

# Integrability in Planar AdS/CFT and Yangian Symmetry

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# Introduction and Overview

This talk is about **Integrability in the planar AdS/CFT duality**:

- Proposed duality between string/gauge (gravity/QFT) models.
- Integrable structures observed in the planar limit in some models.
- Integrability provides toolkit for efficient computations.
- Integrability applied to confirm central aspects of AdS/CFT.
- Yangian invariance as a hidden symmetry related to integrability.

**Goals:** Improve understanding of QFT and gravity in terms of:

- algebraic and analytical methods
- non-perturbative results
- unconventional symmetries

**Overview:**

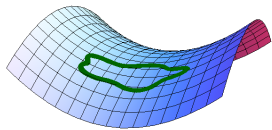
- AdS/CFT correspondence (introduction)
- integrability and achievements (review)
- Yangian symmetry (current work)

# I. Cast of Characters

# AdS/CFT Correspondence

Need to understand strings on **curved target spaces**:

- non-linear equations
- spectrum difficult
- scattering?! how to get started?



Major achievement: conjectured exact **AdS/CFT duality**

[ Maldacena  
hep-th/9711200 ]

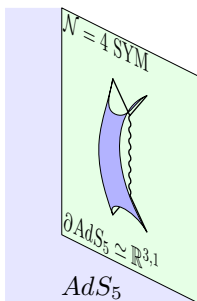
- string (gravitational) theory on AdS target space,
- conformal field theory (CFT) on boundary of AdS.

**Prototype duality:**

- IIB strings on  $AdS_5 \times S^5$  target space
- $\mathcal{N} = 4$  supersymmetric Yang–Mills (4D CFT)

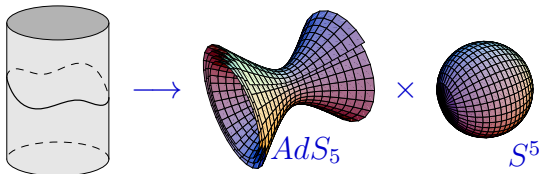
Features:

- highly symmetric, highly accessible;
- but: non-linear models, **strong/weak duality**.



# Strings on $AdS_5 \times S^5$

IIB superstrings on curved  $AdS_5 \times S^5$  space:

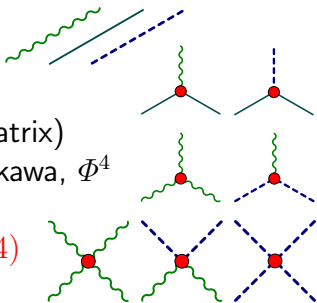


- 2D non-linear sigma model (QFT),
- worldsheet coupling  $\lambda$ ,
- string coupling:  $g_s$ ,
- weakly coupled for large  $\lambda$ ,
- symmetry: background isometries  $\widetilde{PSU}(2, 2|4)$ .

# $\mathcal{N} = 4$ Super Yang–Mills Theory

4D Quantum Field Theory Model: Brink  
Schwarz  
Scherk

- gauge field  $A_\mu$ , 4 fermions  $\Psi$ , 6 scalars  $\Phi$ .
- gauge group typically  $SU(N_c)$
- all fields **massless** and adjoint ( $N_c \times N_c$  matrix)
- standard couplings: non-abelian gauge, Yukawa,  $\Phi^4$
- coupling constant  $g_{YM}$ , topological angle  $\theta$
- exact superconformal **symmetry**  $\widetilde{PSU}(2, 2|4)$

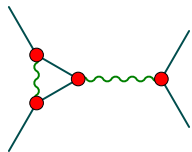


**Supersymmetry** helps:

- protects some quantities, e.g.  $\beta = 0$ ,
- but still model far from trivial!

