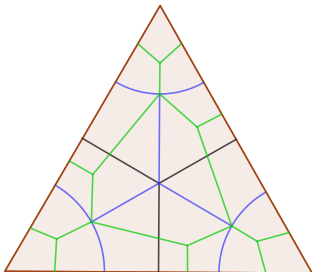


Topological field theories in 2d from Hecke algebras

Alexander Thomas (MPIM Bonn)

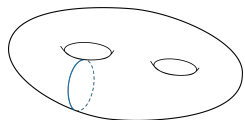
Séminaire Mathématique Physique Dijon, 5 avril 2022



joint with Vladimir Fock and Valdo Tatitscheff

Objective

Describe geometrically the space of all functions of the character variety $\text{Hom}(\pi_1(\Sigma), G)/G$.

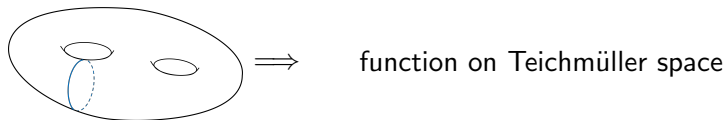


function on Teichmüller space

Motivation

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Describe geometrically the space of all functions of the character variety $\text{Hom}(\pi_1(\Sigma), G)/G$.

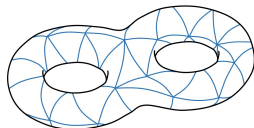


For $G = \text{PSL}_2(\mathbb{R})$, basis of functions given by Thurston laminations.



Fact (Andersen–Mattes–Reshetikhin)

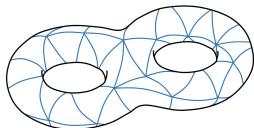
Basis of function on character variety given by *colored chord diagrams*.



$$v \in (V_1 \otimes V_2 \otimes V_3)^G$$

Fact (Andersen–Mattes–Reshetikhin)

Basis of function on character variety given by *colored chord diagrams*.



$$v \in (V_1 \otimes V_2 \otimes V_3)^G$$

Idea

Representation theory for G is encoded in affine Hecke algebra for Langlands dual group G^L . Hecke algebras have nice diagrammatic presentations.

For a finite Hecke algebra :

$$\text{surface with triangulation} + \text{Coxeter system} \Rightarrow \text{Laurent polynomial}$$

Theorem (Fock, Tatitscheff, T., 2021)

- *This construction does not depend on the triangulation. Hence it gives a topological invariant of the surface.*
- *The construction can be extended to a topological quantum field theory (TQFT) for ciliated surfaces.*
- *The Laurent polynomials have positive coefficients for a Coxeter system of classical type and for type H_3, E_6, E_7 .*

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Plan

- 1 Hecke algebras
- 2 TQFTs and ciliated surfaces
- 3 TQFT from Hecke algebras
- 4 Schur elements and positivity

1 Hecke algebras

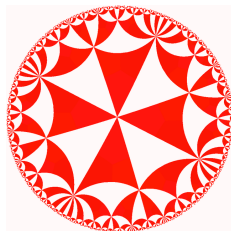
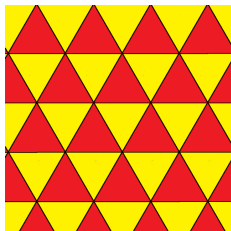
2 TQFTs and ciliated surfaces

3 TQFT from Hecke algebras

4 Schur elements and positivity

Coxeter groups

Coxeter group = reflection group



Definition

A **Coxeter system** (W, S) is a group presented by

$$W = \langle s \in S \mid (st)^{m_{st}} = 1 \rangle ,$$

where $m_{st} \in \mathbb{N} \cup \{\infty\}$ with $m_{ss} = 1$.

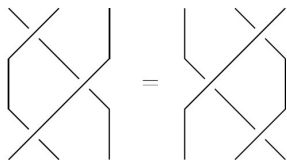
Example: symmetric group

Proposition

The symmetric group allows the following presentation:

$$\mathfrak{S}_n = \langle \sigma_1, \dots, \sigma_{n-1} \mid \sigma_i^2 = 1, \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}, [\sigma_i, \sigma_j] = 1 \forall |i-j| > 1 \rangle.$$

So $m_{i,i+1} = 3 \forall i$ and $m_{i,j} = 2$ for all $|i-j| > 1$.



$$\sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1}$$



$$\sigma_i \sigma_j = \sigma_j \sigma_i \text{ si } |i-j| \geq 2$$

Hecke algebra \approx deformation of $\mathbb{C}[W]$.

