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Generalized Quillen rational homotopy and its applications

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Definition A *Lie algebra* is a vector space L with a bilinear operation

$$[-,-]\colon L\times L\to L$$

satisfying:

1.
$$[a, b] = -[b, a], a, b \in L,$$

2. $[a, [b, c]] = [[a, b], c] + [b, [a, c]], a, b, c \in L.$

Definition A differential graded Lie algebra is a graded vector space $L = \bigoplus_{p \in \mathbb{Z}} L_p$

• with a bilinear operation

$$[-,-]: L \times L \to L$$

such that $[L_p, L_q] \subset L_{p+q}$, satisfying:

1.
$$[a,b] = -(-1)^{pq}[b,a], a \in L_p, b \in L_q,$$

2. $[a,[b,c]] = [[a,b],c] + (-1)^{pq} [b,[a,c]], a \in L_p, b \in L_q, c \in L.$

Definition A differential graded Lie algebra is a graded vector space $L = \bigoplus_{p \in \mathbb{Z}} L_p$

- and a linear map $\partial: L \to L$ such that $\partial L_p \subset L_{p-1}$, satisfying:
 - 1. $\partial \circ \partial = 0$, 2. $\partial [a, b] = [\partial a, b] + (-1)^p [a, \partial b], a \in L_p, b \in L$.

At the end of the 60's Daniel Quillen introduced Rational Homotopy Theory

If $f: X \to Y$ is a continuous map between simply connected CW-complexes, the following properties are equivalent:

1.
$$\pi_n(f) \otimes \mathbb{Q}: \pi_n(X) \otimes \mathbb{Q} \xrightarrow{\cong} \pi_n(Y) \otimes \mathbb{Q}, \ n \ge 2.$$

2.
$$H_n(f) \otimes \mathbb{Q} \colon H_n(X; \mathbb{Q}) \xrightarrow{\cong} H_n(Y; \mathbb{Q}), \ n \ge 2.$$



Such a map is called a *rational homotopy equivalence*.

Lie algebras in algebraic topology

Definition

X is *rational* if its homotopy groups are \mathbb{Q} -vectors spaces. A *rationalisation* of X is a pair $(X_{\mathbb{Q}}, f)$, with $X_{\mathbb{Q}}$ a rational space and $f: X \to X_{\mathbb{Q}}$ a rational homotopy equivalence.

The study of the rational homotopy type of X is the study of the homotopy type of $X_{\mathbb{Q}}$.



The importance of Quillen work is that it associates to any simply connected space Xa differential graded Lie algebra (DGL).

$$\begin{cases} \text{Simply connected} \\ \text{spaces} \end{cases} \xrightarrow{\lambda} \text{DGL}_+ \\ X \longmapsto \lambda(X) \\ \langle L \rangle_Q \longleftrightarrow L \end{cases}$$



 $\langle \lambda(X)\rangle_Q\simeq X_{\mathbb{Q}}$

 $(L,[-,-],\partial)$ is a DGL model of X if

$$\lambda(X) \xrightarrow{\simeq} \bullet \overleftarrow{\simeq} \cdots \xrightarrow{\simeq} \bullet \overleftarrow{\simeq} L$$

Lie algebras in algebraic topology

Example
$$H_{n-1}(L,\partial) \cong \pi_n(X) \otimes \mathbb{Q}.$$

$$S^n \rightsquigarrow (\mathbb{L}(x), 0), |x| = n - 1.$$

In 1951 J.P. Serre showed that homotopy groups of spheres are all finite except those of the form

$$\pi_m(S^m)$$
 or $\pi_{4m-1}(S^{2m})$.

n odd. Then |x| = n - 1 is even.

As graded vector space $\mathbb{L}(x) = \langle x \rangle$, since [x, x] = 0 by antisymmetry.

$$\mathbb{Q} = H_{n-1}(\mathbb{L}(x), 0) \cong \pi_n(S^n) \otimes \mathbb{Q}.$$

Lie algebras in algebraic topology

Example
$$H_{n-1}(L,\partial) \cong \pi_n(X) \otimes \mathbb{Q}.$$

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n even. Then |x| = n - 1 is odd. As graded vector space

$$\mathbb{L}(x) = \underbrace{\langle x \rangle}_{n-1} \oplus \underbrace{\langle [x, x] \rangle}_{2n-2}, \text{ since } [x, [x, x]] = 0 \text{ by Jacobi.}$$
$$\mathbb{Q} = H_{n-1}(\mathbb{L}(x), 0) \cong \pi_n(S^n) \otimes \mathbb{Q}.$$
$$\mathbb{Q} = H_{2n-2}(\mathbb{L}(x), 0) = \langle [x, x] \rangle$$
$$\cong \pi_{2n-1}(S^n) \otimes \mathbb{Q} = \pi_{4m-1}(S^{2m}) \otimes \mathbb{Q}.$$

Lie algebras in deformation theory

Let A be an associative algebra.

