

# Five Decades of the Gravitational Weyl Anomaly

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# Classical Weyl invariance

- Classically, Weyl invariance

$$S(g, \phi) = S(g', \phi')$$

under

$$g'_{\mu\nu}(x) = \Omega(x)^2 g_{\mu\nu}(x) \quad \phi'(x) = \Omega(x)^\alpha \phi(x)$$

implies

$$g^{\mu\nu} T_{\mu\nu} = 0$$

- To accommodate fermions

$$e'^a{}_\mu(x) = \Omega(x) e_\mu^a(x)$$

where  $g_{\mu\nu}(x) = e_\mu^a(x) e_{\nu a}(x)$

# Examples

- Conformally coupled scalar,  $\phi'(x) = \Omega^{-1}(x)\phi(x)$ , Penrose

$$S[\phi] = \int d^4x \sqrt{-g} \left( -\frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - \frac{1}{6} R \phi^2 \right)$$

- Massless fermion,  $\psi'(x) = \Omega^{-3/2}(x)\psi(x)$ , Dirac

$$S[\psi] = \int d^4x e (\bar{\psi} \gamma^\mu \nabla_\mu \psi)$$

- Electromagnetic field,  $A'_\mu(x) = A_\mu(x)$ , Maxwell

$$S[A] = \int d^4x \sqrt{-g} \left( -\frac{1}{4} g^{\mu\rho} g^{\nu\sigma} F_{\mu\nu} F_{\rho\sigma} \right)$$

# Quantum Weyl anomalies

- But in the quantum theory

$$g^{\mu\nu} \langle T_{\mu\nu} \rangle \neq 0$$

Over the period 1973-2022 this Weyl anomaly has found a variety of applications in quantum gravity, black hole physics, inflationary cosmology, string theory and statistical mechanics.

- Note that in generic curved space

$$g^{\mu\nu} T_{\mu\nu}$$

not associated with a Noether current

## Recall flat space ancestry 1970

- For example  $SO(D, 2)$  in the case of flat Minkowski space.  
**Coleman and Jackiw Callan, Coleman and Jackiw**
- More generally, for D-dimensional spacetimes admitting conformal Killing vectors  $\xi_{\mu}^i(x)$

$$\nabla_{\mu}\xi_{\nu}^i + \nabla_{\nu}\xi_{\mu}^i = \frac{2}{D}g_{\mu\nu}\nabla^{\rho}\xi_{\rho}^i$$

there is a classically conserved dilatation current

$$J^{i\nu} = \xi_{\mu}^i T^{\mu\nu}$$

- Anomaly appears in the quantum theory

$$\nabla_{\nu} \langle J^{i\nu} \rangle = \frac{1}{D} \nabla^{\rho} \xi_{\rho}^i g^{\mu\nu} \langle T_{\mu\nu} \rangle \neq 0$$

but this is not an anomaly in local Weyl symmetry

$$g'_{\mu\nu}(x) = \Omega(x)^2 g_{\mu\nu}(x)$$

## Timeline 1973

- Corrections to graviton propagator from closed loops of spin  $s = 0, 1/2, 1$  using dimensional regularization.

Capper Duff and Halpern  $s = 1$

Capper and Duff  $s = 1/2$

Geist et al

Cappers  $s = 0$

- Discovery of the Weyl anomaly using dimensional regularization

Capper and Duff

# Diffeomorphism Ward identity

- This involved the self-energy insertion

$$\Pi_{\mu\nu\rho\sigma}(p) = \int d^D x e^{ipx} \langle T_{\mu\nu}(x) T_{\rho\sigma}(0) \rangle |_{g_{\mu\nu}=\eta_{\mu\nu}}$$

where  $D$  is the spacetime dimension and  $T_{\mu\nu}(x)$  the energy-momentum tensor of the massless particles.

- One of our goals was to verify that dimensional regularization correctly preserved the Ward identity

$$p^\mu \Pi_{\mu\nu\rho\sigma}(p) = 0$$

that follows as a consequence of general covariance.