Definition

The **Hecke algebra** associated to (W, S) is the free $\mathbb{Z}[v^{\pm 1}]$ -algebra presented by

$$\mathcal{H}_{(W,S)} = \langle (h_s)_{s \in S} \mid h_s^2 = (v^{-1} - v)h_s + 1, (h_s h_t)^{m_{st}} = 1 \forall s \neq t \rangle .$$

For $w = s_1 \cdots s_k$, put

$$h_w := h_{s_1} \cdots h_{s_k} .$$

Proposition

The $(h_w)_{w \in W}$ form a basis of the $\mathbb{Z}[v^{\pm 1}]$ -module \mathcal{H} , called the **standard basis**.

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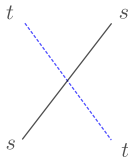
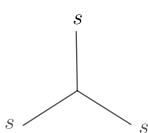
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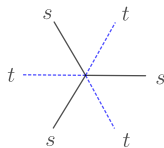
Graphical calculus

Diagrammatical way to multiply in the Hecke algebra: graphs with edges labeled by simple reflections.

Vertex types:

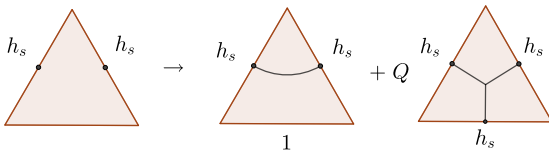


$$st = ts$$



$$sts = tst$$

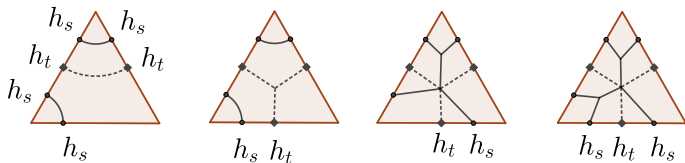
Quadratic relation



Example

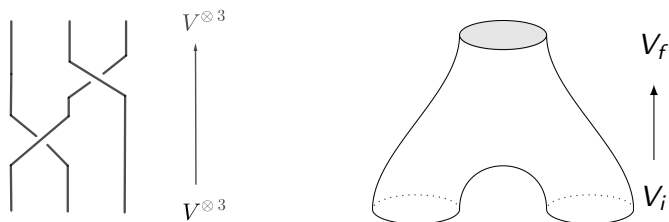
Let us multiply h_{sts} with h_{st} in $\mathcal{H}(\mathfrak{S}_3, \{s, t\})$. The direct computation reads:

$$\begin{aligned}
 h_{sts}h_{st} &= h_s h_t h_s^2 h_t \\
 &= h_s h_t^2 + Q h_s h_t h_s h_t \\
 &= h_s + Q h_s h_t + Q h_s^2 h_t h_s \\
 &= h_s + Q h_{st} + Q h_{ts} + Q^2 h_{sts} .
 \end{aligned}$$



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Basic idea



Principle

- Boundary component = vector space
- Union = Tensor product
- Manifold between boundaries = linear map

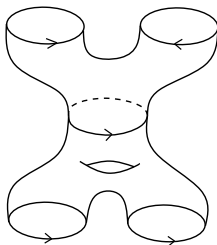
Definition (Atiyah, 1988)

A **topological quantum field theory** associates

- a f.g. Λ -module $Z(N)$ to each oriented d -dimensional manifold N ,
- $Z(M) \in Z(\partial M)$ for each oriented $(d + 1)$ -dimensional manifold M

such that Z is

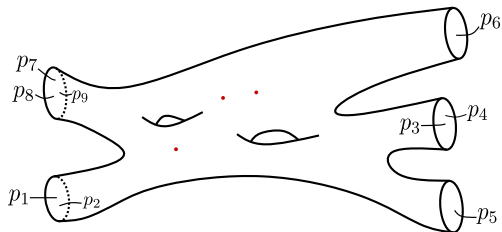
- 1 functorial wrt. orientation-preserving diffeomorphisms of M ,
- 2 involutory: $Z(N^*) = Z(N)^*$,
- 3 multiplicative for disjoint union: $Z(N_1 \cup N_2) = Z(N_1) \otimes Z(N_2)$,
- 4 multiplicative for gluing.



