

Quadratic irrelevant deformations

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IMB, Dijon

2d story based on 1903.07606 and 1907.02516
with Márk Mezei (SCGP, Stony Brook)

The most ambitious object of the two-dimensional relativistic field theory (RFT) is the classification of all possible local RFT's.

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[...] it is not clear now whether any RFT exists with another type of UV behavior

Zamolodchikov, *From tricritical Ising to critical Ising by thermodynamic Bethe ansatz*, Nucl.Phys.B 358 (1991) 524-546

Renormalizable QFT:

- free fields (or CFT) in the UV
- relevant interactions
- RG flow towards the IR
 - gapped TQFT
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- (minimal model \mathcal{M}_m) + $\int \phi_{(1,3)} d^2x$ flows to \mathcal{M}_{m-1}
From tricritical Ising (\mathcal{M}_4) to critical Ising (\mathcal{M}_3)

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From tricritical Ising (\mathcal{M}_4) to critical Ising (\mathcal{M}_3) [Zamolodchikov]
- 2d action $S = \int (D\Phi\bar{D}\Phi + \Phi^3) d^2x d^2\theta$ flows to \mathcal{M}_4
adding $g \int \Phi d^2x d^2\theta$ breaks supersymmetry, flows to free fermion (\mathcal{M}_3)
[Kastor, Martinec, Shenker]

$$S_{\text{eff}} = \int [g^2 + \psi\bar{\partial}\psi + \bar{\psi}\partial\bar{\psi} + 8g^{-2} \underbrace{\psi\partial\psi}_T \underbrace{\bar{\psi}\partial\bar{\psi}}_{\bar{T}} + \dots] d^2x$$

Effective field theory:

$$S_{\text{eff}} = S_{\text{ren.}} + \underbrace{\sum_{\mathcal{O}_i \text{ irrelevant}} \lambda_i \int \mathcal{O}_i(x) d^2x}$$

→ UV divergences

→ accumulation of counterterms

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- 1 Generalities on $T\bar{T}$
- 2 Deformations by current bilinears
 - How energy levels vary
 - Deformed conserved currents: operators A_s^t
 - How charges vary: main evolution equation
- 3 Two studies
 - Study I: KdV charges under $T\bar{T}$ flow
 - Study II: super-Hagedorn in Lorentz-breaking flow
- 4 Work in progress: $d > 2$

Universal irrelevant operator (in translation-invariant 2d QFTs)

$$“T\bar{T}” = \det T = T_{00}T_{11} - T_{01}T_{10} = T\bar{T} - \Theta\bar{\Theta} \quad (\times 2?)$$

More precisely, $\epsilon^{\mu\nu} T_{0\mu}(x)T_{1\nu}(y) = (T\bar{T})(y) + \text{derivatives}$.

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Factorization of matrix elements on $S^1 \times \mathbb{R}$ of circumference L ,

$$\langle n | T\bar{T} | n \rangle = \epsilon^{\mu\nu} \langle n | T_{0\mu} | n \rangle \langle n | T_{1\nu} | n \rangle$$

$T\bar{T}$ deformation

[Smirnov–Zamolodchikov, 2016]

Deforming by $\partial_{\lambda_{T\bar{T}}} S = \int d^2x T\bar{T}$ namely $\partial_{\lambda_{T\bar{T}}} H = \int dx T\bar{T}(x)$

- preserves symmetries
- calculable spectrum $\partial_{\lambda_{T\bar{T}}} E = \partial_L(E^2 - P^2)/4$ (Burgers eq.)

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Related to Jackiw–Teitelboim gravity (Dubovsky, Gorbenko, ...), 2d random geometry (Cardy), AdS₃ holography (McGough, Mezei, Verlinde, Giveon, Kutasov, Guica, ...)

