

ℓ -adic cohomology of subdiagram unfoldings of Laurent polynomials and deformations of ℓ -adic sheaves

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We say ∇ has a pole of *Poincaré rank* m along the divisor $0 \times X$ if m is the smallest integer such that

$$\nabla(\mathcal{F}) \subset \frac{1}{t^m} \left(\sum_i \mathcal{O}_{\mathbb{C} \times X} dx_i + \mathcal{O}_{\mathbb{C} \times X} \frac{dt}{t} \right) \otimes \mathcal{F},$$

where t is the coordinate for \mathbb{C} , and (x_i) the coordinate chart for X .

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for some matrices of homomorphic functions $\Omega_i(t, x)$ and $\Omega(t, x)$.

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for some matrices of homomorphic functions $\Omega_i(t, x)$ and $\Omega(t, x)$. From $dA + A \wedge A = 0$, we get

$$\begin{aligned} & \sum_i \left(\frac{\partial \Omega_i}{\partial t} - \frac{1}{t} \left(\frac{\partial \Omega}{\partial x_i} - [\Omega, \Omega_i] \right) \right) dt dx_i \\ & + \sum_{i < j} \left([\Omega_i, \Omega_j] + \frac{\partial \Omega_j}{\partial x_i} - \frac{\partial \Omega_i}{\partial x_j} \right) dx_i dx_j = 0. \end{aligned}$$

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It follows that

$$\left(\frac{\partial \Omega}{\partial x_i} - [\Omega, \Omega_i] \right) \Big|_{0 \times X} = 0,$$

$$d \left(\sum_i \Omega_i(0, x) dx_i \right) + \left(\sum_i \Omega_i(0, x) dx_i \right) \wedge \left(\sum_i \Omega_i(0, x) dx_i \right) = 0.$$

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$$\begin{aligned} \nabla &= \nabla|_{0 \times X}, & \text{Res}(\nabla) &= \nabla_{t \frac{\partial}{\partial t}}|_{0 \times X} \\ \nabla \nabla &= 0, & \nabla(R_\infty) &= 0. \end{aligned}$$

Rank 1 case

The connection matrix is of the form

$$A = \frac{1}{t} \left(\sum_i \Omega_i(t, x) dx_i + \Omega(t, x) \frac{dt}{t} \right)$$

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Let

$$\Phi = (t\nabla)|_{0 \times X} = \sum \Omega_i(0, x) dx_i : \mathcal{F}|_{0 \times X} \rightarrow \mathcal{F}|_{0 \times X} \otimes \Omega_X^1.$$

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$$R_0 = (t^2 \nabla_{\frac{\partial}{\partial t}})|_{0 \times X} = \Omega(0, x) \in \text{End}(\mathcal{F}|_{0 \times X}).$$

We have

$$[R_0, \Phi] = 0.$$

Let \mathcal{E} be a trivial vector bundle on X , $\pi : \mathbb{P}^1 \times X \rightarrow X$ the projection. Suppose we have an integrable connection ∇ on $\pi^*\mathcal{E}$ with a logarithmic pole along $\infty \times X$, and a pole of Poincaré rank 1 along $0 \times X$, and holomorphic elsewhere.

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The connection $(\nabla, \pi^*\mathcal{E})$ can also be constructed from the tuple $(X, \mathcal{E}, \nabla, R_0, R_\infty, \Phi)$. This gives rise to the so-called Frobenius type structure.

A *Frobenius type structure* is a tuple $(X, \mathcal{E}, \nabla, R_0, R_\infty, \Phi)$ such that:

X : germ of complex manifold

\mathcal{E} : free \mathcal{O}_X module of finite rank.

$R_0, R_\infty \in \text{End}_{\mathcal{O}_X}(\mathcal{E})$.

$\Phi : \mathcal{E} \rightarrow \mathcal{E} \otimes_{\mathcal{O}_X} \Omega_X^1$: Higgs field.