# Diffeomorphism Ward identity

- Capper and I were able to show that  $\Pi_{\mu\nu\rho\sigma}$  did indeed obey the identity with

$$\Pi_{\mu\nu\rho\sigma} = \Pi(2)S(2)_{\mu\nu\rho\sigma} + \Pi(0)S(0)_{\mu\nu\rho\sigma}$$

where

$$S(2)_{\mu\nu\rho\sigma} = \frac{1}{2}(X_{\mu\rho}X_{\nu\sigma} + X_{\nu\rho}X_{\mu\sigma}) - \frac{1}{3}X_{\mu\nu}X_{\rho\sigma}$$

$$S(0)_{\mu\nu\rho\sigma} = \frac{1}{3}X_{\mu\nu}X_{\rho\sigma}$$

$$X_{\mu\nu} = \eta_{\mu\nu}p^2 - p_\mu p_\nu$$

# Diffeomorphism Ward identity

- If we denote by  $D - 4 = \epsilon$  and expand about  $\epsilon = 0$

$$\Pi_{\mu\nu\rho\sigma} = \frac{1}{\epsilon} \Pi_{\mu\nu\rho\sigma}(\text{pole}) + \Pi_{\mu\nu\rho\sigma}(\text{finite})$$

$$\Pi(2)(\text{pole}) = \bar{c}/(4\pi)^2 \quad \Pi(0)(\text{pole}) = 0$$

where  $\bar{c}$  is a constant, in which case the infinity can then be removed by a generally covariant counterterm

$$\Delta L = \frac{1}{\epsilon} \frac{\bar{c}}{(4\pi)^2} \sqrt{-g} C^{\mu\nu\rho\sigma} C_{\mu\nu\rho\sigma} \quad (1)$$

where  $C_{\mu\nu\rho\sigma}$  is the Weyl tensor. We found  $\bar{c} = 18$  for  $s = 1/2$  and  $\bar{c} = 72$  for spin 1. A later calculation by Capper gave  $\bar{c} = 6$  for conformally coupled  $s = 0$ .

# Conformal Weyl identity

- We were also aware that since the actions for massless particles of spins  $s = 0, 1/2, 1$  are invariant under the Weyl transformations

$$\Pi^{\mu}_{\mu\rho\sigma}(p) = 0 \quad \rightarrow \quad \Pi(0) = 0$$

but whereas

$$\Pi(2)(pole) \neq 0 \quad \Pi(0)(pole) = 0$$

we found

$$\Pi(2)(finite) \neq 0 \quad \Pi(0)(finite) \neq 0$$

The Weyl invariance displayed by classical massless field systems in interaction with gravity, first proposed by Hermann Weyl in 1918 no longer survives in the quantum theory! We rushed off a paper to *Nuovo Cimento* (How times have changed!).

## Timeline 1974

- I first announced the existence of gravitational Weyl anomalies at The First Oxford Quantum Gravity Conference, organised by **Isham, Penrose, Sciama**, and held at the Rutherford Laboratory in February 1974
- Unfortunately, the announcement was somewhat overshadowed because **Hawking** chose the same conference to reveal to an unsuspecting world his result that black holes evaporate!
- Ironically, **Christensen, Fulling** were subsequently to link the Hawking effect and the trace anomaly.

## Timeline 1976

- Non-local effective lagrangian for trace anomaly **Deser, Duff and Isham** By general covariance and dimensional analysis, it must take the following form:

- For  $D=2$ ,

$$g^{\alpha\beta} \langle T_{\alpha\beta} \rangle = aR$$

- For  $D=4$ ,

$$g^{\alpha\beta} \langle T_{\alpha\beta} \rangle = \alpha R^2 + \beta R_{\mu\nu} R^{\mu\nu} + \gamma R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} + \delta \square R + c F_{\mu\nu}^a F^{\mu\nu a}$$

where  $a, \alpha, \beta, \gamma, \delta$  and  $c$  are constants.

- For  $D = 6$ ,

$$g^{\alpha\beta} \langle T_{\alpha\beta} \rangle = (\text{curvature})^3$$

and so on.

- At one-loop, and ignoring boundary terms, there is no anomaly for  $D$  odd.

# Non-local action

- The title of the paper *Non-local Conformal Anomalies* was chosen to emphasize that although the trace of the stress tensor and infinite counterterms, for example  $C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma}$ , are local, the finite effective action, for example  $C_{\mu\nu\rho\sigma} \ln \square C^{\mu\nu\rho\sigma}$ , is not.

# King's College London 1976



# The heat kernel

- Zeta functions, heat kernels and anomalies

Christensen

Dowker

Hawking

Barvinsky and Vilkoviski

# The heat kernel

- Classical action

$$S_0 = \int d^D x \frac{1}{2}(\Phi, \Delta\Phi)$$

where  $\Delta$  is a conformally invariant d-dimensional operator.