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Derivation of Burgers equation

$$\partial_{\lambda_{T\bar{T}}} E_n = \langle n | \partial_{\lambda_{T\bar{T}}} H | n \rangle = L \underbrace{\langle n | T_{00} | n \rangle}_{-E_n/L} \underbrace{\langle n | T_{11} | n \rangle}_{-\partial_L E_n} - L \underbrace{\langle n | T_{01} | n \rangle}_{iP_n/L} \underbrace{\langle n | T_{10} | n \rangle}_{iP_n/L}$$

In a relativistic theory:

$$\partial_{\lambda_{T\bar{T}}} E_n = E_n \partial_L E_n + \frac{P_n^2}{L}$$

(needs either Lorentz-invariance or $P_n = 0$)

Current bilinears

Generalize $T\bar{T}$, $J\bar{T}$, $J\bar{J}$

$X_{ab} := \epsilon_{\mu\nu} J_a^\mu J_b^\nu$ (point-split) defined modulo derivatives

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Proof.

$$\frac{\partial}{\partial x^\rho} \epsilon_{\mu\nu} J_a^\mu(x) J_b^\nu(y) = \left(\frac{\partial}{\partial x^\nu} + \frac{\partial}{\partial y^\nu} \right) \epsilon_{\mu\rho} J_a^\mu(x) J_b^\nu(y)$$

use OPE

$$\epsilon_{\mu\nu} \sum_i \partial_\rho c_i(x-y) O_i^{\mu\nu}(y) = \epsilon_{\mu\rho} \sum_i c_i(x-y) \partial_\nu O_i^{\mu\nu}(y)$$

so any O_i with non-constant $c(x-y)$ must be a total derivative $\partial_\nu(\dots)$ □

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$$\partial_{\lambda^{ab}} S = \int d^2x X_{ab} \text{ deformation}$$

Only makes sense if J_a and J_b are still conserved at order $O(\lambda)$ etc.

This happens if and only if $[Q_a, Q_b] = 0$ (see later for “if” direction)

Evolution of energies under deformation by current bilinears

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On $S^1 \times \mathbb{R}$ of circumference L , **factorization**

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$$\partial_{\lambda^{ab}} E_n = 2 \underbrace{L \langle n | J_{[a}^0 | n \rangle \langle n | J_{b]}^1 | n \rangle}_{(Q_a)_n}$$

- Compact flavour symmetry $\implies Q_n$ quantized
- Spatial translation $\implies Q_n = iP_n \in (2\pi i/L)\mathbb{Z}$
- Time translation $\implies Q_n = -E_n$
- KdV charges \implies need $\partial_\lambda Q_n$ equation

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playing with commutators get similar equation $\partial_{\lambda^{ab}} (Q_c)_n = \dots$

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Then, for $\langle n | J_c^1 | n \rangle$, two case studies (much shorter)

Study I: $\overline{T\overline{T}}$ deformation of Lorentz-invariant theory,
KdV charges “ride the Burgers flow”

Study II: $T_{1\bullet} J_{\bullet}$ deformation of zero-momentum sector
super-Hagedorn density of states $\exp(E^{(>1)})$

Cartan subalgebra: KdV charges P_s

Focus on **commuting subset** $\{P_s\}$ of all charges $\{Q_a\}$:
translations, Cartan of flavour symmetries, KdV charges

Conserved currents $\overline{\partial}T_{s+1} = \partial\Theta_{s-1}$ of spin $s \in \mathbb{Z}$, charges

$$P_s = \frac{1}{2\pi} \oint (T_{s+1}dz + \Theta_{s-1}d\overline{z})$$

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Example (CFT): $T_2 = T$, $T_4 = :T^2:$, $T_6 = :T^3: + \frac{c+2}{12}:(\partial T)^2: \dots$, $\Theta_{-2k} = \overline{T_{2k}}$,
 $\Theta_0 = \Theta_2 = \Theta_4 = \dots = T_0 = T_{-2} = T_{-4} = \dots = 0$

