

# Space-Time Structure in the Type IIB Matrix Model

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Space Time Matrices  
@IHES, February 26th, 2019

Based on collaboration with  
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# Introduction

# Type IIB matrix model

Ishibashi-Kawai-Kitazawa-A.T. ('96)

A proposal for nonperturbative formulation of superstring theory

$$S = S_b + S_f$$
$$S_b = -\frac{1}{4g^2} \text{Tr} ([A^\mu, A^\nu][A_\mu, A_\nu])$$
$$S_f = -\frac{1}{2g^2} \text{Tr} (\bar{\psi} \Gamma^\mu [A_\mu, \psi])$$

$N \times N$  Hermitian matrices

$A_\mu$  : 10D Lorentz vector ( $\mu = 0, 1, \dots, 9$ )

$\psi$  : 10D Majorana-Weyl spinor

Space-time does not exist a priori, but is generated dynamically from degrees of freedom of matrices

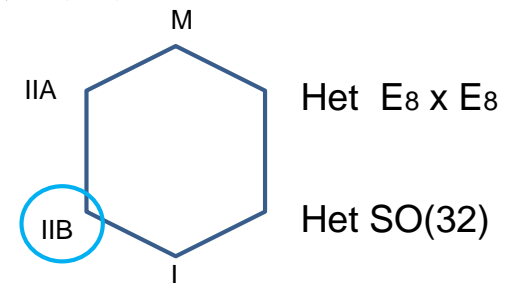
$A_\mu$  : coordinates, whole universe

We can ask whether (3+1)-dim. space-time emerges or not.

# Evidences for nonperturbative formulation

- (1) Manifest **SO(9,1) symmetry** and manifest 10D N=2 SUSY
- (2) Matrix regularization of **Green-Schwarz action of Schild-type** for type IIB superstring with  $\kappa$  symmetry fixed
- (3) Long distance behavior of interaction between D-branes is reproduced
- (4) Light-cone string field theory for type IIB superstring is reproduced from SD equations for Wilson loops under some assumptions
- (5) Believing string duality, one can start from anywhere with nonperturbative formulation to tract strong coupling regime

Fukuma-Kawai-Kitazawa-A.T. ('97)



# Euclidean vs Lorentzian

## ➤ Lorentzian model

$$S_b \propto \text{Tr}(F^{\mu\nu} F_{\mu\nu}) = \boxed{-2\text{Tr}F_{0i}^2} + \boxed{\text{Tr}F_{ij}^2}$$

$$F_{\mu\nu} = -i[A_\mu, A_\nu]$$


opposite sign

**extremely unstable system!**

No one dared to study  
the Lorentzian model

## ➤ Euclidean model

Wick rotation  $A_0 = iA_{10} \quad \Gamma^0 = -i\Gamma^{10}$

  $S_b \propto \text{Tr}(F_{\mu\nu})^2$  positive definite

The flat direction  $[A_\mu, A_\nu] \sim 0$  is lifted due to quantum effects

Aoki-Iso-Kawai-Kitazawa-Tada ('99)

The Euclidean model is well defined without any cutoffs

Krauth-Nicolai-Staudacher ('98),  
Austing-Wheater ('01)

# Why we study the Lorentzian model?

- see time evolution of the Universe  
~ need to study **real time dynamics**
- **Wick rotation in gravitational theory is more subtle** than field theory on flat space-time  
ex.) Causal dynamical triangulation (CDT)      Ambjorn-Jurkiewicz-Loll ('05)  
Coleman mechanism in space-time with Lorentzian signature  
Kawai-Okada ('11)

The Lorentzian model can be totally different from the Euclidean one.

- **Here we study Lorentzian version of the type IIB matrix model**

# Our claim

- The definition of the Lorentzian model is not straightforward.
- Monte Carlo studies suffer from the sign problem (complex action problem).
- We have to use the complex Langevin method.
- (3+1)-dim. Expanding universe emerges dynamically.
- The mechanism suggests a singular space-time structure.
- We discuss the emergence of a smooth space-time.
- A classical solution should dominate the path integral.  
There are infinitely many classical solutions which have (3+1)-dim. expanding behavior with a smooth space-time structure, which supports the emergence of a smooth space-time.

# Plan of the present talk

✓ 1. Introduction

2. Defining the Lorentzian model

3. Emergence of (3+1)-dim. expanding behavior

4. Emergence of a smooth space-time

5. Analysis of classical EOM

6. Space-time structure in classical solutions

7. Summary and discussion

Nishimura-  
A.T.,  
to appear

Hatakeyama-  
Matsumoto-  
Nishimura-  
A.T.-  
Yosprakob,  
to appear





# Defining the Lorentzian model

# Partition function of the Lorentzian model

$$Z = \int dA d\psi \, e^{i(S_b + S_f)} = \int dA \, e^{iS_b} \operatorname{Pf} \mathcal{M}(A)$$

This seems to be natural from the connection to the worldsheet theory.

$$S = \int d^2\xi \sqrt{g} \left( \frac{1}{4} \{X^\mu, X^\nu\}^2 + \frac{1}{2} \bar{\psi} \Gamma^\mu \{X^\mu, \psi\} \right)$$

$$\xi_0 \equiv -i\xi_2$$

The worldsheet coordinates should also be Wick-rotated.

# Regularizing the Lorentzian model

Unlike the Euclidean model,  
the Lorentzian model is NOT well defined as it is.

$$Z = \int dA d\psi e^{i(S_b + S_f)} = \int dA \underbrace{e^{iS_b}}_{\text{pure phase factor}} \underbrace{\text{Pf}\mathcal{M}(A)}_{\text{polynomial in } A}$$

Introduce the IR cutoffs so that  
the extent in temporal and spatial directions become finite.

$$\frac{1}{N} \text{Tr}(A_0)^2 = \kappa L^2$$

$$\frac{1}{N} \text{Tr}(A_i)^2 = L^2$$

In what follows, we set  $L = 1$   
without loss of generality.

# Introducing two more parameters

Pure imaginary action is hard to deal with numerically.  
We introduce two more deformation parameters.

$$Z = \int dA e^{-S(A)} \text{Pf} \mathcal{M}(A)$$

$$S(A) = N\beta e^{-i\frac{\pi}{2}(1-s)} \left( \frac{1}{2} e^{-ik\pi} \text{Tr}[A_0, A_i]^2 - \frac{1}{4} \text{Tr}[A_i, A_j]^2 \right)$$

Wick rotation on the worldsheet

Wick rotation in the target space

$$A_0 \rightarrow e^{-ik\pi/2} A_0$$

$(s, k) = (0, 0)$  corresponds to  
the Lorentzian model.

The first term can be made real positive by choosing  $e^{-i\frac{\pi}{2}(1-s)} e^{-ik\pi} = -1$



$$k = \frac{1+s}{2}$$

We focus on this case for the moment.

# Summary of the definition of the model

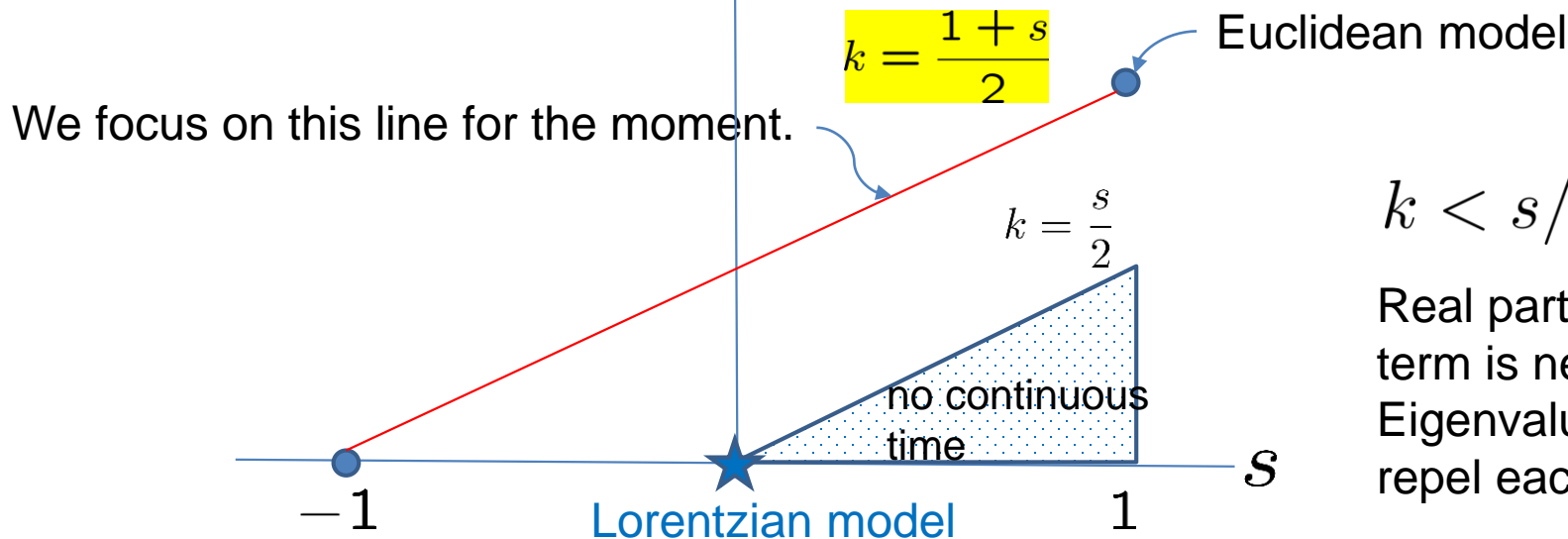
$$Z = \int dA \, e^{-S(A)} \, \text{Pf} \mathcal{M}(A)$$

$$S = N\beta e^{-i\frac{\pi}{2}(1-s)} \left( \frac{1}{2} e^{-i\mathbf{k}\pi} \text{Tr}[A_0, A_i]^2 - \frac{1}{4} \text{Tr}[A_i, A_j]^2 \right)$$

