

# *Amenability of actions and Crossed Products*

*joint work with  
Alcides Buss and Rufus Willett*

Groupoids and Operator Algebras.

(The Jean Fest)

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Siegfried Echterhoff

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## Motivation

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Amenability of actions of groupoids on spaces or  $C^*$ -algebras has been a central theme in the (partially joint) work of **Jean Renault** and **Claire Anantharaman-Delaroche**.

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**Question:** Does a similar characterization of amenability hold for locally compact groupoids or crossed products by actions of groups or groupoids?

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In this talk we only consider **actions of discrete groups**  $G$  (which includes the case of the groupoids  $X \rtimes G$ )!

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- $G$ -injectivity and the  $G$ -WEP
- On a conjecture of Ozawa.

## Amenable actions (after Anantharaman-Delaroche)

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Let  $\alpha : G \rightarrow \text{Aut}(A)$  be an action. A function  $\theta : G \rightarrow A$  is called *of positive type*, if for all  $g_1, \dots, g_l \in G$  we have

$$\left( \alpha_{g_i}(\theta(g_i^{-1} g_j)) \right)_{i,j} \in M_l(A)^+.$$

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**Definition (Anantharaman-Delaroche '87)** A  $G$ -algebra  $A$  is called *amenable* if there exists a net  $\{\theta_i : G \rightarrow Z(A^{**})\}_{i \in I}$  of *finitely supported positive type* functions such that

1.  $\theta_i(e) \leq 1_{A^{**}} \quad \forall i \in I$
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We call  $A$  *strongly amenable* if there exists a net of *finitely supported positive type* functions  $\theta_i : G \rightarrow ZM(A)$  such that

1.  $\theta_i(e) \leq 1_{M(A)} \quad \forall i \in I$
2.  $\theta_i(g) \rightarrow 1_{M(A)}$  strictly  $\forall g \in G$ .

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The following results are all due to [Anantharaman-Delaroche](#):

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- If  $A$  is **nuclear**, then  
 $A$  is amenable  $\Leftrightarrow A \rtimes_{\max} G$  is nuclear  $\Leftrightarrow A \rtimes_r G$  is nuclear.

**Example (Suzuki, 2018)** For every **non-amenable**, exact, discrete group  $G$  there exists a **unital, simple, nuclear**  $G$ -algebra  $A$  such that  $A \rtimes_{\max} G = A \rtimes_r G$  is nuclear.

**Thus:**  $A$  is amenable but not strongly amenable!

## Matsumura's theorem

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- If  $A = C(X)$ , then  $A \rtimes_{\max} G = A \rtimes_r G \Leftrightarrow A$  amenable.
- If  $A$  is nuclear, then  $(A \otimes A^{op}) \rtimes_{\max} G = (A \otimes A^{op}) \rtimes_r G \Leftrightarrow A$  amenable.



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**Idea of proof:** It suffices to show nuclearity of

$$A \rtimes_r G \xrightarrow{\pi} (A \rtimes_r G)^{**}$$

This implies that  $A \rtimes_r G$  is nuclear and  $A$  amenable!

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**Idea of proof:** Matsumura shows the existence of the diagram

$$\begin{array}{ccc} A \rtimes_r G & & \\ & \searrow & \searrow \pi \\ & A^{**} \rtimes_r G & \xrightarrow{\psi} (A \rtimes_r G)^{**} \end{array}$$

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**Idea of proof:** He then extends it to a diagram

$$\begin{array}{ccccc}
 A \rtimes_r G & & & & \\
 \downarrow & \searrow & \xrightarrow{\pi} & & \\
 (\ell^\infty(G) \otimes A) \rtimes_r G & \xrightarrow{\phi} & A^{**} \rtimes_r G & \xrightarrow{\psi} & (A \rtimes_r G)^{**}
 \end{array}$$

This implies that  $\pi : A \rtimes_r G \rightarrow (A \rtimes_r G)^{**}$  is nuclear!