Ciliated surfaces

Definition

A **ciliated surface** is obtained by removing n disjoint open disks from a punctured surface $\Sigma_{g,k}$ and add marked points, called **cilia**, on the boundary circles.



Good geometric object to speak about triangulations.

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Decorated triangulations

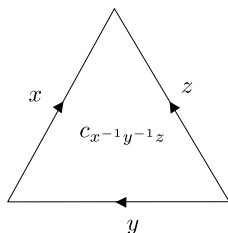
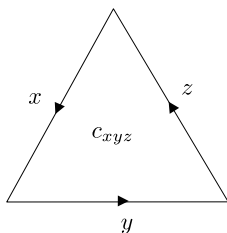
Structure constants in the Hecke algebra:

$$h_x h_y = \sum_{z \in W} c_{xyz}(v) h_{z^{-1}}.$$

Decorated triangulation

Take a triangulation of a ciliated surface and associate

- an element of W to each edge,
- the structure constant c_{xyz} to each face.



Definition of polynomial invariant

Definition

For a ciliated surface Σ with labeled boundary and triangulation, define

$$P_{\Sigma, W}(v) = \sum_e \prod_f c_f(v)$$

where the sum is over all labelings of internal edges, the product over all faces and $c_f(v)$ is the label of face f .

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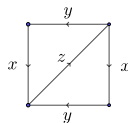
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Example

Consider $\Sigma_{1,1}$ and $W = \mathfrak{S}_2$. Then

$$P_{\Sigma, W} = \sum_{x,y,z} c_{xyz}(v)c_{xzy}(v) = v^2 + 4 + v^{-2}.$$



Independence of triangulation

Theorem

This construction is independent of the triangulation. Hence, we obtain a topological invariant of the ciliated surface.

This comes from the associativity in the Hecke algebra.

$$\sum_{w \in W} \text{Diagram}_1 = \sum_{t \in W} \text{Diagram}_2$$

The diagrammatic equation illustrates the independence of triangulation. On the left, a diamond-shaped ciliated surface is shown with a horizontal diagonal labeled w . The boundary edges are labeled y (top-left), x (top-right), z (bottom-left), and v (bottom-right), with arrows pointing inward. This is summed over $w \in W$. On the right, the same diamond-shaped ciliated surface is shown with a vertical diagonal labeled t . The boundary edges are labeled y , x , z , and v with arrows pointing inward. This is summed over $t \in W$. The two diagrams are separated by an equals sign, indicating that the sum over w is equal to the sum over t .

Example

- $P_{0,3,\mathfrak{S}_2}(v) = P_{1,1,\mathfrak{S}_2}(v) = v^2 + 2 + v^{-2}$.
- $P_{0,4,\mathfrak{S}_2}(v) = v^4 + 2v^2 + 2 + 2v^{-2} + v^{-4}$.
- $P_{0,3,\mathfrak{S}_3}(v) = v^6 + 2v^4 + 10v^2 + 10 + 10v^{-2} + 2v^{-4} + v^{-6}$.
- $P_{1,1,\mathfrak{S}_3}(v) = v^6 + 2v^4 + 4v^2 + 4 + 4v^{-2} + 2v^{-4} + v^{-6}$.

Observations

For punctured surfaces, we observe that P

- is a polynomial in $q = v^{-2}$,
- is symmetric in $q \mapsto q^{-1}$,
- has positive integer coefficients.

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Intrinsic definition

Aim of reformulation:

- Description independent of a fixed basis,
- Arbitrary elements in \mathcal{H} as boundary labels.

Definition

The **standard trace** of the Hecke algebra is the map $\text{tr} : \mathcal{H} \rightarrow \mathbb{C}$ given by

$$\text{tr} \left(\sum_{w \in W} a_w h_w \right) = a_{id}.$$

Proposition

The standard trace is symmetric and non-degenerate.

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Intrinsic definition

All the ingredients of our construction can be expressed via the trace:

Proposition

The structure constants are given by $c_{xyz} = \text{tr } h_x h_y h_z$.

The trace allows to identify \mathcal{H}^* with \mathcal{H} . We fix

- $(C_w)_{w \in W}$ a basis of \mathcal{H} ,
- $(C^w)_{w \in W}$, its dual basis.

