Some thoughts on the information loss paradox

- 1. Introduction
- 2. $\rm S_{end}$ and $\rm S_{BH}$
- 3. Entropy bounds
- 4. Revisiting the paradox
- 5. Thoughts on central dogma
- 6. Summary and discussion

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ref.) Buoninfante, Di Filippo and Mukohyama, JHEP 10 (2021) 081. Mukohyama, *Phys.Rev.D* 58 (1998) 104023.

Collaborators



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ref.) Buoninfante, Di Filippo and Mukohyama, JHEP 10 (2021) 081. Mukohyama, *Phys.Rev.D* 58 (1998) 104023.

Introduction

BH entropy

$$S_{bh} = S_{BH} = \frac{k_B c^3}{4\hbar G_N} A_H$$

- Gravity (G_N) & quantum mechanics (ħ) & statistical mechanics (k_B) are involved!
- BH entropy: S = In(# of states)? Can we understood it microscopically?
- We might be able to learn something about quantum gravity from BH entropy.
- BH entropy is also expected to be a key to understand information loss paradox.

BH entropy

 $(c = \hbar = G_N = k_B = 1)$

- Schwarzschild BH energy $E_{bh} = M_{bh}$ temperature $T_{bh} = T_{Hawking}$
- 1st law (Bardeen-Carter-Hawking 1973)

$$ds^{2} = -f(r)dt^{2} + \frac{dr^{2}}{f(r)} + r^{2}d\Omega^{2}$$
$$f(r) = 1 - \frac{r_{H}}{r} \qquad r_{H} = 2M_{bh}$$

$$T_{Hawking} = \frac{\kappa}{2\pi} = \frac{f'(r_H)}{4\pi} = \frac{1}{8\pi M_{bh}}$$

- $T_{bh} dS_{bh} = dE_{bh}$ $dS_{bh} = dE_{bh} / T_{bh} = 8\pi M_{bh} dM_{bh} = d(4\pi M_{bh}^2)$ $S_{bh} = 4\pi M_{bh}^2 = A_H/4$ $k_B c^3$
- (classical) 2^{nd} law $\Delta S_{bh} \ge 0$

$$S_{bh} = S_{BH} = \frac{k_B c^3}{4\hbar G_N} A_H$$

• (semi-classical) generalized 2nd law (GSL) $\Delta S_{tot} \ge 0$, where $S_{tot} = S_{bh} + S_{matter}$

BH evaporation & information loss?

Gravitational collapse

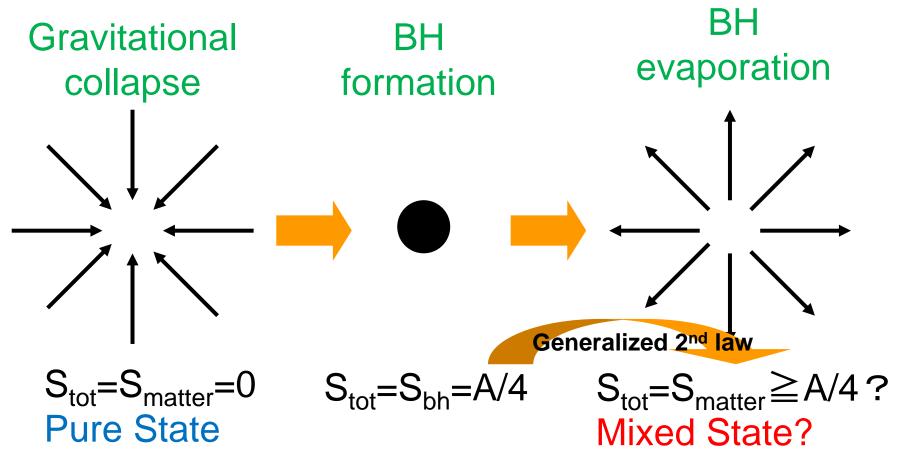
S_{tot}=S_{matter}=0 Pure State

BH evaporation & information loss?

Gravitational BH collapse formation

$$S_{tot} = S_{bh} = A/4$$

BH evaporation & information loss?



Information loss? Unitarity violation?

