S$  of  $\mathcal{BS}^+(1, 2)$  satisfying  $|S^2| = \frac{7}{2}|S| - 4$ , which are **not contained in one coset** of  $\langle a \rangle$  in  $\mathcal{BS}^+(1, 2)$ .

### Example

Let

$$S = a^{A_0} \cup \{b\} \subset \mathcal{BS}^+(1, 2),$$

where

$$A_0 = \{0, 1, 2, \dots, k-2\} \text{ and } k > 2 \text{ is even.}$$

The set  $S$  is clearly **non-abelian**, and it intersects non-trivially the **two distinct cosets**  $1\langle a \rangle$  and  $b\langle a \rangle$  of  $\langle a \rangle$  in  $\mathcal{BS}^+(1, 2)$ .

Moreover,  $|S^2| = \frac{7}{2}k - 4$ .

$$S = a^{A_0} \cup \{b\} \subset \mathcal{BS}^+(1, 2), \quad A_0 = \{0, 1, 2, \dots, k-2\}, \quad k > 2 \text{ even}$$

For,

$$S^2 = a^{A_0} a^{A_0} \cup ba^{A_0} \cup a^{A_0} b \cup \{b^2\},$$

and using  $a^{A_0} b = ba^{2 * A_0}$ , we get

$$S^2 = a^{A_0 + A_0} \cup (ba^{A_0} \cup ba^{2 * A_0}) \cup \{b^2\} = a^{A_0 + A_0} \cup ba^{A_0 \cup 2 * A_0} \cup \{b^2\}$$

. Since

$$a^{A_0 + A_0} \subseteq a^{\mathbb{Z}}, \quad ba^{A_0 \cup 2 * A_0} \subseteq ba^{\mathbb{Z}}, \quad \{b^2\} \subseteq b^2 a^{\mathbb{Z}},$$

it follows that the three components of  $S^2$  are disjoint in pairs and hence

$$|S^2| = |A_0 + A_0| + |A_0 \cup 2 * A_0| + 1 =$$

$$(2k - 3) + \left(\frac{3}{2}k - 2\right) + 1 = \frac{7}{2}k - 4.$$

# Theorem - sketch of the Proof

Theorem (G.A. Freiman, M. Herzog, P. L., M. Maj, Y.V. Stanchescu)

Let  $S$  be a *finite non-abelian* subset of  $\mathcal{BS}^+(1,2)$  and suppose that  $|S^2| < \frac{7}{2}|S| - 4$ . Then  $S = ba^A$ , where  $A$  is a set of integers of size  $|S|$ , which is *contained* in an *arithmetic progression* of size less than  $\frac{3}{2}|S| - 2$ .

Write

$$S = S_0 \cup S_1 \cup \dots \cup S_t,$$

where  $t \geq 0$ ,

$$S_i = b^{m_i} a^{A_i} \subseteq b^{m_i} a^{\mathbb{Z}},$$

$$0 \leq m_0 < m_1 < \dots < m_t,$$

and

$$k_i = |S_i| = |A_i| \geq 1.$$



$$S = S_0 \cup S_1 \cup \dots \cup S_t, \quad t \geq 0, \quad S_j = b^{m_j} a^{A_j}, \quad 0 \leq m_0 < m_1 < \dots < m_t$$

### Lemma (1)

Let  $S \subseteq \mathcal{BS}^+(1, 2)$  be a finite set of size  $k = |S|$ . Suppose that  $t \geq 1$  and there is  $0 \leq j \leq t$  such that  $k_j = |S_j| \geq 2$ . Then  $S$  generates a *non-abelian* group.

*Proof.* If  $j = 0$  and  $m_0 = 0$ , then  $k_0 = |S_0| = |A_0| \geq 2$  implies that  $S_0 \neq \{1\}$  and  $A_0 \neq \{0\}$ . Since  $t \geq 1$ , it follows that there are three integers  $m, x, z$  such that  $m \geq 1$ ,  $x \neq 0$ ,  $a^x \in S_0$  and  $b^m a^z \in S_1$ . In this case

$$a^x(b^m a^z) = b^m a^{z+2^m x} \neq (b^m a^z)a^x = b^m a^{z+x}$$

and therefore  $S$  generates a non-abelian group.

$t \geq 1$  and there is  $0 \leq j \leq t$  such that  $k_j = |S_j| \geq 2$

It remains to examine the following two cases:

(i)  $j \geq 1$ .

(ii)  $j = 0$  and  $m_0 \geq 1$ .

If  $j \geq 1$ , then  $m_j \geq 1$  and  $k_j = |S_j| = |b^{m_j} a^{A_j}| \geq 2$  implies that  $|A_j| \geq 2$ . On the other hand, if  $j = 0$  and  $m_0 \geq 1$ , then  $k_0 = |S_0| = |b^{m_0} a^{A_0}| \geq 2$  implies that  $|A_0| \geq 2$ . In both cases, let  $m = m_j$ . Then  $m \geq 1$  and there are two integers  $x \neq y$  such that  $\{b^m a^x, b^m a^y\} \subseteq S_j$ . We conclude that

$$(b^m a^x)(b^m a^y) = b^{2m} a^{y+2^m x} \neq (b^m a^y)(b^m a^x) = b^{2m} a^{x+2^m y},$$

since  $x \neq y$  and  $m \geq 1$ . The proof of Lemma is complete. //

$$S = S_0 \cup S_1 \cup \dots \cup S_t, \quad t \geq 0, \quad S_i = b^{m_i} a^{A_i}, \quad 0 \leq m_0 < m_1 < \dots < m_t$$

Let  $S \subseteq \mathcal{BS}^+(1, 2)$  be a finite set of size  $k = |S|$ .

### Lemma (2)

Suppose that  $t = 1$ . Then  $|S^2| \geq \frac{7}{2}|S| - 4$ .

### Lemma (3)

Suppose that  $t \geq 2$ . If  $k_0 = |S_0| \geq 2$  and  $k_i = |S_i| = 1$  for every  $1 \leq i \leq t$ , then  $|S^2| \geq 4k - 5 > \frac{7}{2}|S| - 4$  and the inequality is tight.

### Lemma (4)

Suppose that  $t \geq 2$ . If  $k_t = |S_t| \geq 2$  and  $k_i = |S_i| = 1$  for every  $0 \leq i \leq t - 1$ , then  $|S^2| \geq 4k - 5 > \frac{7}{2}|S| - 4$  and the inequality is tight.

# Open problems

## Question

What about arbitrary *finite subsets* of  $\mathcal{BS}(1, 2)$ ?

## Question

What about arbitrary *finite subsets* of  $\mathcal{BS}(1, n)$ ,  $n \neq 2$ ?

## Question

What about arbitrary *finite subsets* of any  $\mathcal{BS}(m, n)$ ?

*Thank you for the attention !*

P. Longobardi






Dipartimento di Matematica

Università di Salerno





via Giovanni Paolo II, 132, 84084 Fisciano (Salerno), Italy

E-mail address : [plongobardi@unisa.it](mailto:plongobardi@unisa.it)

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