**Weakly coupled** for small  $g_{YM}$

compute by Feynman graphs (**hard!**)

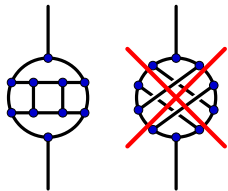


# Planar Limit

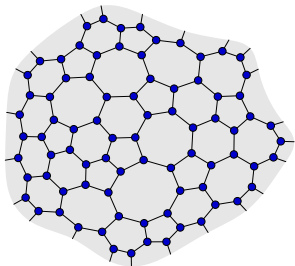
**Planar limit** in gauge theory:

- large- $N_c$  limit:  $N_c = \infty$ ,  $g_{\text{YM}} = 0$ ,  
't Hooft coupling  $g_{\text{YM}}^2 N_c =: \lambda$  **remains**,
- only **planar** Feynman graphs,  
**no** crossing propagators,
- drastic combinatorial **simplification**.

[ 't Hooft  
Nucl. Phys.  
B72, 461 ]

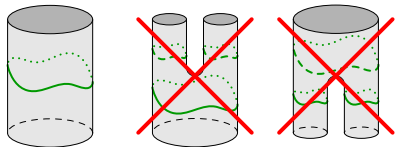


**Surface** of Feynman graphs  
becomes 2D string worldsheet:



**Planar limit** in string theory:

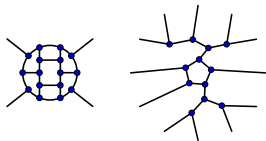
- **no** string coupling  $g_s = 0$ ,
- **no** string splitting or joining.
- worldsheet coupling  $\lambda$  **remains**.



# Integrability

Standard QFT approach: **Feynman graphs**

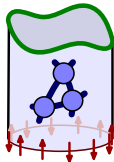
- enormously **difficult** at **higher loops** ...
- ... but also at lower loops and **many legs**.



Planar  $\mathcal{N} = 4$  SYM is **integrable** ... see review collection [NB et al. 1012.3982]

- ... so is the AdS/CFT dual string theory.
- integrability **vastly simplifies** calculations.
- spectrum of local operators now largely understood.
- can compute observables at **finite coupling**  $\lambda$ .
- simple **integral equation** for **cusped dimension**  $D_{\text{cusp}}(\lambda)$ .

[NB, Eden  
Staudacher]



Local, gauge-invariant operators, e.g. / dual to string states:

$$\mathcal{O} = \text{Tr} \mathcal{D}^{n_1} \Phi \mathcal{D}^{n_2} \Phi \dots \mathcal{D}^{n_L} \Phi \quad \longleftrightarrow \quad \text{[Three diagrams: a circle, a lens shape, and a wavy shape]}$$

Observable: scaling dimension  $D_{\mathcal{O}}$  / dual to energy of string state

$$\langle \mathcal{O}(x) \mathcal{O}(y) \rangle \sim |x - y|^{-2D_{\mathcal{O}}}.$$



## II. Planar AdS/CFT Spectrum using Integrability



# Cusp Dimension

Cusp dimension determined by AdS/CFT planar integrable system!

Compute cusp dimension using Bethe equations. **Integral eq.:** Eden  
Staudacher

$$\psi(x) = K(x, 0) - \int_0^\infty K(x, y) \frac{dy y}{e^{2\pi y/\sqrt{\lambda}} - 1} \psi(y).$$

Kernel  $K = K_0 + K_1 + K_d$  made from Bessel  $J_{0,1}$  with

NB, Eden  
Staudacher

$$K_0(x, y) = \frac{x J_1(x) J_0(y) - y J_0(x) J_1(y)}{x^2 - y^2},$$

$$K_1(x, y) = \frac{y J_1(x) J_0(y) - x J_0(x) J_1(y)}{x^2 - y^2},$$

$$K_d(x, y) = 2 \int_0^\infty K_1(x, z) \frac{dz z}{e^{2\pi z/\sqrt{\lambda}} - 1} K_0(z, y).$$

Cusp anomalous dimension:  $D_{\text{cusp}} = (\lambda/\pi^2)\psi(0)$ .

