

Mean-field limit for particle systems with topological interactions

jointly with D. Benedetto and E. Caglioti

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Overview

- 1 Topological Cucker-Smale model
- 2 Existence of the particle dynamics
- 3 Mean-field limit

“Topological” interaction

We consider a **Cucker-Smale** type model for the motion of N agents $((X_i, V_i) \in \mathbb{R}^d \times \mathbb{R}^d)$, in the mean-field scaling,

$$\begin{cases} \dot{X}_i(t) = V_i(t) \\ \dot{V}_i(t) = \frac{1}{N} \sum_{j=1}^N p_{ij}(V_j(t) - V_i(t)). \end{cases}$$

Here

$$p_{ij} = K\left(M(X_i, |X_i - X_j|)\right),$$

$$M(X_i, r) = \frac{1}{N} \sum_{k=1}^N \chi\{|X_k - X_i| \leq r\}$$

Here $K: [0, 1] \rightarrow \mathbb{R}^+$ is decreasing and smooth.

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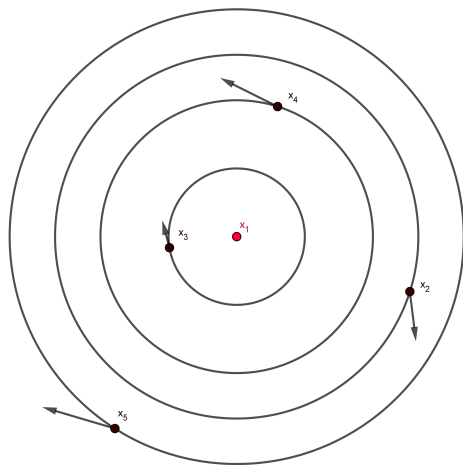
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$$p_{12} = K(4/5), p_{13} = K(2/5), p_{14} = K(3/5), p_{15} = K(1).$$

Motivations

- The Cucker-Smale model with metric weights was introduced in [Cucker, Smale: IEEE Trans. Automat. Contr., 2007], in this model the interaction is such that neighboring birds tend to align their velocities.
- [Ballerini et al., PNAS, 2008], using extensive observations of starling flocks, observed that starling interactions are scale-free and their strength depends on the so-called topological distance between individuals, measured in units of average bird separation.
- In other words, the relevant quantity is how many intermediate individuals separate two birds, not how far apart they are in the metric sense.

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Mathematical literature

- [Haskovec, 2016]: introduced the topological Cucker-Smale model. Velocity consensus and mean-field limit for a regularized interaction are proved.
- [Blanchet, Degond:2018]: consider jump processes with topological interactions (*smooth rank-based* dynamics and *nearest-neighbor* dynamics). The kinetic limit equations are derived formally.
- [Degond, Pulvirenti: 2019]: propagation of chaos is proved for the smooth rank-based model.

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Existence of the particle dynamics

One of the difficulties is that the dynamics is not always well defined.

Example

Consider three agents $\{X_i\}_{i=1}^3$ on a line interacting via a communication weight that consider only the nearest neighbour, with initial data

$$\begin{aligned} X_1(0) &= -1, & X_2(0) &= \epsilon, & X_3(0) &= 1 \\ V_1(0) &= -1, & V_2(0) &= 0, & V_3(0) &= 1 \end{aligned}$$

$\epsilon \in (-1, 1)$.

If $\epsilon = 0$ there is not a single way to define the dynamics.

Moreover if $\epsilon < 0$ [$\epsilon > 0$] the trajectories asymptotically evolve in the negative [positive] semi-axis. So the dynamics is not continuous w.r.t the initial datum.

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Existence of the particle dynamics

Nevertheless, the N -particle dynamics is almost everywhere well defined.

Theorem

Except for a set of Lebesgue measure zero, given $(X, V) \in \mathbb{R}^{Nd} \times \mathbb{R}^{Nd}$, there exists a unique global solution

$$\left(X^N(t, X, V), V^N(t, X, V) \right) \in C^1(\mathbb{R}^+, \mathbb{R}^{2dN}) \times C(\mathbb{R}^+, \mathbb{R}^{2dN})$$

with initial datum (X, V) .

Moreover, we have that

$$|X_i(t)| \leq R_x + tR_v, \quad |V_i(t)| \leq R_v$$

for any i , if $|x_i| \leq R_x$ and $|v_i| \leq R_v$.

