

The Euler characteristic of $\text{Out}(F_n)$ and the Hopf algebra of graphs

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Algebraic Structures in Perturbative Quantum Field Theory

joint work with Karen Vogtmann

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Happy birthday Dirk!



Introduction I: Groups

Automorphisms of groups

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- **Outer automorphisms**: $\text{Out}(G) = \text{Aut}(G) / \text{Inn}(G)$

Automorphisms of the free group

- Consider the **free group** with n generators

$$F_n = \langle a_1, \dots, a_n \rangle$$

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- Generated by

$$\begin{array}{ccccccc} a_1 \mapsto a_1 a_2 & a_2 \mapsto a_2 & a_3 \mapsto a_3 & \dots \\ \text{and } a_1 \mapsto a_1^{-1} & a_2 \mapsto a_2 & a_3 \mapsto a_3 & \dots \end{array}$$

and permutations of the letters.

Mapping class group

- Another example of an outer automorphism group:
the **mapping class group**

Mapping class group

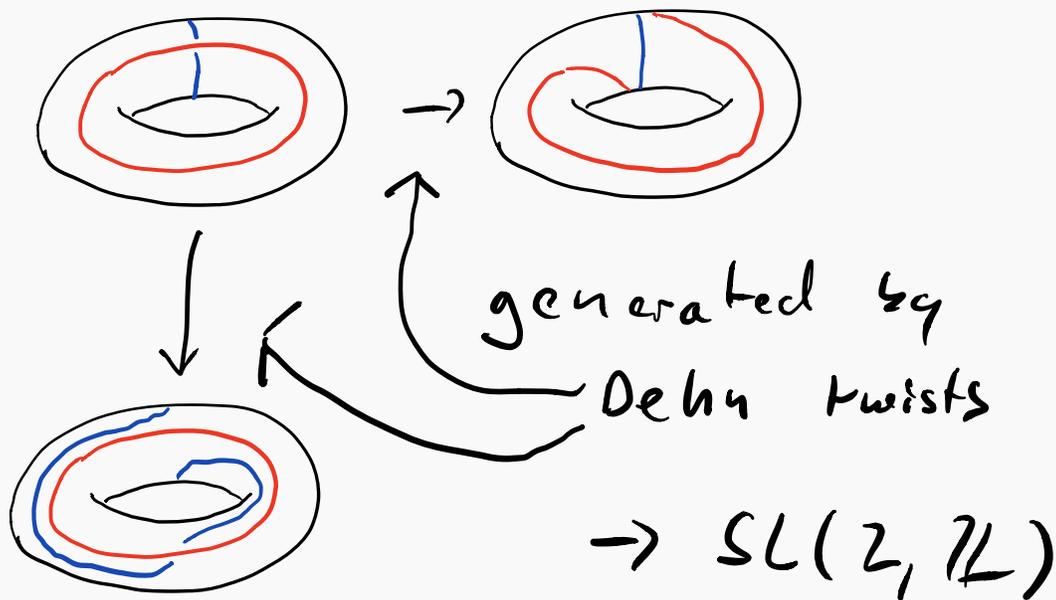
- Another example of an outer automorphism group:
the **mapping class group**
- The group of homeomorphisms of a closed, connected and orientable surface S_g of genus g up to isotopies

$$\text{MCG}(S_g) := \text{Out}(\pi_1(S_g))$$

Example: Mapping class group of the torus

$$\text{MCG}(\mathbb{T}^2) = \text{Out}(\pi_1(\mathbb{T}^2))$$

The group of homeomorphisms $\mathbb{T}^2 \rightarrow \mathbb{T}^2$ up to an isotopy:



Introduction II: Spaces

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Main idea

Realize G as symmetries of some geometric object.