# Weak/Strong Expansion

**Weak-coupling** solution of integral equation

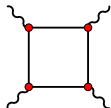
[NB, Eden  
Staudacher]

$$D_{\text{cusp}}(\lambda) = \frac{1}{2} \frac{\lambda}{\pi^2} - \frac{1}{96} \frac{\lambda^2}{\pi^2} + \frac{11}{23040} \frac{\lambda^3}{\pi^2} - \left( \frac{73}{2580480} + \frac{\zeta(3)^2}{1024\pi^6} \right) \frac{\lambda^4}{\pi^2} \pm \dots$$

Confirmed by gluon scattering amplitudes

[Bern  
Dixon  
Smirnov] [Bern, Czakon, Dixon  
Kosower, Smirnov]

$$A(p, \lambda) \simeq A^{(0)}(p) \exp(2D_{\text{cusp}}(\lambda)M^{(1)}(p)).$$



Connection between integrability & scattering amplitudes? **later...**

**Strong-coupling** asymptotic solution of integral equation

[Casteill  
Kristjansen] [Basso  
Korchemsky  
Kotanski]

$$E_{\text{cusp}}(\lambda) = \frac{\sqrt{\lambda}}{\pi} - \frac{3 \log 2}{\pi} - \frac{\beta(2)}{\pi\sqrt{\lambda}} + \dots$$



Agreement with energy of spinning string.

[Gubser  
Klebanov  
Polyakov] [Frolov  
Tseytlin] [Roiban  
Tirziu  
Tseytlin]

# Finite-Coupling Interpolation

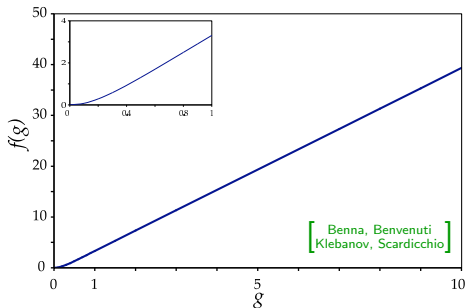
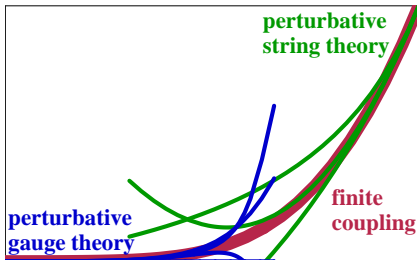
Cusp dimension can be computed numerically at finite coupling  $\lambda$ .

Smooth interpolation between perturbative gauge and string theory

- in the Bethe equations (left),
- in the cusp dimension (right).

[ NB, Eden  
Staudacher ]

[ Benna, Benvenuti  
Klebanov, Scardicchio ]



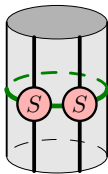
[ Benna, Benvenuti  
Klebanov, Scardicchio ]

An exact result in a (planar) 4D gauge theory at **finite coupling**.

# Thermodynamic Bethe Ansatz

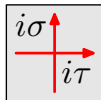
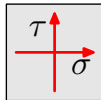
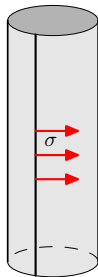
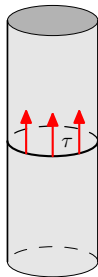
Bethe equations **not exact** for **finite size**?

- scattering assumes **infinite worldsheet**,
- actual string states defined on **finite cylinder**,
- Lüscher terms: **virtual particles** around cylinder.



## Thermodynamic Bethe Ansatz:

- idea: **space has finite extent**,  
but **time is infinite**.
- consider evolution in **space**,  
scattering problem on infinite line.
- in 2D: **double Wick rotation**.  
**Same S-matrix.**

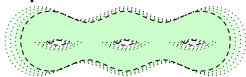
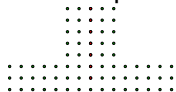


Obtain **infinite set** of **coupled integral equations**.