KdV currents fixed (up to improvements) by spin and $[P_s, P_t] = 0$

The operators A_s^t

Integrating $[P_s, T_{t+1}dz + \Theta_{t-1}d\bar{z}]$ on a contour \mathcal{C} gives $[P_s, P_t^{\mathcal{C}}] = 0$
so the one-form is exact:

$$[P_s, T_{t+1}] = -i\partial A_s^t = [P_1, A_s^t]$$

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$$A_1^1 = T_2$$

$$A_1^3 = T_4$$

$$A_1^5 = T_6$$

$$A_3^1 = 3T_4 + \partial(\dots) \quad A_3^3 = 4:T^3: - \frac{c+2}{2}:(\partial T)^2:$$

$$A_5^1 = 5T_6 + \partial(\dots) \quad A_5^3 = \frac{15:T^4:}{2} - \frac{5(13+2c):T(\partial T)^2:}{3} + \frac{5(-47+4c+c^2):(\partial^2 T)^2:}{72}$$

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Proof.

$$\begin{aligned} & [P_1, [P_{[s}, A_t^u]] \\ &= [P_{[s}, [P_1, A_t^u]] \quad (\text{Jacobi}) \\ &= [P_{[s}, [P_t], A_1^u]] \quad (\text{definition of } A) \\ &= 0 \quad (\text{Jacobi}) \end{aligned}$$

Likewise $[P_{-1}, [P_{[s}, A_t^u]] = 0$

so $[P_{[s}, A_t^u] = \text{multiple of identity} = 0$ (because traceless) □

Deforming by current bilinears preserves symmetries

For two spins u, v consider $\delta H = \int dx X^{u,v}$

with $X^{u,v} = (T_{u+1}\Theta_{v-1} - \Theta_{u-1}T_{v+1})_{\text{reg}}$ current bilinear

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Proof. $[P_s, X^{u,v}] = [P_s, T_{u+1}\Theta_{v-1} - \Theta_{u-1}T_{v+1}]$
 $= [P_1, A_s^u]\Theta_{v-1} + [P_{-1}, A_s^u]T_{v+1} - (u \leftrightarrow v)$
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 $= [P_1, A_s^u]\Theta_{v-1} + [P_{-1}, A_s^u]T_{v+1} - (u \leftrightarrow v)$
 $= [P_1, A_s^u\Theta_{v-1}] + [P_{-1}, A_s^uT_{v+1}] - (u \leftrightarrow v) \quad \square$

$$\delta P_s = \frac{1}{2} \int dx (X_{s,1}^{u,v} + X_{-1,s}^{u,v})$$

 where $X_{s,t}^{u,v} = (A_s^u A_t^v - A_t^u A_s^v)_{\text{reg}}$

Toward an evolution equation

Goal: $\partial_\lambda \langle n | P_s | n \rangle = \langle n | \partial_\lambda P_s | n \rangle = \dots$ for states $|n\rangle$ on $S^1 \times \mathbb{R}$

We've just seen $\partial_\lambda P_s = \frac{1}{2} \int dx (X_{s,1}^{u,v} + X_{-1,s}^{u,v})$ so we compute

$$\langle n | X_{s,t}^{u,v} | n \rangle =$$

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$$\langle n | X_{s,t}^{u,v} | n \rangle = \langle n | A_s^u | n \rangle \langle n | A_t^v | n \rangle - \langle n | A_t^u | n \rangle \langle n | A_s^v | n \rangle \quad \text{(factorization)}$$

Toward an evolution equation

Goal: $\partial_\lambda \langle n | P_s | n \rangle = \langle n | \partial_\lambda P_s | n \rangle = \dots$ for states $|n\rangle$ on $S^1 \times \mathbb{R}$

We've just seen $\partial_\lambda P_s = \frac{1}{2} \int dx (X_{s,1}^{u,v} + X_{-1,s}^{u,v})$ so we compute

$$\langle n | X_{s,t}^{u,v} | n \rangle = \langle n | A_s^u | n \rangle \langle n | A_t^v | n \rangle - \langle n | A_t^u | n \rangle \langle n | A_s^v | n \rangle \quad (\text{factorization})$$