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Let $\pi : \mathbb{P}^1 \times X \rightarrow X$ be the projection, t the coordinate chart at 0, $\tau = \frac{1}{t}$. We require that the connection

$$\begin{aligned}\nabla &= \pi^* \nabla + \frac{\pi^* \Phi}{t} + \left(\frac{R_0}{t} - R_\infty \right) \frac{dt}{t} \\ &= \pi^* \nabla + \tau \pi^* \Phi + (-\tau R_0 + R_\infty) \frac{d\tau}{\tau}\end{aligned}$$

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$$\begin{aligned}\nabla \nabla &= 0, & \nabla(R_\infty) &= 0, & \Phi \wedge \Phi &= 0, & [R_0, \Phi] &= 0, \\ \nabla(\Phi) &= 0, & \nabla(R_0) + \Phi &= [R, R_\infty].\end{aligned}$$

Any meromorphic integrable connection ∇ on a trivial vector bundle $\pi^*\mathcal{E}$ over $\mathbb{P}^1 \times X$ with a logarithmic pole along $\infty \times X$, a pole of Poincaré rank 1 along $0 \times X$, and no other poles is of the above form, and hence gives rise to a Frobenius type structure.

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Birkhoff problem: Let D be a disc, (\mathcal{F}, ∇) a trivial holomorphic bundle \mathcal{F} on D equipped with an integrable connection with a pole of Poincaré rank 1 along 0 .

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Birkhoff problem: Let D be a disc, (\mathcal{F}, ∇) a trivial holomorphic bundle \mathcal{F} on D equipped with an integrable connection with a pole of Poincaré rank 1 along 0. Find a pair $(\tilde{\mathcal{F}}, \tilde{\nabla})$ such that $\tilde{\mathcal{F}}$ is a trivial bundle on \mathbb{P}^1 , $\tilde{\nabla}$ is an integrable meromorphic connection with logarithmic pole at ∞ and no poles outside $\{0, \infty\}$, and $(\tilde{\mathcal{F}}, \tilde{\nabla})|_D \cong (\mathcal{F}, \nabla)$.

Theorem (Birkhoff problem for a family)

Let D be a disc, (X, x_0) a germ of complex manifold, (\mathcal{F}, ∇) a trivial holomorphic bundle on $D \times (X, x_0)$ equipped with an integrable meromorphic connection of Poincaré rank 1 along $0 \times X$.

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Algebraic version of the Birkhoff problem. Let (G, ∇) be a $\mathbb{C}[\tau, \tau^{-1}]$ -module equipped with a connection having poles only at $0, \infty$ with regular singularity at ∞ ,

Algebraic version of the Birkhoff problem. Let (G, ∇) be a $\mathbb{C}[\tau, \tau^{-1}]$ -module equipped with a connection having poles only at $0, \infty$ with regular singularity at ∞ , let G_0 be a free $\mathbb{C}[\tau]$ -submodule of G with Poincaré rank 1 such that $G_0 \otimes_{\mathbb{C}[\tau]} \mathbb{C}[\tau, \tau^{-1}] = G$.

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$$G_k H_\infty = (\mathcal{V}_\infty \cap (\tau^{-k} G_0 + \tau^{-1} \mathcal{V}_\infty)) / \tau^{-1} \mathcal{V}_\infty$$

on H_∞ . ($G_0 / \tau^k = \tau G_0 / \tau^{k+1} \subset G_0 / \tau^{k+1} \subset G$.)

Theorem (M. Saito's criterion)

Let N be the nilpotent part of the monodromy T . Suppose there exists a mixed Hodge structure on the nearby cycle of G at ∞ so that the Hodge filtration is $G.H_\infty$, and the weight filtration is the monodromy filtration $M.H_\infty$ of N . Then we can solve the Birkhoff problem.

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If \mathcal{F} is a punctually pure lisse $\overline{\mathbb{Q}}_\ell$ -sheaf on $\mathbb{P}_{\mathbb{F}_q}^1 - \{\infty, \dots\}$, then the monodromy filtration on $\mathcal{F}_{\bar{\eta}_\infty}$ (considered as a representation of $\text{Gal}(\bar{\eta}_\infty/\eta_\infty)$) is the weight filtration, where η_∞ is the generic point of the henselization of $\mathbb{P}_{\mathbb{F}_q}^1$ at ∞ .

ℓ-adic version of the “Birkhoff problem”. Let η_0 be the generic point of the henselization of $\mathbb{P}_{\mathbb{F}_q}^1$ at 0 , and let $\rho : \text{Gal}(\bar{\eta}_0/\eta_0) \rightarrow \text{GL}(n, \bar{\mathbb{Q}}_\ell)$ be a $\bar{\mathbb{Q}}_\ell$ -representation.

