

COHOMOLOGY FOR FREE MINIMAL  
 $\mathbb{Z}^d$ -ACTIONS ON THE CANTOR SET

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JOINT WORK WITH IAN PUTNAM AND  
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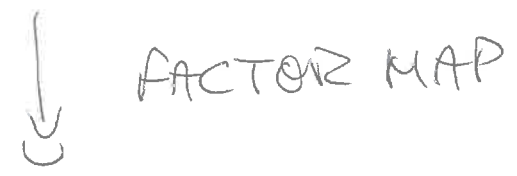
ORLÉANS, MAY 2019

NOTATIONS FOR TODAY :

-  $X$  : THE CANTOR SET

-  $(X, \varphi)$  FREE MINIMAL ACTION OF  $\mathbb{Z}^d$  ON  $X$ .

RECALL : VERY RICH CLASS  $(X, \varphi)$



FREE, MIN  $G$ -ACTION  
 $Y$  COMPACT, METRIC  $(Y, \psi)$

-  $C(X, \mathbb{Z})$  ABELIAN GRP. OF CONT. INTEGER VALUED  
FUNCT. ON  $X$ .  $\mathbb{Z}^d$ -MODULE, VIA  $n \cdot f(x) = f(\varphi^n(x))$ .

THEN

$H^*(X, \varphi) = H^*(\mathbb{Z}^d, C(X, \mathbb{Z}))$

GROUP COHOMOLOGY OF  $\mathbb{Z}^d$  WITH COEF. IN  $C(X, \mathbb{Z})$ .

REM.: FIRST CONSIDERED BY FORREST-HUNTON AND KELLENBOMK.

FACTS: \* 1)  $H^*(X, \varphi) = 0$  IF  $* > d$

\* 2)  $H^0(X, \varphi) = C(X, \mathbb{Z})^{\varphi=1} \cong \mathbb{Z}$

\* 3)  $H^d(X, \varphi) = C(X, \mathbb{Z}) / \langle f - f \circ \varphi \rangle$  CO-INVARIANTS

\* 4)  $Z^1(X, \varphi) = \{ \theta: X \times \mathbb{Z}^d \rightarrow \mathbb{Z}; \text{CONT.} \}$   
 $\theta(x, u+m) = \theta(x, u) + \theta(\varphi^u(x), u)$

A COCYCLE  $\theta$  IS A COBOUNDARY IF  $\exists h \in C(X, \mathbb{Z})$

st.  $\theta(x, u) = h(\varphi^u(x)) - h(x)$ .

\* 5)  $(X, \varphi)$  FREE MIN.  $\mathbb{Z}^d$  - CANTOR SYST.

THEN  $H^1(X, \varphi)$  TORSION FREE.

[[ PF: SUPPOSE  $\theta \in Z^1(X, \varphi)$  ST.  $n\theta \in B^1(X, \varphi)$ , WITH  $n \geq 1$ .  
 HENCE,  $\exists h \in C(X, \mathbb{Z})$  ST.  $n\theta(x, u) = h(\varphi^u(x)) - h(x)$

FOR  $0 \leq i \leq n-1$ , SET  $X_i = \{x \in X; h(x) \equiv i \pmod{n}\}$

AS  $n \mid h(\varphi^{\mathbb{R}}(x)) - h(x)$ ,  $\varphi^{\mathbb{R}}(X_i) = X_i$ ,  $\forall \mathbb{R} \in \mathbb{Z}^d$

$\varphi$  MINIMAL  $\implies$  ALL  $X_i$ , BUT ONE ARE EMPTY

LET'S SAY  $X_j$ .

THEN  $\frac{1}{n}(h-j) \in C(X, \mathbb{Z})$  AND

$$\frac{1}{n}(h-j)(\varphi^{\mathbb{R}}(x)) - \frac{1}{n}(h-j)(x) = \theta(x, \mathbb{R}) \quad \text{II}$$

REM: THE COHOMOLOGY  $H^*(X, \varphi)$  IS AN INVARIANT OF CONT. ORBIT EQUIVALENCE (COE).