# Techniques and Applications

Arsenal of improved integrable techniques:

- T/Y-System
- Hirota equations
- Baxter equations
- quantum curves
- finite non-linear integral equations



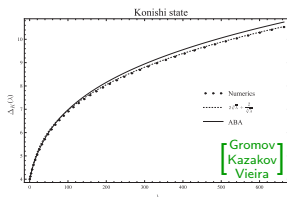
Consider now particular state (“Konishi”), e.g.

$$\mathcal{O} = \text{Tr} \Phi_m \Phi_m.$$

Can now compute the dimension or energy:

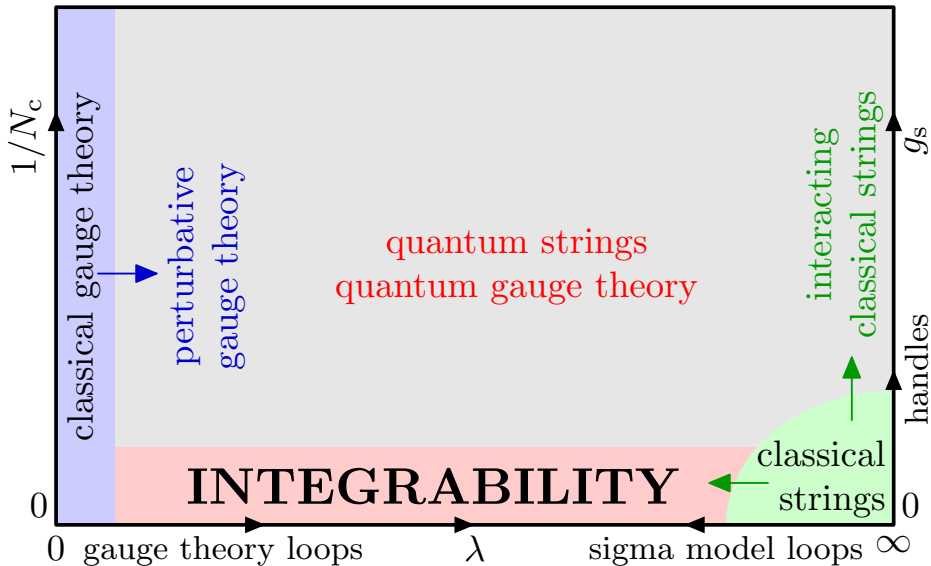
- interpolation from weak to strong coupling,
- 8 loops: sum of (multiple) zeta values

$$D = 2 + \frac{3\lambda}{4\pi^2} - \frac{3\lambda^2}{16\pi^4} + \frac{21\lambda^3}{256\pi^6} - \frac{(78 - 18\zeta(3) + 45\zeta(5))\lambda^4}{2048\pi^8} + \dots$$



[Bajnok  
Janik] [Leurent  
Volin]

# Charted Territory



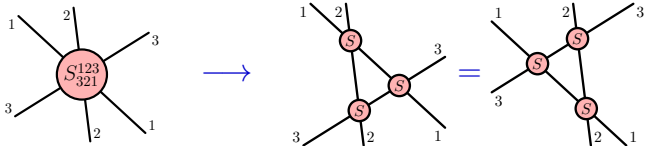
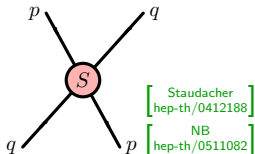


# III. Selected Achievements using Integrability

# Worksheet Scattering

Integrability methods rely on **scattering picture** for 2D worksheet.

- 8 bosonic + 8 fermionic excitations,
- $\mathfrak{su}(2|2) \oplus \mathfrak{su}(2|2)$  residual symmetry,
- 2-particle **scattering matrix**  $S$ ,
- $S(p, q; \lambda)$  at finite  $\lambda$  determined by symmetry,
- integrability: factorised multi-particle scattering, **YBE**.