 $A \times A \rightarrow A$ bilinear and associative $(a,b) \mapsto a \cdot b$ $A[\![t]\!] = \{\sum^{\infty} a_i t^i, a_i \in A \}$ i=0A deformation of A in A[t]is a new bilinear and associative product $*: A[t] \times A[t] \to A[t]$ $\left(\sum_{i=0}^{\infty} a_{i}t^{i}\right) * \left(\sum_{i=0}^{\infty} b_{i}t^{i}\right) = a_{0} \cdot b_{0} + c_{1}t + c_{2}t^{2} + c_{3}t^{3} + \cdots$ $c_i \in A$. We can deform any algebraic structure in a vector space A.

Instead of A[t], we can consider a local ring R with a unique maximal ideal \mathfrak{M} with $R/\mathfrak{M} \cong \mathbb{K}$.

A deformation of A on $A \otimes R$ is an operation

 $*: (A \otimes R) \times (A \otimes R) \to (A \otimes R)$

satisfying the same properties of the original such that we recover the original operation taking quotient by \mathfrak{M}

$$A \times A \to A.$$

We denote by Def(A, R) the set of equivalence classes of deformations of A on $A \otimes R$.

Deligne principle

"in characteristic 0 every deformation problem is governed by a differential graded Lie algebra"

There exists a differential graded Lie algebra L = L(A, R) such that we have a bijection



 $\operatorname{Def}(A, R) \cong \operatorname{MC}(L)/\mathcal{G}.$

Let L be a DGL. $a \in L_{-1}$ is a Maurer-Cartan element if satisfies the equation

$$\partial a = -\frac{1}{2}[a,a].$$

Two Maurer-Cartan elements $a, b \in MC(L)$ are gauge-equivalent, $a \sim_{\mathcal{G}} b$ if there is an element $x \in L_0$ such that

$$b = e^{\operatorname{ad}_x}(a) - \frac{e^{\operatorname{ad}_x} - \operatorname{id}}{\operatorname{ad}_x}(\partial x).$$

Deligne principle can be written as

$$\operatorname{Def}(A; R) \cong \operatorname{MC}(L) / \sim_{\mathcal{G}} .$$

What is the connection between differential graded Lie algebras of Quillen rational homotopy and Deformation theory?

DGL_+	DGL
\$	\$
Simply connected spaces	$\operatorname{Def}(A, R)$
There is only one	

There is only one Maurer-Cartan element $\partial(0) = 0 = -\frac{1}{2}[0,0]$

 $\operatorname{Def}(A; R) \cong \operatorname{MC}(L) / \sim_{\mathcal{G}} .$

Sullivan vs Quillen rational homotopy theory

- $\left\{\begin{array}{c} \text{Simply connected} \\ \text{spaces} \end{array}\right\} \rightleftharpoons \text{sGp} \rightleftharpoons \text{sCHA} \rightleftharpoons \text{sLA} \rightleftharpoons \text{DGL}_+$
 - $\begin{array}{c} X \longmapsto \lambda(X) \\ \langle L \rangle_Q \longleftarrow L \end{array}$ $\left\{\begin{array}{l} \text{Simply connected} \\ \text{finite type spaces} \end{array}\right\} \qquad \rightsquigarrow \quad \mathcal{L} \quad \left[\begin{array}{l} \mathcal{C}^* \\ \mathcal{C}^* \end{array}\right]$
 - $\left\{\begin{array}{l} \text{Nilpotent, finite} \\ \text{type spaces} \end{array}\right\} \xrightarrow[\langle -\rangle_S]{A_{PL}} \text{CDGA}_+$

 $X \longmapsto A_{PL}(X)$

 $\langle A \rangle_S \longleftarrow A$

- 1. $\langle A \rangle_S = \text{CDGA}(A, \Omega_{\bullet})$
 - where Ω_{\bullet} is a simplicial CDGA
- 2. $\langle A \rangle_S$ has sense also for A a Z-graded CDGA, but $\langle L \rangle_Q$ is not defined if L is \mathbb{Z} -graded DGL.

For each $n \ge 0$, consider the standard *n*-simplex Δ^n $\Delta_p^n = \{(i_0, \ldots, i_p) \mid 0 \le i_0 \le \cdots < i_p \le n\}, \text{ if } p \le n$ and $\Delta_p^n = \emptyset$ if p > 0.