Due to Stallings, Thurston, Gromov, ... (1970-)

For the mapping class group: Teichmüller space

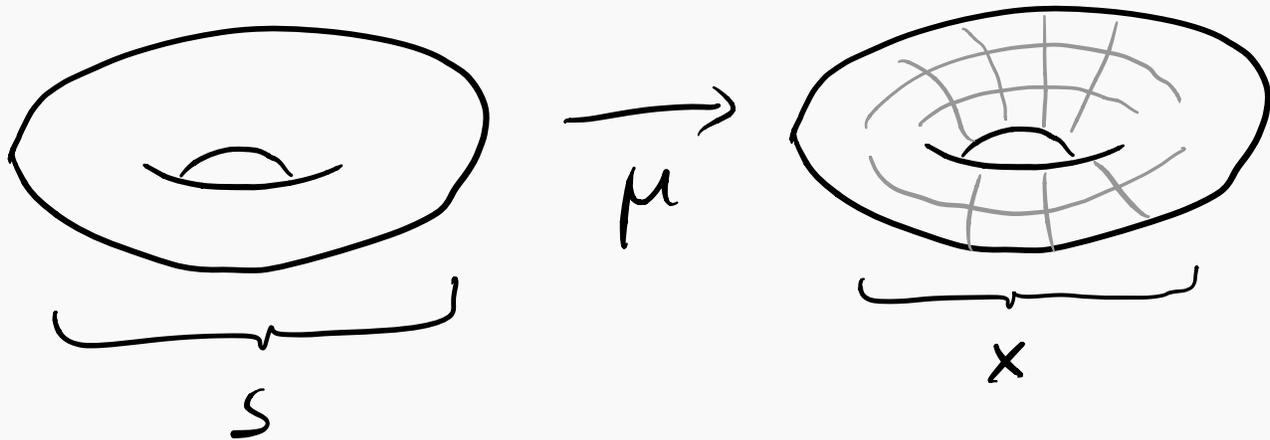
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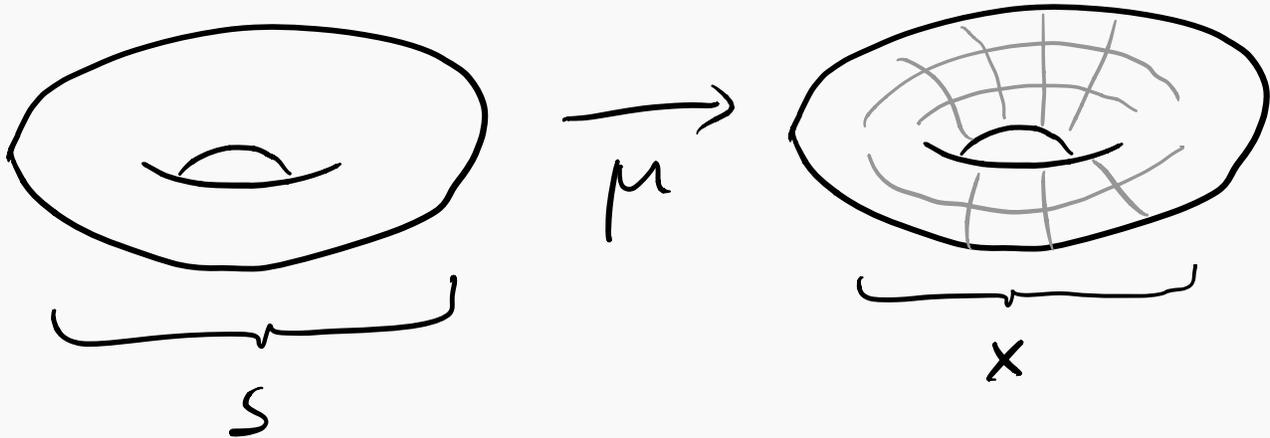


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$\text{MCG}(S)$ **acts** on $T(S)$ by composing to the marking:

$$(X, \mu) \mapsto (X, \mu \circ g^{-1}) \text{ for some } g \in \text{MCG}(S).$$

For $\text{Out}(F_n)$: Outer space

Idea: Mimic previous construction for $\text{Out}(F_n)$.