Idea of the proof

In the **iso-rank manifold**

$$\mathcal{S} = \{(X, V) : \text{exist } i \neq j \neq k : |x_i - x_k| = |x_j - x_k|\}$$

we have to take care of the points $(X, V) \in \mathcal{S}$ such that

$$x_i \neq x_j \neq x_k \quad \text{and} \quad (v_i - v_k) \cdot \hat{n}_{ik} = (v_j - v_k) \cdot \hat{n}_{jk}$$

where $\hat{n}_{ab} = (x_a - x_b)/|x_a - x_b|$.

The subset of initial data (X, V) such that the trajectory, at a first time in the future or in the past, intersects this pathological set, has Lebesgue measure zero (**codimension 1**).

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Empirical Measure

$\{(X_i^N(t), V_i^N(t))\}_{i=1}^N \in \mathbb{R}^{2dN}$ is a solution of the particle equations, if and only if

$$\mu_t^N = \frac{1}{N} \sum_{i=1}^N \delta_{X_i^N(t)} \delta_{V_i^N(t)}$$

solves (in the weak sense) the following nonlinear PDE

$$\partial_t f_t + v \cdot \nabla_x f_t + \nabla_v \cdot (W[Sf_t, f_t](x, v) f_t) = 0,$$

where $Sf_t(x) = \int f_t(x, v) dv$ and where

$$W[Sf, f](x, v) = \int K(M[Sf](x, |x - y|)) (w - v) f(y, w) dy dw,$$

with

$$M[Sf](x, r) = \int_{|x' - x| \leq r} (Sf)(x') dx'.$$

Mean-field limit

We want to justify the description given by $f(t, x, v)$ via the mean-field Vlasov equation by a rigorous derivation from the N -particle dynamics as $N \rightarrow \infty$.

This question can be translated in the **continuity w.r.t the initial datum** of the solutions of the Vlasov equation: if

$$\mu_0^N \rightarrow f_0$$

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Excursus: mean-field limit in the regular case

Reviewing the regular case:

$$\begin{cases} \dot{X}_i(t) = V_i(t) \\ \dot{V}_i(t) = \frac{1}{N} \sum_{j=1}^N F_{j \rightarrow i}. \end{cases}$$

Suppose that the system interacts by a **regular two-body potential** so that $F_{j \rightarrow i} = -\nabla \phi(X_i - X_j)$ ($\nabla \phi$ Lipschitz).

Wasserstein distance

To quantify the convergence rate as $N \rightarrow \infty$ we introduce a distance on $\mathcal{P}_1(\mathbb{R}^d)$:

$$\mathcal{W}(\mu, \nu) = \inf_{\pi \in \Pi(\mu, \nu)} \int_{\mathbb{R}^d \times \mathbb{R}^d} |x - y| d\pi(x, y)$$

where $\Pi(\mu, \nu)$ is the set of all possible *couplings* between μ and ν . (From now on, we consider measures with support on a compact subset.)

It holds the following duality result

Theorem (Kantorovich duality)

$$\mathcal{W}(\mu, \nu) = \sup_{\psi: \text{Lip}(\psi) \leq 1} \int \psi d(\mu - \nu)$$

From which follows easily that

$$\mu_n \rightarrow \mu \iff \mathcal{W}(\mu_n, \mu) \rightarrow 0$$

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Dobrushin's estimate

Theorem (Dobrushin 1979)

Given an initial datum $f_0 \in \mathcal{P}(\mathbb{R}^{2d})$ and a smooth potential ϕ , there exists a unique global solution of the Vlasov mean-field equation.

Moreover the solutions are weakly-continuous w.r.t the initial datum.

Corollary (Mean-field limit)

Fixed $T > 0$, let f_t be a solution of the Vlasov equation with initial datum f_0 and let μ_t^N be a solution of the particle system with initial datum μ_0^N . Then, for $0 \leq t \leq T$,

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Continuity w.r.t the initial datum

Let $T_t[g]$ be the flow evolving via the force field defined by $g_t \in C_w([0, T]; \mathcal{P}(\mathbb{R}^{2d}))$:

$$\dot{X}(t) = V(t), \quad \dot{V}(t) = F[g_t](X(t)) = -\nabla\phi * g_t(X(t)).$$

We denote by $\mu \circ g^{-1}$ the push-forward of the measure μ along the map g .