Unusual non-local **symmetry**:

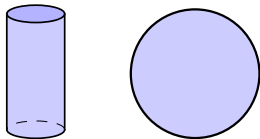
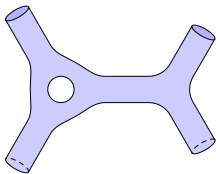
- infinite-dimensional quantum algebra: **Yangian**
- novel deformation of **Yangian**  $Y[\mathfrak{u}(2|2)]$  (maths)
- investigations of algebra ongoing



# Correlation Functions

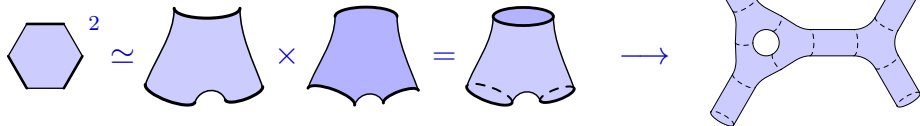
Integrable methods to compute  
correlation functions efficiently?

But: Integrability applies to  
annulus and disc topology only!



Stitch together two hexagons to a pair of pants,  
then glue arbitrary correlator (as in string theory):

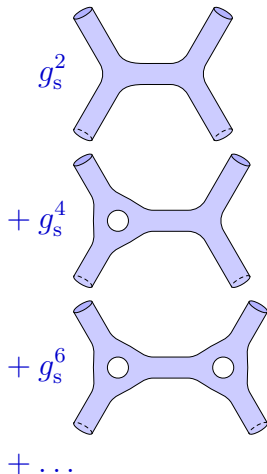
[Escobedo, Gromov Sever, Vieira] [Basso Komatsu Vieira] [ . . . ]



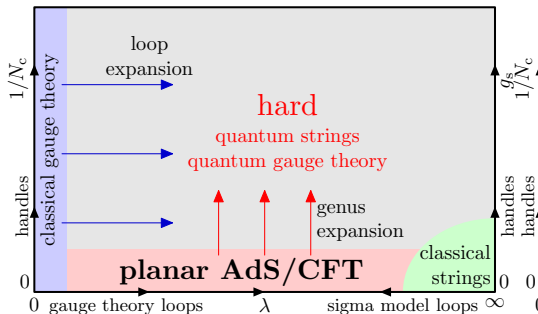
Excitations propagate on/around 2D worldsheet: scattering!

# Genus Expansion

**Outlook:** Genus expansion can now be done by gluing (in principle)



Alternative expansion scheme  
for  $\mathcal{N} = 4$  SYM theory:



# Planar Scattering in Gauge Theory

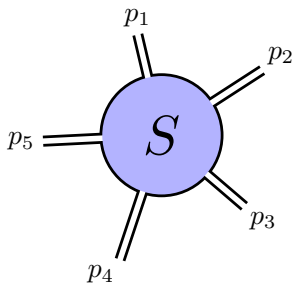
Another observable: colour-ordered **planar scattering**

Much progress in past 15 years (on-shell, geometric & integrable) [...]

Generic **infrared factorisation** for  $S_n(\lambda, p)$ :

$$S_n^{(0)}(p) \exp(D_{\text{cusp}}(\lambda) M_n^{(1)}(p) + R_n(\lambda, p))$$

- tree level scattering  $S_n^{(0)}(p)$
- one loop factor  $M_n^{(1)}(p)$  (IR-divergent)
- **cuspid anomalous dimension**  $D_{\text{cusp}}(\lambda)$
- remainder function  $R_n(p, \lambda)$  (finite)



**Intriguing observation** for  $n = 4, 5$  legs:  $R_n = 0!$

- Computed/confirmed at 4 loops using unitarity.
- Exact result for scattering at **finite**  $\lambda!$  **Why simple?**
- Generalise to  $n \geq 6$  legs! Compute **exact**  $R_n$ ?!