ℓ-adic version of the “Birkhoff problem”. Let η_0 be the generic point of the henselization of $\mathbb{P}_{\mathbb{F}_q}^1$ at 0, and let $\rho : \text{Gal}(\bar{\eta}_0/\eta_0) \rightarrow \text{GL}(n, \bar{\mathbb{Q}}_\ell)$ be a $\bar{\mathbb{Q}}_\ell$ -representation. Find conditions on ρ under which there exists a lisse punctually pure $\bar{\mathbb{Q}}_\ell$ -sheaf \mathcal{F} on $\mathbb{P}^1 - \{0, \infty\}$ tamely ramified at ∞ so that $\mathcal{F}|_{\eta_0}$ corresponds to the given representation ρ .

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$$\frac{\partial f_\sigma}{\partial t_1} = \cdots = \frac{\partial f_\sigma}{\partial t_n} = 0$$

defines an empty subscheme in $\mathbb{T}^n = \text{Spec } \mathbb{C}[t_1^{\pm 1}, \dots, t_n^{\pm 1}]$, where $f_\sigma = \sum_{\mathbf{w}_j \in \sigma} a_j t_1^{w_{1j}} \cdots t_n^{w_{nj}}$.

Let $g_1(t_1, \dots, t_n), \dots, g_m(t_1, \dots, t_n)$ be a family of Laurent polynomials. Consider the deformation

$$F_x(t) = f(t_1, \dots, t_n) + x_1 g_1(t_1, \dots, t_n) + \cdots + x_m g_m(t_1, \dots, t_n)$$

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of f . We say F is a *subdiagram deformation* of f if all exponents of monomials with nonzero coefficients in g_1, \dots, g_m lie in the interior of Δ . We say F is the *universal unfolding* of f if the images of g_1, \dots, g_m in the Jacobian quotient ring $\mathbb{C}[t_1^{\pm 1}, \dots, t_n^{\pm 1}] / \left(\frac{\partial f}{\partial t_1}, \dots, \frac{\partial f}{\partial t_n} \right)$ form a basis.

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$$G = \frac{\Omega_{\mathbb{T}^n \times \mathbb{A}^m / \mathbb{A}^m}^n[t, t^{-1}]}{(d - tdF \wedge) \Omega_{\mathbb{T}^n \times \mathbb{A}^m / \mathbb{A}^m}^{n-1}[t, t^{-1}]},$$
$$G^{(o)} = \Omega_{\mathbb{T}^n}^n[t, t^{-1}] / (d - tdf \wedge) \Omega_{\mathbb{T}^n}^{n-1}[t, t^{-1}].$$

G is a free $C[x_1, \dots, x_m][t, t^{-1}]$ -module (trivial vector bundle over $(\mathbb{P}^1 - \{0, \infty\}) \times \mathbb{A}^m$).

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G is a free $C[x_1, \dots, x_m][t, t^{-1}]$ -module (trivial vector bundle over $(\mathbb{P}^1 - \{0, \infty\}) \times \mathbb{A}^m$). Define a connection ∇ on G and $G^{(o)}$ by

$$\nabla_{\frac{\partial}{\partial x_j}} = e^{tF} \circ \frac{\partial}{\partial x_j} \circ e^{-tF},$$

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Using Saito's criterion, Douai and Sabbah prove that the Birkhoff problem is solvable for the pairs (G, G_0) (family version) and $(G^{(o)}, G_0^{(o)})$.

Next we consider the universal unfolding

$$F_x(t) = f(t_1, \dots, t_n) + x_1 g_1(t_1, \dots, t_n) + \dots + x_m g_m(t_1, \dots, t_n).$$

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The definition of the pair (G, G_0) relies on some transcendental procedure. Roughly speaking, G is the Fourier transform of the Gauss-Manin system for $F_x(t)$. There exists a neighborhood X of 0 in \mathbb{C}^m such that G is a trivial holomorphic vector bundle on $(\mathbb{P}^1 - \{0, \infty\}) \times X$ equipped with a meromorphic connection ∇ with a regular singularity along $\infty \times X$, the Brieskorn lattice G_0 is a trivial holomorphic vector bundle on $(\mathbb{P}^1 - \{\infty\}) \times X$ such that $G_0|_{(\mathbb{P}^1 - \{0, \infty\}) \times X} = G$ and the connection ∇ has Poincaré rank 1 on G_0 along $0 \times X$.

