

Random partitions and gauge group integrals: recent results

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Overview

- Random matrix integrals naturally appear in gauge theories: various gauge theory partition functions involve matrix integrals over the Haar measure(s) of relevant Lie gauge group(s).
- Have an intricate relation to probability measures on *random partitions* – a notion of probability on partitions of positive integers.
- In turn, certain such measures have interpretation as irreducible characters of gauge group representations.
- Hence a very interdisciplinary research area connecting together seemingly disparate topics: high energy statistical physics, integer partitions and representation theory, among others.

Hep-th/Statmech \leftrightarrow Random partitions \leftrightarrow Rep. theory

Can use these connections to study phase transitions, asymptotic behaviour, integrable systems etc.
– Random partitions are very useful tools [\[Okounkov 2003\]](#) .

What will this talk be about?

- Review of the unitary GWW matrix model; asymptotic analysis [\[Kimura–Zahabi 2021\]](#) of its phase-space structure using random partition techniques.
- Generalization [\[Kimura–Purkayastha 2022\]](#) of this model to the special orthogonal and symplectic cases, i.e. $U(N)$ is replaced by other compact classical Lie groups $SO(2N)$, $SO(2N + 1)$, $Sp(N)$.
- Possible other applications of random partitions.

Gross–Witten–Wadia (GWW) unitary matrix model

- Derives from $d = 2$ $U(N)$ lattice gauge theory [\[Gross–Witten 1980\]](#) . Partition function

$$\mathcal{Z}_{U(N)}(\beta) = \int_{U(N)} dU \exp \left(\frac{N\beta}{2} (\text{tr } U + \text{tr } U^{-1}) \right), \beta \geq 0.$$

- Large N limit: path integral extremized around the ‘classical extremum’ of distribution of eigenvalues

$$\mathcal{Z}_{U(N)}(\beta) \xrightarrow{N \rightarrow \infty} \int_{\|\rho\|_1=1} \mathcal{D}\rho \exp(N^2 S_{\text{eff}}[\rho]) \approx \exp(N^2 S_{\text{eff}}[\rho_0]).$$

Effective action S_{eff} depends on extremal distribution of eigenvalues $\rho_0 : S^1 \rightarrow \mathbb{R}_{\geq 0}$.

- Free energy normalized w.r.t. gauge group rank,

$$\mathcal{F}_U(\beta) = \lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \mathcal{Z}_{U(N)}(\beta) \approx S_{\text{eff}}[\rho_0].$$

- Phase transition at $\beta = 1$ of third order:

$$\rho_0(\phi) = \begin{cases} \frac{1}{2\pi} (1 + \beta \cos \phi) & \beta \leq 1, \\ \frac{\beta}{\pi} \cos\left(\frac{\phi}{2}\right) \sqrt{\frac{1}{\beta} - \sin^2\left(\frac{\phi}{2}\right)} \times \mathbf{1}_{[-\alpha, \alpha]}(\phi) & \beta > 1, \end{cases}$$

$$\mathcal{F}_U(\beta) = \begin{cases} \frac{\beta^2}{4} & \beta \leq 1, \\ \beta - \frac{1}{2} \ln \beta - \frac{3}{4} & \beta > 1. \end{cases}$$

- $\phi \in [-\pi, \pi)$, α is the smallest positive root of $\sin\left(\frac{\phi}{2}\right) = \frac{1}{\beta}$. *Ungapped* ($\beta \leq 1$) and *gapped* ($\beta > 1$) phases – gap appears in eigenvalue distribution.

Generalized GWW

- Parametrization by coupling constants $\beta = (\beta_n)_{n \geq 1}$, $\gamma = (\gamma_n)_{n \geq 1}$, $g_n, \bar{g}_n = \frac{1}{2n} (\beta_n \pm i\gamma_n)$:

$$\mathcal{Z}_{\mathbf{U}(N)}(\beta, \gamma) = \int_{\mathbf{U}(N)} dU \exp \left(N \sum_{n \geq 1} (g_n \operatorname{tr} U^n + \bar{g}_n \operatorname{tr} U^{-n}) \right).$$

- Ungapped phase – standard derivation e.g. [\[Mariño 2015\]](#)

$$\rho_0(\phi) = \frac{1}{2\pi} + \frac{1}{2\pi} \sum_{n \geq 1} (\beta_n \cos n\phi + \gamma_n \sin n\phi), \quad \mathcal{F}_{\mathbf{U}}(\beta, \gamma) = \sum_{n \geq 1} \frac{\beta_n^2 + \gamma_n^2}{4n}.$$

- Remaining phase structure quickly gets complicated to analyze and describe with more coupling constants; random partition formulation to the rescue!