 $S_{ent} \text{ and } S_{BH}$

Entanglement entropy

(Bombelli, et. al. 1986)

- Hilbert space $F = F_1 \otimes \overline{F}_2$
- i. Pure density operator $\rho = uu^{\dagger}$ (|u|=1) S[ρ] = -Tr ρ ln ρ = 0
- ii. Reduced density operator $\rho_2 = Tr_1\rho$ $Tr_2[O_2\rho_2] = Tr[O_2\rho]$
- iii. Entanglement entropy

 $\mathbf{S}_{ent} = -\mathbf{Tr}\rho_2 \mathbf{In}\rho_2 \neq 0$

 $S_{ent} = -Tr \rho_1 ln \rho_1 = -Tr \rho_2 ln \rho_2$

$$u = \sum_{m,n} C_{mn} x_m \otimes y_n$$

$$C = WH$$

$$= U_1^T \begin{pmatrix} C_1 \\ C_2 \\ \ddots \end{pmatrix} U_2 \longleftarrow H = V^+ \begin{pmatrix} C_1 \\ C_2 \\ \ddots \end{pmatrix} V$$

$$u = \sum_{l} C_{l} x'_{l} \otimes y'_{l} \qquad x' = U_{1} x, y' = U_{2} y$$

$$\rho_{1} = \sum_{l} C_{l}^{2} x'_{l} x'_{l}^{+} \qquad \rho_{2} = \sum_{l} C_{l}^{2} y'_{l} y'_{l}^{+}$$

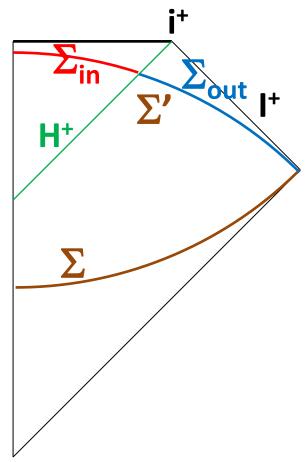
$$S_{ent} = -\sum_{l} C_{l}^{2} \ln C_{l}^{2} = -Tr\rho_{1} \ln \rho_{1} = -Tr\rho_{2} \ln \rho_{2}$$

See Appendix A of Mukohyama, Seriu & KodamaPhys.Rev. D55 (1997) 7666 for the extension to infinite dimensional spaces.

S_{ent} and S_{BH} (Bombelli, et. al. 1986)

- $S_{ent} = -Tr \rho_1 ln \rho_1 = -Tr \rho_2 ln \rho_2$ for pure ρ $\rightarrow S_{ent} \ll V_1$, $S_{ent} \ll V_2$
- It is expected that $S_{ent} \propto A_B$
- Entropy is dimension-less
 - \rightarrow S_{ent} \sim A_B/a²
- $S_{ent} \sim A/I_{Pl}^2 \sim S_{bh}$ if a $\sim I_{Pl}$.

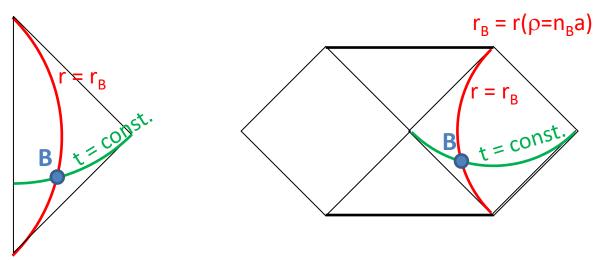
Black hole background



- Σ does not intersect H⁺, but Σ' does intersect H⁺ ($\Sigma \rightarrow \Sigma' = \Sigma_{in} + \Sigma_{out}$)
- Any observers who reach i⁺ or l⁺ cannot see information on $\Sigma_{\rm in}$
- This leads to S_{ent}
- Does it agree with S_{BH}?

Simple model

- Real, massless scalar field
 → discretize with the lattice spacing a
- $ds^2 = -N(\rho)^2 dt^2 + d\rho^2 + r(\rho)^2 d\Omega^2$
- u ← Boulware state (i.e. Killing vacuum)
- **B** \leftarrow **r** = **r**_B



3 quantum states

See e.g. Mukohyama and Israel, Phys. Rev. D 58, 104005 (1998)

Hartle-Hawking state

"Black hole in a box" Equilibrium state for BH + QFT Finite $T_{\mu\nu}$ on the horizon

BH formed by gravitational collapse

Vacuum Vacuum Sineula CUUM

Regular

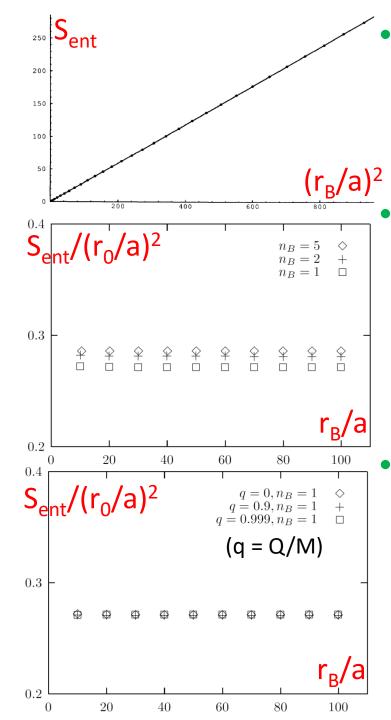
Regulat

Singula

Recular

Boulware state

Vacuum for static observers Natural state outside a star $T_{\mu\nu}$ diverges on the horizon (negative E)