IR cutoffs :

$$\frac{1}{N} \text{Tr}(A_0)^2 = \kappa > 0$$

$$\frac{1}{N} \text{Tr}(A_i)^2 = 1$$



$$k < s/2$$

Real part of the 1<sup>st</sup> term is negative.  
Eigenvalues of  $A_0$  repel each other.


# Complex Langevin method

$A_\mu$  : Hermitian matrix  $\rightarrow$  complex matrix

$$\frac{d(A_\mu)_{ij}}{d\tau} = - \underbrace{\frac{\partial S_{eff}}{\partial (A_\mu)_{ji}}}_{\text{drift term}} + \underbrace{(\eta_\mu)_{ij}(\tau)}_{\text{white noise}} \quad S_{eff} = S - \log \text{Pf} \mathcal{M}$$

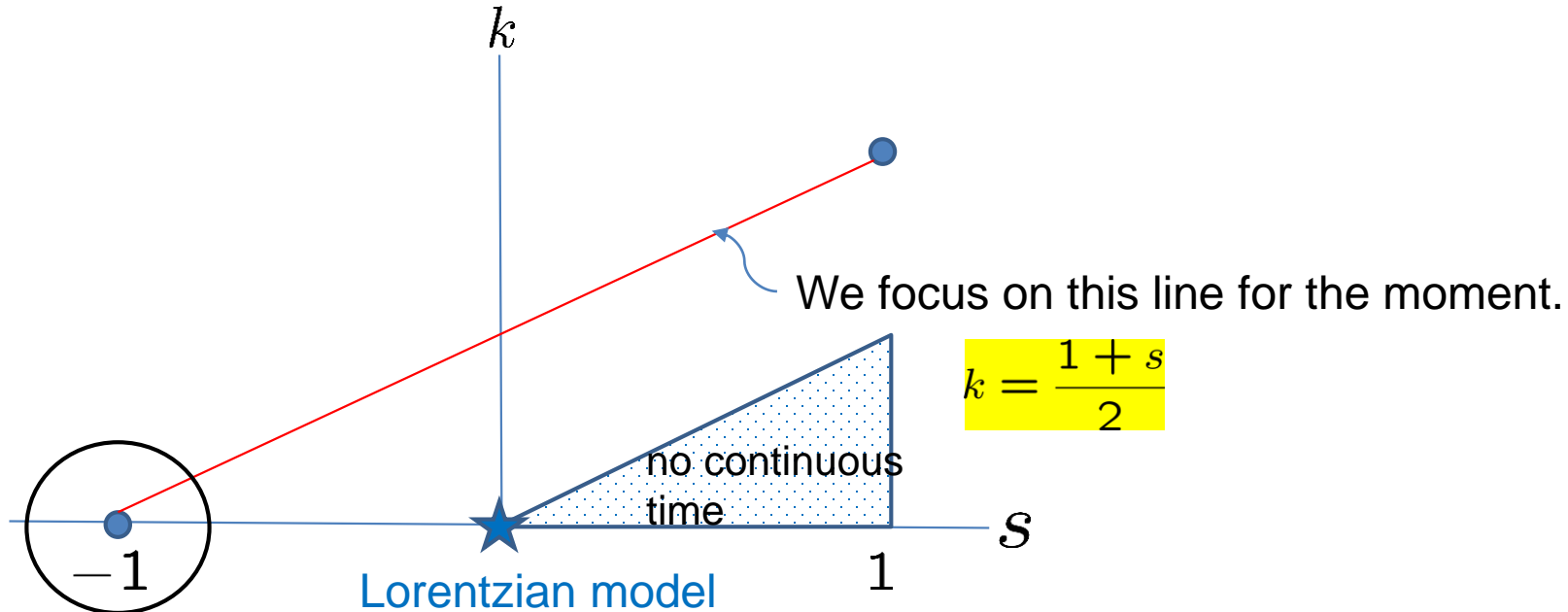
$\tau$  : fictinal time

Whether CLM works well depends on (the parameter region of) the system.



# Emergence of (3+1)-dim. expanding behavior

# Results at $(s,k)=(-1,0)$ in the 6D bosonic model



$$S = N\beta \left( -\frac{1}{2} \text{Tr}[A_0, A_i]^2 + \frac{1}{4} \text{Tr}[A_i, A_j]^2 \right)$$

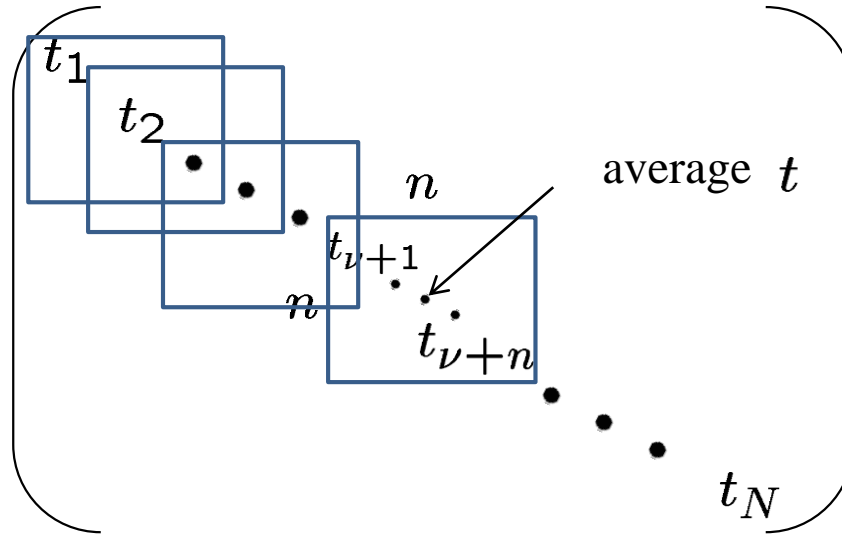
favors  $A_j$  close to diagonal

favors maximal non-commutativity  
between  $A_j$



# Emergence of concept of “time evolution”

$$A_0 =$$



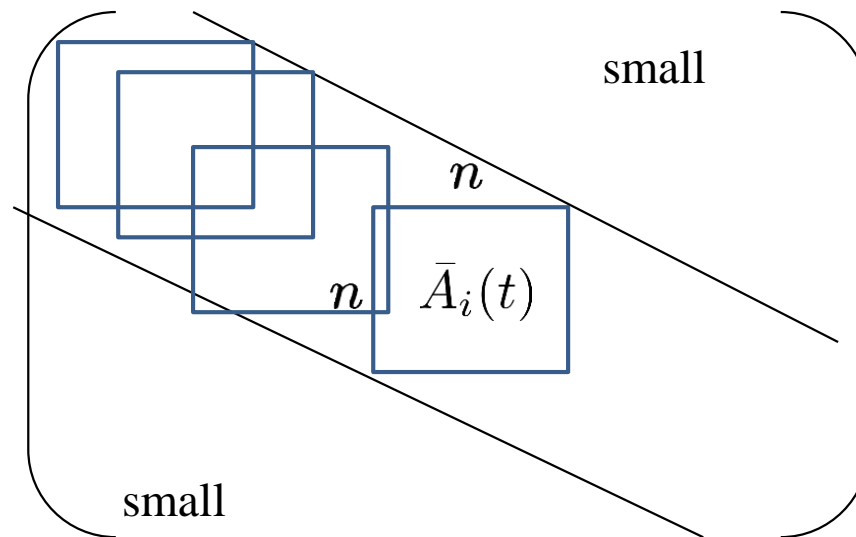
By  $SU(N)$  symmetry, we diagonalize  $A_0$