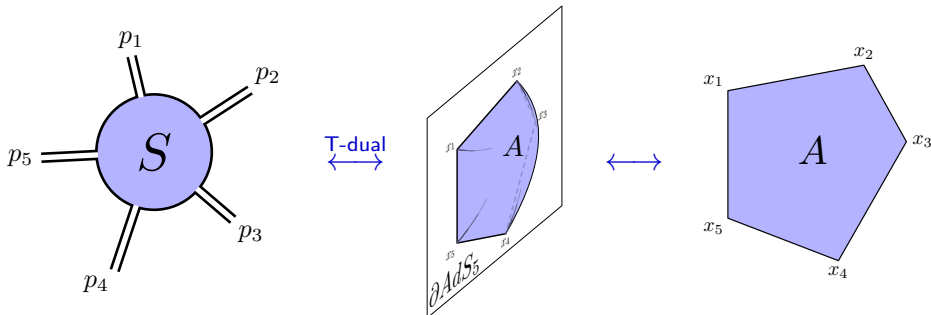
$$\begin{array}{l} \left[ \begin{array}{l} \text{Anastasiou, Bern} \\ \text{Dixon, Kosower} \end{array} \right] \left[ \begin{array}{l} \text{Bern} \\ \text{Dixon} \\ \text{Smirnov} \end{array} \right] \\ \left[ \begin{array}{l} \text{Bern} \\ \text{Dixon} \\ \text{Smirnov} \end{array} \right] \left[ \begin{array}{l} \text{Bern, Czakon, Dixon} \\ \text{Kosower, Smirnov} \end{array} \right] \end{array}$$

# Planar Scattering and Wilson Loops

AdS/CFT provides a **string analog** for planar scattering.

[ Alday  
Maldacena ]

Area of a **minimal surface** in  $AdS_5$  ending on a **null polygon** on  $\partial AdS_5$ .



**AdS/CFT backwards:**

- Minimal surfaces correspond to **Wilson loops** in gauge theory.
- Amplitudes “T-dual” to null polygonal Wilson loops

[ Drummond  
Korchemsky  
Sokatchev ] [ Brandhuber  
Heslop  
Travaglini ]

# Dual Conformal and Yangian Symmetries

$\mathcal{N} = 4$  SYM is **superconformal**:  $PSU(2, 2|4)$  symmetry.

- Amplitudes are conformally invariant.
- Wilson loops are conformally invariant.

**Two conformal symmetries:**

- different action on amplitudes and Wilson loops

- **ordinary** conformal symmetry  $\updownarrow$  T-duality
- **dual** conformal symmetry

- together: generate infinite-dimensional ...
- ... **Yangian algebra**  $Y(PSU(2, 2|4))$ .

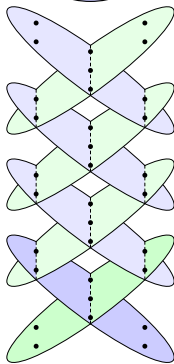
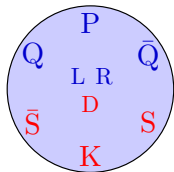
[Drummond, Henn  
Smirnov, Sokatchev] [Drummond  
Korchemsky  
Sokatchev]

[Alday  
Maldacena]

[NB, Ricci  
Tseytlin, Wolf]  
[Drummond  
Henn  
Plefka]

Dual conformal symmetry **explains simplicity**:

- **No** dual conformal **cross ratios** for  $n = 4, 5$ .
- **Remainder** function must be **trivial**:  $R_n = 0$ .



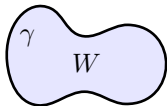
# Wilson Loops

Invariance of null polygonal Wilson loops spoiled by divergences.

Better: can define **finite Wilson loops** in  $\mathcal{N} = 4$  SYM:

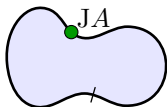
[ Maldacena  
hep-th/9803002 ]

$$W = \text{P Tr} \left[ \exp \oint_{\gamma} (A_{\mu} dx^{\mu} + \Phi_m q^m d\tau) \right], \quad |dx| = |q| d\tau.$$



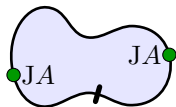
**Conformal action** (level-zero Yangian) equivalent to **single insertion**

$$J^C W = \text{P Tr} \left[ \oint J^C A(\tau) \exp \oint A \right].$$



**Level-one Yangian action: bi-local insertion**

$$\widehat{J}^C W = \text{P Tr} \left[ f_{AB}^C \iint_{\tau_2 > \tau_1} J^A A(\tau_1) J^B A(\tau_2) \exp \oint A \right].$$