Minkowski (Srednick 1993) N = 1, r = r $\rightarrow S_{ent} \sim 0.3(r_B/a)^2$

Schwarzshild

(Mukohyama, Seriu & Kodama, PRD58 (1998) 064001)

$$N = \sqrt{1 - r_0 / r} \qquad (y = 2r / r_0 - 1)$$

$$\rho = r_0 \left[\sqrt{y^2 - 1} + \ln(y + \sqrt{y^2 - 1}) \right] / 2$$

$$\Rightarrow S_{ent} \sim 0.3(r_B/a)^2$$

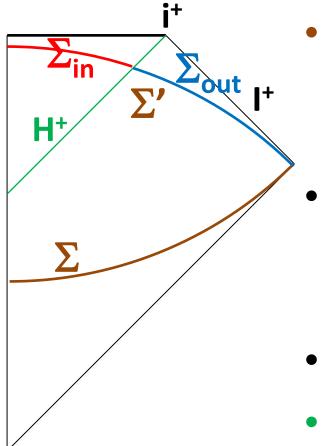
Reissner-Nordstrom (Mukohyama, gr-qc/9812079)

$$N = \sqrt{1 - 2M / r + Q^2 / r^2} \quad \left(y = (r - M) / \sqrt{M^2 - Q^2} \right)$$

$$\rho = \sqrt{(M^2 - Q^2)(y^2 - 1)} + M \ln\left(y + \sqrt{y^2 - 1}\right)$$

$$\rightarrow$$
 S_{ent} \sim 0.3(r_B/a)²

Black hole background



- Σ does not intersect H⁺, but Σ' does intersect H⁺ ($\Sigma \rightarrow \Sigma' = \Sigma_{in} + \Sigma_{out}$)
- Any observers who reach i⁺ or l⁺ cannot see information on Σ_{in}
- This leads to S_{ent}

 $\sim S_{ent} \sim S_{BH}$ if a $\sim I_{PI}$

c.f. The argument can be made more precise by renormalization of G_{N}

Entanglement Thermodynamics

(Mukohyama, Seriu & Kodama, Phys.Rev. D55 (1997) 7666; Phys.Rev. D58 (1998) 064001)

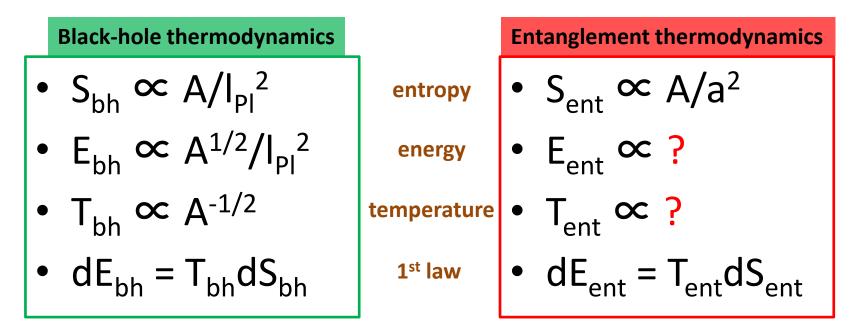
Black-hole thermodynamics

- $S_{bh} \propto A/I_{Pl}^2$ $E_{bh} \propto A^{1/2}/I_{Pl}^2$ $T_{bh} \propto A^{-1/2}$ $dE_{bh} = T_{bh}dS_{bh}$

- entropy $S_{ent} \propto A/a^2$ energy temperature 1st law

Entanglement Thermodynamics

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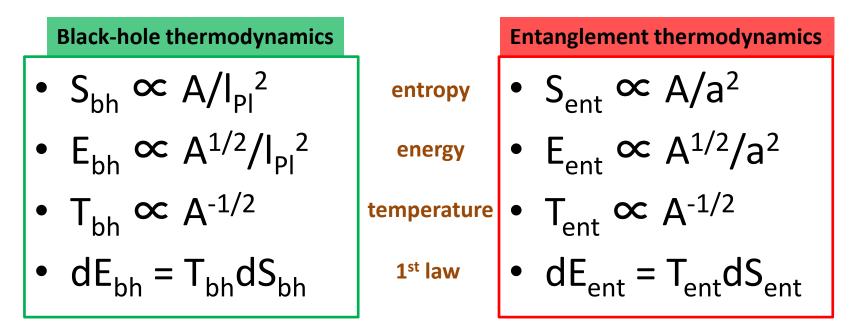