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To get a Frobenius manifold structure on the universal unfolding parameter space, one need to find a primitive form to transplant the Frobenius type structure to the tangent sheaf of the universal unfolding parameter space. One also need a metric.

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Another approach is to start with the solution of the Birkhoff problem for a subdiagram deformation satisfying certain conditions. Then use a theorem of Hertling and Manin to show this solution has a universal deformation, which gives the Frobenius manifold structure.

In summary, we start with the Brieskorn lattice for f , which is obtained as the Fourier transform of the Gauss-Manin system associated to $f : \mathbb{T}^n \rightarrow \mathbb{A}^1$.

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An analogue of the hypergeometric integral

$$f(y_1, \dots, y_N) = \int_{\Gamma} e^{\sum_{j=1}^N y_j t_1^{w_{1j}} \dots t_n^{w_{nj}}} \frac{dt_1}{t_1} \dots \frac{dt_n}{t_n}$$

is

$$\text{Hyp}(y_1, \dots, y_N) = \sum_{t_1, \dots, t_n \in \mathbb{F}_q^*} \psi\left(\sum_{j=1}^N y_j t_1^{w_{1j}} \dots t_n^{w_{nj}}\right).$$

This is the hypergeometric function over a finite field introduced by Gelfand-Graev.

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$$H : \mathbb{T}^n \times \mathbb{A}^N \rightarrow \mathbb{A}^1, \quad (t_1, \dots, t_n, y_1, \dots, y_N) \mapsto \sum_{j=1}^N y_j t_1^{w_{1j}} \cdots t_n^{w_{nj}}.$$

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We define the ℓ -adic GKZ hypergeometric sheaf to be the object in $D_c^b(\mathbb{A}^N, \overline{\mathbb{Q}}_\ell)$ defined by

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By the Grothendieck trace formula, we have

$$\text{Tr}(\text{Frob}_x, \text{Hyp}_{\bar{x}}) = \sum_{t_1, \dots, t_n \in \mathbb{F}_q^*} \psi\left(\sum_{j=1}^N y_j t_1^{w_{1j}} \cdots t_n^{w_{nj}}\right).$$

Theorem

(i) Hyp is a mixed perverse sheaf on \mathbb{A}^N of weights $\leq n + N$ and of rank $(-1)^N n! \text{vol}(\Delta)$ (and we have a combinatorial formula for the rank of its weight w subquotient.)

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(ii) Suppose V is a Zariski open subset of \mathbb{A}^N such that for any $(a_1, \dots, a_N) \in V(\overline{\mathbb{F}}_q)$, the Laurent polynomial $f = \sum_{j=1}^N a_j t_1^{w_{1j}} \cdots t_n^{w_{nj}}$ is nondegenerate with respect to Δ . Then for each i , $\mathcal{H}^i(\mathcal{H}_{\text{yp}})|_V$ is lisse and it vanishes for $i \neq -N$.

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(iii) Suppose 0 lies in the interior of Δ . Then $\mathcal{H}^{-N}(\text{Hyp})|_V$ is lisse, pure of weight n , and of rank $n! \text{vol}(\Delta)$.

$f = \sum_{j=1}^N a_j t_1^{w_{1j}} \cdots t_n^{w_{nj}} \in \mathbb{F}_q[t_1^{\pm 1}, \dots, t_n^{\pm 1}]$: a convenient nondegenerate Laurent polynomial.

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Enlarge the set $\{\mathbf{w}_1, \dots, \mathbf{w}_N\}$ by adding those exponents of monomials with nonzero coefficients in some g_i ($i = 1, \dots, m$).

This does not change the convex hull of the set $\{0, \mathbf{w}_1, \dots, \mathbf{w}_N\}$ in \mathbb{R}^n .