# Wilson Loop Expectation Value

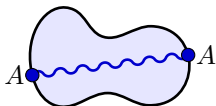
Yangian invariance of Wilson loops requires

[ Müller, Münkler  
Plefka, Pollok, Zarembo ] [ NB, Müller  
Plefka, Vergu ]

$$\langle J^C W \rangle = 0, \quad \langle \widehat{J}^C W \rangle = 0.$$

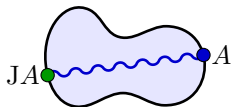
Wilson loop expectation value at  $\mathcal{O}(\lambda)$ :

$$\langle W \rangle \sim \iint \langle A_1 A_2 \rangle.$$



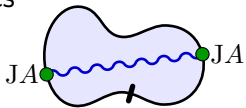
Conformal action vanishes upon integration

$$\langle J^C W \rangle = \iint \langle J^C A_1 A_2 + A_1 J^C A_2 \rangle \simeq 0.$$



Vanishing of level-one action uses additional features

$$\langle \widehat{J}^C W \rangle = f_{AB}^C \iint \langle J^A A_1 J^B A_2 \rangle \simeq 0.$$



Yangian invariance at  $\mathcal{O}(\lambda)$ ! Outlook: Higher perturbative orders?

# Status of AdS/CFT Integrability

implications understood for several observables  
applied to compute at finite coupling  
well-defined math concepts at leading weak coupling  
some perturbative corrections under control  
understood well in classical string theory on  $AdS_5 \times S^5$

## Open Questions:

What is integrability?  
How to define it? How to prove it?  
... at higher loops and finite coupling?

**IV. Yangian Symmetry  
of  
 $\mathcal{N} = 4$  Super Yang–Mills Theory**

# Symmetry of the Model?

**Aim:** Show planar Yangian invariance of the action

[NB, Garus, Rosso]  
1701.09162

$$\hat{\mathcal{J}} \mathcal{S} = 0.$$

How to apply  $\hat{\mathcal{J}}$  to the action  $\mathcal{S}$ ?

- distinction of planar and non-planar parts not evident
- which representation: free, non-linear, quantum?

**Hints:**

- Wilson loop displays Yangian symmetry
- OPE of Wilson loops contains Lagrangian  $\mathcal{L}$

Essential features of the action:

- action is **single-trace** (disc topology)
- action is **conformal** (required for cyclicity)
- action is **not renormalised** (no anomalies?)



# Equations of Motion

Application of  $\widehat{J}$  on the action needs extra care. Consider e.o.m.:

$$\widehat{J}(\text{e.o.m.}) \stackrel{?}{\sim} \text{e.o.m.}$$

Need for consistent of quantum formalism of symmetry!

**Dirac equation** is easiest:

$$D \cdot \Psi + [\Phi, \bar{\Psi}] = \partial \cdot \Psi + i[A, \Psi] + [\Phi, \bar{\Psi}] = 0.$$

Bi-local level-one action on Dirac equation:

$$\begin{aligned} D \cdot \widehat{J}^C \Psi + i[\widehat{J}^C A, \Psi] + [\Phi, \widehat{J}^C \bar{\Psi}] \\ + f_{AB}^C [i\{J^A A, J^B \Psi\} + \{J^A \Phi, J^B \bar{\Psi}\}] = 0. \end{aligned}$$

All terms cancel for proper choice of single-field action  $\widehat{J}^C \Psi, \widehat{J}^C A$

**Equations of Motion Yangian-invariant!**

# Classical Invariance of the Action

Exact symmetries ensure all-order Ward–Takahashi identities

- ... if action is invariant (invariance of e.o.m. not sufficient)
- ... and if there are no quantum anomalies.

Would like to show **invariance of action**  $\hat{\mathcal{J}}\mathcal{S} = 0$ . **Difficulties:**

- **cyclicity**: where to cut open trace? (modulo level zero)
- **non-linearity**: how to deal with terms of different length (2,3,4)?