Construction of entanglement thermodynamics

- i. Calculate S_{ent}
- ii. Define and calculate E_{ent}
- iii. Obtain T_{ent} by requiring $dE_{ent} = T_{ent}dS_{ent}$

Entanglement Thermodynamics

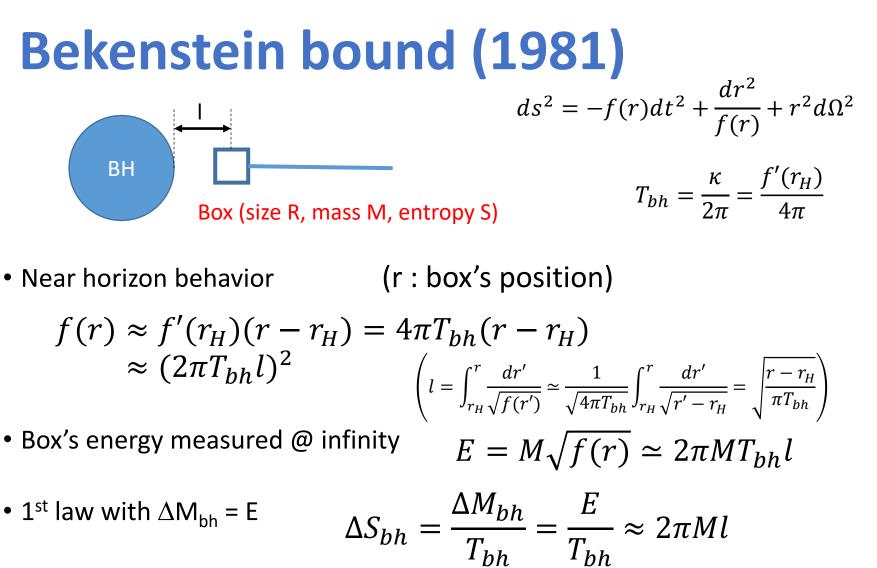
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Construction of entanglement thermodynamics

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Entropy bounds



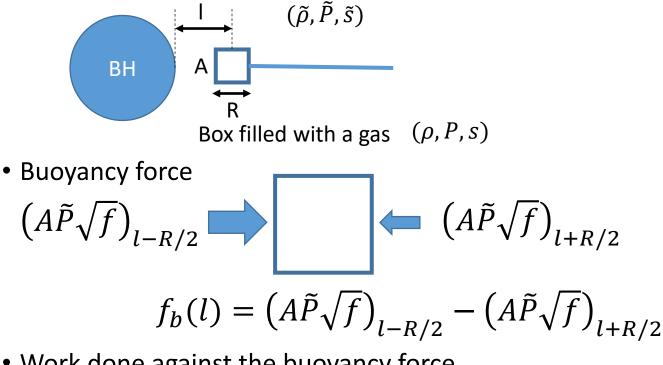
 $S < 2\pi MR$

• Total entropy $\Delta S_{tot} = \Delta S_{hh} - S \approx 2\pi M l - S$

• GSL ($\Delta S_{tot} \ge 0$) for $\forall I \ge R$ requires

Unruh-Wald argument (1982)

Thermal atmosphere around BH causes a buoyancy force



Work done against the buoyancy force

$$W_b(l) = -\int_{\infty}^{l} f_b(l')dl' = \int_{box} \tilde{P}\sqrt{f}dV$$

Box's energy measured @ infinity

$$E_{box} = \int_{box} \rho \sqrt{f} dV$$

Unruh-Wald argument (1982)

Thermal atmosphere around BH causes a buoyancy force $(\tilde{\rho}, \tilde{P}, \tilde{S})$ A BH Box filled with a gas (ρ, P, s) • 1st law with $\Delta M_{bh} = E_{box} + W_{b}$ $\Delta S_{bh} = \frac{\Delta M_{bh}}{T_{bh}} = \frac{1}{T_{bh}} \int_{h \in \mathcal{U}} (\rho + \tilde{P}) \sqrt{f} dV$ otal entropy $\Delta S_{tot} = \Delta S_{bh} - S = \int_{box} \left[\frac{1}{\tilde{T}} (\rho + \tilde{P}) - s \right] dV \qquad \qquad \tilde{T} \equiv \frac{T_{bh}}{\sqrt{f}} \quad : \text{Tolman temperature} \\ s : entropy density of gas$ • Total entropy $= \int_{box} \frac{1}{\tilde{T}} \left[(\rho - \tilde{T}s) - (\tilde{\rho} - \tilde{T}\tilde{s}) \right] dV \ge 0$ The thermal state Gibbs-Duhem relation minimizes $\rho - \tilde{T}s$ $\tilde{\rho} = \tilde{T}\tilde{s} - \tilde{P}$

Bekenstein bound is NOT needed for the validity of GSL!

