

# CCR flows associated to closed convex cones

S.Sundar, joint work with Anbu Arjunan

May 18, 2019

The theory of  $E_0$ -semigroups, initiated by R.T. Powers and developed extensively by Arveson, is the study of semigroup actions on von-Neumann algebras.

The theory of  $E_0$ -semigroups, initiated by R.T. Powers and developed extensively by Arveson, is the study of semigroup actions on von-Neumann algebras.

The von-Neumann algebra that we consider is  $B(\mathcal{H})$  where  $\mathcal{H}$  is an infinite dimensional separable Hilbert space and the semigroup that we consider is a closed convex cone in  $\mathbb{R}^d$ . For the rest of this talk, we assume that  $P$  is a closed convex cone in  $\mathbb{R}^d$ . We assume that  $P - P = \mathbb{R}^d$  and  $P \cap -P = \{0\}$ .

The theory of  $E_0$ -semigroups, initiated by R.T. Powers and developed extensively by Arveson, is the study of semigroup actions on von-Neumann algebras.

The von-Neumann algebra that we consider is  $B(\mathcal{H})$  where  $\mathcal{H}$  is an infinite dimensional separable Hilbert space and the semigroup that we consider is a closed convex cone in  $\mathbb{R}^d$ . For the rest of this talk, we assume that  $P$  is a closed convex cone in  $\mathbb{R}^d$ . We assume that  $P - P = \mathbb{R}^d$  and  $P \cap -P = \{0\}$ .

In Arveson's theory of  $E_0$ -semigroups, the cone is  $[0, \infty)$ . Here we consider the higher dimensional analogue.

The semigroup  $P$  is a closed convex in  $\mathbb{R}^d$  such that  $P - P = \mathbb{R}^d$  and  $P \cap -P = \{0\}$ .

### Definition

By an  $E_0$ -semigroup on  $B(\mathcal{H})$  over  $P$ , we mean a family  $\alpha := \{\alpha_x\}_{x \in P}$  of unital normal  $*$ -endomorphisms of  $B(\mathcal{H})$  such that

- (1) for  $x, y \in P$ ,  $\alpha_x \circ \alpha_y = \alpha_{x+y}$ , and
- (2) for  $A \in B(\mathcal{H})$  and  $T \in \mathcal{L}^1(\mathcal{H})$ , the map  $P \ni x \rightarrow \text{Tr}(T\alpha_x(A)) \in \mathbb{C}$  is continuous.

We identify  $E_0$ -semigroups acting on different Hilbert spaces if they are unitarily equivalent.

The basic equivalence relation in the theory of  $E_0$ -semigroups is that of cocycle conjugacy.

## Definition

Let  $\alpha := \{\alpha_x\}_{x \in P}$  be an  $E_0$ -semigroup on  $B(\mathcal{H})$ . By an  $\alpha$ -cocycle we mean a strongly continuous family of unitaries  $\{U_x\}_{x \in P}$  such that  $U_x \alpha_x(U_y) = U_{x+y}$ . Let  $U := \{U_x\}_{x \in P}$  be an  $\alpha$ -cocycle. Define for  $x \in P$ ,

$$\beta_x(\cdot) = U_x \alpha_x(\cdot) U_x^*.$$

Then  $\beta := \{\beta_x\}_{x \in P}$  is an  $E_0$ -semigroup on  $B(\mathcal{H})$ . Such an  $E_0$ -semigroup is called a cocycle perturbation of  $\alpha$ .

Cocycle conjugacy is an equivalence relation.

# Examples

Let us recall the symmetric Fock space and the Weyl operators. For a Hilbert space  $\mathcal{H}$ ,  $\Gamma(\mathcal{H})$  denotes the symmetric Fock space. For  $u \in \mathcal{H}$ , let

$$e(u) := \sum_{n=0}^{\infty} \frac{u^{\otimes n}}{\sqrt{n!}}.$$

We have the following.

- 1 The set  $\{e(u) : u \in \mathcal{H}\}$  is linearly independent and total in  $\Gamma(\mathcal{H})$ .
- 2 For  $u, v \in \mathcal{H}$ ,  $\langle e(u) | e(v) \rangle = e^{\langle u | v \rangle}$ .

For  $u \in \mathcal{H}$ , there exists a unique unitary operator denoted  $W(u)$  on  $\Gamma(\mathcal{H})$  such that

$$W(u)e(v) := e^{-\frac{\|u\|^2}{2} - \langle u | v \rangle} e(u + v).$$

The operators  $\{W(u) : u \in \mathcal{H}\}$  satisfy the following relations called the canonical commutation relations.

$$W(u)W(v) = e^{-ilm(\langle u|v\rangle)}W(u+v).$$

An important fact is that the von-Neumann algebra generated by  $\{W(u) : u \in \mathcal{H}\}$  is  $\sigma$ -weak dense in  $B(\Gamma(\mathcal{H}))$ .