## The injective crossed product

**Definition** For a  $G$ -algebra  $A$  the *injective crossed product* is defined as

$$A \rtimes_{\text{inj}} G := \overline{C_c(G, A)}^{\|\cdot\|_{\text{inj}}}$$

$$\|f\|_{\text{inj}} = \inf\{\|\phi \circ f\|_{B \rtimes_{\text{max}} G} \mid \phi : A \hookrightarrow B \text{ a } G\text{-embedding.}\}.$$

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**Theorem (Buss-E-Willett, 2018)**  $(A, \alpha) \mapsto A \rtimes_{\text{inj}} G$  is the largest (exotic) crossed-product functor which is *injective* in the sense

$$\phi : A \hookrightarrow B \quad (G\text{-embedding}) \quad \Rightarrow \quad \phi \rtimes G : A \rtimes_{\text{inj}} G \hookrightarrow B \rtimes_{\text{inj}} G.$$

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**Proof:**  $A \rtimes_{\text{inj}} G \hookrightarrow (\ell^\infty(G) \otimes A) \rtimes_{\text{inj}} G = (\ell^\infty(G) \otimes A) \rtimes_r G$

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**Proof:**  $A \rtimes_{\text{inj}} G \hookrightarrow (\ell^\infty(G) \otimes A) \rtimes_{\text{inj}} G = (\ell^\infty(G) \otimes A) \rtimes_r G$

**Notice:**

$$A \rtimes_{\text{max}} G = A \rtimes_{\text{inj}} G \iff [A \hookrightarrow B \Rightarrow A \rtimes_{\text{max}} G \hookrightarrow B \rtimes_{\text{max}} G].$$

## Injective covariant representations

**Definition** A covariant rep.  $(\pi, u) : (A, G) \rightarrow \mathcal{B}(H)$  is **injective** if:

$$\forall \phi : A \hookrightarrow B \text{ (} G\text{-hom.)} \exists \left\{ \begin{array}{l} \text{ccp map } \sigma : B \rightarrow \mathcal{B}(H) \text{ s.t. } \sigma \circ \phi = \pi \\ \text{and } (\sigma, u) \text{ is covariant for } (B, G). \end{array} \right\}$$



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**Theorem (BEW)** Let  $A$  be a  $G$ -algebra. TFAE:

- (a)  $A \rtimes_{\max} G = A \rtimes_{\text{inj}} G$ .
- (b) Every covariant rep  $(\pi, u)$  of  $(A, G)$  is injective.
- (c)  $\exists$  an injective covariant rep.  $(\pi, u)$  of  $(A, G)$  such that  $\pi \rtimes u : A \rtimes_{\max} G \rightarrow \mathcal{B}(H)$  is faithful.

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(c)  $\Rightarrow$  (a)

$$\begin{array}{ccc} & B \rtimes_{\max} G & \\ & \uparrow \phi \rtimes G & \searrow \sigma \rtimes u \\ A \rtimes_{\max} G & \xrightarrow{\pi \rtimes u} & \mathcal{B}(H) \end{array}$$

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(a)  $\Rightarrow$  (b) 
$$\begin{array}{ccc} B \rtimes_{\max} G & & \\ \uparrow \phi \rtimes G & \searrow \widetilde{\pi \rtimes u} & \\ A \rtimes_{\max} G & \xrightarrow{\pi \rtimes u} & \mathcal{B}(H) \end{array}$$

$\sigma := \widetilde{\pi \rtimes u}|_B$

## Injective covariant representations

**Lemma.** Let  $(\pi, u) : (A, G) \rightarrow \mathcal{B}(H)$  be injective with  $\pi$  nondeg..  
Let  $C$  be any unital  $G$ -algebra.

Then there exists a ucp map

$$\phi : C \rightarrow \pi(A)' \subseteq \mathcal{B}(H) \quad \text{s.t. } (\phi, u) \text{ is covariant for } (C, G).$$

This applies in particular to  $C = \ell^\infty(G)$ .