- The one-loop effective action is given by

$$S_1 = \ln[\det\Delta]^{-1/2}$$

- Its kernel  $F(x, y, \rho)$  obeys the heat equation

$$\frac{\partial}{\partial\rho} F(x, y, \rho) + \Delta F(x, y, \rho) = 0$$

with the initial conditions

$$F(x, y, 0) = \delta(x, y)$$

# The heat kernel

- One can express F as

$$\begin{aligned} F(x, y, \rho) &= \sum_n e^{-\rho\Delta} \phi_n(x) \phi_n(y) \\ &= \sum_n e^{-\rho\lambda_n} \phi_n(x) \phi_n(y) \end{aligned}$$

where  $\phi_n$  are the eigenfunctions of  $\Delta$  with eigenvalues  $\lambda_n$ :

$$\Delta\phi_n = \lambda_n\phi_n$$

normalized according to

$$\int d^Dx \sqrt{g}(x) \phi_n(x) \phi_m(x) = \delta_{mn}$$

## $b_4$ coefficients

- The one-loop action may thus be written as

$$S_1 = \int d\rho d^D x \rho^{-1} \sqrt{g}(x) A(x, \rho)$$

where  $A(x, \rho) = F(x, x, \rho)$ .  $A(x, \rho)$  obeys an asymptotic expansion, valid for small  $\rho$ ,

$$A(x, \rho) \sim \sum_n B_{2n}(x) \rho^{n - \frac{D}{2}}$$

where

$$B_{2n} = \int d^D x \sqrt{g} b_{2n}(x)$$

# Zeta functions

- The **Schwinger-DeWitt** coefficients  $b_{2n}$  are scalar polynomials, which are of order  $2n$  in derivatives of the metric. In  $D = 4$ , for example, when  $\Delta$  is the conformally invariant Laplacian acting on scalars:

$$\Delta = -\square + \frac{1}{6}R \quad \text{Penrose}$$

$$g^{\mu\nu} \langle T_{\mu\nu} \rangle = b_4 = \frac{1}{2880\pi^2} [R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - R_{\mu\nu} R^{\mu\nu} + 30\square R]$$

- Furthermore,

$$B_4 = n_0 + \zeta(0)$$

where  $n_0$  is the number of zero modes and

$$\zeta(s) = \sum_n \lambda_n^{-s}$$

is defined only over the non-zero eigenvalues of  $\Delta$ .

# Timeline 1976

- Asymptotic safety  
Weinberg
- Point splitting regularization  
Christensen, Duncan
- More anomaly coefficients  
Dowker and Critchley, Duncan
- Vacuum energy in two dimensions  
Davies and Fulling
- Particle creation  
Wald
- Robertson-Walker and applications to cosmology  
Birrell, Bunch, Christensen, Davies, Fulling  
Hartle et al
- Black holes  
Davies, Fulling, Unruh

# Timeline 1977

- Trace of stress tensor  $T^\mu{}_\mu$   
Divergence of axial current  $\partial_\mu J^{5\mu}$   
Gamma trace of spinor current  $\gamma^\mu S_\mu$   
form a supermultiplet
- and so, therefore, do the anomalies!  
Ferrara, Zumino

# Timeline 1977

- CFTs and the  $a$  and  $c$  coefficients  
Duff
- Trace anomalies and the Hawking effect  
Christensen and Fulling

# Conformal Field Theories (CFT)

- Weyl anomalies appear in their most pristine form when CFTs are coupled to an external gravitational field. In this case

$$\mathcal{A} = g^{\mu\nu} \langle T_{\mu\nu} \rangle = \frac{1}{(4\pi)^2} (cF - aG)$$

where  $F$  is the square of the Weyl tensor:

$$F = C_{\mu\nu\rho\sigma} C^{\mu\nu\rho\sigma} = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 2R_{\mu\nu} R^{\mu\nu} + \frac{1}{3}R^2,$$

$G$  is proportional to the Euler density:

$$G = R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} - 4R_{\mu\nu} R^{\mu\nu} + R^2,$$

- Note no  $R^2$  term.
- We ignore  $\square R$  terms whose coefficient can be adjusted to any value by adding the finite counterterm

$$\int d^4x \sqrt{g} R^2.$$

## Central charges $c$ and $a$

- In the CFT  $a$  and  $c$  are the central charges given in terms of the field content by

$$\bar{a} \equiv 720a = 2N_0 + 11N_{1/2} + 124N_1$$

$$\bar{c} \equiv 720c = 6N_0 + 18N_{1/2} + 72N_1$$

where  $N_s$  are the number of fields of spin  $s$ .