## Proposition

*Let  $V \in B(\mathcal{H})$  be an isometry on  $\mathcal{H}$ . Then there exists a unique normal unital  $*$ -endomorphism, denoted  $\alpha$ , of  $B(\Gamma(\mathcal{H}))$  such that*

$$\alpha(W(u)) = W(Vu).$$



## Proposition

Let  $V := \{V_x\}_{x \in P}$  be a strongly continuous isometric representation of  $P$  on  $\mathcal{H}$ . Then there exists a unique  $E_0$ -semigroup denoted  $\alpha^V = \{\alpha_x\}_{x \in P}$  on  $B(\Gamma(\mathcal{H}))$  such that

$$\alpha_x(W(u)) = W(V_x u).$$

The  $E_0$ -semigroup  $\alpha^V$  is called the CCR flow associated to the isometric representation  $V$ .

The first example of an isometric representation of  $P$  that one can think of is the left regular representation of  $P$  on  $L^2(P)$ . This example can be generalised.

Let  $A \subset \mathbb{R}^d$  be a  $P$ -module. We say that  $A$  is a  $P$ -module if

- the subset  $A$  is closed,
- $A$  is non-empty and proper, and
- for  $x \in P$ ,  $A + x \subset A$ .

Let  $k \in \{1, 2, \dots, \infty\}$  and  $\mathcal{K}$  be a Hilbert space of dimension  $k$ . Consider the Hilbert space  $L^2(A, \mathcal{K})$ . The cone  $P$  acts as isometries on  $L^2(A, \mathcal{K})$  via translations. The associated isometric representation will be denoted by  $V^{(A,k)}$  and the associated CCR flow will be denoted by  $\alpha^{(A,k)}$ .

## Theorem (Anbu Arjunan and S.S)

Let  $A_1, A_2$  be  $P$ -modules and  $k_1, k_2 \in \{1, 2, \dots, \} \cup \{\infty\}$ . Then the following are equivalent.

- 1 The CCR flows  $\alpha^{(A_1, k_1)}$  and  $\alpha^{(A_2, k_2)}$  are conjugate.
- 2 The CCR flow  $\alpha^{(A_1, k_1)}$  And  $\alpha^{(A_2, k_2)}$  are cocycle conjugate.
- 3 There exists  $z \in \mathbb{R}^d$  such that  $A_1 = A_2 + z$  and  $k_1 = k_2$ .

# An invariant – Gauge group

Let  $\alpha := \{\alpha_x\}_{x \in P}$  be an  $E_0$ -semigroup on  $B(\mathcal{H})$ . A strongly continuous family of unitaries  $U := \{U_x\}_{x \in P}$  is called a gauge cocycle of  $\alpha$  if

- (1) for  $x, y \in P$ ,  $U_x \alpha_x(U_y) = U_{x+y}$ , and
- (2) for  $x \in P$ ,  $U_x \in \alpha_x(B(\mathcal{H}))'$ .

That is if we perturb  $\alpha$  by  $U$ , we get  $\alpha$ . The set of gauge cocycles, denoted  $G(\alpha)$ , forms a group where the multiplication is given by

$$\{U_x\} \cdot \{V_x\} = \{U_x V_x\}.$$

The gauge group is computable for the CCR flow associated to a  $P$ -module which is where groupoids play a key role.

# Formula for the gauge group

Let  $A$  be a  $P$ -module and  $V^A := \{V_x\}_{x \in P}$  be the isometric representation of  $P$  on  $L^2(A)$ . Define

$$E_x := V_x V_x^*$$

$$M := \{V_x, V_x^* : x \in P\}'$$

$$\mathcal{U}(M) := \text{unitary group of } M.$$

Let  $\lambda \in \mathbb{R}^d$  and  $u \in \mathcal{U}(M)$ . Set

$$U_x^{(\lambda, u)} := e^{i\langle \lambda | x \rangle} \Gamma(u E_x^\perp + E_x).$$

## Proposition

The map

$$\mathbb{R}^d \times \mathcal{U}(M) \ni (\lambda, u) \rightarrow U^{(\lambda, u)} \in G(\alpha)$$

is a topological isomorphism.

What is  $M$  ?. Let  $A$  be a  $P$ -module. Set

$$G_A := \{z \in \mathbb{R}^d : A + z = A\}.$$

The isotropy group  $G_A$  acts on  $L^2(A)$  by translations.

## Proposition

The von-Neumann algebra  $M$  is generated by  $G_A$ . In particular,  $M$  is abelian.