$$t_1 < t_2 < \cdots < t_N$$

These values are dynamically determined

$$A_i =$$

$$(i = 1, \dots, 5)$$



Band-diagonal structure is observed, which is nontrivial

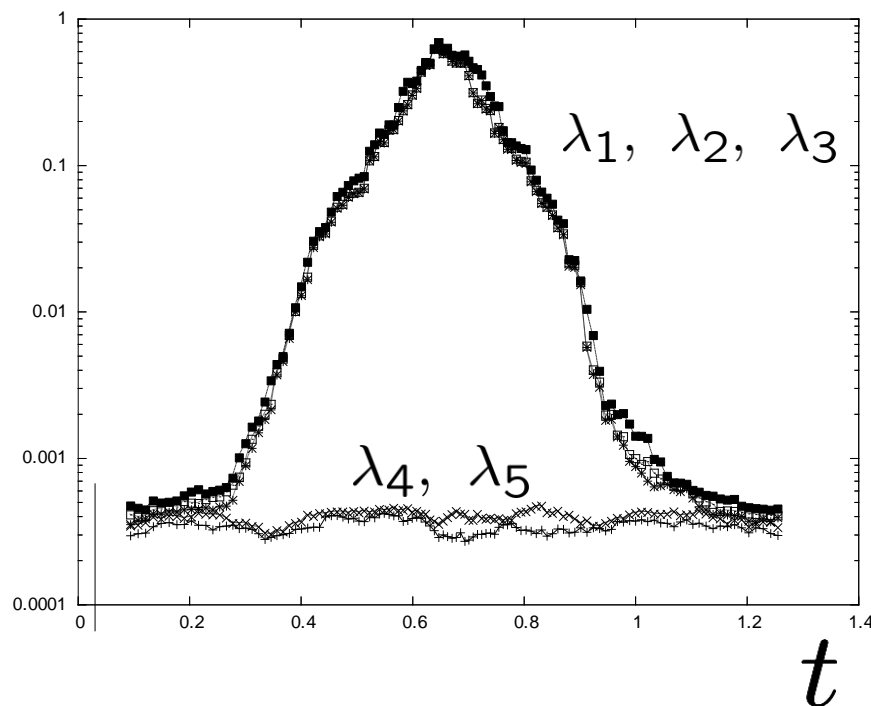
$\bar{A}_i(t)$  represents space structure at fixed time  $t$

concept of “time evolution” emerges

# Emergence of (3+1)-dim. expanding behavior

$$T_{ij}(t) = \frac{1}{n} \text{tr}(\bar{A}_i(t) \bar{A}_j(t)) \quad i, j = 1, \dots, 5 \quad \sim \text{moment of inertia tensor}$$

Eigenvalues  $\lambda_1(t), \dots, \lambda_5(t)$  represent the spatial extent in each directions in 5 directions



$$N = 128 \quad \kappa = 0.13 \quad \beta = 2$$
$$(s, k) = (-1, 0)$$

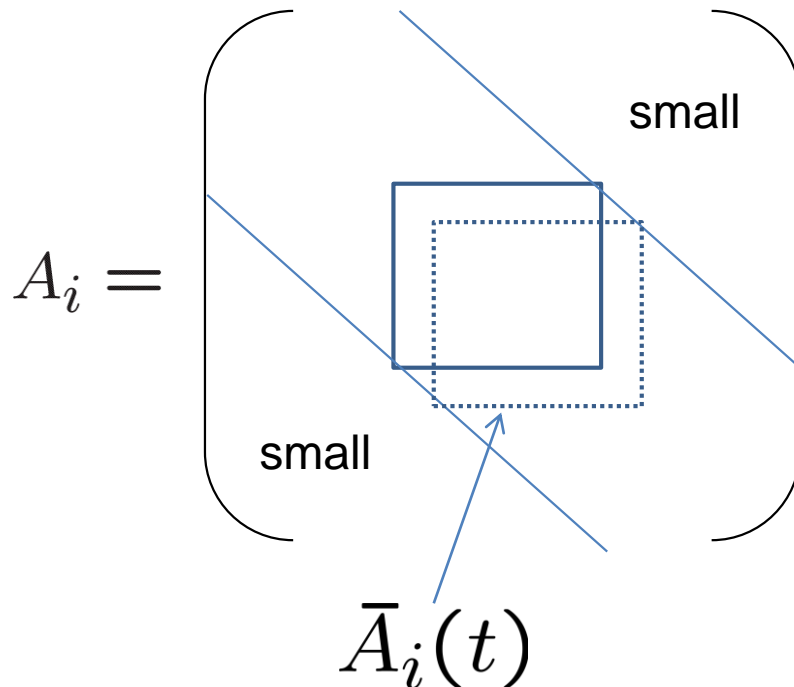
SSB :  $SO(5) \rightarrow SO(3)$   
occurs at some point in time

# The mechanism of SSB

$$S = N\beta \left( -\frac{1}{2} \text{Tr}[A_0, A_i]^2 + \frac{1}{4} \text{Tr}[A_i, A_j]^2 \right)$$

favors  $A_j$  close to diagonal

favors maximal non-commutativity  
between  $A_j$



maximize  $\text{NC} = -\text{tr} [\bar{A}_i(t), \bar{A}_j(t)]^2$   
for  $\text{tr} (\bar{A}_i(t))^2 = \text{const.}$



$$\bar{A}_i(t) \propto \sigma_i \quad \text{for } i = 1, 2, 3$$

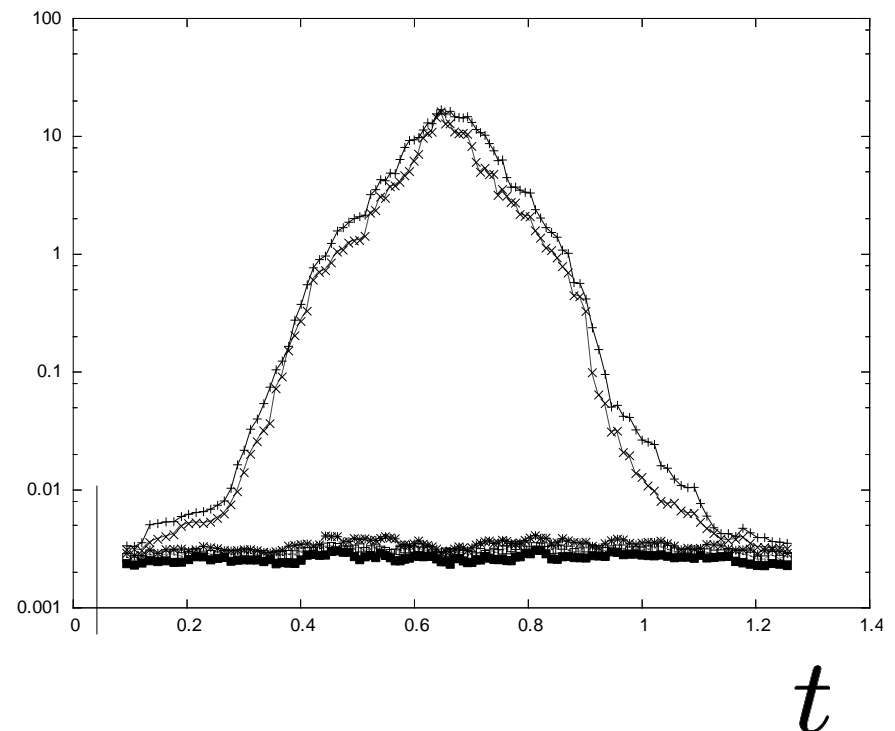
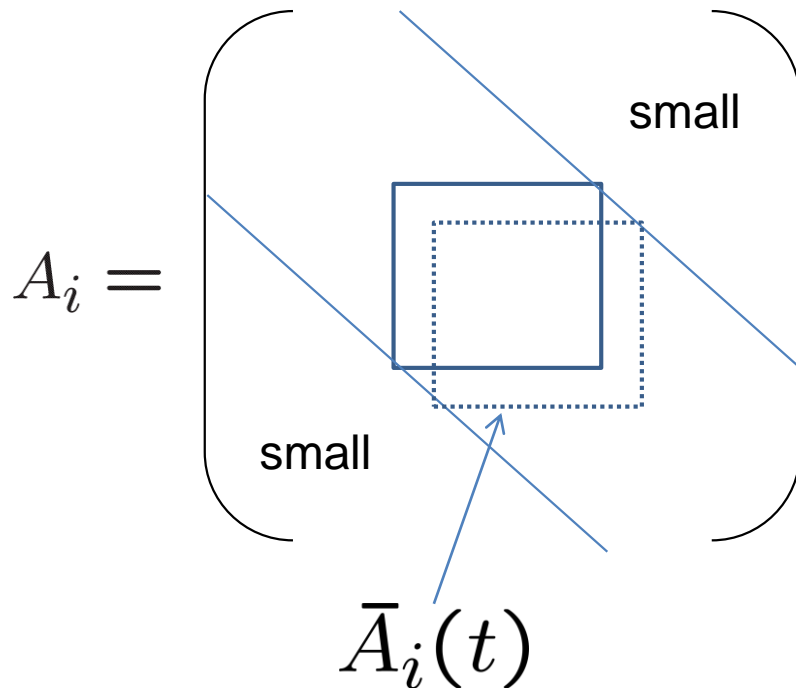
$$\bar{A}_i(t) = 0 \quad \text{for } i \geq 4$$