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*Proof :* Consider  $\iota_A, \iota_C : A, C \hookrightarrow M(C \otimes A)$ .

Injectivity of  $(\pi, u)$  implies:

$\exists$  ucp map  $\sigma : M(C \otimes A) \rightarrow \mathcal{B}(H)$  s.t.  $\sigma \circ \iota_A = \pi$  and  $(\sigma, u)$  covariant.

Put  $\phi = \sigma \circ \iota_C$ . Then  $(\phi, u)$  is covariant and  $\phi(C) \subseteq \pi(A)'$

(notice that  $\iota_A(A)$  lies in the multiplicative domain of  $\sigma$ !)

## Commutant amenability

**Definition**  $(\pi, u) : (A, G) \rightarrow \mathcal{B}(H)$  is **commutant amenable** if there exists a net of finitely supported positive type functions

$$\theta_i : G \rightarrow \pi(A)' \quad (\text{with resp. to } \beta = \text{Ad } u)$$

such that

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**Remark (a)**  $A$  amenable  $\Rightarrow A$  commutant amenable.



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**Remark (a)**  $A$  amenable  $\Rightarrow A$  commutant amenable.

**(b)** wlog  $\exists$  finitely supported functions  $\xi_i \in \ell^2(G, \pi(A)')$  s.t,

$$\theta_i(g) = \langle \xi_i, \tilde{\beta}_g(\xi_i) \rangle_{\pi(A)'}, \quad \forall g \in G.$$

## Commutant amenability

**Theorem (BEW)** If the  $G$ -algebra  $A$  is commutant amenable, then

$$A \rtimes_{\max} G = A \rtimes_{\text{inj}} G = A \rtimes_r G.$$

If  $G$  is exact, the converse holds as well!

**Proof of converse:**

Assume that  $G$  is exact and  $A \rtimes_{\max} G = A \rtimes_{\text{inj}} G$ . Then every cov. rep.  $(\pi, u)$  is injective. Thus there exists a  $G$ -ucp map

$$\phi : \ell^\infty(G) \rightarrow \pi(A)'$$

$G$  being exact,  $\ell^\infty(G)$  is amenable. Hence, there exist fin. sup. positive type functions

$$\eta_i : G \rightarrow \ell^\infty(G) \quad \text{satisfying (i) and (ii).}$$

Put  $\theta_i = \phi \circ \eta_i : G \rightarrow \pi(A)'$ .

## Haagerup's standard form

**Theorem (Haagerup)** Let  $A$  be a  $G$ -algebra. Then there exist normal, unital, and faithful reps.

$$\pi : A^{**} \rightarrow \mathcal{B}(H), \quad \pi^{op} : (A^{op})^{**} \rightarrow \mathcal{B}(H)$$

and a unitary rep  $u : G \rightarrow U(H)$  such that

- (i)  $(\pi, u)$  and  $(\pi^{op}, u)$  are covariant;
- (ii)  $\pi(A)' = \pi^{op}((A^{op})^{**}) \cong (A^{op})^{**}$ ,  $\pi^{op}(A^{op})' = \pi(A^{**}) \cong A^{**}$ ;
- (iii) if  $A$  is commutative, then  $\pi(A)' \cong A^{**} = Z(A^{**})$ .

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**Corollary (a)**  $A$  amenable  $\Leftrightarrow A \otimes_{\max} A^{op}$  commutant amenable.

(since (ii) implies  $Z(A^{**}) = \pi \otimes \pi^{op}(A \otimes_{\max} A^{op})'$ ).

**(b)** If  $A$  is commutative, then (since  $\pi(A)' \cong A^{**} = Z(A^{**})$ )

$A$  amenable  $\Leftrightarrow A$  commutant amenable.