III  $H^*(X, \varphi)$  IS THE GROUPOID COHOM. OF ÉTALE GROUPOID  $X \times \mathbb{Z}^d$ . III

6)  $\mu \in M_1(X, \mathcal{F})$  INV. PROB. MEAS.

$$\hat{\tau}_\mu^1: Z^1(X, \mathcal{F}) \longrightarrow \text{HOM}(Z^d, \mathbb{R})$$
$$\theta \longmapsto \hat{\tau}_\mu^1(\theta): \int \theta(x, n) d\mu(x)$$

$$\hat{\tau}_\mu^1(B^1(X, \mathcal{F})) = 0, \text{ AS } \hat{\tau}_\mu^1(\theta)(n) = \int h(\varphi^n(x)) d\mu(x) - \int h(x) d\mu(x) = 0$$

HENCE  $\hat{\tau}_\mu^1: H^1(X, \mathcal{F}) \longrightarrow \text{HOM}(Z^d, \mathbb{R})$

IDENTIFYING  $\text{HOM}(Z^d, \mathbb{R}) \cong \mathbb{R}^d$ , WE HAVE

$$\hat{\tau}_\mu^1: H^1(X, \mathcal{F}) \longrightarrow \mathbb{R}^d \quad \text{GRP. HOMOM.}$$
$$[\theta] \longmapsto (\hat{\tau}_\mu^1(\theta)(e_1), \dots, \hat{\tau}_\mu^1(\theta)(e_d))$$

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FOR  $1 \leq j \leq d$ , SET  $\theta_j(x, e_i) = \delta_{ij}$ . THEN  $\hat{\tau}_\mu^1([\theta_j]) = e_j$

HENCE

$$\mathbb{Z}^d = \text{Span} \{e_j\} \subset \hat{\tau}_\mu^1 (H'(X, \varphi)) \subset \mathbb{R}^d$$


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Q?: CLASS OF  $\mathbb{Z}^d \subset H \subset \mathbb{R}^d$ , COUNTABLE, WHICH CAN BE REALIZED DYNAMICALLY, i.e.

$\exists (X, \varphi)$  FREE, MIN.  $\mathbb{Z}^d$ -ACTIONS & UNIQ. ERG. ST.

$$\hat{\tau}_\mu^1 (H'(X, \varphi)) = H.$$


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KNOWN: (CLARKE-SADUN)

$\exists (X, \varphi)$  FREE, MIN., UNIQ. ERG  $\mathbb{Z}^2$ -ACTION ST.

$$\hat{\tau}_\mu^1 (H'(X, \varphi)) = \mathbb{Z}^2.$$

REM: ( $d=1$ )  $H'(X, \varphi) = \text{CO-INVARIANTS} = \frac{C(X, Z)}{\langle f - f \circ \varphi \rangle}$

$H'(X, \varphi) = \mathcal{D}(X, \varphi) (= K_0(C^*(X, \varphi)))$  SIMPLE DIM. GRP.

$\mathbb{Z} \subset \tau'_\mu(H'(X, \varphi)) \subset \mathbb{R}$ , DENSE.

RECALL: ① [HPS] ANY SIMPLE DIM. GRP CAN ARISE

REM: [HPS] GIVES MUCH MORE !!

② FOR ANY  $\mathbb{Z} \subset H \subset \mathbb{R}$ , DENSE,  $\exists (X, \varphi)$  CHS  
UNIQ. ERG. ST  $\tau'_\mu(H'(X, \varphi)) = H$ .

- a) IF  $\mathbb{Z} \subset H \subset \mathbb{Q}$ , DENSE, THEN  $(X, \varphi)$  ODOMETER.
- b) IF  $H \not\subset \mathbb{Q}$ , THEN  $(X, \varphi)$  CAN BE CHOSEN AS A DENJOY OR STURMIAN SYST.



REM: [GPS]. ANY UNIQ. ERG. CMS IS OE TO  
EITHER AN ODOMETER OR A DENJOY SYST.

CASE 1.  $\mathbb{Z}^d \subset H \subset \mathbb{Q}^d$ , H DENSE.

PROP:  $\exists \mathbb{Z}^d$ -ODOMETER  $(X, \varphi)$  ST  $\tilde{c}'_\mu(H'(X, \varphi)) = H$ .

$\mathbb{Z}^d$ -ODOMETER: H-I CORIEZ, C + S. PETITE, C + K. MEDYNETS  
 $\mathbb{Z}^d$  G-ODOM. COE  
G RES. FINITE

X. LI RIGIDITY.

RECALL:  $d=1$ .  $P_n \geq 2$ ,  $q_n = P_1 P_2 \dots P_n$

$$X: \mathbb{Z}/q_1 \leftarrow \mathbb{Z}/q_2 \leftarrow \dots \quad \varphi = + (1, 1, 1, \dots)$$

$H^1(X, \varphi) = \text{CO-INVARIANTS} \cong \mathbb{Z} \left[ \frac{1}{M} \right]$ , WHERE

$M$  GENERALIZED INTEGER ASS. TO  $(P_n)_{n \geq 1}$ .