up to  $\text{SO}(5)$  rotation

# Confirmation of the mechanism

$$N = 128, \quad \kappa = 0.13, \quad \beta = 2, \quad (s, k) = (-1, 0)$$

$$\text{eigenvalues of } Q = \sum_{i=1}^5 \left\{ \bar{A}_i(t) \right\}^2$$

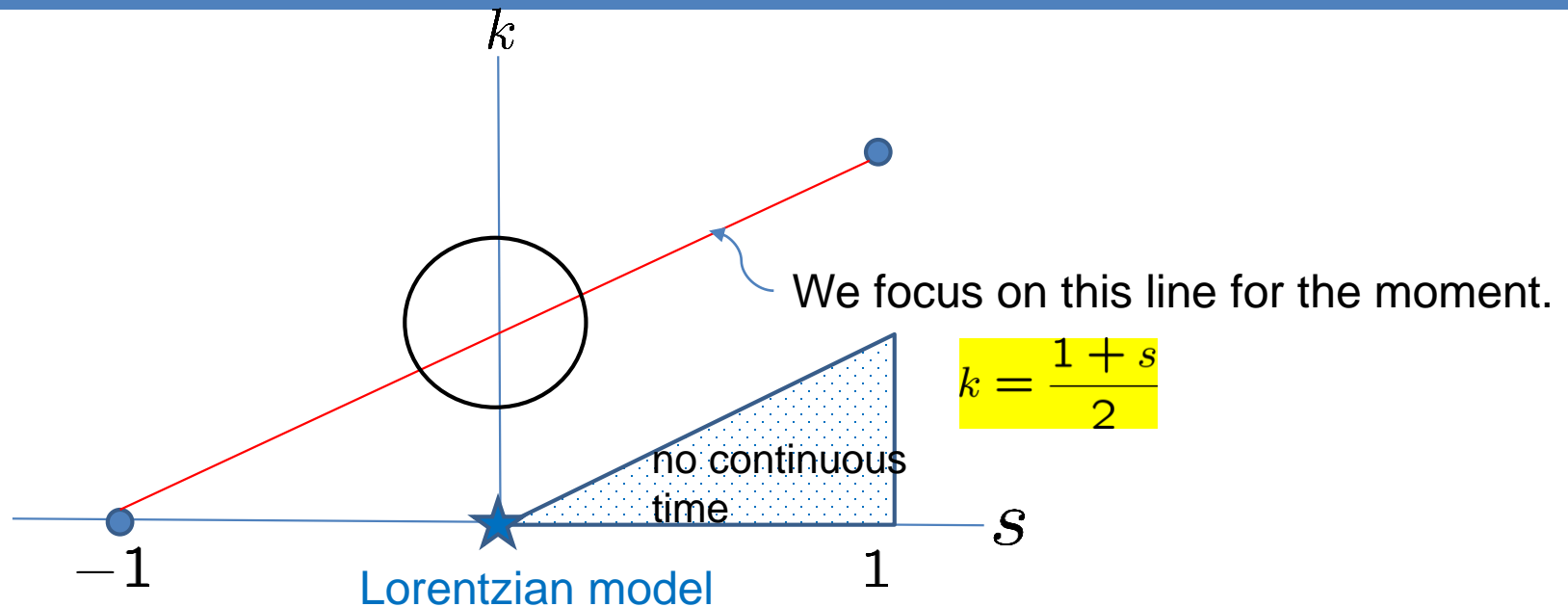


Only 2 eigenvalues of  $Q$  become large.



# Emergence of a smooth space-time

# Exploring the phase diagram



$$S = -N\beta \left( \frac{1}{2} \text{Tr}[A_0, A_i]^2 + \frac{1}{4} e^{-i\frac{\pi}{2}(1-s)} \text{Tr}[A_i, A_j]^2 \right)$$

Real part changes sign at  $s = 0$ .

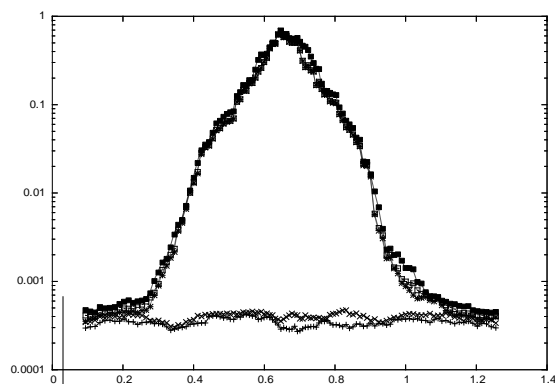
Noncommutativity between  $A_i$

maximize  $\longrightarrow$  minimize

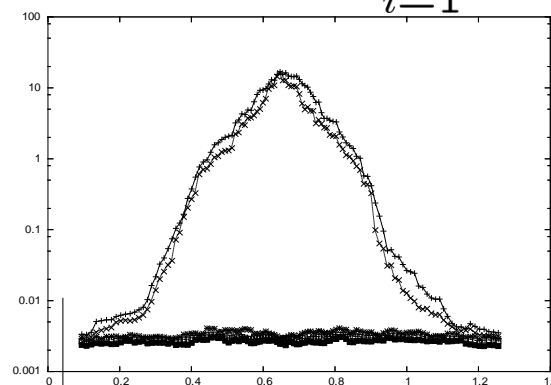
Can we obtain (3+1)-dim. expanding behavior  
with a smooth space-time structure ?

# Comparing $s=-1$ and $s \sim 0$

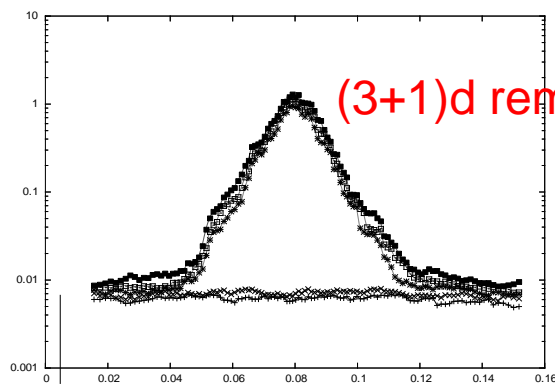
eigenvalues of  $T_{ij}(t) = \frac{1}{n} \text{tr} \left\{ \bar{A}_i(t) \bar{A}_j(t) \right\}$     eigenvalues of  $Q = \sum_{i=1}^5 \left\{ \bar{A}_i(t) \right\}^2$



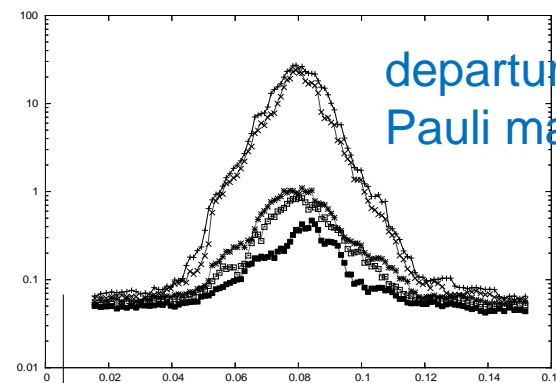
$s = -1$



$N = 128$  ,     $\kappa = 0.13$  ,     $\beta = 2$  ,     $(s, k) = (-1, 0)$



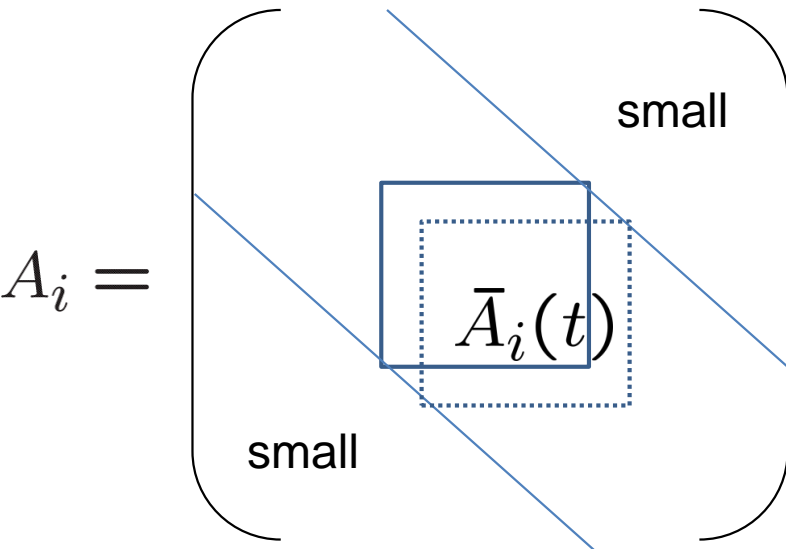
$s \sim 0$



$N = 128$  ,     $\kappa = 0.0037$  ,     $\beta = 32$  ,     $(s, k) = (0.0076, 0.5038)$