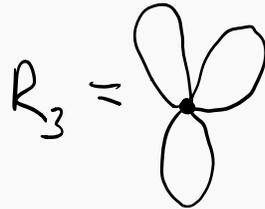
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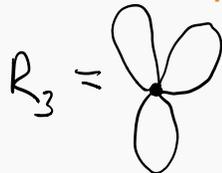


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- A connected graph G with a length assigned to each edge.
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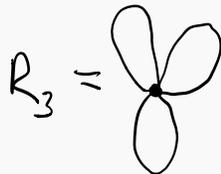


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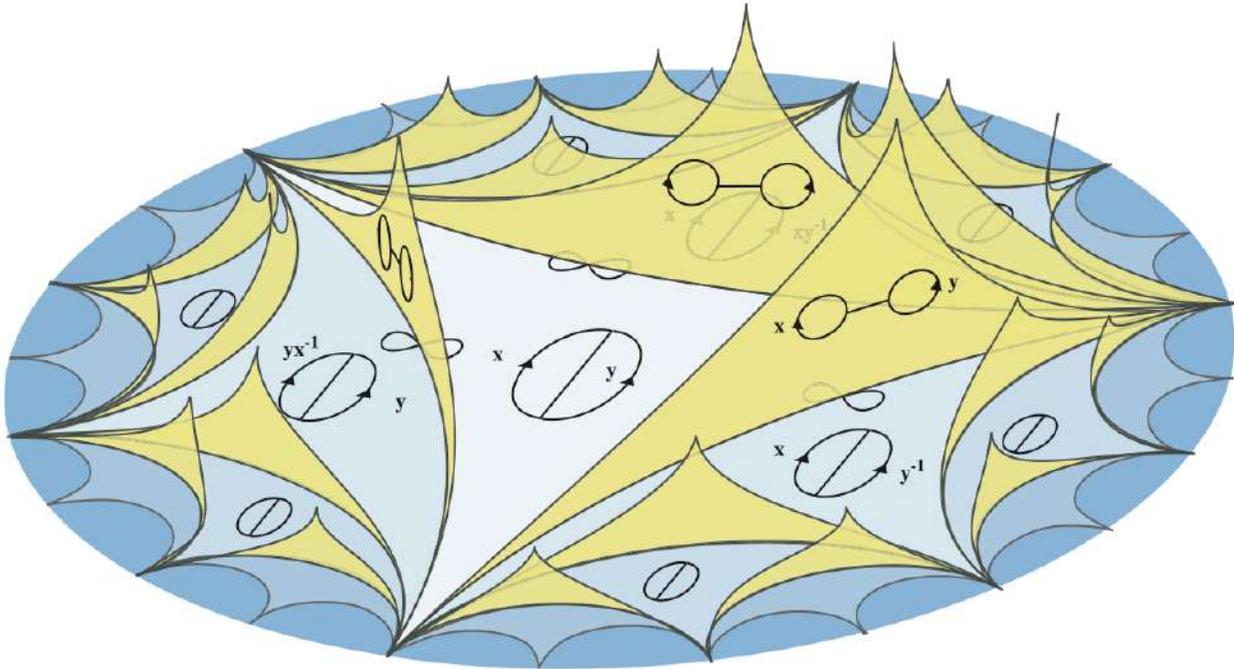
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Vogtmann 2008

Examples of applications of Outer space

- The group $\text{Out}(F_n)$
- Moduli spaces of punctured surfaces
- Tropical curves
- Invariants of symplectic manifolds
- Classical modular forms
- (Mathematical) physics \rightarrow Marko's talk
- Graph complexes \rightarrow Francis' talk

Invariants

- $H_\bullet(\text{Out}(F_n); \mathbb{Q}) \simeq H_\bullet(\mathcal{O}_n / \text{Out}(F_n); \mathbb{Q}) = H_\bullet(\mathcal{G}_n; \mathbb{Q})$,
as \mathcal{O}_n is contractible [Culler, Vogtmann \(1986\)](#).

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- One simple invariant: Euler characteristic

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$\Rightarrow \mathcal{T}_n$ does not have finitely-generated homology for $n \geq 3$ if $\chi(\text{Out}(F_n)) \neq 0$.

Conjectures

Conjecture Smillie-Vogtmann (1987)

$$\chi(\text{Out}(F_n)) \neq 0 \text{ for all } n \geq 2$$

and $|\chi(\text{Out}(F_n))|$ grows exponentially for $n \rightarrow \infty$.

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Theorem Bestvina, Bux, Margalit (2007)

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Results: $\chi(\text{Out}(F_n)) \neq 0$

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- Where does all this homology come from?