Proposition

The dual to the standard basis is given by $h^x = h_{x^{-1}}$ since

$$\text{tr } h_x h_y = \delta_{xy=1} .$$

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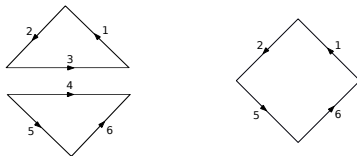
$$\text{tr } h_x h_y = \delta_{xy=1} .$$

Decorated triangulation revisited

Take a triangulation of a ciliated surface and associate

- a copy of \mathcal{H} or \mathcal{H}^* to each oriented edge,
- the multiplication tensor c_f to each face f whose elements are given by the structure constants.

Gluing = natural pairing between \mathcal{H}^* and \mathcal{H}



Theorem

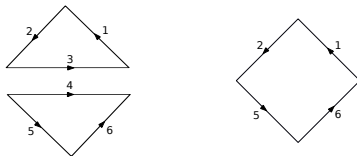
This construction gives a non-commutative TQFT for ciliated surfaces.

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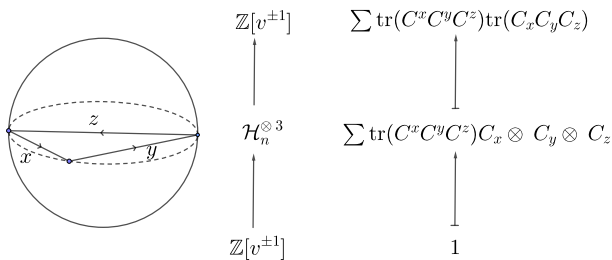
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Polygonal gluings

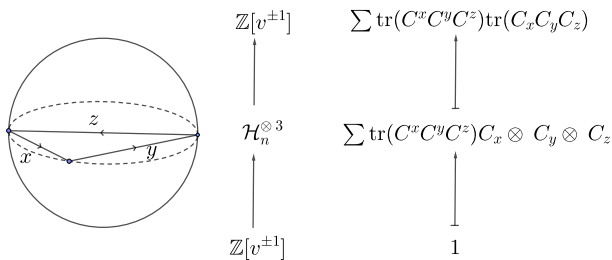


Proposition

For punctured surfaces $\Sigma_{g,k}$, we have

$$P_{g,k,W} = \text{tr}(\sum_w C_w C^w)^{k-1} (\sum_{a,b} C_a C_b C^a C^b)^g .$$

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Key observation

Proposition

The element $s = (\sum_w C_w C^w)^{k-1} (\sum_{a,b} C_a C_b C^a C^b)^g$ is in the center of \mathcal{H} .

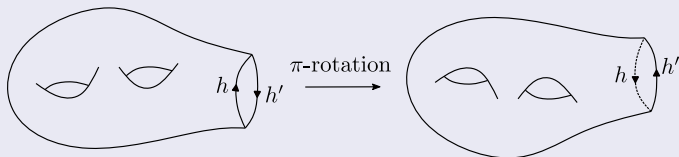
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Proof.

It is sufficient to show that $\text{tr}(shh') = \text{tr}(hsh') \forall h, h' \in \mathcal{H}$. This comes from our TQFT by a rotation of angle π .



Center of Hecke algebra and Schur elements

Correspondence trace function - central element:

Proposition

An element in \mathcal{H}^* given by $h \in \mathcal{H} \mapsto \text{tr}(h_0 h)$ is a trace function iff $h_0 \in Z(\mathcal{H})$.

Definition

- χ_λ : irreducible character of \mathcal{H}
- **Schur element** $Z_\lambda \in Z(\mathcal{H})$: corresponding element in the center

Proposition

The Schur elements $(Z_\lambda)_{\lambda \in \text{Irr}(\mathcal{H})}$ form a basis of the center $Z(\mathcal{H})$ satisfying:

$$Z_\lambda Z_\mu = \delta_{\lambda, \mu} s_\lambda Z_\lambda \quad \forall \lambda, \mu \in \text{Irr}(\mathcal{H}) .$$

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Explicit expression

Theorem

The polynomial invariant corresponding to a punctured surface is given by

$$P_{g,k,W}(q) = \sum_{\lambda} (\dim V_{\lambda})^k s_{\lambda}(q)^{2g-2+k} .$$

Remarks

- We easily get the invariance under $q \mapsto q^{-1}$.
- We can put $k = 0$, even if we don't know how to define P .
- For $q = 1$, we get $P_{g,k,W}(1) = (\#W)^{2g-2+k} \sum_{\chi} \frac{1}{\chi(1)^{2g-2}}$.