- Schur polynomial in N (possibly infinite) variables [Macdonald 1980], in terms of complete homogenous polynomials h_k of degree k :¹

$$s_\lambda(x_1, \dots, x_N) = \det (h_{j-k+\lambda_k})_{j,k=1}^{\ell(\lambda)}.$$

- $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_{\ell(\lambda)})$ is a partition of depth $\ell(\lambda)$. s_λ **identically vanish** for $\ell(\lambda) > N$, leading to standard result using Miwa variables, $\text{tr } X^n = \frac{N(\beta_n - i\gamma_n)}{2}$, $\text{tr } Y^n = \frac{N(\beta_n + i\gamma_n)}{2}$.

$$\mathcal{Z}_{U(N)}(\beta, \gamma) = \sum_{\ell(\lambda) \leq N} s_\lambda(X) s_\lambda(Y).$$

- Free energy \mathcal{F} may be divided into ‘continuum’ component \mathcal{F}^c and ‘fluctuation’ component \mathcal{F}^f ,

$$\mathcal{F}_U(\beta, \gamma) = \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \mathcal{Z}_\infty(\beta, \gamma)}_{\mathcal{F}_U^c(\beta, \gamma)} + \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \left(\frac{\mathcal{Z}_{U(N)}(\beta, \gamma)}{\mathcal{Z}_\infty(\beta, \gamma)} \right)}_{\mathcal{F}_U^f(\beta, \gamma)}.$$

- Unrestricted Schur sum in terms of plethystic exponential gives ungapped free energy

$$\mathcal{Z}_\infty(\beta, \gamma) = \sum_{\lambda} s_\lambda(X) s_\lambda(Y) = \text{PE}[\text{tr } X \text{ tr } Y], \quad \text{PE}[f(x_i)] = \exp \left(\sum_{n=1}^{\infty} \frac{1}{n} f(x_i^n) \right).$$

- Fluctuation component is responsible for the phase transitions; asymptotic analysis allows identification of gapped phases [Kimura–Zahabi 2021] .
- Results from [Kimura–Zahabi 2021] : Partition function in terms of Fredholm determinant [Borodin–Okounkov 2000, Okounkov 2001] . Asymptotic behaviour in terms the higher-order Tracy–Widom distribution F_p [Claeys–Krasovsky–Its 2009]

$$\mathcal{F}_U^f(\boldsymbol{\beta}, \mathbf{0}) \sim N^{-2} \lim_{s \rightarrow \pm\infty} \ln F_p(s), \quad s = \frac{(\beta_c - \beta)N}{(\alpha_p N)^{\frac{1}{p+1}}}.$$

α_k, β defined in terms of couplings; $\alpha_{p'} = 0$ for all $p' < p$ with p, p' positive integers and p even.

- From asymptotics of the F_p , free energy edge behaviour

$$\mathcal{F}_U^f(\boldsymbol{\beta}, \mathbf{0}) \sim \begin{cases} \mathcal{O}(e^{-cN}), & \beta < \beta_c, \\ \alpha_p^{-2/p} |\beta_c - \beta|^{2(p+1)/p} + \mathcal{O}(N^{-2}), & \beta > \beta_c. \end{cases}$$

Classical groups model

- GWW-type matrix model for compact classical groups $SO(2N)$, $SO(2N + 1)$ and $Sp(N)$ [Kimura–Purkayastha 2022], building on known large N results [García-García–Tierz 2020] for the one-coupling case

$$\mathcal{Z}_{G(N)}(\boldsymbol{\beta}) = \int_{G(N)} dX \exp \left(N \sum_{n \geq 1} g_n \operatorname{tr} X^n \right),$$

real coupling constants $(g_n)_{n \geq 1}$ with $g_n = \frac{\beta_n}{n}$.

- $G(N) = SO(2N)$, $SO(2N + 1)$, $Sp(N)$, compact classical group of rank N . Canonically represented as $2N \times 2N$ matrices.

- In [Kimura–Purkayastha 2022] : Coulomb gas analysis similar to the $U(N)$ model. Different maximal tori for each of the three cases.

$$\mathcal{Z}_{G(N)}(\beta)[\rho] = \int_{\|\rho\|_1=1} \mathcal{D}\rho \exp \left(N^2 \underbrace{\left(\mathcal{P} \int_{-\pi}^{\pi} \int_{-\pi}^{\pi} d\phi d\varphi \Delta(\phi, \varphi) \rho(\phi) \rho(\varphi) + \frac{1}{N} \int_{-\pi}^{\pi} d\phi \Xi(\phi) + \int_{-\pi}^{\pi} d\phi V(\phi) \rho(\phi) \right)}_{S_{\text{eff}}[\rho]} \right).$$

- Effective action $S[\rho]$ depends on Fredholm kernel (identical for the three cases)

$$\Delta(\phi, \varphi) = \frac{1}{2} \left[\ln \left(4 \sin^2 \left(\frac{\phi + \varphi}{2} \right) \right) + \ln \left(4 \sin^2 \left(\frac{\phi - \varphi}{2} \right) \right) \right].$$

- Subleading $\mathcal{O}\left(\frac{1}{N}\right)$ Ξ -contributions differ; exact results in the random partition approach.