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- In this talk: Focus on proof of Theorem B

Analogy to the mapping class group

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- ⇒ **Kontsevich's proof served as a blueprint for $\chi(\text{Out}(F_n))$.**

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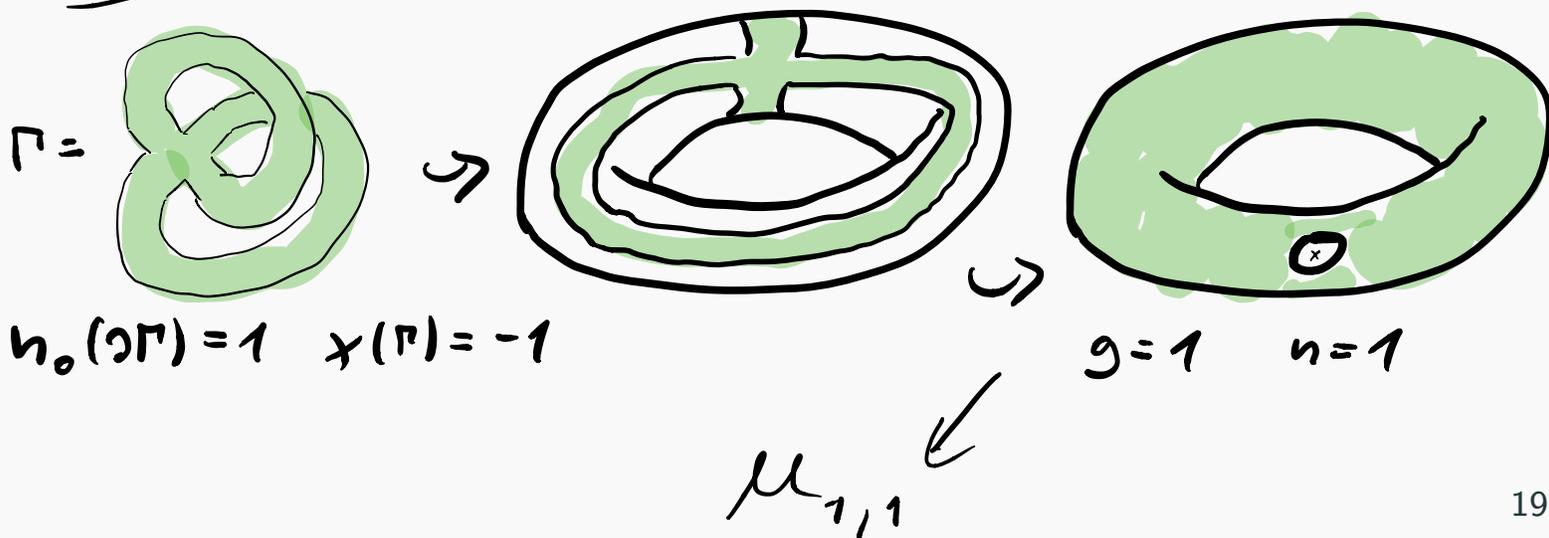
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$$1 \rightarrow \pi_1(\mathcal{S}_{g,n}) \rightarrow \mathcal{M}_{g,n+1} \rightarrow \mathcal{M}_{g,n} \rightarrow 1$$

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- Let \mathcal{H} be the \mathbb{Q} -vector space spanned by a set of graphs:

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$$\sum_{g,n} \frac{\chi(\mathcal{M}_{g,n})}{n!} z^{2-2g-n} = \phi(\mathcal{X})$$

where

$$\mathcal{X} := \sum_G \frac{G}{|\text{Aut } G|} z^{\chi(G)} \in \mathcal{H}[[z^{-1}]]$$

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$\Rightarrow \phi$ is very simple and easy to handle via topological field theory.

- For $\text{Out}(F_n)$, we find that

$$\sum_{n \geq 1} \chi(\text{Out}(F_{n+1})) z^{-n} = \tau(\mathcal{X})$$

with \mathcal{X} as before and

$$\tau : \mathcal{H} \rightarrow \mathbb{Q}, G \rightarrow \sum_{f \subset G} (-1)^{|E_f|}$$

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⇒ Not directly approachable with a TFT...