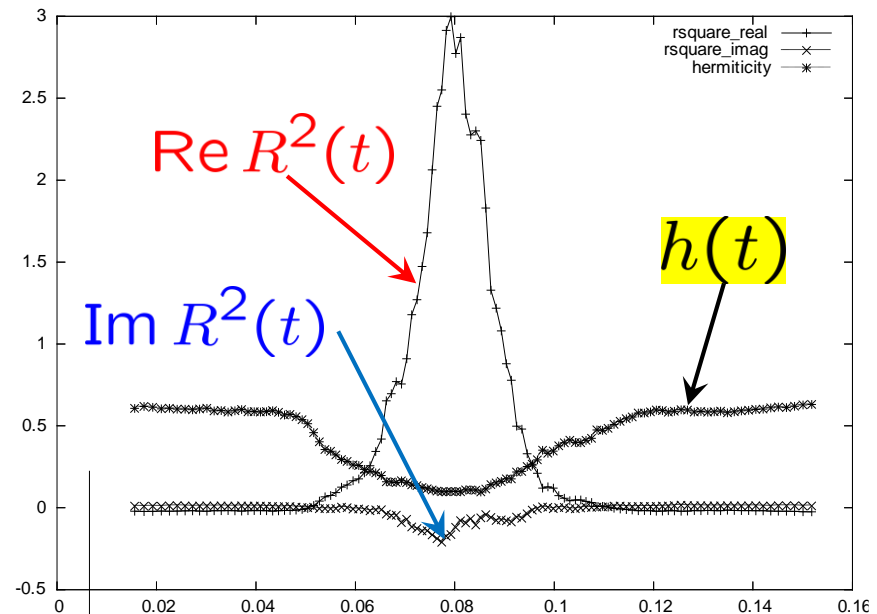
# Hermiticity of the spatial matrices

$$N = 128, \quad \kappa = 0.0037, \quad \beta = 32, \quad (s, k) = (0.0076, 0.5038)$$



$$R^2(t) = \frac{1}{n} \text{tr} (\bar{A}(t)^2)$$

$$h(t) = \frac{-\text{tr} (\bar{A}_i(t) - \bar{A}_i(t)^\dagger)^2}{4 \text{tr} (\bar{A}_i(t)^\dagger \bar{A}_i(t))}$$



$$0 \leq h(t) \leq 1$$

Hermitian                      anti-Hermitian

Spatial matrices become close to Hermitian near the peak of  $\text{Re } R^2(t)$ .

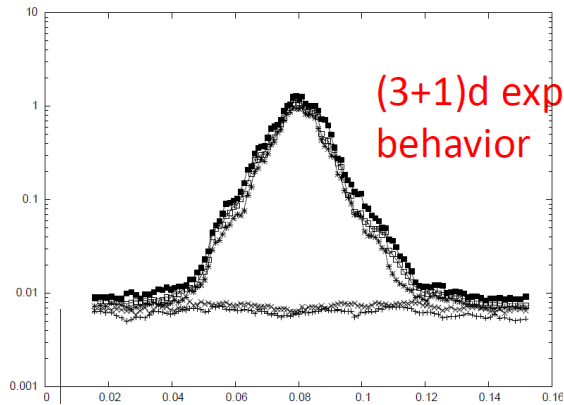


Classical solution seems to be dominating in this region.

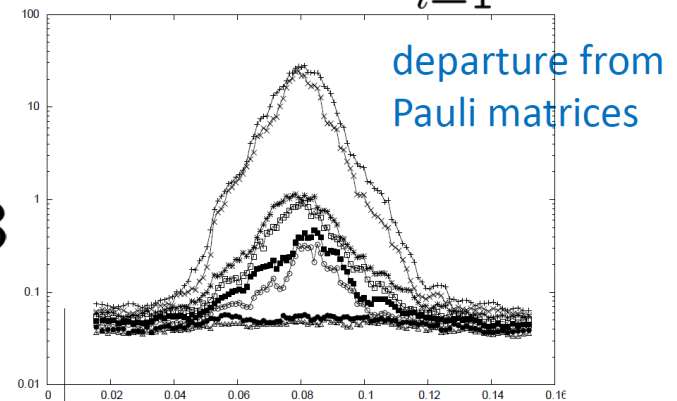


# N=128 vs N=192

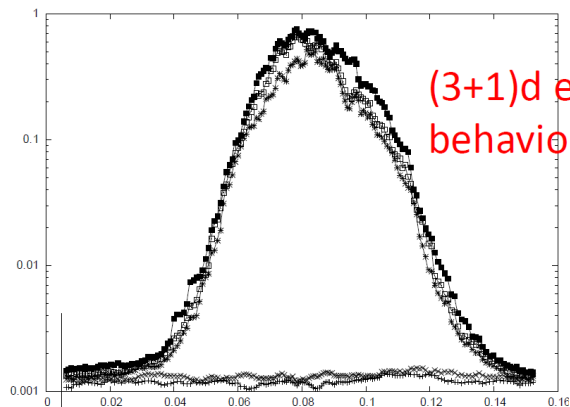
eigenvalues of  $T_{ij}(t) = \frac{1}{n} \text{tr} \left\{ X_i(t) X_j(t) \right\}$     eigenvalues of  $Q = \sum_{i=1}^5 \left\{ X_i(t) \right\}^2$



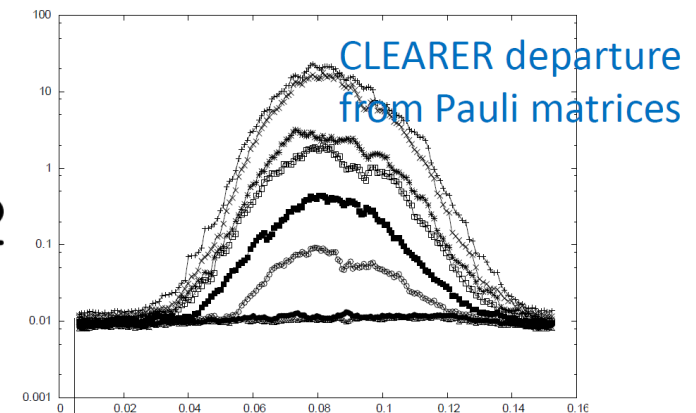
$N = 128$



$N = 128$  ,    $\kappa = 0.0037$  ,    $\beta = 32$  ,    $(s, k) = (0.0076, 0.5038)$  ,    $n = 16$



$N = 192$



$N = 192$  ,    $\kappa = 0.0044$  ,    $\beta = 64$  ,    $(s, k) = (0.0118, 0.5059)$  ,    $n = 24$



# Analysis of classical EOM

# Motivation for studying classical solutions

- The results in numerical simulation suggest that some classical solution is dominating the path integral in the time region near the peak.
- We also expect that the classical equations of motion are expected to become more and more valid at later times which are seen at larger  $N$ , since the value of the action increases with the cosmic expansion.
- It is worth while studying classical solutions. We find that there are infinitely many classical solutions which have (3+1)-dim. expanding behavior with a smooth space-time structure.
- This supports that we obtain (3+1)-dim. expanding behavior with a smooth space-time structure.

# Motivation for studying classical solutions

- The late-time behaviors are difficult to study by direct numerical simulation, since larger matrix sizes are required. Fortunately, we can solve classical EOM with larger matrix size much easier.
- We **develop a numerical algorithm** for searching for classical solutions satisfying the most general ansatz with “**quasi direct product structure**”
- If some classical solution indeed dominates the path integral at later times, we can discuss **a possibility that the Standard model appears by examining various classical solutions.**  
I will not discuss this point in this talk.