Using invariance of e.o.m. construct “ $\hat{\mathcal{J}}\mathcal{S}$ ” such that

[NB, Garus, Rosso]  
1803.06310

$$\hat{\mathcal{J}}\mathcal{S} = \dots = 0.$$

very unusual features: • coefficients depend on number of fields,  
• overlapping bi-local terms, • gauge invariance not manifest.

Proper definition of integrability!

# Correlators of Fields

Derive concrete implication of Yangian symmetry.

Consider correlators of fields:

[NB, Garus  
1804.09110]

$$\langle A_1 A_2 A_3 \rangle = \text{diagram 1}$$
$$\langle A_1 A_2 A_3 A_4 \rangle = \text{diagram 2} + \text{diagram 3} + \text{diagram 4}$$

Yangian symmetry implies Ward–Takahashi identities, e.g.

$$J \langle A_1 A_2 A_3 \rangle = \langle J A_1 A_2 A_3 \rangle + \langle A_1 J A_2 A_3 \rangle + \langle A_1 A_2 J A_3 \rangle = 0,$$

$$\widehat{J} \langle A_1 A_2 A_3 \rangle = \langle J A_1 J A_2 A_3 \rangle + \dots + \langle \widehat{J} A_1 A_2 A_3 \rangle + \dots = 0.$$

Verified for several correlators (formal transformations).

However: need to fix a gauge in quantised gauge theory!

# Gauge Fixing

Fix gauge by Faddeev–Popov method; new BRST symmetry  $Q$ .

Impact of gauge fixing on Yangian symmetry?

- introduction of ghost fields, extra terms  $\mathcal{S}_{\text{g.f.}}$  in action;
- must consider **BRST cohomology** ( $Q^2 = 0$ );
- how to represent symmetry on unphysical fields and ghosts?

Conformal symmetry: Residual terms must be BRST exact

$$J\mathcal{S} = J\mathcal{S}_{\text{g.f.}} = Q\mathcal{K}[J].$$

Level-one symmetry: extra bi-local compensating terms required

$$\hat{J}\mathcal{S} = Q\mathcal{K}[\hat{J}] + J\mathcal{K}[Q \wedge J] + (Q \wedge J)\mathcal{K}[J].$$

Action satisfies gauge-fixed invariance condition!

Slavnov–Taylor identities for correlation functions tested.



# Anomalies?

Classical symmetries may suffer from **quantum anomalies**:

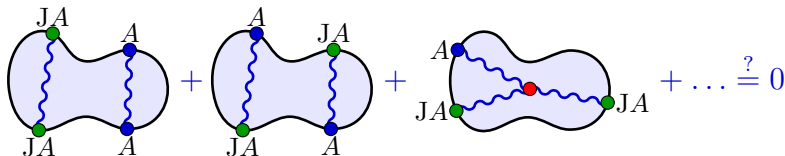
- Not clear how to deal with anomalies for **non-local** action (in colour-space not necessarily in spacetime).
- Violation of (non-local) current? **Cohomological origin?**

**Clues:**

- Integrability appears to work well at finite  $\lambda$ : **expect no anomalies?**
- Not an issue for **Wilson loop expectation value at  $\mathcal{O}(\lambda)$** .

**Outlook:** Consider Wilson loop at  $\mathcal{O}(\lambda^2)$

[NB, Hansen, Munkler  
(in progress)]



Regularise carefully!

# V. Conclusions

# Conclusions

## Review of AdS/CFT Integrability:

- Motivation and constituent models.
- Planar spectrum at finite coupling.
- Progress: correlators, scattering amplitudes, Wilson loops.

## Yangian Symmetry of Planar $\mathcal{N} = 4$ SYM:

- Equations of motion & action variation invariant.
- Classical planar  $\mathcal{N} = 4$  SYM integrable.
- Ward–Takahashi identities due to Yangian symmetry.
- Symmetry compatible with gauge fixing.
- No quantum anomalies to be expected?!