- The necessary combinatorial model is the ‘forest collapse’ construction by [Culler-Vogtmann \(1986\)](#).

The Hopf algebra of graphs

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- With disjoint union of graphs

$m : \mathcal{H} \otimes \mathcal{H} \rightarrow \mathcal{H}, G_1 \otimes G_2 \mapsto G_1 \uplus G_2$ as multiplication, the empty graph \emptyset associated with the neutral element \mathbb{I} ,

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where the sum is over all *bridgeless* subgraphs,

- the vector space \mathcal{H} becomes the *core* Hopf algebra of graphs [Kreimer \(2009\)](#), which is closely related to the Hopf algebra of renormalization in quantum field theory.

$$\begin{aligned}
\Delta \text{ (triangle with 3 dots)} &= \sum_{g \subset \text{ (triangle with 3 dots)}} g \otimes \text{ (triangle with 3 dots)} / g = -4 \text{ (triangle with 3 dots)} \otimes \text{ (triangle with 3 dots)} + 4 \text{ (triangle with 3 dots)} \otimes \text{ (circle with 2 dots)} + \\
&+ 3 \text{ (square with 4 dots)} \otimes \text{ (two circles)} + 6 \text{ (triangle with 3 dots)} \otimes \text{ (circle with 1 dot)} + \text{ (triangle with 3 dots)} \otimes \bullet
\end{aligned}$$

- Characters, i.e. linear maps $\psi : \mathcal{H} \rightarrow \mathcal{A}$ which fulfill $\psi(\mathbb{I}) = \mathbb{I}_{\mathcal{A}}$ form a group under the convolution product,

$$\psi \star \mu = m \circ (\psi \otimes \mu) \circ \Delta$$

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Theorem MB-Vogtmann ~~(2019)~~ (2019)

The map ϕ associated to $\mathcal{M}_{g,n}$ and the map τ associated to $\text{Out}(F_n)$ are mutually inverse elements under this group:

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- That means τ is the *renormalized* version of ϕ .

- Recall that $\chi(\mathcal{M}_{g,n})$ is *explicitly* encoded by a TFT:

$$\sum_{g,n} \frac{\chi(\mathcal{M}_{g,n})}{n!} z^{2-2g-n} = \phi(\mathcal{X}) = \log \left(\frac{1}{\sqrt{2\pi z}} \int_{\mathbb{R}} e^{z(1+x-e^x)} dx \right)$$

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- The duality between ϕ and τ implies that $\chi(\text{Out}(F_n))$ is encoded by the *renormalization* of the same TFT:

$$0 = \log \left(\frac{1}{\sqrt{2\pi z}} \int_{\mathbb{R}} e^{z(1+x-e^x) + \frac{x}{2} + T(-ze^x)} dx \right)$$

where $T(z) = \sum_{n \geq 1} \chi(\text{Out}(F_{n+1})) z^{-n}$.

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- This TFT encodes the statement of Theorem 2 and gives an *implicit* encoding of the numbers $\chi(\text{Out}(F_n))$.

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$$\tilde{\chi}(\text{Out } F_n) = \sum_{\langle \sigma \rangle} \chi(C_\sigma)$$

sum over conjugacy elements of finite order in $\text{Out } F_n$ and C_σ is the centralizer corresponding σ **Brown (1982)**.

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sum over conjugacy elements of finite order in $\text{Out } F_n$ and C_σ is the centralizer corresponding σ [Brown \(1982\)](#).

\Rightarrow Preliminary investigations on C_σ indicate that

$$\lim_{n \rightarrow \infty} \frac{\tilde{\chi}(\text{Out } F_n)}{\chi(\text{Out } F_n)} = c > 0$$

Euler characteristics of Kontsevich's graph complexes

A missing piece:

complex	rational: χ	integral: e
associative/ $\mathcal{M}_{g,n}$	Harer, Zagier 1986	Harer, Zagier 1986
commutative	Kontsevich 1993	Willwacher, Živković 2014
Lie/ $\text{Out}(F_n)$	Kontsevich 1993	?

Lie/ $\text{Out}(F_n)$ integral case $e(\text{Out}(F_n))$ only known for $n \leq 11$.

Thanks to a supercomputer calculation by Morita 2014.