# Equation of motion

$$S = -\frac{1}{4}\text{Tr}([A^M, A^N][A_M, A_N])$$



Arnold-Hoppe,  
Arnold-Choe-Hoppe-Huisken-Kontsevich,  
Steinacker,  
Stern,...

$$[A^M, [A_M, A_0]] + \alpha A_0 = 0$$

$$[A^M, [A_M, A_i]] - \beta A_i = 0 \quad (i = 1, \dots, 9)$$

$\alpha, \beta$  : Lagrange multiplier

constraints

$$\frac{1}{N}\text{Tr}(A_0^2) = \kappa$$

$$\frac{1}{N}\text{Tr}(A_i^2) = 1$$

corresponding to IR cutoffs

# Configuration with “quasi direct product structure”

Nishimura-A.T.('13)

$$A_\mu = \boxed{X_\mu} \otimes \boxed{M} \quad (\mu = 0, \dots, 3)$$

$$A_a = \boxed{1_{N_X}} \otimes \boxed{Y_a} \quad (a = 4, \dots, 9)$$

$M = 1$  : direct product space-time

$$N_X \times N_X \quad N_Y \times N_Y \quad N = N_X \times N_Y$$

Each point on (3+1)d space-time has the same structure in the extra dimensions

This ansatz is compatible with Lorentz symmetry to be expected at late time

$$O_{\mu\nu} X_\nu = g[O] X_\mu g[O]^\dagger$$

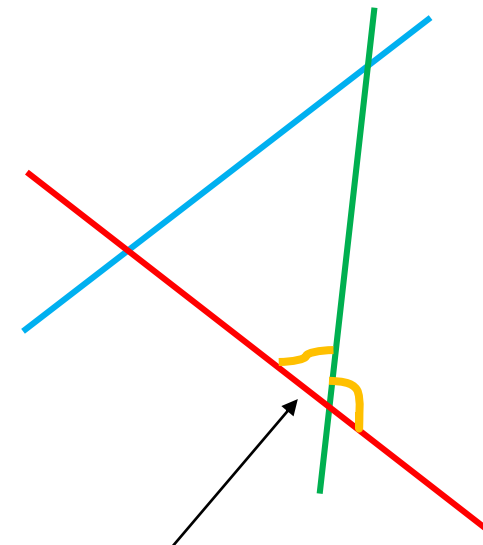
$$O \in \mathrm{SO}(3, 1) \quad g[O] \in \mathrm{SU}(N_X)$$

# Structure of $Y_a$ and chiral zero modes

$Y_a$  and  $M$  should determine matter contents and gauge interactions. For instance, block diagonal structures of  $Y_a$  can give chiral zero modes

$$Y_a = \begin{pmatrix} \text{blue box} & & 0 \\ & \text{red box} & \\ 0 & & \text{green box} \end{pmatrix}$$
$$\Psi = \begin{pmatrix} \text{blue box} & & \\ & \text{red box} & \text{yellow box} \\ & \text{yellow box} & \text{green box} \end{pmatrix}$$

Intersecting D-branes



chiral zero modes

# Algorithm for finding solutions

$$I = \text{Tr}([A^M, [A_M, A_0]] + \alpha A_0)^2 + \text{Tr}([A^M, [A_M, A_i]] - \beta A_i)^2$$

$$A_\mu = X_\mu \otimes M \quad (\mu = 0, \dots, 3)$$

$$A_a = 1_{N_X} \otimes Y_a \quad (a = 4, \dots, 9)$$

We search for configurations that gives  $I = 0$

gradient descent algorithm

update configurations following

$$\delta X_\mu = -\epsilon \frac{\partial I}{\partial X_\mu^\dagger} \quad \delta Y_a = -\epsilon \frac{\partial I}{\partial Y_a^\dagger} \quad \delta M = -\epsilon \frac{\partial I}{\partial M^\dagger}$$