REM:  $\mathbb{Z} \supset q_1 \mathbb{Z} \supset q_2 \mathbb{Z} \dots$ ,  $\bigcap q_n \mathbb{Z} = \{0\}$ .

FOR  $d \geq 1$ ,  $\mathcal{Z} = \{ \mathbb{Z}^d \supset \mathbb{Z}_1 \supset \mathbb{Z}_2 \dots \}$ , FINITE INDEX,  $\bigcap \mathbb{Z}_n = \{0\}$ .

$X_{\mathcal{Z}}$ :  $\mathbb{Z}^d / \mathbb{Z}_1 \leftarrow \mathbb{Z}^d / \mathbb{Z}_2 \leftarrow \dots \quad \varphi_{\mathcal{Z}}(n) = + (n, n, \dots, n, \dots)$   
 $n \in \mathbb{Z}^d$ .

FACTS: **x**(1)  $(X_{\mathcal{Z}}, \varphi_{\mathcal{Z}})$  FREE MIN.  $\mathbb{Z}^d$ -ACTION ON THE CANTOR SET

**x**(2)  $(X_{\mathcal{Z}}, \varphi_{\mathcal{Z}})$  EQUICONT., UNIQ. ERG.

RECALL:  $\mathbb{Z} \subset \mathbb{Z}^d$  FINITE INDEX SUBGRP.  $\rightsquigarrow$  LATTICE

(11)

$\mathbb{Z}^* \supset \mathbb{Z}^d$  DUAL LATTICE  $\mathbb{Z}^* = \{ \sigma \in \mathbb{R}^d; (\sigma|x) \in \mathbb{Z}, \forall x \in \mathbb{Z} \} \subset \mathbb{Q}^d$

FACTS: 1)  $[\mathbb{Z}^d, \mathbb{Z}] = [\mathbb{Z}^*, \mathbb{Z}^d]$ .

2)  $\dots \mathbb{Z}_2 \subset \mathbb{Z}_1 \subset \mathbb{Z}^d \subset \mathbb{Z}_1^* \subset \mathbb{Z}_2^* \subset \dots$

3)  $H = \bigcup_{u \geq 1} \mathbb{Z}_u^* \subset \mathbb{Q}^d$ , dense  $\Leftrightarrow \bigcap \mathbb{Z}_u = \{0\}$ .

ANOTHER CONST. OF  $\mathbb{Z}^d$ -ODOMETER:

1)  $\mathbb{Z}^d \subset H \subset \mathbb{Q}^d$  ( $\Rightarrow H/\mathbb{Z}^d$  TORSION GRP)

IF  $H/\mathbb{Z}^d$  ENDOWED DISCRETE TOP, THEN

$$\mathcal{Y}_H = \left( H/\mathbb{Z}^d \right)^\wedge \text{ COMPACT TOR. DISC.}$$

2) IF  $\rho: H/\mathbb{Z}^d \rightarrow \mathbb{T}^d = \mathbb{R}^d/\mathbb{Z}^d$  INCLUSION, THEN  
 $h + \mathbb{Z}^d \mapsto (e^{2i\pi h_1}, \dots, e^{2i\pi h_d})$

WITH  $\hat{\rho}: \mathbb{Z}^d (= (\mathbb{T}^d)^\wedge) \longrightarrow \left(\frac{A}{\mathbb{Z}^d}\right)^\wedge = \gamma_H$ , WE DEFINE

AN ACTION  $\gamma_H$  OF  $\mathbb{Z}^d$  ON  $\gamma_H$ , BY  $\gamma_H(n) = + \hat{\rho}(n)$

THM:  $H_1 \subset H_2 \subset H_3 \subset \dots$   $H = \bigcup_{u \in \mathbb{N}} H_u$  FINITE INDEX INCL.

THEN  $(\gamma_H, \gamma_H)$  CONJUGATE  $(\gamma_{\mathcal{G}}, \gamma_{\mathcal{G}})$  WITH  $\mathcal{G} = \{\mathbb{Z}^d \supset H_1^* \supset H_2^* \supset \dots\}$ .