Missing Euler characteristic of the Lie case

Theorem MB, Vogtmann 2020 (in preparation)

$$\prod_{n \geq 1} \left(\frac{1}{1 - z^{-n}} \right)^{e(\text{Out}(F_{n+1}))} = \left(\prod_{k \geq 1} \int \frac{d x_k}{\sqrt{2\pi k/z^k}} \right) e^{\sum_{k \geq 1} \frac{z^k}{k} \left(c_k - \frac{c_{2k}}{2} + \frac{c_k^2}{2} - \frac{x_k^2}{2} - (1+c_k) \sum_{j \geq 1} \frac{\mu(j)}{j} \log(1+c_{jk}) \right)}$$

where $c_{2k} = x_{2k} + z^{-k}$ and $c_{2k-1} = x_{2k-1}$ for all $k \geq 1$.

\Rightarrow 'Explicit' formula for $e(\text{Out}(F_n))$.

(Can be 'easily' computed up to $n = 40$ vs 11 known values.)

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What generates it?

- The TFT analysis indicates a non-trivial ‘duality’ between $\text{MCG}(S_g)$ and $\text{Out}(F_n)$. . . Koszul duality (?)
- Can renormalized TFT arguments also be used for other groups and for finer invariants? For instance RAAGs or explicit homology groups.



Bonus: Sketch of Kontsevich's TFT proof of the Harer-Zagier formula

Step 1 of Kontsevich's proof

Generalize from \mathcal{M}_g to $\mathcal{M}_{g,n}$, the moduli space of surfaces of genus g and n punctures.

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Every point in $\mathcal{M}_{g,n}$ can be associated with a ribbon graph Γ such that

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Used by [Penner \(1988\)](#) to calculate $\chi(\mathcal{M}_g)$ with Matrix models.

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This is the perturbative series of a simple TFT:

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Evaluation is classic (Stirling/Euler-Maclaurin formulas)

$$= \sum_{k \geq 1} \frac{\zeta(-k)}{-k} z^{-k}$$

Last step of Kontsevich's proof

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⇒ recover Harer-Zagier formula using the identity

$$\chi(\mathcal{M}_{g,n+1}) = (2 - 2g - n)\chi(\mathcal{M}_{g,n})$$

**Analogous proof strategy for
 $\chi(\text{Out}(F_n))$ using renormalized TFTs**

Step 1

Generalize from $\text{Out}(F_n)$ to $A_{n,s}$ and from \mathcal{O}_n to $\mathcal{O}_{n,s}$, Outer space of graphs of rank n and s legs.

Contant, Kassabov, Vogtmann (2011)

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Forgetting a leg gives the short exact sequence of groups

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Renormalized TFT interpretation [MB-Vogtmann \(2019\)](#):

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$$\sum_{\substack{g \subset G \\ g \text{ bridgeless}}} \tau(g) (-1)^{|E_{G/g}|} = 0 \quad \text{for all } G \neq \emptyset$$

$\Rightarrow \tau$ is an inverse of a character in a Connes-Kreimer-type renormalization Hopf algebra. [Connes-Kreimer \(2001\)](#)

The group invariants $\chi(A_{n,s})$ are encoded in a [renormalized TFT](#).

Let

$$T(z, x) = \sum_{n, s \geq 0} \chi(A_{n, s}) z^{1-n} \frac{x^s}{s!}$$

TFT evaluation

Let

$$T(z, x) = \sum_{n, s \geq 0} \chi(A_{n, s}) z^{1-n} \frac{x^s}{s!}$$

then

$$1 = \frac{1}{\sqrt{2\pi z}} \int_{\mathbb{R}} e^{T(z, x)} dx$$

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Using the short exact sequence, $1 \rightarrow F_n \rightarrow A_{n, s} \rightarrow A_{n, s-1} \rightarrow 1$ results in the **action**

$$1 = \frac{1}{\sqrt{2\pi z}} \int_{\mathbb{R}} e^{z(1+x-e^x) + \frac{x}{2} + T(-ze^x)} dx$$

where $T(z) = \sum_{n \geq 1} \chi(\text{Out}(F_{n+1})) z^{-n}$.

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This gives the **implicit** result in Theorem B.

