

**GROUPOIDS AND OPERATOR ALGEBRAS**  
**Applications to Analysis, Geometry and Dynamics**

Conference in honor of Jean Renault  
Orleans, May 2019

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WEAK CARTAN SUBALGEBRAS OF  $C^*$ -ALGEBRAS

Ruy Exel & David Pitts

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- 1980 - Renault introduces a tentative notion of Cartan subalgebras of  $C^*$ -algebras in his thesis, with limited success.
- 1986 - Based on Renault’s work, Kumjian introduces the notion of  $C^*$ -diagonals and relates it to twisted principal groupoids.
- 2008 - Based on Kumjian’s work, Renault finds the “right definition” of Cartan subalgebras in the context of  $C^*$ -algebras, proving that they correspond exactly to Hausdorff, essentially principal groupoids.



Barra da Lagoa. Photograph: J. Renault



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2011 - First example of a non-Hausdorff, essentially principal groupoid  $G$ , such that  $C_0(G^{(0)})$  is not maximal commutative in  $C_{\text{red}}^*(G)$ . It is also a counter-example for many well known results, once the Hausdorff hypotheses is dropped.

2019 - In collaboration with D. Pitts, we found a generalization of Renault's Theorem for non-Hausdorff groupoids, which is the subject of this talk.

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**Theorem.** (Renault 2008) The separable Cartan pairs “ $A \subseteq B$ ” are precisely

$$C_0(G^{(0)}) \subseteq C_{\text{red}}^*(G, \Sigma),$$

where  $(G, \Sigma)$  is a second countable, Hausdorff, essentially principal, twisted, étale groupoid.

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- ▶ In Renault's Theorem, there is a close connection between hypotheses (iv) (existence of conditional expectation) on the one hand, and Hausdorffness of the groupoid on the other.
- ▶ Therefore one would hope to prove a generalization where both of these are removed.
- ▶ However there is a non-Hausdorff groupoid  $G$  satisfying all of the above hypotheses (except for Hausdorffness), such  $C_0(G^{(0)})$  is not maximal commutative in  $C_{\text{red}}^*(G)$ . This dashes the above hope!

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- (i) We say that  $x$  is a free point if  $\varphi_x$  admits a unique extension to a state on  $B$ .
- (ii) We say that “ $A \subseteq B$ ” is a topologically free inclusion if the subset  $F \subseteq X$  consisting of free points is dense in  $X$ .

- ▶ This is inspired by the fact that, for “ $C_0(G^{(0)}) \subseteq C_{\text{red}}^*(G, \Sigma)$ ”, a point  $x$  in  $G^{(0)}$  is free iff the isotropy group  $G(x)$  is trivial.

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**Example.** For the inclusion

$$C(\mathbb{T}) \subseteq C[0, 2\pi]$$

every  $x$  in  $\mathbb{T} \setminus \{1\}$  is free, so the inclusion is topologically free.

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- ▶ If  $x$  is free, then it is free relative to every  $b \in B$ , but  $x$  may be free only relative to some  $b$ .
- ▶ Topologically the  $F_b$  may be very badly behaved. There is an example in which  $X = [0, 1]$ , and  $F_b$  consists of the irrational numbers in  $[0, 1]$ .

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  - ▶ Smoothness is one of the two main hypotheses in our generalization. It replaces maximal commutativity.
  - ▶ Every separable smooth inclusion is topologically free.

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**Definition.** We shall call it the gray ideal.

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- ▶ The vanishing of the gray ideal will be our replacement for the existence of a conditional expectation.

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- ▶ Alternatively,  $C_{\text{ess}}^*(G, \Sigma)$  may be defined as the completion of  $C_c(G, \Sigma)$  under the smallest C\*-seminorm coinciding with the uniform norm on  $C_c(G^{(0)})$ .

**Definition.** Given a topologically free, twisted, étale groupoid, the essential groupoid C\*-algebra is defined by

$$C_{\text{ess}}^*(G, \Sigma) := C_{\text{red}}^*(G, \Sigma)/\Gamma.$$

- ▶ When  $G$  is Hausdorff, one has that  $C_{\text{ess}}^*(G, \Sigma) = C_{\text{red}}^*(G, \Sigma)$ .
- ▶ Since the gray ideal was killed, the inclusion

$$C_0(G^{(0)}) \subseteq C_{\text{ess}}^*(G, \Sigma)$$

turns out to have trivial gray ideal.