## Matsumura's theorem revisited

**Theorem (BEW)** Suppose that  $G$  is **exact** and  $A$  is a  $G$ -algebra. Then the following are equivalent:

- (i)  $A$  is amenable.
- (ii)  $A \otimes_{\max} A^{op}$  is amenable.
- (iii)  $A \otimes_{\max} A^{op}$  is commutant amenable.
- (iv)  $(A \otimes_{\max} A^{op}) \rtimes_{\max} G = (A \otimes_{\max} A^{op}) \rtimes_r G$ .

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Assume, in addition, that  $A$  is **commutative**. Then the following are equivalent:

- (a)  $A$  is (strongly) amenable.
- (b)  $A$  is commutant amenable.
- (c)  $A \rtimes_{\max} G = A \rtimes_r G$ .

**In particular:**  $C_{\max}^*(X \rtimes G) \cong C_r^*(X \rtimes G) \Leftrightarrow X \rtimes G$  is amenable

# The Kaminker-Ozawa theorem

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**Theorem (BEW)** Let  $A$  be unital and commutant amenable.

Then:

- (i)  $A$  nuclear  $\Rightarrow A \rtimes_r G \hookrightarrow (A \otimes A^{op}) \rtimes_r G$  is nuclear.
- (ii)  $A$  exact  $\Rightarrow A \rtimes_r G$  exact.
- (iii)  $G$  is exact.

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**Proof:** Use  $\pi(A)' = \pi^{op}((A^{op})^{**})$  in Haagerup's rep. to show:

$\exists \xi_i : G \rightarrow A^{op}$  with finite supp. s.t.  $\theta_i(g) = \langle \xi_i, \tilde{\alpha}_g^{op}(\xi_i) \rangle \xrightarrow{\|\cdot\|} 1_{A^{op}}$



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We then get

$$\begin{array}{ccc}
 A \rtimes_r G & \xrightarrow{(\iota_A \otimes 1) \rtimes G} & (A \otimes_{\max} A^{op}) \rtimes_r G \\
 \searrow \psi_F & & \nearrow \phi_F \\
 & A \otimes M_F &
 \end{array}$$

for  $F \subseteq G$  finite, such that  $\phi_f \circ \psi_F$  approximates  $(\iota_A \otimes 1) \rtimes G$ .

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- (iii)  $G$  is exact.

**Proof:** If  $A$  is exact, we consider the extended diagram:

$$\begin{array}{ccccc}
 A \rtimes_r G \hookrightarrow & \xrightarrow{(\iota_A \otimes 1) \rtimes G} & (A \otimes_{\max} A^{op}) \rtimes_r G \hookrightarrow & \longrightarrow & \mathcal{B}(H \otimes \ell^2(G)) \\
 & \searrow \psi_F & \nearrow \phi_F & & \uparrow \\
 & & A \otimes M_F \hookrightarrow & \xrightarrow[\text{nuclear}]{A \text{ exact}} & \mathcal{B}(H') \\
 & & & & \downarrow \text{Arveson}
 \end{array}$$

Hence  $A \rtimes_r G$  is exact!

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**Theorem (BEW)** Let  $A$  be unital and commutant amenable. Then:

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**Proof:** If  $G$  is exact, restrict the diagram to  $C_r^*(G) \subseteq A \rtimes_r G$ :

$$\begin{array}{ccccccc}
 C_r^*(G) \hookrightarrow & \subseteq & A \rtimes_r G \hookrightarrow & \xrightarrow{(\iota_A \otimes 1) \rtimes G} & (A \otimes_{\max} A^{op}) \rtimes_r G \hookrightarrow & \longrightarrow & \mathcal{B}(H \otimes \ell^2(G)) \\
 & \searrow \psi_F & & \searrow \psi_F & & \nearrow \phi_F & \\
 & & 1 \otimes M_F \hookrightarrow & \longrightarrow & A \otimes M_F & & 
 \end{array}$$

Hence  $C_r^*(G)$  (and therefore  $G$ ) is exact!

# The Kaminker-Ozawa theorem

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Corollary (cf Kaminker-Ozawa theorem) TFAE

- (a)  $G$  is exact.
- (b) There exists a compact amenable  $G$ -space  $X$ .
- (c) There exists a (strongly) amenable, unital  $G$ -algebra  $A$ .
- (d) There exists a commutant amenable, unital  $G$ -algebra  $A$ .