  $\delta I \leq 0$



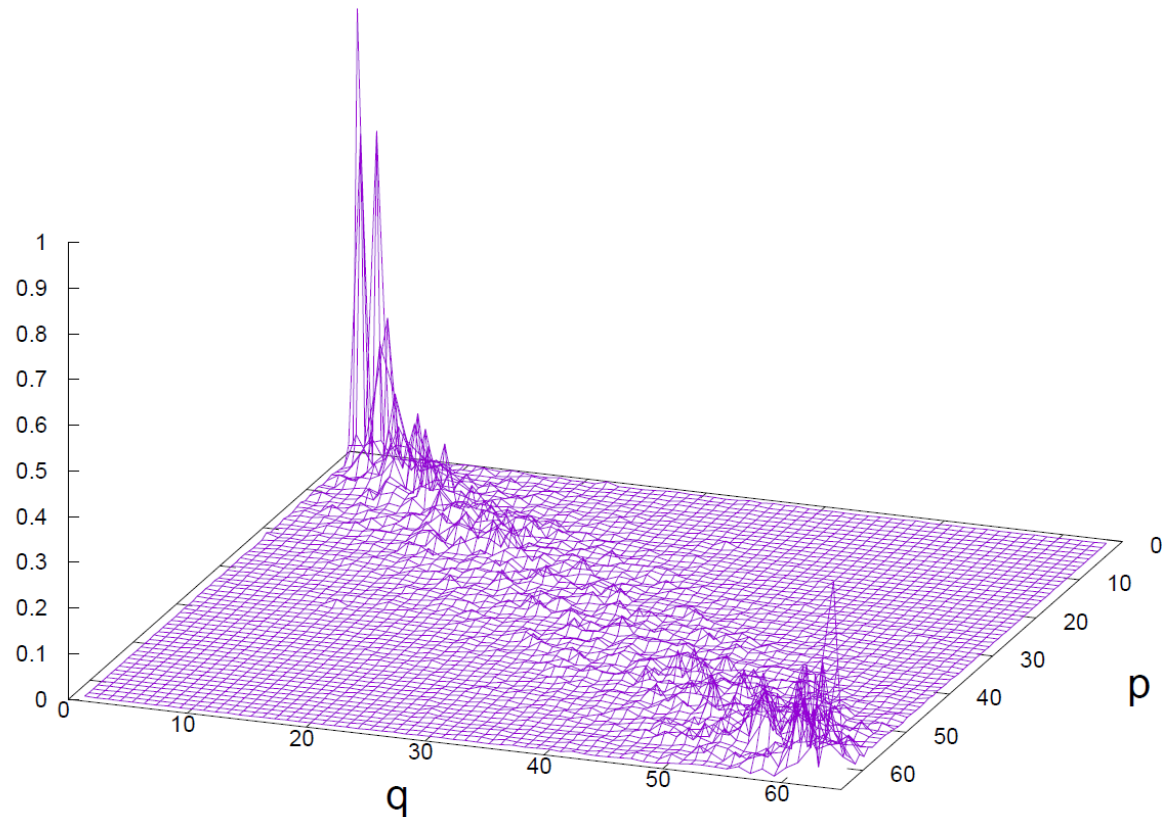


# Space-time structure in classical solutions

# Band diagonal structure of $X_i$

A typical solution at  $N_X = 64$

$$\sum_{i=1}^3 |(X_i)_{ab}|^2$$

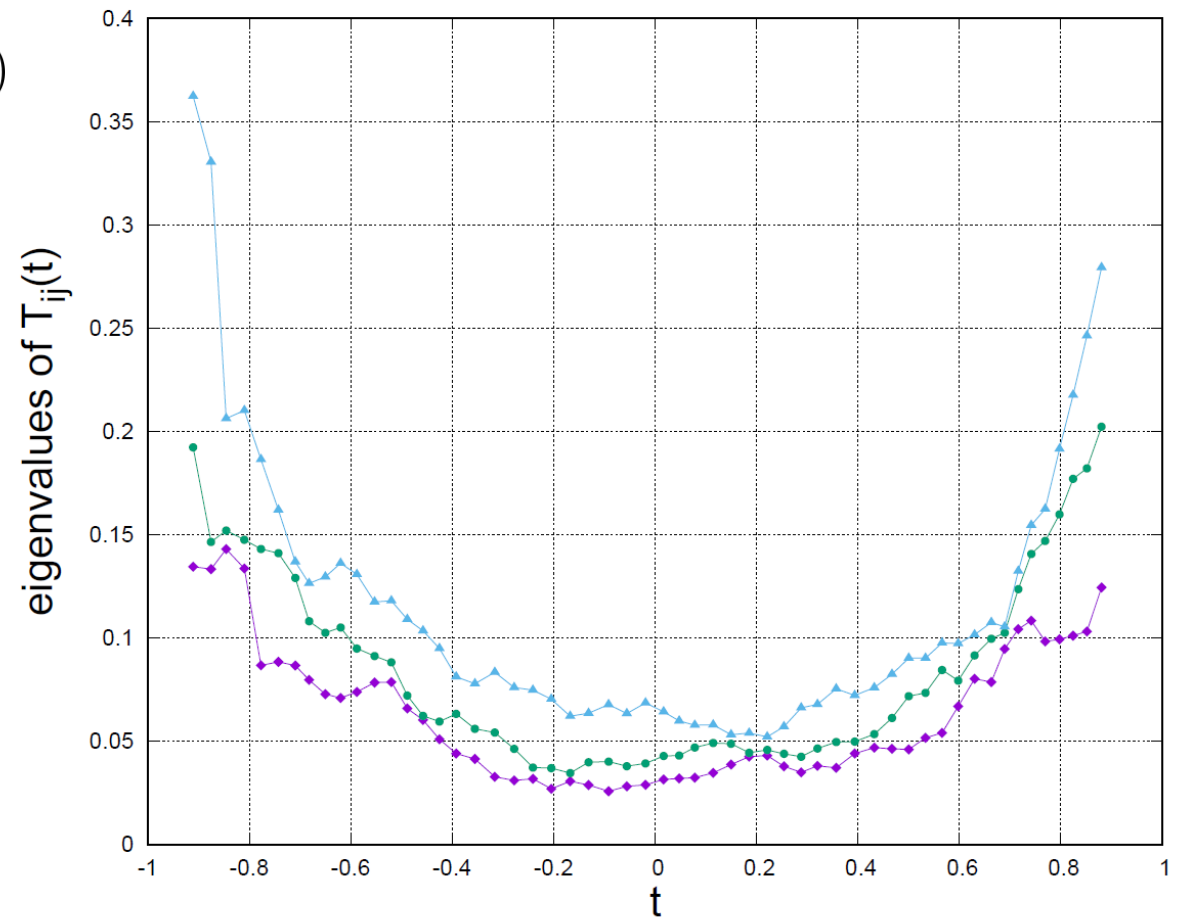


➡  $n = 10$

# Eigenvalues of $T_{ij}$

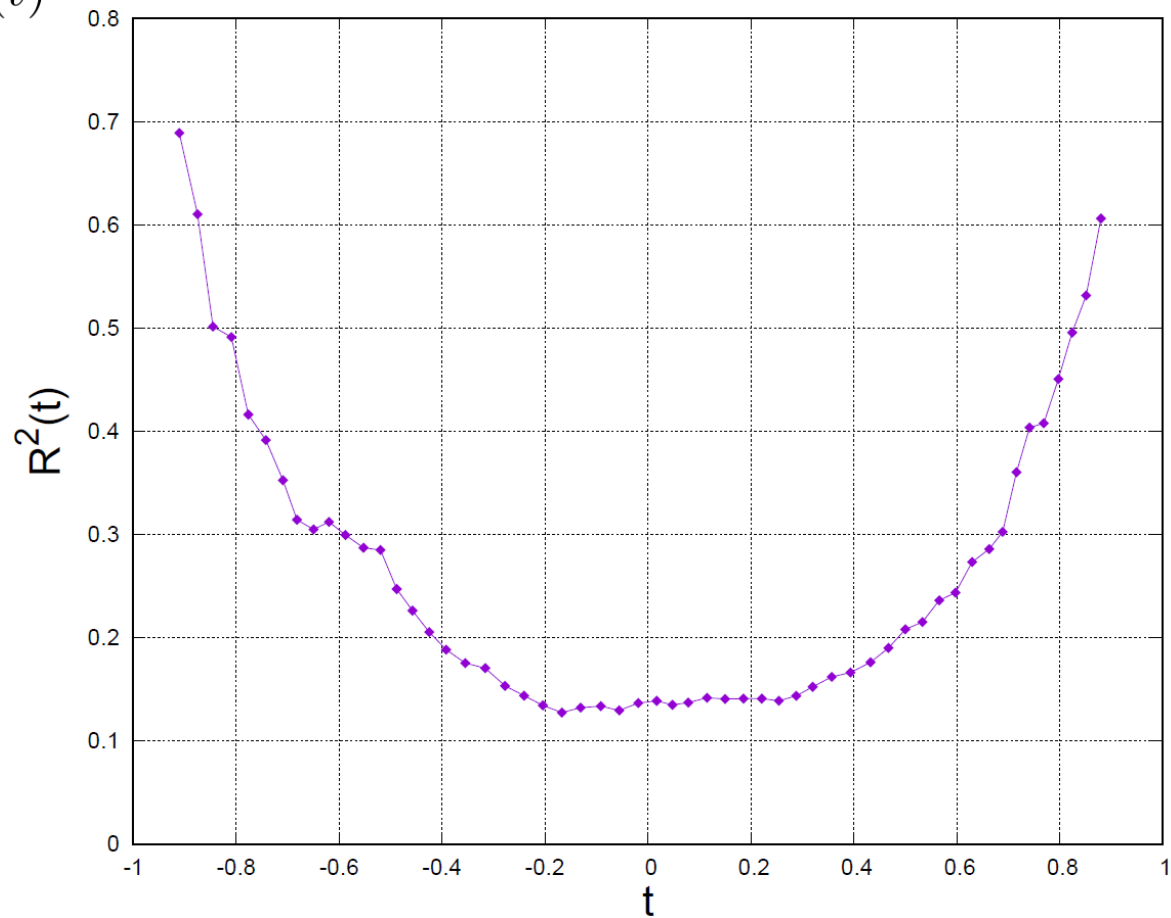
$$T_{ij}(t) = \frac{1}{n} \text{tr}(\bar{X}_i(t) \bar{X}_j(t))$$