- In the notation of [Duff 1977](#)

$$(4\pi)^2 b = c \quad (4\pi)^2 b' = -a$$

## Central charges $c$ and $a$

- The story of the Weyl anomaly for CFTs is thus the story of the central charges  $c$  and  $a$ . The ratio is given by

$$\frac{a}{c} = \frac{(2N_0 + 11N_{1/2} + 124N_1)}{(6N_0 + 18N_{1/2} + 72N_1)}$$

and by inspection we can read off the inequalities

$$\frac{31}{18} \geq \frac{a}{c} \geq \frac{1}{3}$$

where the upper and lower bounds are saturated by a single vector and a single scalar respectively.

# Euler number

- When  $F - G$  vanishes, anomaly reduces to

$$\mathcal{A} = A \frac{1}{32\pi^2} R^{*\mu\nu\rho\sigma} R^*_{\mu\nu\rho\sigma}$$

where

$$360A = \bar{c} - \bar{a} = 4N_0 + 7N_{1/2} - 52N_1$$

so that in Euclidean signature

$$\int d^4x \sqrt{g} g^{\mu\nu} T_{\mu\nu} = A\chi(M^4)$$

where  $\chi(M^4)$  is the Euler number of spacetime.

# Non-conformal theories

- Since the anomaly arises because the operations of regularizing and tracing do not commute, the anomaly  $\mathcal{A}$  in a theory which is not classically Weyl invariant may be defined as:

$$\mathcal{A} = \text{tr reg } T - \text{reg tr } T$$

- Of course, the second term happens to vanish when the classical invariance is present.
- We still have

$$\mathcal{A} = b_4$$

but may now involve  $R^2$ .

# Timeline 1978

- Conformal (and axial) anomalies for arbitrary spin  
Christensen, Duff
- Conformal anomalies for interacting theories: QED,  $\phi^4$  etc  
Drummond  
Shore  
Hathrell

## Arbitrary spin

- Calculate  $b_4$  for arbitrary  $(n, m)$  reps of Lorentz group, then physical anomaly given by

$$A = A(n, m) + A(n - 1, m - 1) - 2A(n - 1/2, m - 1/2)$$

so in total

$$A_{total} = 4N_0 + 7N_{1/2} - 52N_1 - 233N_{3/2} + 848N_2$$

where  $N_s$  are the number of fields of spin  $s$ .

- The  $b_4$  coefficient for chiral reps  $(1/2, 0)$   $(1, 0)$  etc also involve  $R^*R$  unless we add  $(0, 1/2)$   $(0, 1)$  etc
- Pseudoscalar contribution to Weyl anomaly?

Nakayama

Bonora et al

Bastianelli et al

Frob and Zein

# Timeline 1980

- Anomaly-driven inflation  
Starobinsky (NB not  $R + R^2$ )  
Mukhanov et al  
Grishchuk, Zeldovich  
Vilenkin
- $p$ -forms and inequivalent anomalies  
Duff, van Nieuwenhuizen  
Grisaru et al  
Siegel
- The path-integral approach to anomalies  
Fujikawa  
Bastianelli, van Nieuwenhuizen  
Nicolai, Townsend

# $p$ -forms and inequivalent anomalies

- Particularly interesting examples are provided by  $p$ -form gauge fields whose laplacians are not conformally invariant but for which

$$\int \mathcal{A}(\phi_2) - \int \mathcal{A}(\phi_0) = \chi$$

$$\int \mathcal{A}(\phi_3) = -2\chi$$

Such quantum inequivalence of  $p$ -forms and their duals has been called into question on the grounds that their total stress tensors are the same. Nevertheless, their partition functions differ by Euler number factors.

Donelly, Michel Wall

## Central charges $c$ and $a$

- In the supersymmetric case we have the values and bounds given below. Remarkably, these bounds continue to hold true when the CFT is interacting **Maldacena**

Fields	$a$	$c$	Bounds
$\mathcal{N} = 0$ spin 0	$1/360$	$1/120$	$31/18 \geq a/c \geq 1/3$
$\mathcal{N} = 0$ spin $1/2$	$11/720$	$1/40$	
$\mathcal{N} = 0$ spin 1	$31/180$	$1/10$	
$\mathcal{N} = 1$ chiral multiplet	$1/48$	$1/24$	$3/2 \geq a/c \geq 1/2$
$\mathcal{N} = 1$ vector multiplet	$3/16$	$1/8$	
$\mathcal{N} = 2$ hyper multiplet	$1/24$	$1/12$	$5/4 \geq a/c \geq 1/2$
$\mathcal{N} = 2$ vector multiplet	$5/24$	$1/6$	
$\mathcal{N} = 4$ vector multiplet	$1/4$	$1/4$	$a/c = 1$