We estimate $\mathcal{W}(f_t, \mu_t^N)$ using the triangular inequality

$$\begin{aligned} \mathcal{W}(f_t, \mu_t^N) &= \mathcal{W}(f_0 \circ T_t^{-1}[f_t], \mu_0^N \circ T_t^{-1}[\mu_t^N]) \\ &\leq \mathcal{W}(f_0 \circ T_t^{-1}[f_t], f_0 \circ T_t^{-1}[\mu_t^N]) + \mathcal{W}(f_0 \circ T_t^{-1}[\mu_t^N], \mu_0^N \circ T_t^{-1}[\mu_t^N]) \end{aligned}$$

Using the Lipschitzianity of the flow in the **second** term

$$\mathcal{W}(f_t, \mu_t^N) \leq \int \int_0^t |T_s[f_s] - T_s[\mu_s^N]| d f_0 + e^{Ct} \mathcal{W}(f_0, \mu_0^N)$$

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Let

$$\mathcal{K}[g](x, v) = (v, F[g](x))$$

The key estimates are:

$$\begin{aligned} \frac{d}{dt} |T_t[g_t^1] - T_t[g_t^2]| &\leq |\mathcal{K}[g_t^1] \circ T_t[g_t^1] - \mathcal{K}[g_t^2] \circ T_t[g_t^2]| \\ &\leq |\mathcal{K}[g_t^1] \circ T_t[g_t^1] - \mathcal{K}[g_t^1] \circ T_t[g_t^2]| \\ &\quad + |\mathcal{K}[g_t^1] \circ T_t[g_t^2] - \mathcal{K}[g_t^2] \circ T_t[g_t^2]| \\ &\leq C |T[g_t^1] - T[g_t^2]| + \text{Lip}(\nabla\phi) \mathcal{W}(g_t^1, g_t^2) \end{aligned}$$

and using the [Kantorovich duality](#) in the second term.

By the Gronwall's lemma we get

$$|T_t[g_t^1] - T_t[g_t^2]| \leq C \int_0^t e^{C(t-s)} \mathcal{W}(g_s^1, g_s^2) ds$$

We arrive at

$$\mathcal{W}(f_t, \mu_t^N) \leq e^{Ct} \mathcal{W}(f_0, \mu_0^N) + C \int_0^t e^{C(t-s)} \mathcal{W}(f_s, \mu_s^N) ds$$

Using the Gronwall's lemma, we obtain the thesis

$$\mathcal{W}(f_t, \mu_t^N) \leq e^{2Ct} \mathcal{W}(f_0, \mu_0^N).$$

Beyond MFL for regular potentials

This approach depends on the regularity of the potential.

- Difficult to extend to the case of Coulomb/Newton interaction. Only partial results for $d \geq 2$.
- Validity of the mean-field limit for the 1d Vlasov-Poisson equation on S^1 : [Trocheris 1986], [Hauray 2012].
- Here $F(x) = -x + \text{sign}(x)/2$.

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Discrepancy distance

$$M[\rho](x, r) = \int_{|x' - x| \leq r} \rho(x') \, dx'.$$

is not continuous w.r.t. \mathcal{W} .

It is natural to work with another distance, the **discrepancy**

$$\mathcal{D}(\rho_1, \rho_2) = \sup_{x, r > 0} \left| \int_{B_r(x)} d\rho_1 - \int_{B_r(x)} d\rho_2 \right|.$$

Indeed, by definition, it holds that

$$|M[\rho_1](x, r) - M[\rho_2](x, r)| \leq \mathcal{D}(\rho_1, \rho_2).$$

Duality formulation

Let X be the subset of $C_b^1([0, +\infty); \mathbb{R})$, and define

$$\|\phi\|_X = \int_0^{+\infty} |\phi'(r)| dr.$$

Then

$$\mathcal{D}(\rho_1, \rho_2) = \sup_{\phi \in X: \|\phi\|_X \leq 1} \sup_x \int \phi(|x - y|) (d\rho_1(y) - d\rho_2(y)).$$

The discrepancy distance is not equivalent to the Wasserstein: consider two deltas $\delta_{x_1}, \delta_{x_2}$ while $x_1 \rightarrow x_2$. However the equivalence holds when one of the two measures is in L^∞ .

Lemma (Weak-Strong continuity)

Let ρ and ν be two probability measures on \mathbb{R}^d with support in a ball B_R and such that $\rho \in L^\infty(\mathbb{R}^d)$. Then

$$\mathcal{D}(\nu, \rho) \leq C(\|\rho\|_\infty, R) \sqrt{\mathcal{W}(\nu, \rho)}.$$

MFL for topological interactions

We can use this fact to prove the MFL.

Theorem (Benedetto, Caglioti, R.)

Fixed $T > 0$, let f_t be a solution of the mean-field topological equation with initial datum $f_0 \in L^\infty$ and let μ_t^N be a solution of the N -particle dynamics with initial datum μ_0^N .

Then, for $0 \leq t \leq T$,

$$\mathcal{W}(f_t, \mu_t^N) \leq C(T) \max \left\{ \mathcal{W}(f_0, \mu_0^N), \sqrt{\mathcal{W}(f_0, \mu_0^N)} \right\}.$$

Sketch of the proof

A first step is to estimate the discrepancy distance between two empirical measures when they are supported on points which are close.