Let $(\widehat{\mathbb{L}}(s^{-1}\Delta^n), d)$ be the complete free DGL on the desuspended rational simplicial chain complex on Δ^n

$$da_{i_0...i_p} = \sum_{j=0}^p (-1)^j a_{i_0...\hat{i_j}...i_p}$$

where $a_{i_0...i_p}$ denotes the generator of degree p-1represented by the *p*-simplex $(i_0, ..., i_p) \in \Delta_p^n$.

Problem Define a differential ∂ in $\widehat{\mathbb{L}}(s^{-1}\Delta^n)$ such that:

1. For each i = 0, ..., n the generator $a_i \in \Delta_0^n$ is a Maurer-Cartan element

$$\partial a_i = -\frac{1}{2}[a_i, a_i].$$

2. The linear part ∂_1 of ∂ is precisely d.

Examples

 $n = 0 \qquad \bullet a_0$ $(\widehat{\mathbb{L}}(s^{-1}\Delta^0), d) = (\widehat{\mathbb{L}}(a_0), da_0 = 0).$ The first condition implies $(\widehat{\mathbb{L}}(a_0), \partial a_0 = -\frac{1}{2}[a_0, a_0]).$

$$n = 1 \qquad a_0 \bullet - \frac{a_{01}}{2} \bullet a_1$$

$$(\widehat{\mathbb{L}}(s^{-1}\Delta^1), d) = (\widehat{\mathbb{L}}(a_0, a_1, a_{01}), d),$$

$$da_0 = da_1 = 0$$

$$da_{01} = a_0 - a_1$$
The first condition implies $0 = 1$ for $1 = 0$.

The first condition implies $\partial a_0 = -\frac{1}{2}[a_0, a_0], \quad \partial a_1 = -\frac{1}{2}[a_1, a_1].$

The second condition implies $\partial_1 a_{01} = a_0 - a_1$.

Is this a differential? NO!

$$\partial^2 a_{01} = \partial(a_0 - a_1) = -\frac{1}{2}[a_0, a_0] + \frac{1}{2}[a_1, a_1] \neq 0.$$

$$n = 1$$
 $a_0 \bullet \underbrace{a_{01}}_{a_0 \bullet} \bullet a_1$

$$\partial a_{01} = a_0 - a_1 + \lambda_1 [a_{01}, a_0] + \mu_1 [a_{01}, a_1] + \lambda_2 \Big[a_{01}, [a_{01}, a_0] \Big] + \mu_2 \Big[a_{01}, [a_{01}, a_1] \Big] + \lambda_3 \Big[a_{01}, \Big[a_{01}, [a_{01}, a_0] \Big] \Big] + \mu_3 \Big[a_{01}, \Big[a_{01}, [a_{01}, a_1] \Big] \Big]$$

. . .

The Lawrence-Sullivan interval $(\widehat{\mathbb{L}}(a_0, a_1, a_{01}), d),$

$$\partial a_{01} = [a_{01}, a_0] + \sum_{i \ge 0} \frac{B_i}{i!} \operatorname{ad}_{a_{01}}^i (a_0 - a_1)$$





$$n = 2$$

$$(\widehat{\mathbb{L}}(s^{-1}\Delta^2), d)$$

$$= (\widehat{\mathbb{L}}(a_0, a_1, a_2, a_{01}, a_{02}, a_{12}, a_{012}), d)$$

$$da_0 = da_1 = da_2 = 0$$

$$da_{01} = a_0 - a_1$$

$$da_{02} = a_0 - a_2$$

$$da_{12} = a_1 - a_2$$

$$da_{012} = a_{12} - a_{02} + a_{01}$$



$$n = 2$$

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$$da_{12} = a_{1} - a_{2}$$

$$\partial a_{012} = a_{12} - a_{02} + a_{01}$$

$$MC$$

$$a_{2}$$

$$a_{2}$$

$$a_{12}$$

$$a_{11}$$

$$a_{01}$$

$$MC$$

$$LS$$

$$da_{012} = a_{12} - a_{02} + a_{01}$$

The Baker-Campbell-Hausdorff formula

Let x, y be two non-commuting variables. $\widehat{T}(x, y)$

The Baker-Campbell-Hausdorff formula x * yis the solution to $z = \log(\exp(x)\exp(y))$.