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We may then write

$$g_i(t_1, \dots, t_n) = \sum_{j=1}^N b_{ij} t_1^{w_{1j}} \cdots t_n^{w_{nj}},$$
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$$X = \mathbb{A}^m.$$

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When restricted to $\mathbb{P}_X^1 - (\{0, \infty\} \times X)$, $\mathcal{H}^i\left(FT(R\Phi_! \overline{\mathbb{Q}}_\ell)\right) = 0$ for $i \neq n - 1$, and $\mathcal{H}^{n-1}\left(FT(R\Phi_! \overline{\mathbb{Q}}_\ell)\right)$ is a pure lisse sheaf of weight n .

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For any rational point (τ, x) of \mathbb{A}_X , we have

$$\begin{aligned} & \mathrm{Tr}\left(\mathrm{Frob}_{(\tau, x)}, (FT(R\Phi! \overline{\mathbb{Q}}_\ell))_{(\tau, x)}\right) \\ = & - \sum_{t \in \mathbb{F}_q} \psi(\tau F(t, x)) \end{aligned}$$

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F : one of a following type of fields: a finite extension of \mathbb{F}_ℓ , a finite extension of \mathbb{Q}_ℓ , $\overline{\mathbb{F}}_\ell$, $\overline{\mathbb{Q}}_\ell$.

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We study deformations of \mathcal{F} , or equivalently of ρ_0 .

Theorem

Let R be a complete noetherian local F -algebra with residue field F , let $\rho_s : \text{Gal}(\bar{\eta}_s/\eta_s) \rightarrow \text{GL}(R^r)$ be a representation such that there exist $P_{0,s} \in \text{GL}(F^r)$ with the property

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$\lambda : \pi_1(X - S, \bar{\eta}) \rightarrow R^*$ such that $\lambda|_{\text{Gal}(\bar{\eta}_s/\eta_s)} = \det \rho_s$ and

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$\rho : \pi_1(X - S, \bar{\eta}) \rightarrow \text{GL}(R^r)$ and $P_s \in \text{GL}(R^r)$ ($s \in S$) such that

$\rho \equiv \rho_0 \pmod{\mathfrak{m}_R}$, $P_s^{-1} \rho|_{\text{Gal}(\bar{\eta}_s/\eta_s)} P_s = \rho_s$, and $\det \rho = \lambda$.

Take $f(t_1, \dots, t_{n-1}) = t_1 + \dots + t_{n-1} + \frac{1}{t_1 \dots t_{n-1}}$. It is proved by Barannikov that the Frobenius manifold structure on the universal unfolding of f is isomorphic to the one attached to the quantum cohomology of $\mathbb{P}_{\mathbb{C}}^{n-1}$.

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Let $\mathcal{F} = \mathcal{H}^{n-2}(FT(Rf; \overline{\mathbb{Q}}_\ell))$. Let ζ be a primitive n -th root of unity in k . We have

$$\mathcal{F}|_{\eta_\infty} \cong \bigoplus_{i=0}^{n-1} \mathcal{L}_\psi(n\zeta^i t)$$

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Consider the representation $\chi_i : \text{Gal}(\bar{\eta}_\infty/\eta_\infty) \rightarrow \mathbb{Q}_\ell[[s_i]]^*$ defined by the composite

$$\text{Gal}(\bar{\eta}_\infty/\eta_\infty) \rightarrow \mathbb{Z}_\ell(1), \quad \sigma \mapsto \left(\frac{\sigma(\sqrt[\ell^n]{1/t})}{\sqrt[\ell^n]{1/t}} \right)$$

and the character $\mathbb{Z}_\ell(1) \rightarrow \mathbb{Q}_\ell[[s_i]]^*$ which maps a chosen generator of $\mathbb{Z}_\ell(1)$ to $1 + s_i$. It is the universal deformation of the trivial character $1 : \text{Gal}(\bar{\eta}_\infty/\eta_\infty) \rightarrow \mathbb{Q}_\ell^*$