This argument can be extended to a charged bh (Shimomura, Mukohyama, PRD61 (2000) 064020) & a rotating bh (Gao & Wald 2001).

Casini's proof of "Bekenstein bound" (2008)

• Relative entropy

 $S(\rho_1|\rho_2) \equiv Tr(\rho_1 \ln \rho_1) - Tr(\rho_1 \ln \rho_2)$

non-negativity of relative entropy

 $S(\rho_1|\rho_2) \ge 0$, where equality holds iff $\rho_1 = \rho_2$ (proof)

 $\{|a_i\rangle\}\&\{|b_i\rangle\}$: complete orthonormal sets of eigenvectors of ρ_1 & ρ_2

$$\rho_{1} = \sum_{i} |a_{i}\rangle a_{i}\langle a_{i}| \qquad \rho_{2} = \sum_{i} |b_{i}\rangle b_{i}\langle b_{i}|$$

$$S(\rho_{1}|\rho_{2}) = Tr(\rho_{1}\ln\rho_{1}) - Tr(\rho_{1}\ln\rho_{2}) + Tr\rho_{2} - Tr\rho_{1} = \sum_{i,j} |\langle a_{i}|b_{j}\rangle|^{2} \left(a_{i}\ln a_{i} - a_{i}\ln b_{j} + b_{j} - a_{i}\right) \ge 0$$

Q.E.D.

 $\rho_V \equiv T r_{-V} \rho$

 $\rho_V^0 \equiv T r_{-V} \rho^0$

Setup

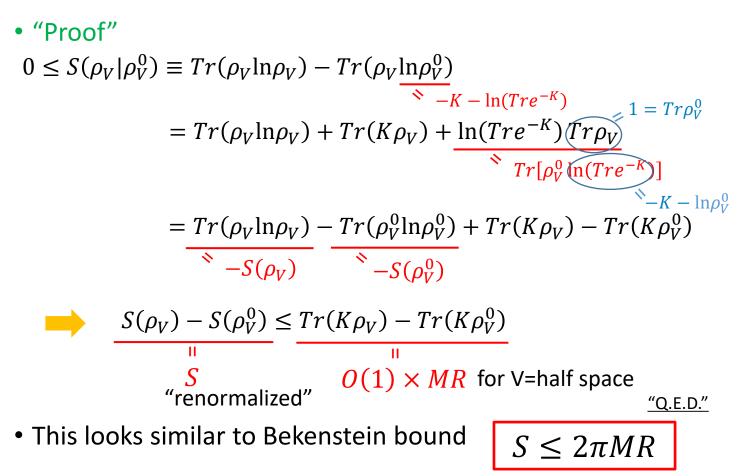
- V : a spatial region on a Cauchy surface
- -V : complementary set of V
- ρ : a quantum state
- ρ^0 : vacuum

• Local Hamiltonian K (modular Hamiltonian in continuum theory)

$$\rho_V^0 = \frac{e^{-K}}{Tre^{-K}}$$

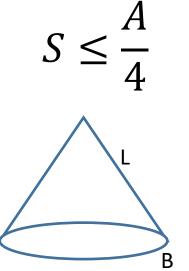
e.g.) $K = 2\pi \int dx dy \int_0^\infty dz \, zH(x, y, z) = \int d^3x \frac{H(x, y, z)}{T_{Rindler}(z)}$ for V = half space

Casini's proof of "Bekenstein bound" (2008)



- The proof holds for any quantum systems and any quantum states.
- However, the proved inequality can be interpreted as Bekenstein bound only in special cases.

Covariant entropy bound (Bousso 1999)



S : entropy on L A : area of B

L (light-sheet) : a hypersuraface generated by null geodesics that are orthogonal to B and that have non-positive expansion

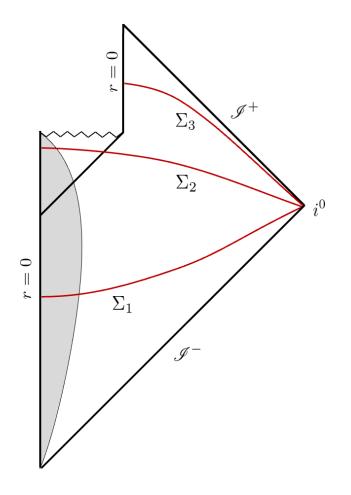
B : a spacelike 2-surface

- Bekenstein bound is not covariant and it assumes constant and finite size, negligible gravity, and no negative energy.
- Bousso bound is covariant and can be applied to gravitational collapse and FLRW universes.
- Bousso bound can be "proved" under certain assumptions [Flanagan, Marolf & Wald 2000, Strominger & Thompson 2004] but can be violated in the presence of negative energy, e.g. Boulware energy.
- Can be extended to scalar-tensor theories, f(R) theories, Einstein-Gauss-Bonnet theory [Matsuda & Mukohyama, Phys.Rev.D 103 (2021) 024002]