CONVERSELY, IF  $\mathcal{G} = \{\mathbb{Z}^d \supset \mathbb{Z}_1 \supset \mathbb{Z}_2 \supset \dots\}$   $\wedge \mathbb{Z}_u = e_0 \mathbb{Z}^d$ , THEN

$(\gamma_{\mathcal{G}}, \gamma_{\mathcal{G}})$  CONJUG. TO  $(\gamma_H, \gamma_H)$ , WITH  $H = \bigcup_{u \in \mathbb{N}} \mathbb{Z}_u^*$ .

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THM:  $\mathbb{Z}^d \subset H \subset \mathbb{Q}^d$ , DENSE,  $d=1$  OR  $2$ . THEN

$\mathcal{E}'_\mu: H^\wedge(\gamma_H, \gamma_H) \longrightarrow H$  ISOMORPHISM.

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THM:  $\mathbb{Z}^d \subset H, H' \subset \mathbb{Q}^d$ , DENSE,  $d=1, 2$ .

$\mathbb{Z}^d$ -ODOM. (H) AND  $\mathbb{Z}^d$ -ODOM. (H') ARE

CONJUGATE IFF  $H = H'$

ISOMORPHIC IFF  $\exists \alpha \in GL_d(\mathbb{Z}), \alpha(H) = H'$

COE IFF  $\exists \alpha \in GL_d(\mathbb{Q}), |\det(\alpha)| = 1$   
ST  $\alpha(H) = H'$ .

Q? OE OF  $\mathbb{Z}^d$ -ODOMETERS.

DEF  $\mathbb{Z}^d \subset H \subset \mathbb{Q}^d$ . SUPERINDEX OF  $\mathbb{Z}^d$  IN H

$$[[H: \mathbb{Z}^d]] = \{ [H': \mathbb{Z}^d]; \mathbb{Z}^d \subset H' \subset H, [H': \mathbb{Z}^d] < \infty \}$$

THM :  $\mathbb{Z}^d \subset H \subset \mathbb{Q}^d$ , DENSE. THEN

$$\begin{array}{ccc}
 \text{rd} & & \\
 \text{L}_\mu & : & H^d(\mathbb{Z}^d\text{-ODOM}(H)) \longrightarrow \cup_{W \in \{H: \mathbb{Z}^d\}} \frac{1}{w} \mathbb{Z} \\
 & & \parallel \\
 & & D(\psi_H, \psi_H) \\
 & & \text{(pointed, - ORDER) - ISOM.}
 \end{array}$$


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COR:  $\mathbb{Z}^d \subset H \subset \mathbb{Q}^d$ ,  $\mathbb{Z}^{d'} \subset H' \subset \mathbb{Q}^{d'}$ , DENSE.

$$\mathbb{Z}^d\text{-ODOM}(H) \text{ OE } \mathbb{Z}^{d'}\text{-ODOM}(H') \text{ IFF SUPERINDEX}(H) = \text{SUPERINDEX}(H')$$


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CONSEQUENCE :  $\text{CONJ} \implies \text{ISOM} \implies \text{COE} \implies \text{OE}$

$d=1$ :	$\Leftarrow$	$\Leftarrow$	$\Leftarrow$
$d=2$ :	$\not\Leftarrow$	$\not\Leftarrow$	$\not\Leftarrow$

CASE 2:  $\mathbb{Z}^2 \subset H \subset \mathbb{R}^2$ , H DENSE.

RECALL: \* ①  $(X, \varphi)$  FREE, MIN., UNIQ. ERG  $\mathbb{Z}^2$ -CMS.

a)  $H^1(X, \varphi)$  TORSION FREE.

b)  $\tau'_\mu : H^1(X, \varphi) \rightarrow \mathbb{R}^2$  GRP. HOM.  $\exists \gamma_1, \gamma_2 \in H^1(X, \varphi)$  st.  
 $\tau'_\mu(\gamma_1) = (1, 0)$  and  $\tau'_\mu(\gamma_2) = (0, 1)$ .

\* ② IF H COUNTABLE, TORSION FREE, ABEL. GRP,

$u_1, u_2 \in H$  AND  $\sigma : H \rightarrow \mathbb{R}^2$  GRP HOM. ST.