By our theorem, there exists a representation

$$\rho : \pi_1(\mathbb{P}^1 - \{0, \infty\}) \rightarrow \mathrm{GL}\left(n, \overline{\mathbb{Q}_\ell}[[s_1, \dots, s_n]] / ((1+s_1) \cdots (1+s_n) - 1)\right)$$

so that $\rho \bmod (s_1, \dots, s_n)$ is the representation corresponding to the sheaf \mathcal{F} , $\rho|_{\mathrm{Gal}(\bar{\eta}_0/\eta_0)} \cong U$,

$$\rho|_{\mathrm{Gal}(\bar{\eta}_\infty/\eta_\infty)} \cong \bigoplus_{i=0}^{n-1} \left(\mathcal{L}_\psi(n\zeta^i x) \otimes \chi_i \right) \text{ and } \det(\rho) = 1.$$

Suppose we are given $\rho_0 : \pi_1(X - S, \bar{\eta}) \rightarrow \mathrm{GL}(F^r)$ with $\det \rho_0 = 1$, and $P_{0,s} \in \mathrm{GL}(F^r)$ for each $s \in S$.

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$$R(A) = \{(\rho, (P_s)_{s \in S}) \mid \rho : \pi_1(X - S, \bar{\eta}) \rightarrow \mathrm{GL}(A^r), P_s \in \mathrm{GL}(A^r), \\ \rho \equiv \rho_0 \pmod{\mathfrak{m}_A}, P_s \equiv P_{0,s}, \\ \det(\rho) = 1 \pmod{\mathfrak{m}_A}\} / \sim,$$

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where two tuples $(\rho^{(i)}, (P_s^{(i)})_{s \in S})$ ($i = 1, 2$) are equivalent if there exists $P \in \mathrm{GL}(A^r)$ such that $P \equiv I \pmod{\mathfrak{m}_A}$ and

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For any $s \in S$, define

$$R_s(A) = \{ \rho : \mathrm{Gal}(\bar{\eta}_s / \eta_s) \rightarrow \mathrm{GL}(A^r) \mid \begin{array}{l} \rho \equiv \rho_0|_{\mathrm{Gal}(\bar{\eta}_s / \eta_s)} \pmod{\mathfrak{m}_A}, \\ \det(\rho) = 1 \end{array} \}.$$

The functors $R, R_s : \mathcal{C} \rightarrow (\text{Sets})$ satisfy Schlessinger's conditions (H1)-(H4), and hence are pro-representable. We have a canonical morphism of functors $R \rightarrow R_s$ given by

$$R(A) \rightarrow R_s(A), \quad (\rho, (P_s)) \rightarrow P_s^{-1} \rho|_{\text{Gal}(\bar{\eta}_s/\eta_s)} P_s.$$

The fibers of this morphism are representations of $\pi_1(X - S, \bar{\eta})$ with prescribed local monodromy at s . These functors and morphism also appear in M. Kisin's work on modularity lifting problem (framed deformation of galois representations).

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Theorem

Suppose $\text{End}(\mathcal{F}) = F$.

- (i) The functors R_s ($s \in S$) are smooth.
- (ii) The morphism of functors $R \rightarrow \prod_{s \in S} R_s$ is smooth.
- (iii) We have

$$\begin{aligned} \dim R(F[\epsilon]) &= -\chi(X - S, \text{End}^{(0)}(\mathcal{F})) + |S|r^2 - 1, \\ \dim R_s(F[\epsilon]) &= -\chi(\text{Gal}(\bar{\eta}_s/\eta_s), \text{ad}^{(0)}(\rho_0)) + r^2 - 1 \end{aligned}$$

In the case $\mathcal{F} = \mathcal{H}^{n-2} \left(FT(Rf_! \overline{\mathbb{Q}}_\ell) \right)$ with $f = t_1 + \cdots + t_{n-1} + \frac{1}{t_1 \cdots t_{n-1}}$, we have

$$\dim R(F[\epsilon]) = n(n-1) + 2n^2 - 1,$$

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In the transcendental case, we deform the Brieskorn lattice associated to f by the Brieskorn lattice associated to the universal unfolding of f , and the deformation space is of dimension n , and we keep the logarithmic lattice at ∞ undeformed. This suggests that we should choose a subspace of R_∞ of dimension n corresponding to the Brieskorn lattice of the universal unfolding of f , choose the trivial deformation subspace $\mathcal{C} \rightarrow (\text{sets})$, $A \mapsto U$ of R_0 , and try to find the element corresponding to the Frobenius type structure in the fiber of $R \rightarrow R_0 \times R_\infty$ above these two choices.