Proof summary. Insert complete set of states (eigenstates of all P_\bullet)

$$\langle n | X_{s,t}^{u,v} | n \rangle = \sum_{|m\rangle} \left(\langle n | A_s^u | m \rangle \langle m | A_t^v | n \rangle - \langle n | A_t^u | m \rangle \langle m | A_s^v | n \rangle \right)$$

For any spin r , compute a bit to show

$$\langle n | [P_r, A_s^u] | m \rangle \langle m | A_t^v | n \rangle - \langle n | [P_r, A_t^u] | m \rangle \langle m | A_s^v | n \rangle = 0$$

This is $\langle m | P_r | m \rangle - \langle n | P_r | n \rangle$ times the summand,
 so summand = 0 except for $|m\rangle = |n\rangle$ (assumes nondegenerate spectrum) □

Side comment on collisions

In fact we can define more **general collisions**

$$k! A_{[s_1]}^{t_1}(x_1) \dots A_{s_k}^{t_k}(x_k) = X_{s_1, \dots, s_k}^{t_1, \dots, t_k}(x) + \sum_i [P_{s_i}, \dots]$$

- defined up to commutators $\sum_i [P_{s_i}, \dots]$
(like $X^{u,v}$ is defined up to derivatives)
- obey factorization

$$\langle n | X_{s_1, \dots, s_k}^{t_1, \dots, t_k} | n \rangle = k! \langle n | A_{[s_1]}^{t_1} | n \rangle \dots \langle n | A_{s_k}^{t_k} | n \rangle$$

- obey

$$[P_{[s_0]}, X_{s_1, \dots, s_k}^{t_1, \dots, t_k}] = 0$$

(but deforming by these operators breaks all symmetries,
so they are most likely not that useful)

Main evolution equation

Denoting $\langle \mathcal{O} \rangle := \langle n | \mathcal{O} | n \rangle$, we end up with

$$2\partial_{\lambda_{u,v}} \langle P_s \rangle = \langle P_u \rangle \langle A_s^v \rangle - \langle P_v \rangle \langle A_s^u \rangle$$

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Study II: $T_1 \bullet J_\bullet$ deformation (difference of $u = \pm 1$, arbitrary v)

In zero-momentum sector $\langle A_s^v \rangle$ drops out

Get **super-Hagedorn density of states** $\exp(\gg E)$

- 1 Generalities on $T\bar{T}$
- 2 Deformations by current bilinears
 - How energy levels vary
 - Deformed conserved currents: operators A_s^t
 - How charges vary: main evolution equation
- 3 Two studies
 - Study I: KdV charges under $T\bar{T}$ flow
 - Study II: super-Hagedorn in Lorentz-breaking flow
- 4 Work in progress: $d > 2$

Study I: Deforming by $T\bar{T}$

$$2\partial_{\lambda_{T\bar{T}}}\langle P_s \rangle = \langle P_1 \rangle \langle A_s^{-1} \rangle - \langle P_{-1} \rangle \langle A_s^1 \rangle$$

Need to understand $A_s^{\pm 1}$. Two steps.

- Understand ∂_L
- Relate $A_s^{\pm 1}$ to $A_{\pm 1}^s$ in Lorentz-invariant theories

Changing the length

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In fact, for zero momentum ($\langle P_1 - P_{-1} \rangle = 0$),

$$\partial_\lambda \langle P_s \rangle = \langle Q \rangle \partial_L \langle P_s \rangle \quad \text{under } \epsilon^{\mu\nu} J_\mu T_{\nu\rho} \text{ deformation}$$

The deformation “scales space according to $\langle Q \rangle$ ”

Relating A_s^t and A_t^s

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Example (CFT): $T_2 = T$, $T_4 = :T^2:$, $T_6 = :T^3: + \frac{c+2}{12}:(\partial T)^2:$

$$\begin{aligned} A_1^1 &= T_2 & A_1^3 &= T_4 & A_1^5 &= T_6 \\ A_3^1 &= 3T_4 + \partial(\dots) & A_3^3 &= \dots & A_3^5 &= \frac{3}{5}A_5^3 + \dots \\ A_5^1 &= 5T_6 + \partial(\dots) & A_5^3 &= \dots & & \end{aligned}$$