Revisiting the paradox

Case A. Unitary Problem

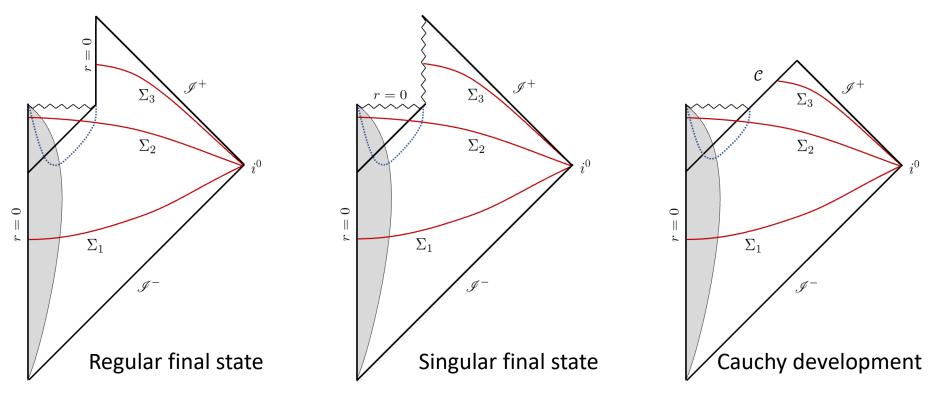
- A1. Quantum states evolve in a unitary way. In particular, pure states evolve into pure states.
- A2. Semiclassical general relativity is a valid low-energy effective field theory to describe black hole physics during the entire evaporation process: black holes evaporate completely emitting thermal radiation and end up leaving a regular spacetime.



Case A. Unitary Problem

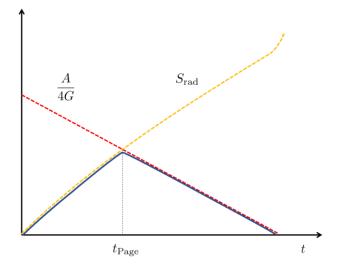
This formulation of the information loss paradox is not particularly worrisome

- No reason why we expect semiclassical GR to be valid till the end of BH evaporation \rightarrow A2 is likely to be violated
- Whether the final state is regular or singular entirely depends on unknown quantum gravity.
- In particular, semiclassical GR cannot predict anything beyond Cauchy horizon.



Case B. Entropy Problem

- B1. Quantum states evolve in a unitary way. In particular, pure states evolve into pure states.
- **B2.** Semiclassical general relativity is a valid low-energy effective field theory to describe black hole physics far from the Planckian regime.
- **B3.** As seen from the outside, a black hole behaves like a quantum system whose number of degrees of freedom is given by A/4G, with A being the apparent-horizon area.
 - 1st assumption unchanged (B1 = A1)
 - 2nd assumption significantly weakened (B2 < A2), c.f. "nice slicing"
 - 3rd assumption is often called "central dogma"



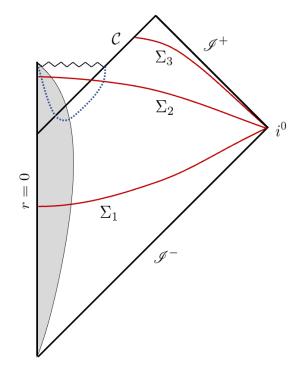
Hawking's prediction vs Page curve

Case B. Entropy Problem

- Hawking rad from BH \rightarrow S_{rad} = S_{ent} increases but S_{BH} (\geq S_{ent} due to B3) decreases \rightarrow semiclassical description should break down @ Page time, i.e. when S_{BH} ~ half of S_{BH,init}
- •After Page time, B1+B2 and B1+B3 are in contradiction

Case C. No Paradox

- Dropping the 3rd assumption, i.e. "central dogma", from Case B,
- C1. Quantum states evolve in a unitary way. In particular, pure states evolve into pure states.
- C2. Semiclassical general relativity is a valid low-energy effective field theory to describe black hole physics far from the Planckian regime.



There is no contradiction between C1 (= B1 = A1) and C2 (= B2 < A2) since test of C1 requires information about the region beyond Cauchy horizon and C2 is compatible with any evolution beyond Cauchy horizon.