Explicitely

 \sim

$$x * y = \sum_{n=0}^{\infty} z_n(x, y)$$
$$z_n(x, y) = \sum_{k=1}^n \frac{(-1)^{k-1}}{k} \sum \frac{x^{p_1} y^{q_1} \cdots x^{p_k} y^{q_k}}{p_1! q_1! \cdots p_k! q_k!}$$

 $p_1 + q_1 > 0; \dots; p_k + q_k > 0. p_1 + q_1 + \dots + p_k + q_k = n.$

The Baker-Campbell-Hausdorff formula

The Baker-Campbell-Hausdorff formula satisfies the following properties:

- * is an associative product: (x * y) * z = x * (y * z).
- x * y can be written as a linear combination of nested commutators

$$x * y = x + y + \frac{1}{2}[x, y] + \frac{1}{12}[x, [x, y]] - \frac{1}{12}[y, [x, y]] + \cdots$$

 $x*y\in\widehat{\mathbb{L}}(x,y)\subset\widehat{T}(x,y).$

$$n = 2$$

$$(\widehat{\mathbb{L}}(s^{-1}\Delta^{2}), d)$$

$$= (\widehat{\mathbb{L}}(a_{0}, a_{1}, a_{2}, a_{01}, a_{02}, a_{12}, a_{012}), d)$$

$$da_{0} = da_{1} = da_{2} = 0$$

$$da_{01} = a_{0} - a_{1}$$

$$da_{02} = a_{0} - a_{2}$$

$$da_{12} = a_{1} - a_{2}$$

$$da_{012} = a_{12} - a_{02} + a_{01}$$

$$MC$$

$$a_{12}$$

$$a_{11}$$

$$a_{01}$$

$$MC$$

$$LS$$

$$da_{012} = a_{12} - a_{02} + a_{01}$$

$$\partial a_{012} = a_{01} * a_{12} * (-a_{02}) - [a_{012}, a_0]$$

Definition

Define a functor from the category of complete DGL's to the category of simplicial sets by

 $\langle - \rangle \colon \mathbf{DGL} \to \mathbf{SimpSet} \qquad \langle L \rangle_n = \mathbf{DGL}(\mathfrak{L}_n, L)$

 $\langle - \rangle_S \colon \mathbf{CDGA} \to \mathbf{SimpSet} \quad \langle A \rangle_n = \mathbf{CDGA}(A, \Omega_n)$

where $\Omega_n = A_{PL}(\Delta^n)$.

Proposition

For (L, ∂) any DGL, there is a bijection $\pi_0 \langle L \rangle \cong MC(L) / \sim_{\mathcal{G}}$



Proof: $\langle L \rangle_0 = \mathbf{DGL}(\mathbb{L}(a_0), L) = \mathrm{MC}(L).$

Two Maurer-Cartan elements $z_0, z_1 \in MC(L)$ are gauge-equivalent if there is a map $\psi : \mathfrak{L}_1 = (\widehat{\mathbb{L}}(a_0, a_1, a_{01}), \partial) \to L$ with $\psi(a_0) = z_0$ and $\psi(a_1) = z_1$.

$$\langle L \rangle_1 = \mathbf{DGL}(\mathbb{L}(a_0, a_1, a_{01}), L).$$

Let (L, ∂) be a DGL. $\langle L \rangle$ is a non-connected space $\pi_0 \langle L \rangle \cong \mathrm{MC}(L) / \sim_{\mathcal{G}}$ Let $z \in \mathrm{MC}(L)$

Consider the differential $\partial^z = \partial + \operatorname{ad}_z$. Define $(L^z, \partial^z) \in \operatorname{DGL}_+$ by

$$(L_p)^z = \begin{cases} 0 & \text{if } p < 0 \\ \text{Ker}\partial^z \colon L_0 \to L_{-1} & \text{if } p = 0 \\ L_p & \text{if } p > 0 \end{cases}$$

Theorem

$$\langle L \rangle \simeq \bigcup_{[z] \in \mathrm{MC}(L)/\sim} \langle (L^z, \partial^z) \rangle$$



Proposition

Let (L, ∂) be a non-negatively graded DGL. Then $\langle L \rangle$ is a connected simplicial set and there are bijections

 $\pi_n \langle L \rangle \cong H_{n-1}(L,\partial), \ n \ge 1,$

which are group isomorphism for $n \geq 2$.