- Ungapped phase probability distribution identical to the $U(N)$ model with real coefficients, but **doubling** of free energy upto leading order:

$$\rho(\phi) = \frac{1}{2\pi} + \frac{1}{2\pi} \sum_{n \geq 1} \beta_n \cos n\phi, \quad \mathcal{F}_G(\boldsymbol{\beta}) = \sum_{n \geq 1} \frac{\beta_n^2}{2n} = 2\mathcal{F}_U(\boldsymbol{\beta}, \mathbf{0}).$$

- Doubling is a consequence of normalization based on rank – N in all cases – but effective action dependent on matrix dimensions, doubles w.r.t. the unitary case.

- Gapped phase tackled by introducing the resolvent for the Fredholm kernel and a modified Plemelj formula (singularities $\xrightarrow{N \rightarrow \infty}$ cuts)

$$W(\phi) = \frac{1}{N} \sum_{l=1}^N \left[\cot \left(\frac{\phi + \phi_l}{2} \right) + \cot \left(\frac{\phi - \phi_l}{2} \right) \right], \quad \rho(\phi) = \frac{1}{8\pi i} [W(\phi - i0) - W(\phi + i0)].$$

- General solution m -cut solution – defined on higher-genus Riemann surface – is rather involved, but for the 1-cut solution, i.e. one coupling constant β , analytically easy to derive identical probability distribution as $U(N)$ model, with **doubling** of free energy

$$\rho_0(\phi) = \frac{\beta}{\pi} \cos \left(\frac{\phi}{2} \right) \sqrt{\frac{1}{\beta} - \sin^2 \left(\frac{\phi}{2} \right)}, \quad \mathcal{F}_G(\beta) = 2\beta - \ln \beta - \frac{3}{2} = 2\mathcal{F}_U(\beta).$$

- This is consistent with the $2N$ dimensions in consideration rather than N .

- For random partition description, introduce generalized Schur polynomials: $o_\lambda^{\text{even}}, o_\lambda^{\text{odd}}, sp_\lambda$ [Fulton–Harris 2004]. These represent orthogonal characters (generally irreducible) for even and odd special orthogonal, and symplectic groups respectively.
- Cauchy sum formulae [Koike–Terada 1987, García-García–Tierz 2019]. Also vanish for $\ell(\lambda) > N$.

$$\begin{aligned}
 \text{SO}(2N) : \quad & \sum_{\lambda} o_{\lambda}^{\text{even}}(X) s_{\lambda}(Y) = \text{PE}[\text{tr } X \text{ tr } Y] \text{PE} \left[\frac{1}{2} (-\text{tr } Y^2 - (\text{tr } Y)^2) \right], \\
 \text{SO}(2N+1) : \quad & \sum_{\lambda} o_{\lambda}^{\text{odd}}(X) s_{\lambda}(Y) = \text{PE}[\text{tr } X \text{ tr } Y] \text{PE} \left[\frac{1}{2} (-\text{tr } Y^2 - (\text{tr } Y)^2) + \text{tr } Y \right], \\
 \text{Sp}(N) : \quad & \sum_{\lambda} sp_{\lambda}(X) s_{\lambda}(Y) = \text{PE}[\text{tr } X \text{ tr } Y] \text{PE} \left[\frac{1}{2} (\text{tr } Y^2 - (\text{tr } Y)^2) \right].
 \end{aligned}$$

- Character orthogonality:

$$\begin{aligned}
 \int_{\text{U}(N)} dU s_{\lambda}(U) s_{\mu}(U^{-1}) &= \int_{\text{SO}(2N)} dX o_{\lambda}^{\text{even}}(X) o_{\mu}^{\text{even}}(X^{-1}) \\
 &= \int_{\text{SO}(2N+1)} dX o_{\lambda}^{\text{odd}}(X) o_{\mu}^{\text{odd}}(X^{-1}) = \int_{\text{Sp}(N)} dX sp_{\lambda}(X) sp_{\mu}(X^{-1}) = \delta_{\lambda\mu}.
 \end{aligned}$$

- Random partition expressions for the partition functions:

$$\mathcal{Z}_{\text{SO}(2N)}(\beta) = \text{PE} [\text{tr } Z^2] \mathcal{Z}_{\infty}(\beta, \mathbf{0}) \mathcal{Z}_{\text{U}(N)}(\beta, \mathbf{0}),$$

$$\mathcal{Z}_{\text{SO}(2N+1)}(\beta) = \text{PE} [\text{tr}(Z^2 - 2Z)] \mathcal{Z}_{\infty}(\beta, \mathbf{0}) \mathcal{Z}_{\text{U}(N)}(\beta, \mathbf{0}),$$

$$\mathcal{Z}_{\text{Sp}(N)}(\beta) = \text{PE} [-\text{tr } Z^2] \mathcal{Z}_{\infty}(\beta, \mathbf{0}) \mathcal{Z}_{\text{U}(N)}(\beta, \mathbf{0}).$$

Miwa variable parametrization $\text{tr } Z^n = \frac{N\beta_n}{2}$.