Thoughts on central dogma

Standard motivations for central dogma B3

- $S_{BH} = A/4G$ plays the role of thermal (maximum) entropy in BH thermodynamics.
- The D-brane state counting confirms max $S_{bh} = S_{BH} = A/4G$.
- Bekenstein bound S $\leq 2\pi$ ER applied to a BH with R=2GM, E=M \rightarrow S_{bh} \leq A/4G.
- Bousso's covariant entropy bound applied to Schwarzschild BH ightarrow S $_{\rm bh}$ \leqq A/4G .
- Boundedness of BH creation rate seems to require finite number of BH states .
- Holographic principle: # of d.o.f. ∝ area .
- Island program in AdS/CFT \rightarrow Page curve reproduced .

Thought experiment

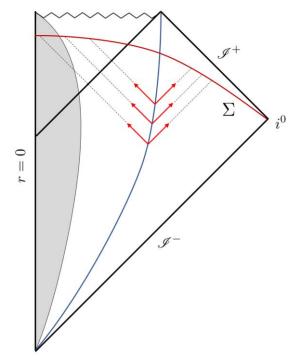
Let us assume that B2 holds

B2. Semiclassical general relativity is a valid lowenergy effective field theory to describe black hole physics far from the Planckian regime.

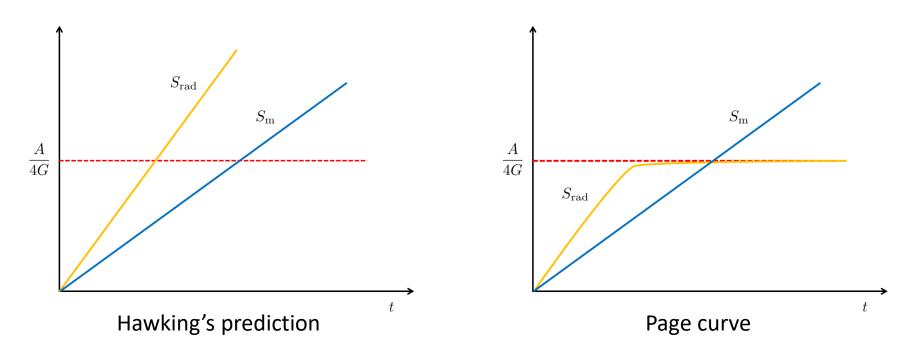
A far away observer prepares a pure state. Half of the state falls into the black hole, the other half reaches \mathcal{I}^+ .

Ingoing energy flux tuned to Hawking flux.

 \rightarrow The mass of the black hole stays constant.



Thought experiment



- Independently from the behavior of S_{rad}, the central dogma should be violated if B2 holds.
- In order to change the behavior of S_m, the black hole must "know" how someone far away prepared a pure state and sent a part of it into the black hole. Someone else may or may not decide to do similar experiments at any time at any places and in any ways. The black hole must "know" all those activities.

Q and A

Question

In the thought experiment, how to tune the matter flux with the Hawking flux?

<u>Answer</u>

Keep watching the evolution of the BH mass by observing the motion of a test particle @ $r \simeq \alpha r_s$ with $\alpha = O(1) > 1$. If the BH mass is decreasing then increase the matter flux. If the BH is increasing then decrease the matter flux. Repeat this as long as you want.

Some details

Time-scale to measure the BH mass is the Kepler time P ~ $\alpha^{3/2}$ r_s. If there is no matter flux then the BH mass decreases by Hawking radiation within this time-scale by the amount $|\Delta M_{bh}| \sim r_s^2 T_{bh}^4 P \sim \alpha^{3/2} r_s^{-1}$. The tuning should be possible if $|\Delta M_{bh}| << M_{bh}$. This is definitely the case for a BH larger than Planckian size since $|\Delta M_{bh}| / M_{bh} \sim \alpha^{3/2} (I_{Pl}/r_s)^2 << 1$.

Contradiction between B2 and B3 (central dogma)

B2. Semiclassical general relativity is a valid low-energy effective field theory to describe black hole physics far from the Planckian regime.

B3. As seen from the outside, a black hole behaves like a quantum system whose number of degrees of freedom is given by A/4G, with A being the apparent-horizon area.

No assumption about unitarity was required!

Stronger contradiction

The assumption B2 can be split into the following two:

- B2a. Black holes whose mass is larger than Planck mass emit thermal radiation according to semiclassical general relativity;
- B2b. Infalling matter far from the Planckian regime obeys the laws of general relativity.

The contradiction is between B2b and the central dogma B3. If B3 is correct then B2b must be abandoned.

The entropy problem can be formulated in terms of B2a, i.e. B1+B2a+B3. However, if we abandon GR (B2b) then why do we trust semiclassical GR (B2a)? It seems that the statement of the paradox needs refinement.