**Table:** The central charges  $a$  and  $c$  for supersymmetric CFTs

# Timeline 1981

- When we allow for a cosmological constant  $\Lambda$  the anomaly is

$$A\chi + BV$$

where  $V$  is the volume. We find

$$B = 6N_0 + 18N_{1/2} + 72N_1 - 822N_{3/2} + 3132N_2 \quad (2)$$

Moreover in gauged supergravity

$$e^2 = G\Lambda$$

and  $B$  also determines the Yang-Mills beta-function.

- This yields vanishing  $\beta$ -function in gauged  $N > 4$  supergravity [Christensen, Duff, Gibbons, Rocek](#)
- Spin sum rules

$$\sum_{\lambda} (-1)^{2\lambda} \lambda^k = 0$$

for  $N > k$  [Curtwright Christensen, Duff](#)

- Critical dimensions for bosonic and super strings  
Polyakov

# Bosonic string

- In the first quantized theory of the bosonic string, one starts with a Euclidean functional integral

$$e^{-\Gamma} = \int \frac{D\gamma DX}{\text{Vol}(\text{Diff})} e^{-S[\gamma, X]}$$

where

$$S[\gamma, X] = \frac{1}{4\pi\alpha'} \int d^2\xi \sqrt{\gamma} \gamma^{ij} \partial_i X^\mu \partial_j X^\nu \eta_{\mu\nu}$$

- As shown by **Polyakov**, the Weyl anomaly in the worldsheet stress tensor is given by

$$\gamma^{ij} \langle T_{ij} \rangle = \frac{1}{24\pi} (D - 26) R(\gamma)$$

$D$  is the contribution of the scalars while the  $-26$  arises from the diffeomorphism ghosts that must be introduced into the functional integral.

# Fermionic string

- In the case of the fermionic string, the result is

$$\gamma^{ij} \langle T_{ij} \rangle = \frac{1}{16\pi} (D - 10) R(\gamma)$$

- Thus the critical dimensions  $D = 26$  and  $D = 10$  correspond to the preservation of the two dimensional Weyl invariance  $\gamma_{ij} \rightarrow \Omega^2(\xi)\gamma_{ij}$ .

# Spacetime Einstein equations from worldsheet anomaly



$$S[\gamma, X] = \frac{1}{4\pi\alpha'} \int d^2\xi \sqrt{\gamma} \gamma^{ij} \partial_i X^\mu \partial_j X^\nu g_{\mu\nu}$$
$$\beta(g)_{\mu\nu} = R_{\mu\nu} + ..$$

vanishing anomaly implies Einstein equations!

Callan, Friedan, Perry

# Timeline 1983

- Conformal anomaly and W-Z consistency (no  $R^2$ )  
[Bonora et al](#)
- Anomaly in conformal supergravity  $N = 1, 2, 3, 4$

$$S = \int d^4x \sqrt{-g} C^{\mu\nu\rho\sigma} C_{\mu\nu\rho\sigma} + \dots$$

$N = 4$  conformal supergravity coupled to 4  $N = 4$  Maxwell multiplets (or  $SU(2) \times U(1)$  SYM) is anomaly free/finite  
[Fradkin and Tseytlin](#) This theory has no chiral anomalies as well as found later in 85 [Romer and van Nieuwenhuizen](#)

- Local version of effective action  
Fradkin and Tseytlin  
Riegert

# Local action

- Conformal operators

$$\sqrt{g}\Delta_d = \sqrt{g'}\Delta'_d$$

$$\Delta_2 = \square$$

$$\Delta_4 \equiv \square^2 + 2R^{\mu\nu}\nabla_\mu\nabla_\nu + \frac{1}{3}(\nabla^\mu R)\nabla_\mu - \frac{2}{3}R\square$$

Fradkin and Tseytlin

Riegert

Paneitz

- Subsequent work by

Osborn et al  
Antoniadis, Mazur and Mottola  
Barvinsky et al  
Nicolai et al

- Local action

$$S_{anom} = \frac{b}{2} \int d^4x \sqrt{g} F \phi - \frac{b'}{2} \int d^4x \sqrt{g} [\phi \Delta_4 \phi - (G - \frac{2}{3} \square R) \phi]$$