- Subleading $\mathcal{O}\left(\frac{1}{N}\right)$ contribution clearly different in the three cases.

- Free energies are calculated to be

$$\mathcal{F}_{\text{SO}}^{\text{even}}(\beta) = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n \geq 1} \frac{\beta_{2n}}{2n} + \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \mathcal{Z}_{\infty}^2(\beta, \mathbf{0})}_{=\mathcal{F}_{\text{SO}}^{\text{c,even}}(\beta)} + \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \left(\frac{Z_{\text{U}(N)}(\beta, \mathbf{0})}{\mathcal{Z}_{\infty}(\beta, \mathbf{0})} \right)}_{\mathcal{F}_{\text{SO}}^{\text{f,even}}(\beta)},$$

$$\mathcal{F}_{\text{SO}}^{\text{odd}}(\beta) = - \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n \geq 1} \frac{\beta_{2n-1}}{2n-1} + \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \mathcal{Z}_{\infty}^2(\beta, \mathbf{0})}_{=\mathcal{F}_{\text{SO}}^{\text{c,odd}}(\beta)} + \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \left(\frac{Z_{\text{U}(N)}(\beta, \mathbf{0})}{\mathcal{Z}_{\infty}(\beta, \mathbf{0})} \right)}_{\mathcal{F}_{\text{SO}}^{\text{f,odd}}(\beta)},$$

$$\mathcal{F}_{\text{Sp}}(\beta) = - \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n \geq 1} \frac{\beta_{2n}}{2n} + \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \mathcal{Z}_{\infty}^2(\beta, \mathbf{0})}_{=\mathcal{F}_{\text{Sp}}^{\text{c}}(\beta)} + \underbrace{\lim_{N \rightarrow \infty} \frac{1}{N^2} \ln \left(\frac{Z_{\text{U}(N)}(\beta, \mathbf{0})}{\mathcal{Z}_{\infty}(\beta, \mathbf{0})} \right)}_{\mathcal{F}_{\text{Sp}}^{\text{f}}(\beta)}.$$

- Continuum parts **double** the unitary model, and fluctuation parts **identical**:

$$\mathcal{F}_{\text{SO}}^{\text{c,even}}(\beta) = \mathcal{F}_{\text{SO}}^{\text{c,odd}}(\beta) = \mathcal{F}_{\text{Sp}}^{\text{c}}(\beta) = 2\mathcal{F}_{\text{U}}^{\text{c}}(\beta, \mathbf{0}),$$

$$\mathcal{F}_{\text{SO}}^{\text{f,even}}(\beta) = \mathcal{F}_{\text{SO}}^{\text{f,odd}}(\beta) = \mathcal{F}_{\text{Sp}}^{\text{f}}(\beta) = \mathcal{F}_{\text{U}}^{\text{f}}(\beta, \mathbf{0}).$$

- Hence asymptotic analysis of fluctuation part can be directly ported from [\[Kimura–Zahabi, 2021\]](#) . Phase space structure is formally identical for unitary, special orthogonal and symplectic cases.
- $\mathcal{O}\left(\frac{1}{N}\right)$ subleading terms correspond to Ξ -terms of the Coulomb gas formalism; corroborates with results due to Szegő–Johansson theorem. [\[Johansson 1997\]](#) .

Other applications

- Supersymmetric indices, e.g. $\mathcal{N} = 1$ superconformal index for supersymmetric gauge theories constructed out of compactified superstring theory.
- Hubbard-Stratonovich transform [[Álvarez-Gaumé–Basu–Mariño–Wadia 2006](#)] relates building blocks of the $\mathcal{N} = 1$ superconformal index to GWW-type matrix models,

$$\exp(f \operatorname{tr} U \operatorname{tr} U^\dagger) = \iint_{\mathbb{R}^2} \frac{dx dy}{\pi f} \exp\left(-\frac{t\bar{t}}{f} + t \operatorname{tr} U + \bar{t} \operatorname{tr} U^\dagger\right).$$

LHS of this equation is template building-block for the index – chiral multiplets in bifundamental representation or vector multiplets in adjoint representation; RHS contains the GWW form.

- Of relevance to work in progress [[Melczer–Purkayastha–Qu–Zahabi 202x](#)] about asymptotic analysis of the large N superconformal index for toric quivers.