SO(3) symmetric



# $R^2(t)$

$$R^2(t) = \frac{1}{n} \text{Tr} \bar{X}_i^2(t) \\ = T_{ii}(t)$$

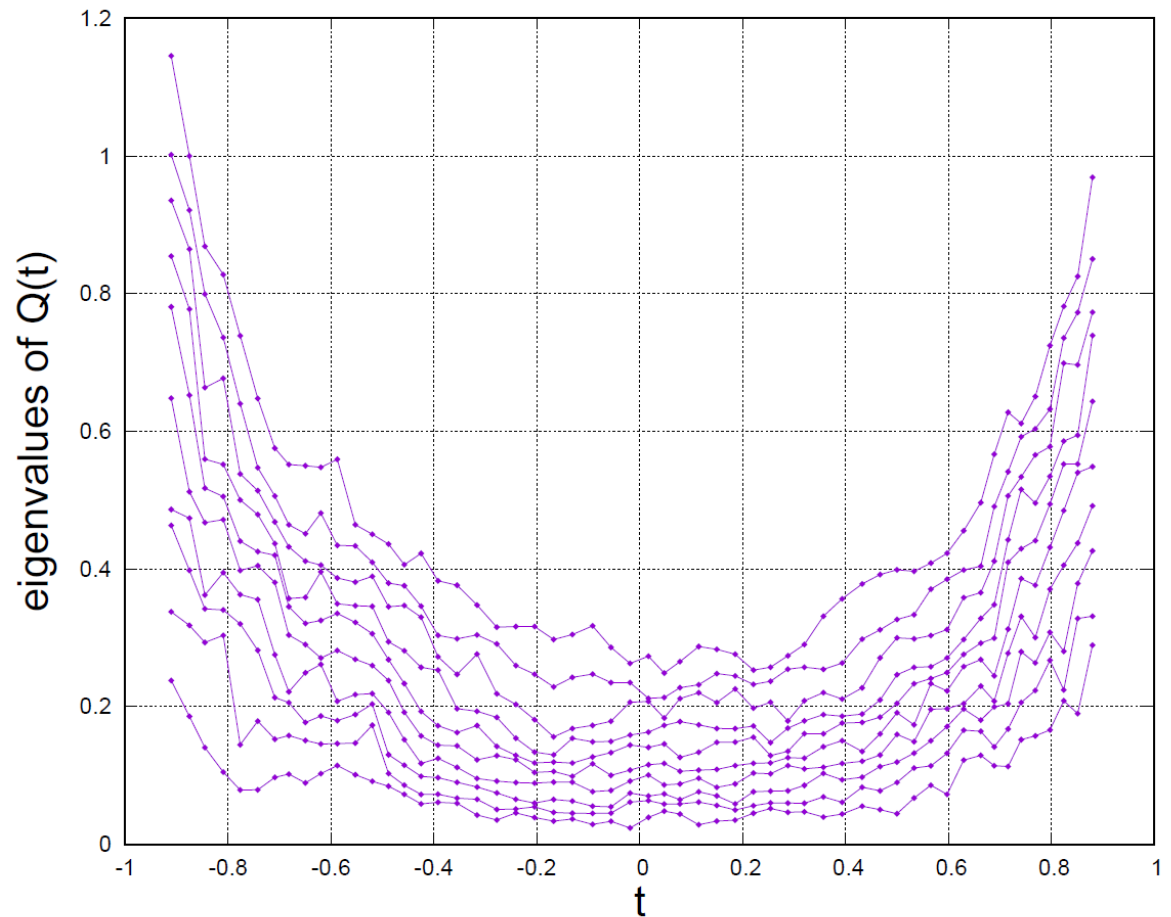


# Space-time structure

$$Q(t) = \sum_{i=1}^3 \bar{X}_i(t)^2$$

dense distribution

→ smooth structure





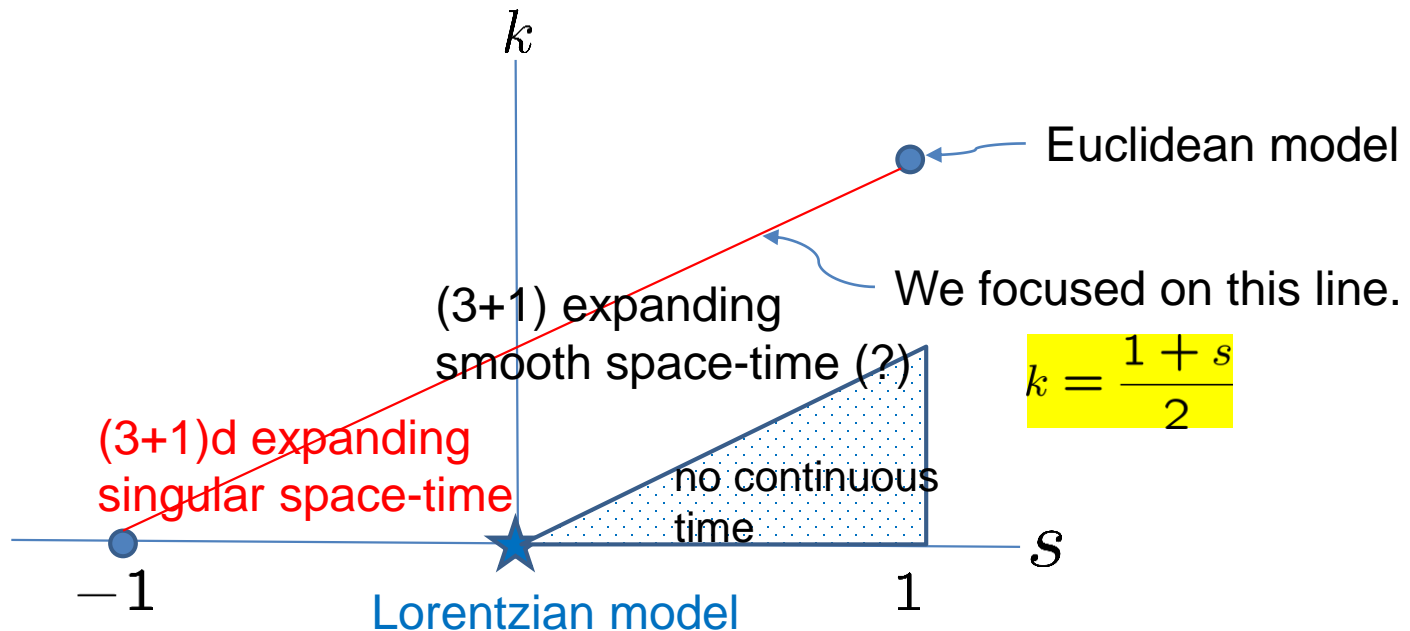
# Summary and discussion

# Summary

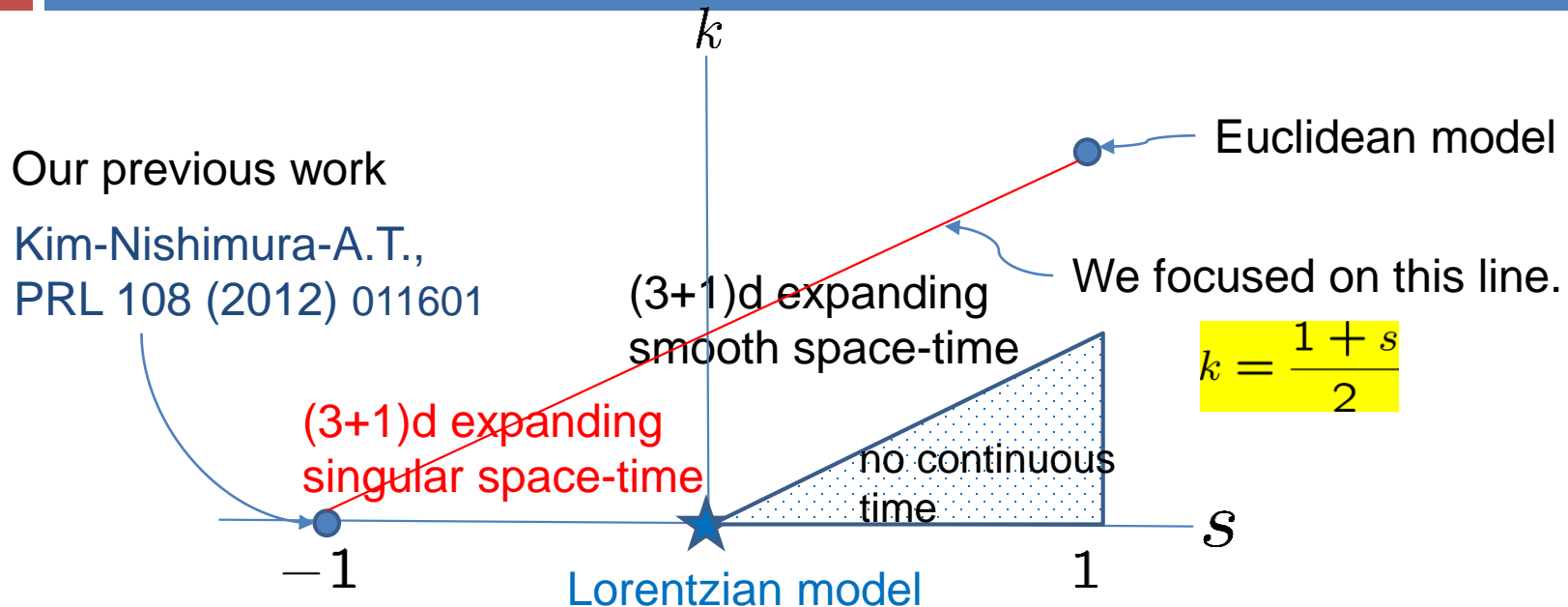
# The Lorentzian version of the type IIB matrix model with certain generalization

$$S = N\beta e^{-i\frac{\pi}{2}(1-\textcolor{red}{s})} \left( \frac{1}{2} e^{-i\textcolor{red}{k}\pi} \text{Tr}[A_0, A_i]^2 - \frac{1}{4} \text{Tr}[A_i, A_j]^2 \right)$$

$$\text{IR cutoffs : } \frac{1}{N} \text{tr} (A_0)^2 = \kappa \ , \quad \frac{1}{N} \text{tr} (A_i)^2 = 1$$



# Summary



- Transition from the Pauli matrices to a smooth space-time occurs at slightly positive  $s$  for  $N=128, 192$ .  
Does the transition point approaches  $s=0$  at larger  $N$ ?
- Complex Langevin simulation becomes unreliable due to growing non-hermiticity when we decrease  $k$  from  $k=(1+s)/2$  too much.

Can we approach the target  $(s,k)=(0,0)$  at larger  $N$ ?

Does the  $(3+1)$ -dim. expanding smooth space-time survive there?



# Summary

- **Hermiticity of spatial matrices** emerges as the space expands.

This suggests that a classical solution is dominating there.

This is also expected from the fact that the action becomes large there due to the space expansion.

- There are infinitely many classical solutions which have (3+1)-dim. expanding behavior with a smooth space-time structure.

This supports that we obtain (3+1)-dim expanding smooth space-time in the large  $N$  limit.

- If some classical solution indeed dominates at late times, we can discuss a possibility that the Standard model appears by examining various classical solutions.

# Discussion

➤ Effects of the fermionic matrices ?

Not straightforward due to the “singular-drift problem” in the CLM caused by the near-zero eigenvalues the Dirac operator, but maybe possible.

➤ Generalizing the IR cutoffs to

$$\frac{1}{N} \text{tr} \{(\tilde{A}_0)^2\}^p = \kappa^p, \quad \frac{1}{N} \text{tr} \{(A_i)^2\}^p = 1$$

Previous studies suggest that we obtain universal results for  $p \sim 1.5$ , but the model becomes pathological for larger  $p$ .

# Discussion

- We further search for solutions and examine (3+1)-dim. space-time structure, matter contents and gauge interactions that the solutions give.
- We expect that there exists a solution that realizes the Standard model or beyond the Standard model and that it is indeed selected in the sense that **our numerical simulation is connected to such a solution.**
- Or we can calculate **1-loop effective actions around classical solutions** we have found. We expect the effective action for the solution giving SM at low energy to be minimum.