# Timeline 1985

- Conformal invariants  
Fefferman, Graham

# Timeline 1986

- Recall in  $D=2$

$$g^{\alpha\beta} \langle T_{\alpha\beta} \rangle = cR \quad c = \text{constant}$$

- The  $c$ -theorem : in 2D CFT with coupling constants  $g_i$  and energy scale  $\mu$  there exists a positive real function,  $c(g_i, \mu)$  which decreases monotonically under the renormalization group flow and at fixed points where  $g_i = g_i^*$ , the function

$$c(g_i^*, \mu) = c$$

- Theories at high energies have more degrees of freedom than theories at low energies and that information is lost as we flow from the former to the latter.

Zamolodchikov

# Timeline 1988

- $c$ -theorem and/or  $a$ -theorem in four dimensions?

Cardy

Osborn

Capelli et al

Shore

Shapere

Antoniadis et al

- Geometric classification of conformal anomalies in arbitrary dimensions  
Deser, Schwimmer

## Timeline 1998

- The holographic Weyl anomaly  
Henningson,Skenderis  
Imbimbo  
Graham  
Bastianelli  
Manvelyan  
Fukuma
- Einstein manifolds and the  $a$  and  $c$  coefficients  
Gubser,Martelli

# Holography

- A distinguished coordinate system, boundary at  $\rho = 0$

$$G_{MN}dx^M dx^N = \frac{L_{d+1}^2}{4} \rho^{-2} d\rho d\rho + \rho^{-1} g_{\mu\nu} dx^\mu dx^\nu$$

- The effective action may be written

$$S_B = \int d\rho d^d x \rho^{-1} \sqrt{g}(x) B(x, \rho)$$

where the specific form of  $B(x, \rho)$  depends on initial action.

$$B(x, \rho) \sim \sum_n b_{2n}(x) \rho^{n - \frac{d}{2}}$$

- Formal similarity with **Schwinger-DeWitt** coefficients, indeed  $\mathcal{A} \sim b_4$  same for N=4 Yang-Mills but not in general.

# Timeline 2000

- Anomaly-driven inflation revived  
Hawking et al  
Hamada  
Nojiri  
Shapiro  
de Paula Netto, Pelinson, Shapiro, Starobinsky
- $a$  and  $c$  and corrections to Newton's law  
Duff and Liu
- Anomalies and entropy bounds  
Nojiri et al

## Corrections to Newton's law

- In my 1972 PhD thesis, at the suggestion of Abdus Salam, I calculated one-loop CFT corrections to Newton's law (Schwarzschild solution)

$$V(r) = \frac{G_4 M}{r} \left( 1 + \frac{8cG_4}{3\pi r^2} \right),$$

where  $G_4$  is the four-dimensional Newton's constant and  $c$  is a purely numerical coefficient. In fact it turned out to be the  $c$  coefficient in the Weyl anomaly

## $N=4$ Yang-Mills

- A particularly important example of a CFT is provided by  $\mathcal{N} = 4$  super Yang-Mills with gauge group  $U(N)$ , for which

$$(N_1, N_{1/2}, N_0) = (N^2, 4N^2, 6N^2)$$

Then

$$a = c = \frac{N^2}{4}$$

and hence

$$\mathcal{A} = \frac{c}{(4\pi)^2} \left( 2R_{\mu\nu}R^{\mu\nu} - \frac{2}{3}R^2 \right) = \frac{N^2}{32\pi^2} \left( R_{\mu\nu}R^{\mu\nu} - \frac{1}{3}R^2 \right)$$

- The contribution of a single  $\mathcal{N} = 4$   $U(N)$  Yang-Mills CFT is

$$V(r) = \frac{G_4 M}{r} \left( 1 + \frac{2N^2 G_4}{3\pi r^2} \right).$$

# Randall-Sundrum

- Now fast-forward to 1999 when **Randall and Sundrum** proposed that our four-dimensional world is a 3-brane embedded in an infinite five-dimensional universe. They showed that there is an  $r^{-3}$  correction coming from the massive Kaluza-Klein modes

$$V(r) = \frac{G_4 M}{r} \left( 1 + \frac{2L_5^2}{3r^2} \right).$$

where  $L_5$  is the radius of  $\text{AdS}_5$ .