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Observe $t A_s^t = s A_t^s$ **up to improvements of currents** T_4, T_6, \dots

This selects preferred improvements of higher-spin currents:

$T_{s+1} = \frac{1}{s} A_s^1$ is uniquely defined (up to shifts by the identity)

More generally true in Lorentz-invariant theories

Evolution of KdV charges under $T\bar{T}$ deformation

Combining (up to factors)

$$\langle n | A_s^1 - A_s^{-1} | n \rangle = \partial_L \langle n | P_s | n \rangle$$

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we get the linear equation

$$\partial_\lambda \langle P_s \rangle = \langle H \rangle \partial_L \langle P_s \rangle + \frac{S}{L} \langle P \rangle \langle P_s \rangle$$

All charges propagate along the same characteristics

Starting from a CFT we can solve

$$\langle P_s \rangle = \begin{cases} \# \langle P_1 \rangle^s & \text{for holomorphic currents} \\ \# \langle P_1 \rangle^{-s} & \text{for antiholomorphic currents} \end{cases}$$

Study II: zero-momentum sector

In the $T\bar{T}$ deformation, for zero-momentum states, [Cardy]

$$\partial_{\lambda_{T\bar{T}}} E_n = \langle n | \partial_{\lambda_{T\bar{T}}} H | n \rangle = L \underbrace{\langle n | T_{00} | n \rangle}_{-E_n/L} \underbrace{\langle n | T_{11} | n \rangle}_{-\partial_L E_n} - L \underbrace{\langle n | T_{01} | n \rangle}_{=0} \underbrace{\langle n | T_{10} | n \rangle}_{\text{who cares?}}$$

Lorentz-invariance not used!

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Our variant: deform by $X^{1,u} - X^{-1,u}$ so

$$\frac{1}{\pi} \partial_\lambda \langle P_s \rangle = \langle P_1 - P_{-1} \rangle \langle A_s^u \rangle - \langle P_u \rangle \langle A_s^1 - A_s^{-1} \rangle$$

One has $\langle A_s^1 - A_s^{-1} \rangle = -2\pi \partial_L \langle P_s \rangle$, so for zero-momentum states,

$$\partial_\lambda \langle P_s \rangle = 2\pi^2 \langle P_u \rangle \partial_L \langle P_s \rangle \quad \text{if } \langle P_1 - P_{-1} \rangle = 0$$

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Starting from a CFT, spectrum is exactly solvable.

Asymptotic density of states $\rho_{\text{CFT}}(E) = \exp(\sim \sqrt{E})$ becomes

$$\rho(E) = \exp(\sim E^{(|u|+1)/2})$$

For $u = 0$ ($J\bar{T}$ deformation) get Cardy growth with a different coefficient

For $u = \pm 1$ ($T\bar{T}$ deformation) get Hagedorn behaviour $\sum e^{-\beta E}$ blows up at β_c

For $|u| > 1$ completely new behaviour, arbitrarily strong

Work in progress: $d > 2$

Continuous q -form symmetries $d \star J^{(q+1)} = 0$ (standard case: $q = 0$)
Gauge theory $U(1)$ on $\mathbb{R}^D \rightarrow$ “electric” 1-form symmetry $(J = F)$
 \rightarrow “magnetic” $(D - 3)$ -form symmetry $(J = \star F)$

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Collision $\star J^{(1)} \wedge \star J^{(2)}$ defined up to derivatives

- Example: $\int d^3x \epsilon_{\mu\nu\rho} F^{\mu\nu} J^\rho$ in 3D (with conditions)
- Example: (mixed) theta term $\int F \wedge F$ for 4D $U(1)$ gauge theory
- Analogue of $J\overline{T}$: Lorentz-breaking deformation $\int u_\mu T^{\mu\nu} \partial_\nu \phi$ for some fixed direction u

Factorization works too!

These constructions seem to work in lattice gauge theories too

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Thank you!