Lemma (Proximity Lemma)

Let $\mu^N = \frac{1}{N} \sum_{i=1}^N \delta_{x_i}$ and $\nu^N = \frac{1}{N} \sum_{i=1}^N \delta_{y_i}$ be two empirical measures on \mathbb{R}^d and take $\delta > 0$ such that $|x_i - y_i| \leq \delta$ for all $i = 1, \dots, N$.

Then, for any probability measure $\rho \in L^\infty(\mathbb{R}^d)$ supported on a ball B_R ,

$$\mathcal{D}(\mu^N, \nu^N) \leq cR^{d-1}\delta\|\rho\|_\infty + c\mathcal{D}(\mu^N, \rho).$$

Sketch of the proof

We then compare the N -agent dynamics with the “intermediate” dynamics given by

$$\begin{cases} \dot{X}_i^f(t) = V_i^f(t) \\ \dot{V}_i^f(t) = W[Sf_t, \nu_t^N](X_i^f, V_i^f), \end{cases} \quad (1)$$

where

$$\nu_t^N = \frac{1}{N} \sum_{k=1}^N \delta_{X_k^f(t)} \delta_{V_k^f(t)}$$

is the empirical measure. The initial datum is $\nu_0^N = \mu_0^N$.

By the triangular inequality,

$$\mathcal{W}(f_t, \mu_t^N) \leq \mathcal{W}(f_t, \nu_t^N) + \mathcal{W}(\nu_t^N, \mu_t^N).$$

The **first term** is easily bounded since in this case the interaction $K(M[Sf_t](x, |x - y|))$ is locally Lipschitz in both the variables:

$$|M[\rho](x, r_1) - M[\rho](x, r_2)| \leq c\|\rho\|_\infty |r_1^d - r_2^d|.$$

$$|M[\rho](x_1, r) - M[\rho](x_2, r)| \leq c\|\rho\|_\infty r^{d-1} |x_1 - x_2|.$$

from which the continuity w.r.t the initial datum follows as in the Dobrushin's proof. Hence

$$\mathcal{W}(f_t, \mu_t^N) \leq C(T)\mathcal{W}(f_0, \mu_0^N) + \mathcal{W}(\nu_t^N, \mu_t^N).$$

$$\mathcal{W}(f_t, \mu_t^N) \leq C(T)\mathcal{W}(f_0, \mu_0^N) + \mathcal{W}(\nu_t^N, \mu_t^N).$$

For the **second term** we have that $\mathcal{W}(\nu_t^N, \mu_t^N) \leq \delta(t)$ where

$$\delta(t) = \max_{i=1, \dots, N} (|X_i^f(t) - X_i^N(t)| + |V_i^f(t) - V_i^N(t)|)$$

In order to estimate $\delta(t)$, we write $\delta(t)$ in terms of the time integral of $\delta(s)$ and the difference of the interaction terms.

The hard term is

$$|W[Sf_s, \mu_s^N] - W[S\mu_s^N, \mu_s^N]| \leq c\mathcal{D}(Sf_s, S\mu_s^N).$$

Since

$$\mathcal{D}(Sf_s, S\mu_s^N) \leq \mathcal{D}(Sf_s, S\nu_s^N) + \mathcal{D}(S\nu_s^N, S\mu_s^N),$$

by the proximity Lemma we get

$$\mathcal{D}(S\nu_s^N, S\mu_s^N) \leq c\delta(s) + c\mathcal{D}(Sf_s, S\nu_s^N).$$

and using the Gronwall lemma, we readily get the estimate

$$\delta(t) \leq C(T) \int_0^t \mathcal{D}(Sf_s, S\nu_s^N) ds,$$

valid for $0 \leq t \leq T$.

We conclude the proof by using the weak-strong continuity of \mathcal{D} w.r.t to \mathcal{W} :

$$\delta(t) \leq C(T) \int_0^t \sqrt{\mathcal{W}(Sf_s, S\nu_s^N)} ds$$

and using the estimate done in the **first term**

$$\delta(t) \leq C(T) \int_0^t \sqrt{\mathcal{W}(f_0, S\mu_0^N)} ds$$

Collecting all together the **first** and the **second** estimate we get the thesis.

Conclusions

Extending to more dimensions a technique used by Trocheris, we deduced the mean-field limit for a topological Cucker-Smale model. Here the classical approach fails.

Possible future directions:

- Studying other interesting models with TI.
- Generalizations to more singular mean-field equations.
- General framework for the MFL outside of the Lipschitz case.






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Minimal bibliography

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Thank you for the attention!