$\sigma(H) \subset \mathbb{R}^2$ , DENSE. AND  $\sigma(u_1) = (1, 0)$ ,  $\sigma(u_2) = (0, 1)$

THEN H, WITH STRICT ORDERING FROM  $\sigma$ , (ie.  $H^+ = \{h \in H, \sigma(h) \in (\mathbb{R}^2)^{++}\}$ )

IS A SIMPLE DIM. GRP WITH TWO EXTR. STATES.  $\theta_1, \theta_2$

WITH  $\theta_i(u_j) = \delta_{ij}$ .

THM

H SIMPLE DIMENSION GROUP WITH  
TWO EXTREMAL STATES  $\theta_1, \theta_2$ ,  
 $u_1, u_2 \in H$ ,  $\theta_i(u_j) = \delta_{ij}$ .

THEN  $\exists (X, \varphi)$  UNIQ ERG., FREE, MIN  $\mathbb{Z}^2$ -ACTIONS.

$$\begin{array}{ccc}
 \text{ST} & H^1(X, \varphi) & \xrightarrow{\tilde{\tau}_\mu} \mathbb{R}^2 \\
 & \parallel & \parallel \\
 & H & \xrightarrow{(\theta_1, \theta_2)} \mathbb{R}^2
 \end{array}$$


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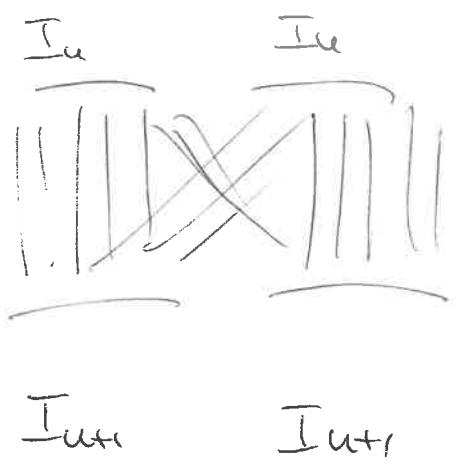
# STEPS OF THE PROOF:

STEP 1: WRITE  $H$  AS A "NICE" INDUCTIVE LIMIT.

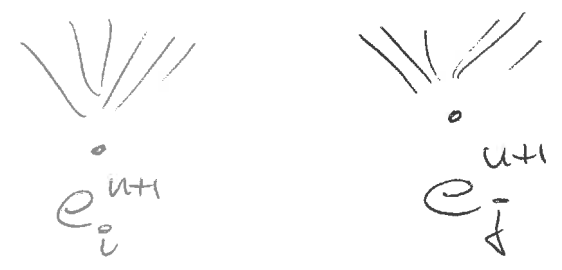
$$\dots \rightarrow \mathbb{Z}^{I_u} \oplus \mathbb{Z}^{I_u} \xrightarrow{E_u} \mathbb{Z}^{I_{u+1}} \oplus \mathbb{Z}^{I_{u+1}} \rightarrow \dots$$

WITH

$$E_u = \begin{pmatrix} E_{11}^u & E_{12}^u \\ E_{21}^u & E_{22}^u \end{pmatrix} = \begin{pmatrix} \text{large} & \text{small} \\ \text{small} & \text{large} \end{pmatrix}.$$