- Superficially, our 4D quantum correction seems unrelated to their 5D classical one.
- But through the miracle of AdS/CFT

$$N^2 = \frac{\pi L_5^3}{2G_5} \quad G_4 = \frac{2G_5}{L_5}$$

the two are in fact equivalent. **Duff and Liu**

# Timeline 2001

- $a$  and  $c$  and the graviton mass  
Dilkes et al  
Aharony
- Weyl cohomology revisited  
Mazur and Mottola

- Anomalies as an infra-red diagnostic; IR free or interacting?  
Intriligator

- Macroscopic effects of the quantum trace anomaly  
Mottola et al  
Gianotti et al

- Anomalies and the hierarchy problem  
Meissner

# Timeline 2008

- Viscosity bounds  
Buchel et al
- Conformal collider physics  
Hofman and Maldacena
- Weyl invariance and mass  
Waldron et al
- Entanglement Entropy, Trace Anomalies and Holography  
Schwimmer and Theisen

- Entanglement Entropy  
Nishioka
- Log corrections to black hole entropy  
Cai  
Solodukhin  
Sen et al

- Holographic c-theorems in arbitrary dimensions  
Myers et al
- Generalized mirror symmetry and trace anomalies  
Duff et al
- Vanish without a trace  
Duff et al

# M-theory on $X^7$

- We consider compactification of ( $\mathcal{N} = 1, D = 11$ ) supergravity on a 7-manifold  $X^7$  with betti numbers  $(b_0, b_1, b_2, b_3, b_3, b_2, b_1, b_0)$  and define a generalized mirror symmetry

$$(b_0, b_1, b_2, b_3) \rightarrow (b_0, b_1, b_2 - \rho/2, b_3 + \rho/2)$$

under which

$$\rho(X^7) \equiv 7b_0 - 5b_1 + 3b_2 - b_3$$

changes sign

$$\rho \rightarrow -\rho$$

- The massless sectors of these compactifications have

$$f = 4(b_0 + b_1 + b_2 + b_3)$$

degrees of freedom.

- Generalized self-mirror theories are defined to be those for which  $\rho = 0$

# M-theory on $X^7$

- In backgrounds for which  $F - G$  vanishes, the Weyl anomaly reduces to

$$T = A \frac{1}{32\pi^2} R^{*\mu\nu\rho\sigma} R^*_{\mu\nu\rho\sigma} \quad (3)$$

where

$$A = 2(c - a) \quad (4)$$

so that in Euclidean signature

$$\int d^4x \sqrt{g} T = A \chi(M^4) \quad (5)$$

where  $\chi(M^4)$  is the Euler number of spacetime.

# Anomalies

	<i>Field</i>	<i>f</i>	$\Delta A$	$360A$	$X^7$
$g_{MN}$	$g_{\mu\nu}$	2	-3	848	$b_0$
	$\mathcal{A}_\mu$	2	0	-52	$b_1$
	$\mathcal{A}$	1	0	4	$-b_1 + b_3$
$\psi_M$	$\psi_\mu$	2	1	-233	$b_0 + b_1$
	$\chi$	2	0	7	$b_2 + b_3$
$A_{MNP}$	$A_{\mu\nu\rho}$	0	2	-720	$b_0$
	$A_{\mu\nu}$	1	-1	364	$b_1$
	$A_\mu$	2	0	-52	$b_2$
	$A$	1	0	4	$b_3$

*total A*  $-\rho/24$

Table:  $X^7$  compactification of D=11 supergravity

# Vanish without a trace!

- Remarkably, we find that the anomaly depends on  $\rho$

$$A = -\frac{1}{24}\rho(X^7)$$

It flips sign under generalized mirror symmetry and vanishes for generalized self-mirror theories. For  $X^{(8-\mathcal{N})} \times T^{(\mathcal{N}-1)}$  with  $\mathcal{N} \geq 3$  the anomaly vanishes identically. **Duff and Ferrara**

## Odd-dimensional analogue of Euler

- More generally, Euler  $\chi = \sum (-1)^p b_p$  obeys a Kunneth formula  $\chi(X \times Y) = \chi(X)\chi(Y)$ ;  $\rho = \sum (-1)^p p b_p$  is an odd-dimensional analogue obeying  $\rho(X \times Y) = \chi(X)\rho(Y)$ .  
**Borsten Duff and Nagy**
- For example, the 4-dimensional Weyl anomaly for M-theory on  $X_4 \times Y_7$  is given by  $\chi(X_4)\rho(Y_7) = \rho(X_4 \times Y_7)$  and hence vanishes when  $Y_7$  is self-mirror. Since, in particular,  $\rho(Y \times S^1) = \chi(Y)$ , this is consistent with the corresponding anomaly for Type IIA on  $X_4 \times Y_6$  given by  $\chi(X_4)\chi(Y_6) = \chi(X_4 \times Y_6)$ , which vanishes when  $Y_6$  is self-mirror.