It is in the proof of the above proposition where groupoids play a key role.

# A paper of Muhly and Renault

" $C^*$ -algebras associated to multivariable Wiener-Hopf operators", Muhly and Renault, Transactions of the AMS, 1982.

# A paper of Muhly and Renault

" $C^*$ -algebras associated to multivariable Wiener-Hopf operators", Muhly and Renault, Transactions of the AMS, 1982.

In the above paper, Muhly and Renault studied the  $C^*$ -algebra generated by  $\{\int f(x)V_x dx : f \in L^1(P)\}$ , denoted  $\mathcal{W}(P)$ , where  $V := \{V_x\}_{x \in P}$  is the left regular representation of  $P$  on  $L^2(P)$ . In particular, they obtained a groupoid realisation of  $\mathcal{W}(P)$ .



# Universal groupoid

Computing the commutant of  $\{V_x, V_x^* : x \in P\}$  is the same as computing the commutant of the  $C^*$ -algebra generated by  $\{\int f(x)V_x dx : f \in L^1(P)\}$ . It is desirable to have a universal groupoid from which information about the  $C^*$ -algebra generated  $\{\int f(x)V_x dx : f \in L^1(P)\}$  can be obtained for different isometric representations  $V$ .

A common feature that isometric representations associated to  $P$ -modules share is that they have **commuting range projections**.

Let  $V := \{V_x\}_{x \in P}$  be a strongly continuous family of isometries with commuting range projections. For  $z \in \mathbb{R}^d$ , write  $z = x - y$  with  $x, y \in P$ . Set

$$W_z := V_y^* V_x.$$

- (1) For  $z \in \mathbb{R}^d$ ,  $W_z$  is well-defined and is a partial isometry.
- (2) The range projections  $E_z = W_z W_z^*$  forms a commuting family of projections.
- (3)  $C^*\{\int f(z) W_z dz : f \in L^1(\mathbb{R}^d)\} = C^*\{\int f(x) V_x dx : f \in L^1(P)\}$ .

$$\mathcal{C}(\mathbb{R}^d) := \{\text{closed subsets of } \mathbb{R}^d\}.$$

Endow  $\mathcal{C}(\mathbb{R}^d)$  with the Fell topology. The group  $\mathbb{R}^d$  acts on  $\mathcal{C}(\mathbb{R}^d)$  by translations. Set

$$X_u := \{A \in \mathcal{C}(\mathbb{R}^d) : 0 \in A, -P + A \subset A\}.$$

Then  $X_u$  is compact in  $\mathcal{C}(\mathbb{R}^d)$ . Consider the transformation groupoid  $\mathcal{C}(\mathbb{R}^d) \rtimes \mathbb{R}^d$  and restrict to  $X_u$ . Denote the resulting groupoid by  $\mathcal{G}_u$ . For  $f \in C_c(\mathbb{R}^d)$ , let

$$\tilde{f}(A, x) = f(x).$$

Then  $\tilde{f} \in C_c(\mathcal{G}_u)$ .

### Proposition

- (1) *The groupoid  $\mathcal{G}_u$  has a Haar system.*
- (2) *The  $C^*$ -algebra of  $\mathcal{G}_u$  is generated by  $\{\tilde{f} : f \in C_c(\mathbb{R}^d)\}$ .*

Let  $V := \{V_x\}_{x \in P}$  be an isometric representation on  $B(\mathcal{H})$  with commuting range projections.

### Theorem (2014, S.S)

*There exists a unique  $*$ -homomorphism  $\pi : C^*(\mathcal{G}_u) \rightarrow B(\mathcal{H})$  with range  $C^*\{\int f(z)W_z dz : f \in L^1(\mathbb{R}^d)\}$  such that*

$$\pi(\tilde{f}) = \int f(-z)W_z dz$$

*for  $f \in C_c(\mathbb{R}^d)$ .*

# computation of the commutant

Let  $V^A := \{V_x\}_{x \in P}$  be the isometric representation associated to the  $P$ -module  $A$ . Then  $-A \in X_u$ . The representation of  $C^*(\mathcal{G}_u)$  corresponding to  $V^A$  is  $\pi_{-A}$  where  $\pi_{-A}$  is the induced representation of  $\mathcal{G}_u$  at the point  $-A$ .

A Theorem of Connes asserts that if  $\mathcal{G}$  is a groupoid and  $u \in \mathcal{G}^{(0)}$  then the commutant of  $\pi_u(C^*(\mathcal{G}))$  is generated by the isotropy group of  $u$ . This is the conceptual explanation of Proposition 4.

With the explicit knowledge of gauge group and with a few tricks, one can complete the proof of Theorem 1.

Merci beaucoup !