CHOOSE A "GOOD" LINEAR ORDER ON



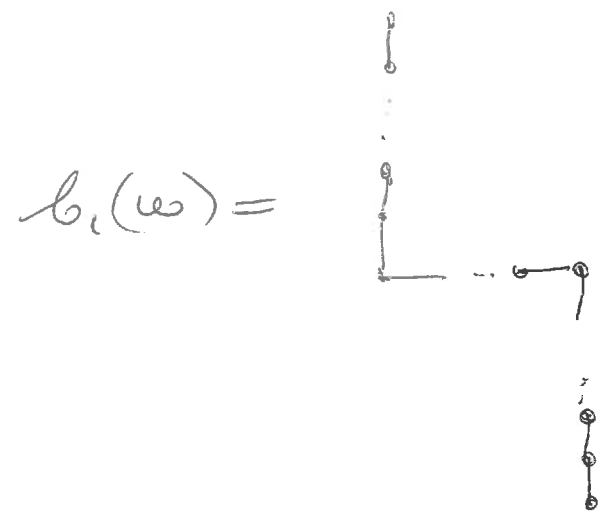
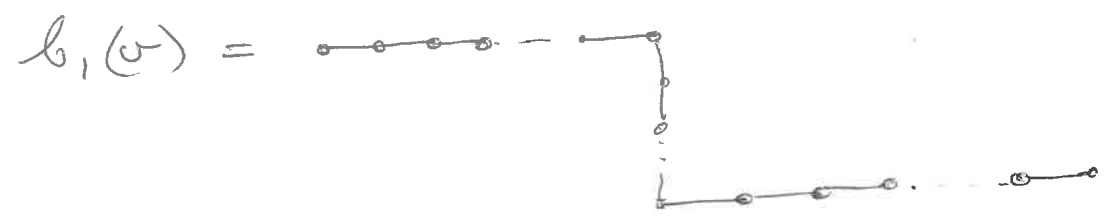
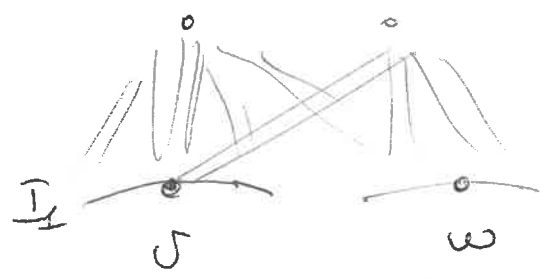
USE THIS ORDERING, TO ASSOCIATE TO ANY.

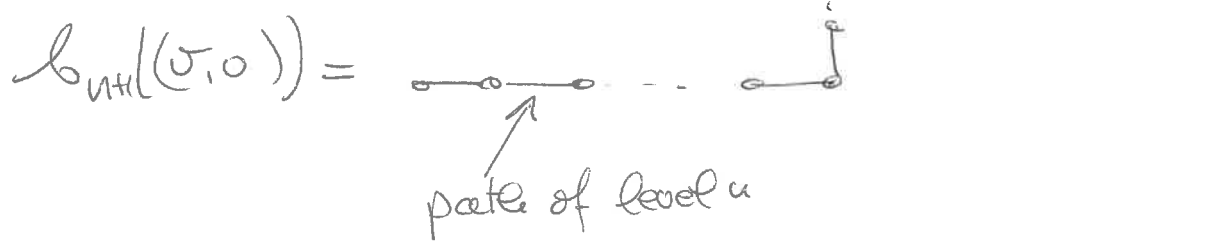
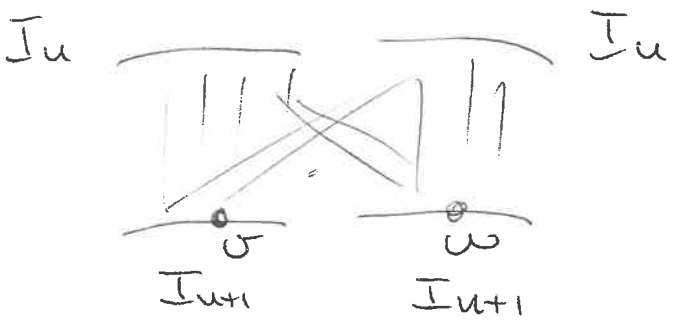
$\sigma = (e_i^n, 0)$  A "HORIZONTAL" POLYGONAL PATH  $b_u(\sigma)$ .