- ▶ Alternatively,  $C_{\text{ess}}^*(G, \Sigma)$  may be defined as the completion of  $C_c(G, \Sigma)$  under the smallest C\*-seminorm coinciding with the uniform norm on  $C_c(G^{(0)})$ .
- ▶ One must prove that such a smallest C\*-seminorm does indeed exist.

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maximum	$C^*(G, \Sigma)$
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**Theorem.** (E., Pitts) The weak Cartan inclusions, with  $B$  separable, are precisely

$$C_0(G^{(0)}) \subseteq C_{\text{ess}}^*(G, \Sigma),$$

where  $(G, \Sigma)$  is a (not necessarily Hausdorff) second countable, topologically free, twisted, étale groupoid.

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**Theorem.** Suppose that  $N \subseteq N_B(A)$  is a  $*$ -semigroup such that  $\overline{\text{span}}(NA) = B$ , and

$$(NA) \cap A' \subseteq A,$$

then every  $n$  in  $N$  is smooth, and consequently “ $A \subseteq B$ ” is a smooth inclusion.

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Warning: the above twist is nontrivial!

## VANISHING OF THE GRAY IDEAL

One of the outstanding problems in this subject is to determine when is the gray ideal of the reduced groupoid  $C^*$ -algebra trivial, meaning that

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- ▶ As already noticed, this is the case for Hausdorff groupoids.
- ▶ The vanishing of the gray ideal depends on the twist!
- ▶ More precisely, there is a twisted groupoid  $(G, \Sigma)$ , such that the gray ideal for the inclusion

$$C_0(G^{(0)}) \subseteq C_{\text{red}}^*(G, \Sigma)$$

is zero but it is nonzero for the untwisted version

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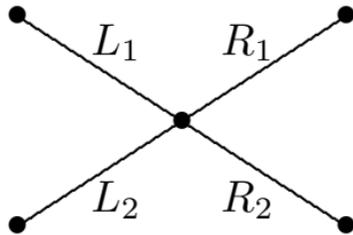
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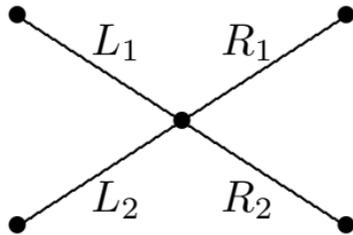


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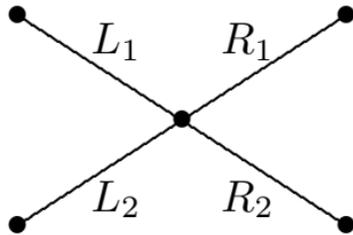
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- ▶ The twist is nontrivial and “ $C_0(G^{(0)}) \subseteq C_{\text{red}}^*(G, \Sigma)$ ” has a zero gray ideal.
- ▶ However, for the same groupoid, the untwisted inclusion “ $C_0(G^{(0)}) \subseteq C_{\text{red}}^*(G)$ ” has a nonzero gray ideal!

# CHARACTERIZATION OF GROUPOID $C^*$ -ALGEBRAS

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- Kumjian proved that if “ $A \subseteq B$ ” is a regular inclusion then, for every normalizer  $n$ , there exists a partial homeomorphism  $\beta_n$  defined on

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- If  $x$  is a trivial point for  $n$  then, not only is  $x$  fixed by  $\beta_n$ , but the germ of  $\beta_n$  at  $x$  is trivial.

- It is easy to show that if  $\psi$  is a state on  $B$  extending  $\varphi_x$  then, for every normalizer  $n$ , one has that

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- For the inclusion “ $C_0(G^{(0)}) \subseteq C_{\text{red}}^*(G, \Sigma)$ ”, where  $(G, \Sigma)$  is any twisted, étale groupoid, and for  $N$  being the set of all normalizers supported on bisections, there is a unique  $N$ -canonical state  $\psi$  on  $C_{\text{red}}^*(G, \Sigma)$  relative to any given  $x$ , namely

$$\psi(f) = f(x), \quad \forall f \in C_{\text{red}}^*(G, \Sigma).$$

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(i.e.  $\mu$  is a  $C^*$ -norm on  $C_c(G, \Sigma)$  in between the full and reduced norms), the isomorphism carrying  $C_0(G^{(0)})$  onto  $A$ .

**THANK YOU JEAN!**

**For building the infrastructure of this  
beautiful playground where we've been  
having so much fun!**