# Injective $G$ -algebras and the $G$ -WEP

**Definition** Let  $A$  be a  $G$ -algebra,  $\iota : A \hookrightarrow A^{**}$  the canonical map.

(a)  $A$  is called  $G$ -injective, if

$\forall \psi : A \hookrightarrow B$  ( $G$ -emb.)  $\exists$  cond. exp.  $P : B \rightarrow A$  s.t.  $P \circ \psi = \text{id}_A$ .

(b)  $A$  has the  $G$ -WEP, if

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(1)  $\ell^\infty(G, B)$  is  $G$ -injective for any injective  $B$  (e.g.,  $B = \mathcal{B}(H)$ ).

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(3) If  $A$  has the  $G$ -WEP (or  $A$  is  $G$ -injective), then

$$A \rtimes_{\max} G = A \rtimes_{\text{inj}} G.$$



# Injective $G$ -algebras and the $G$ -WEP

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**Theorem (BEW)** Let  $A$  be a  $G$ -algebra.

(a) If  $G$  is exact TFAE:

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- (i)  $A$  is amenable and  $A^{**}$  is injective.
- (ii)  $A^{**}$  is  $G$ -injective.

(ii)  $\Rightarrow$  (i) Consider the inclusion  $\iota : A^{**} \hookrightarrow \ell^\infty(G, A^{**})$ . By  $G$ -injectivity of  $A^{**}$  we obtain a  $G$ -conditional exp.

$$P : \ell^\infty(G, A^{**}) \rightarrow A^{**}$$

hence one of the equivalent characterizations of amenability.

## The $G$ -injective envelope

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For a  $G$ -algebra  $A$  let  $I_G(A)$  denote Hamana's  $G$ -injective envelope of  $A$ , i.e., if  $A \hookrightarrow B$  and  $B$  is  $G$ -injective, then

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Note that  $I_G(A)$  is always unital!

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**Theorem (BEW)** For a discrete group  $G$  TFAE:

- (i)  $G$  is exact.
- (ii)  $I_G(A)$  is (strongly) amenable for every  $G$ -algebra  $A$ .
- (iii)  $I_G(A)$  is (strongly) amenable for some  $G$ -algebra  $A$ .

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**Idea for (i)  $\Rightarrow$  (ii):** Use  $G$ -injectivity of  $I_G(A)$  to the inclusion

$$A \hookrightarrow \ell^\infty(G) \otimes A$$

to obtain a ucp map  $\ell^\infty(G) \rightarrow Z(I_G(A))$ .

## Ozawa's conjecture

**Conjecture (Ozawa)** For every exact  $C^*$ -algebra  $B$  there exists a nuclear  $C^*$ -algebra  $N(B)$  such that

$$B \subseteq N(B) \subseteq I(B) \quad (I(B) \text{ inj. env. of } B)$$

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**Corollary (BEW-Suzuki)** Suppose  $G$  is exact and  $A$  is nuclear.

Then Ozawa's conjecture holds for  $A \rtimes_r G$ .



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**Corollary (BEW-Suzuki)** Suppose  $G$  is exact and  $A$  is nuclear.

Then Ozawa's conjecture holds for  $A \rtimes_r G$ .

**Proof:**  $I_G(A)$  strongly amenable  $\Rightarrow Z(I_G(A))$  amenable. Then

$$D := C^*(A \cup Z(I_G(A))) \subseteq I_G(A)$$

is nuclear and amenable. Hence  $N(B) := D \rtimes_r G$  is nuclear, and

$$A \rtimes_r G \subseteq N(B) = D \rtimes_r G \subseteq I_G(A) \rtimes_r G \stackrel{\text{Hamana}}{\subseteq} I(A \rtimes_r G).$$

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My best wishes to Jean!  
Thank you for your friendship!