$\omega = (0, e_j^n)$  A "VERTICAL"   $b_u(\omega)$

ST  $b_0(1,0)$  

$b_0(0,1) = \downarrow$



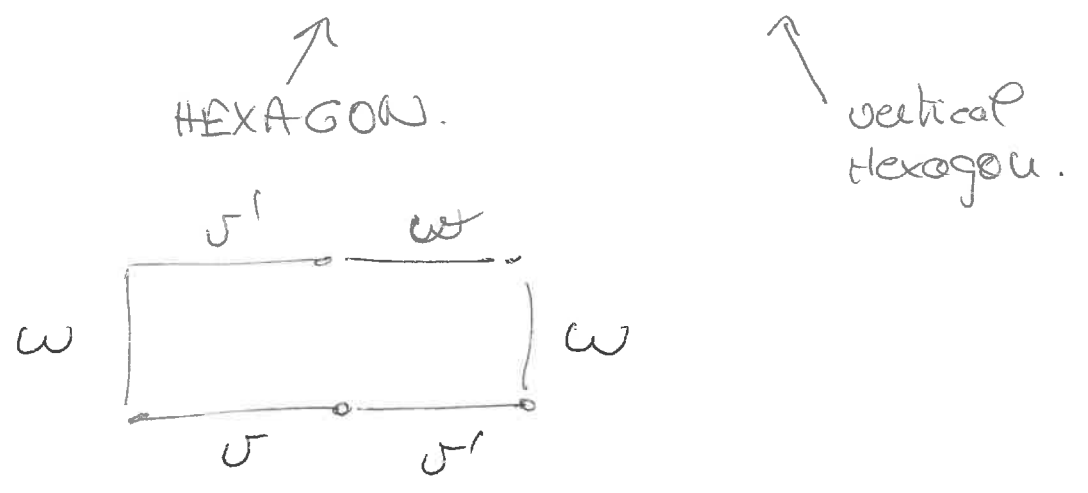
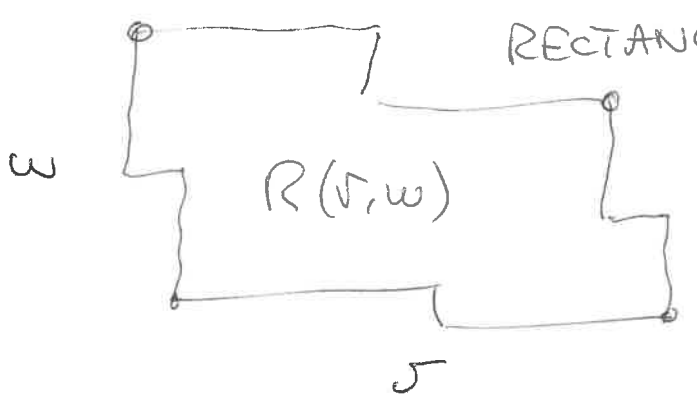


$$b_{u+1}(\sigma, \omega) = \dots$$

STEP 2: USE THE HORIZONTAL AND VERTICAL PATHS TO CONSTRUCT A SIMPLE BRATTEDI DIAG.

$$\mathcal{B} = (W, F), \quad W = \bigcup_{u \geq 0} W_u.$$

$$W_0 = \{ \square \}; \quad W_1 = \{ R(\sigma, \omega), H(\sigma, \sigma'; \omega), H(\sigma, \omega, \omega') \}.$$

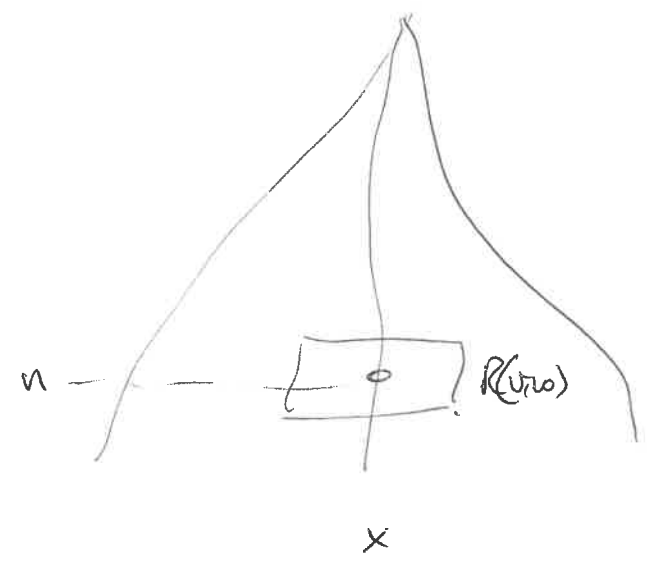


TO CONSTRUCT THE EDGES OF  $B = (W, F)$ , NEED TO DECOMPOSE TH POLYGONAL TILES OF  $W_u$  AS UNION OF TILES OF  $W_{u-1}$ .

(TRICKY !! USE PROPERTIES OF THE INDUCTIVE LIMIT DESCRIPTION OF  $H$  AND OF THE ORDER ON  $H$ .)

STEP 3 : ON THE PATH SPACE OF THE SIMPLE BRATTILL DIAGRAM  $(W, F)$ , DEFINE A FREE. MIN. ACTION

$\mathbb{Z}^2$ -ACTION



STEP 4:

ASSOCIATE TO ANY  $\sigma \in I_u \perp I_u$ . (OF  $H$ )

A COCYCLE  $\theta_\sigma \in Z^1(X_B, \varphi)$  AND SHOW

THAT

$$H^1(X, \varphi) \cong H.$$