Summary and discussion

- The information loss paradox is usually stated as the incompatibility between the following assumptions:
- B1. Quantum states evolve in a unitary way. In particular, pure states evolve into pure states.
- B2a. Black holes whose mass is larger than Planck mass emit thermal radiation according to semiclassical general relativity;
- B2b. Infalling matter far from the Planckian regime obeys the laws of general relativity.
- **B3.** As seen from the outside, a black hole behaves like a quantum system whose number of degrees of freedom is given by A/4G, with A being the apparent-horizon area.
 - However, a thought experiment shows incompatibility between B2b & B3 without requiring other assumptions.
 - We are free to choose B2b or B3, but at most one.

- If we keep B3 (central dogma) then the information loss paradox is reformulated as the incompatibility between the following assumptions:
- B1. Quantum states evolve in a unitary way. In particular, pure states evolve into pure states.
- B2a. Black holes whose mass is larger than Planck mass emit thermal radiation according to semiclassical general relativity;
- **B3.** As seen from the outside, a black hole behaves like a quantum system whose number of degrees of freedom is given by A/4G, with A being the apparent-horizon area.
 - The price to pay is the violation of B2b, meaning that Infalling matter far from the Planckian regime does not obey the laws of general relativity and in particular that the equivalence principle is violated.

- $S_{BH} = A/4G$ plays the role of thermal (maximum) entropy in BH thermodynamics.
- The D-brane state counting confirms max $S_{bh} = S_{BH} = A/4G$.
- Bekenstein bound S $\leq 2\pi$ ER applied to a BH with R=2GM, E=M \rightarrow S_{bh} \leq A/4G.
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- Island program in AdS/CFT \rightarrow Page curve reproduced .

- $S_{BH} = A/4G$ plays the role of thermal (maximum) entropy in BH thermodynamics. Does $S_{BH} = A/4G$ count not only gravitational d.o.f. but also matter d.o.f. inside a black hole? c.f. bag of gold geometry
- The D-brane state counting confirms max $S_{bh} = S_{BH} = A/4G$.
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- The D-brane state counting confirms max $S_{bh} = S_{BH} = A/4G$. Can we reliably count the number of states for non-SUSY BHs? For example, does entropy match between small & large g_s?
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- Bousso's covariant entropy bound applied to Schwarzschild BH \rightarrow S_{bh} \leq A/4G. Does Bousso bound hold in the presence of negative energy, e.g. ingoing Hawking flux?
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 Island program in AdS/CFT → Page curve reproduced. Does the island program always work, beyond specific setups? Does S_{gen}(I U R) really represent entropy of radiation measured at infinity? Do we know for sure which saddle points contribute to the path integral?

Possible scenario without central dogma B3

Quantum teleportation [Mukohyama, Phys.Rev.D 58 (1998) 104023]

- "entanglement entropy of a pure state with respect to a division of Hilbert space into two subspaces 1 and 2 is an amount of information which can be transmitted through 1 and 2"
- "information to be sent to the receiver (Bob) in the classical channel is only two integers n and m ..."
- "the entanglement entropy is a quantity which cancels the black hole entropy to restore information loss ... Both entropies appear and disappear together from the sea of zero entropy state"

Classical channel needs to carry only small amount of data.

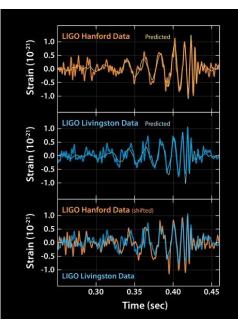
HH state maximizes S_{ent} [Mukohyama, Phys.Rev. D61 (2000) 064015]

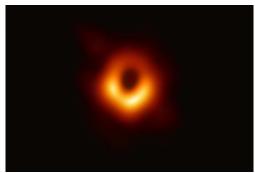
If the BH final state is unique then the classical channel is not needed. [Horowitz & Maldacena 2004]

A mixed final state with a remnant storing just the classical channel may also be yet another possibility.

If central dogma B3 is correct then...

- B2b should be violated, meaning that infalling matter far from the Planckian regime does not obey the laws of general relativity. In particular, the equivalence principle should be violated.
- On the other hand, gravitational waves from merger of black holes are observed. Black hole shadow is also observed. More data will come.
- We may have chances to see O(1) deviations from general relativity far from the Planckian regime, e.g. exotic compact objects (boson stars, fuzzballs, hairy BHs, ...), GW echoes, etc. New windows to quantum gravity!
- Really? Let's see what observations tell.





Thank you!



Luca Buoninfante



Francesco Di Filippo

ref.) Buoninfante, Di Filippo and Mukohyama, JHEP 10 (2021) 081. Mukohyama, *Phys.Rev.D* 58 (1998) 104023.