Example

For $W = \mathfrak{S}_2$, we have $s_1 = 1 + q$ and $s_2 = 1 + q^{-1}$. Hence

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Idea of proof

- Use polygonal gluing.
- Express $\sum_w C_w C^w$ and $\sum_{a,b} C_a C_b C^a C^b$ in the basis $(Z_{\lambda})_{\lambda \in \text{Irr}(\mathcal{H})}$.
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Theorem

The polynomial invariant $P_{g,k,W}(q)$ has positive coefficients for all classical W and for the exceptional types H_3 , E_6 and E_7 . For all other types, it can have negative coefficients.

Example

For G_2 and $\Sigma_{0,3}$, we have

$$P_{0,3,G_2} = q^6 + 2q^5 + 2q^4 + 2q^3 + 2q^2 + 72q - \mathbf{18} + \dots$$

Theorem

The Schur elements $s_\lambda(q)$ have positive coefficients for all Coxeter groups of classical type and for the exceptional types E_6 and E_7 .

Proof uses an explicit formula of Maria Chlouveraki.

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What does the TQFT count?

Fact

Finite Hecke algebras describe representations of $G(\mathbb{F}_q)$.

Conjecture

The TQFT is linked to the character variety over \mathbb{F}_q .

Fact

For $q = 1$ our polynomial counts the number of unramified coverings.

Conjecture

The TQFT counts special ramified coverings over Σ . It gives an associated tau-function of a deformation of the KP hierarchy.

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The TQFT is linked to the character variety over \mathbb{F}_q .

Fact

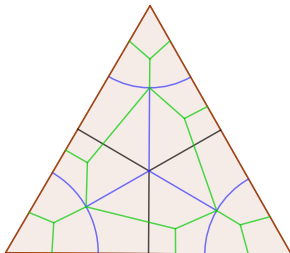
For $q = 1$ our polynomial counts the number of unramified coverings.

Conjecture

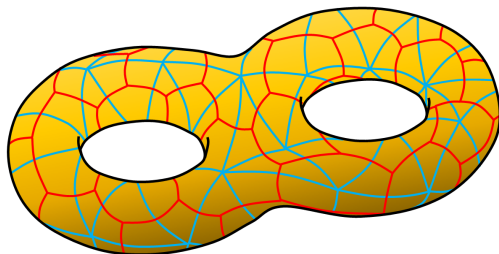
The TQFT counts special ramified coverings over Σ . It gives an associated tau-function of a deformation of the KP hierarchy.

Research directions

- Graphical calculus and link to ramified covers
- Generalization to more general symmetric algebras
- Generalization to affine Hecke algebras
 - Higher laminations
 - Link to spectral networks?
- Categorification?



Thanks for your attention !



V. Fock, V. Tatitscheff, A.T., *Topological quantum field theories from Hecke algebras*,
arXiv:2105.09622

Theorem

The polynomial invariant corresponding to a punctured surface is given by

$$P_{g,k,W}(q) = \sum_{\lambda} (\dim V_{\lambda})^k s_{\lambda}(q)^{2g-2+k} .$$

Proof

$$\begin{aligned} P_{g,k,W}(q) &= \text{tr} \left((C_w C^w)^{k-1} (C_x C_y C^x C^y)^g \right) \\ &= \text{tr} \left(\sum_{\lambda} \dim V_{\lambda} Z_{\lambda} \right)^{k-1} \left(\sum_{\lambda} s_{\lambda} Z_{\lambda} \right)^g \\ &= \text{tr} \sum_{\lambda} (\dim V_{\lambda})^{k-1} s_{\lambda}^{2g-2+k} Z_{\lambda} \\ &= \sum_{\lambda} (\dim V_{\lambda})^k s_{\lambda}^{2g-2+k} . \end{aligned}$$

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Explicit expression for ciliated surfaces

Lemma

For $h \in \mathcal{H}$, the element $\sum_w C_w h C^w$ is in $Z(\mathcal{H})$ and decomposes as

$$\sum_w C_w h C^w = \sum_\lambda \chi_\lambda(h) Z_\lambda .$$

Theorem

For a ciliated surface Σ with boundary labeled by $h_1, \dots, h_n \in \mathcal{H}$, we have

$$P_{\Sigma, w} = \sum_\lambda (\dim V_\lambda)^k (s_\lambda)^{2g-2+k+n} \chi_\lambda(h_1) \cdots \chi_\lambda(h_n).$$

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