# Four curious supergravities

- Of particular interest are the four cases

$$(b_0, b_1, b_2, b_3) = (1, \mathcal{N} - 1, 3\mathcal{N} - 3, 4\mathcal{N} + 3)$$

with  $\mathcal{N} = 1, 2, 4, 8$ , namely the four “curious” supergravities, discussed in [Duff and Ferrara](#) which enjoy some remarkable properties.

$\mathcal{N} = 1$ , 7 WZ multiplets,  $f = 32$ ,

$\mathcal{N} = 2$ , 3 vector multiplets, 4 hypermultiplets,  $f = 64$ ,

$\mathcal{N} = 4$ , 6 vector multiplets,  $f = 128$ ,

$\mathcal{N} = 8$ ,  $f = 256$ .

# O, H, C, R theories

<i>Field</i>	360A	<b>O</b>	<b>H</b>	<b>C</b>	<b>R</b>
$g_{\mu\nu}$	848	1	1	1	1
$B_\mu$	-52	7	6	0	0
$S$	4	28	16	10	7
$\psi_\mu$	-233	8	4	2	1
$\chi$	7	56	28	14	7
$A_{\mu\nu\rho}$	-720	1	1	1	1
$A_{\mu\nu}$	364	7	3	1	0
$A_\mu$	-52	21	6	4	0
$A$	4	35	19	11	7
		$A = 0$	$A = 0$	$A = 0$	$A = 0$

Table: Vanishing anomaly in **O**, **H**, **C**, **R** theories.

# Timeline 2011

- Models for particle physics  
't Hooft
- Renormalization group and Weyl anomalies  
Percacci
- Proof of the four-dimensional a-theorem  
Komargodski et al  
Luty et al  
Elvang et al

- Gravitational anomalies and thermal Hall effect in topological insulators  
Stone
- A one-loop test of quantum gravity  
Bhattacharyya et al

- The a-theorem and entanglement entropy [Solodukhin et al](#)

# Timeline 2015

- Holographic c-theorems in arbitrary dimensions  
Stone
- A one-loop test of quantum supergravity  
Bhattacharyya et al
- Anomalies and conformal manifolds  
Gomis
- More on boundary terms in the anomaly  
Fursaev  
Solodukhin
- Evanescent Effects Can Alter Ultraviolet Divergences  
Bern et al

- $C_T$  for non-unitary CFTs in higher dimensions  
Osborn et al

# Timeline 2017

- On the Flow of  $\square R$  Weyl-Anomaly  
Prochazka et al
- Higher spins  
Tseytlin
- More Weyl cosmology  
Dabholkar  
Nicolai
- The semi-classical stress-energy tensor in a Schwarzschild background  
Bardeen

- Conformal anomalies
  - (1) gravitational wave
  - (2) Einstein equations
  - (3) Off-shell extensions [Nicolai et al](#)

- Applications of anomalies in condensed matter [Chernodub et al](#)
- Conformal Symmetry in Momentum Space and Anomaly Actions in Gravity [Coriano et al](#) [Maglio et al](#)
- Cancelling the Weyl anomaly in the standard model with dimension-zero scalar fields [Boyle and Turok](#)
- Boundary conformal invariants and the conformal anomaly in five dimensions [Solodukhin et al](#)

- Weyl anomalies of four dimensional conformal boundaries and defects [Chalabi Herzog O'Bannon Brandon Robinson Sisti](#)
- Anomaly-free scale symmetry and gravity [Shaposhnikov and Tokareva](#)
- The Effective Theory of Gravity and Dynamical Vacuum Energy [Mottola](#)

# Summary

- Spacetime Weyl anomalies have found application in quantum corrections to the Schwarzschild solution and Newton's law, particle creation, the Hawking effect, inflationary cosmology, asymptotic safety, wormholes, holography, viscosity bounds, condensed matter physics, hydrodynamics, the graviton mass in the braneworld, conformal collider physics, quantum entanglement, log corrections to black hole entropy, generalized mirror symmetry and double-copy theories.
- The cancellation of worldsheet Weyl anomalies not only determines the critical dimensions  $D=26$  for strings and  $D=10$  for superstrings, but also provides the derivation of the spacetime Einstein equations.
- The next 50 years?

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