• Theorem

Let (L, ∂) be a finite type DGL positively graded. There is a homotopy equivalence of simplicial sets

 $\langle L \rangle \simeq \langle \mathcal{C}^*(L) \rangle_S$



• Conjecture

Let (L, ∂) be a DGL. Consider the simplicial set

 $\mathrm{MC}_{\bullet}(L) = \mathrm{MC}(L \otimes \Omega_{\bullet})$

 $\begin{array}{l} \langle L \rangle \simeq \operatorname{MC}_{\bullet}(L) \\ ? \end{array}$



If L and L' are DGL's connectrated in $[0, \infty)$ and $\psi \colon L \to L'$ is a quasi-isomorphism, then

$$\mathrm{MC}_{\bullet}(\psi) \colon \mathrm{MC}_{\bullet}(L) \to \mathrm{MC}_{\bullet}(L')$$

is a homotopy equivalence.

If L and L' are \mathbb{Z} -graded DGL's and $\psi \colon L \to L'$ is a morphism with:

•
$$\operatorname{MC}(\psi) \colon \operatorname{MC}(L) / \sim_{\mathcal{G}} \stackrel{\cong}{\to} \operatorname{MC}(L') / \sim_{\mathcal{G}}$$

•
$$\psi \colon L^z \xrightarrow{\simeq} L'^{\psi z}$$
 for any $z \in \mathrm{MC}(L)$,

Then there is a homotopy equivalence of simplicial sets

$$\langle L\rangle\simeq \langle L'\rangle$$

Proof:
$$\langle L \rangle \simeq \bigcup_{[z] \in MC(L)/\sim} \langle (L^z, \partial^z) \rangle$$

 $\mathrm{MC}_{\bullet}(L) \simeq \mathrm{MC}_{\bullet}(L')$

- Conjecture
 - Let $(L,\partial)\in \mathrm{DGL}_+$
 - $\langle L \rangle$ the realization of L
 - $\lambda \langle L \rangle$ the Quillen DGL associated to the above simplicial set

$$\lambda \langle L \rangle \xrightarrow{\simeq} \bullet \checkmark \bullet \checkmark \xrightarrow{\simeq} \bullet \checkmark \bullet \checkmark \Box$$



This will show that the original Quillen realization functor is representable.

$$\begin{split} \mathfrak{L} &= (\widehat{\mathbb{L}}(a_0, a_1, a_{01}), \partial) \\ \partial^2 &= 0 \\ &\updownarrow \\ a_0 \sim_{\mathcal{G}} a_1 \text{ through } a_{01} \in \mathfrak{L}_0 \\ &\updownarrow \\ \text{For any triple } (a, b, c) \text{ with } \\ a + b + c = n - 1 \\ \lambda_k &= \binom{n}{k} \Big[(-1)^c \binom{n-k}{c} \sum_{\ell=\max(0,k-b)}^{\min(a,k)} (-1)^\ell \binom{k}{\ell} \\ &- (-1)^a \binom{n-k}{a} \sum_{\ell=\max(0,k-b)}^{\min(c,k)} (-1)^\ell \binom{k}{\ell} \Big]. \end{split}$$
B., J.G. Carrasquel, and A. Murillo,
Preprint 2015

Euler identity

$$-(n+1)B_n = \sum_{k=2}^{n-2} \binom{n}{k} B_k B_{n-k}, \quad n \ge 4,$$

Consider (a, b, c) = (0, 0, n - 1).



H. Miki identity

$$2H_n B_n = \sum_{k=2}^{n-2} \frac{n}{k(n-k)} \left(1 - \binom{n}{k}\right) B_k B_{n-k}, \quad n \ge 4,$$

where $H_n = 1 + \frac{1}{2} + \dots + \frac{1}{n}$.

Consider (a, b, c) = (0, b, n - 1 - b) for $b = 1, \dots, \frac{n}{2}$

$$(\widehat{\mathbb{L}}(a_0, a_1, a_2, a_{01}, a_{02}, a_{12}, a_{012}), \partial)$$
$$\partial^2 = 0$$
$$\updownarrow$$

Properties of the **Baker-Campbell-Hausdorff** formula

$$\lim_{k=0}^{n} \lambda_k \mathfrak{B}_w B_k = 0$$

 \mathbf{A}

B., J.G. Carrasquel, and A. Murillo, *In preparation*

where \mathcal{B}_w is the coefficient of the word $w = x^{p_1}y^{q_1}\cdots x^{p_s}y^{q_s}$ in the Baker-Campbell-Hausdorff formula.

Note that \mathfrak{B}_w generalizes the Bernoulli numbers If $w = x^p y x^q$, then $\mathfrak{B}_w = \frac{B_{p+q}}{p!q!}$.

What is a 2-dimensional analogue of Miki's identity?

What is a 2-dimensional analogue of $H_n = 1 + \frac{1}{2} + \cdots + \frac{1}{n}$?







Thank you!