Are there additional symmetries in Hopf algebras?

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Extracted from the book *Symmetry* by Hermann Weyl (Princeton University Press, 1952).

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An involutory Hopf algebra is a Hopf algebra whose antipode squared equals the identity, $S^2 = id$. Few Hopf algebras are involutory but many more are almost involutory.

The antipode is <u>not</u> a morphism of Hopf algebras but it is <u>an antimorphism</u>. The identity map is an automorphism of Hopf algebras, hence it is tempting to substitute id $\mapsto \sigma$ where σ is an arbitray Hopf morphism and consider Hopf algebras whose antipode squared is the square of a Hopf homomorphism, $S^2 = \sigma^2$. A map such as σ if it exists, is called a companion morphism.

If S has finite order, so does σ . A morphism of a given mathematical structure that is of finite order may be interpreted as a symmetry of the structure.

Hence, the companion morphism can be interpreted as an additional symmetry of the structure of H.

If the Hopf algebra *H* admits a companion morphism, we say that it is almost involutory (Al).

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The case of compact quantum groups

The case of odd order

Sweedler's four dimensional algebra

Some general results

Other general results: the case of a trivial extension

Examples of non almost involutive Hopf algebras

Classification up to dimension 15

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Bialgebras, Hopf algebras and antipodes

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A bialgebra *H* is an algebra (H, m, u) endowed with a comultiplication and a counit, that are *dual* to multiplication and unit in the sense that as maps $\Delta : H \to H \otimes H$ and $\varepsilon : H \to \mathbb{K}$ satisfy a coassociativity and a counital condition respectively dual to associativity and unital condition. For example, in that duality the condition that the element 1 is a left unit corresponds to:

 $\mathsf{id} = (\varepsilon \otimes \mathsf{id}) \Delta : H \to H \otimes H \to H \otimes \mathbb{K} = H,$

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and similarly for the other conditions.

The four operations satisfy certain compatibility restrictions that are the following:

- 1. The map $\Delta : H \to H \otimes H$ is a unital algebra map.
- 2. The map $\varepsilon : H \to \mathbb{K}$ is a unital algebra map (augmentation).

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The antipode \mathcal{S} : Hopf algebras

One can think that a bialgebra corresponds to a monoid and that we need the inverse to make it a group. In order to do that, we have to introduce a new ingredient called the antipode –that will correspond in this analogy with the inverse–.

The antipode is a map $S: H \rightarrow H$ that satisfies the following conditions:

- 1. $u\varepsilon = m(id \otimes S)\Delta : H \to H \otimes H \to H \otimes H \to H$ (right inverse condition),
- 2. $u\varepsilon = m(S \otimes id)\Delta : H \rightarrow H \otimes H \rightarrow H \otimes H \rightarrow H(\text{left inverse condition}).$

The antipode satisfies the following properties:

- 1. The antipode in a bialgebra is unique provided it exists,
- 2. The antipode is a unital and counital antimorphism of algebras and coalgebras: the last condition means that S(ab) = S(b)S(a) and if $\Delta(a) = \sum a_i \otimes b_i$, then $\Delta(S(a)) = \sum S(b_i) \otimes S(a_i)$,
- 3. If *H* is finite dimensional, the antipode is an antiautomorphism of Hopf algebras of finite order.

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The case of compact quantum groups

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Recall that a compact quantum group (CQG) –this concept is due to Woronowicz and was defined in order to give a *non commutative* version of the notion of compact topological group– is a Hopf algebra H over \mathbb{C} , equipped with a conjugate linear involution $x \mapsto x^*$ and an inner product, satisfying certain compatibility and positivity conditions. In general this concept is interesting in the infinite dimensional case.

Theorem (Woronowicz)

If H is a CQG, then S^2 : $H \rightarrow H$ is a Hopf automorphism that is positive definite –with respect to the inner product defined in H.

In a joint paper with A. Abella and M. Haim (2009), we proved that the positive square root of S^2 -that always exists and is unique due to its positivity- is a Hopf automorphism (hence a companion automorphism) that we called the *positive antipode* : S_+ .

In 2003, Woronowicz, Masuda and Nakagami, proved that for a CQG the automorphism S^2 is given by conjugation and we proved that S_+ is also given by conjugation.

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In 2003, Woronowicz, Masuda and Nakagami, proved that for a CQG the automorphism \mathcal{S}^2 is given by conjugation and we proved that \mathcal{S}_+ is also given by conjugation.

That means that there is an algebra morphism $\gamma : H \to \mathbb{K}$, such that if $a \in H$ and $\Delta^2(a) = \sum a_i \otimes b_i \otimes c_i$, then:

 $S^2(a) = \sum \gamma(a_i) b_i \gamma^{-1}(c_i).$

We proved that for a certain augmentation map $\beta : H \to \mathbb{C}$, the companion automorphism is given as:

 $S_+(a) = \sum \beta(a_i) b_i \beta^{-1}(c_i)$

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and from that it easily follows that $\beta^4 = \alpha$ where α is the modular function and that is a version of Radford's formula for CQG.

The conjugation formula presented above for S_+ seems important because a similar conjugation formula for S cannot exist. This is because, conjugation produces morphisms of Hopf algebras and S is not.

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The case of odd order

In the case that the antipode is of finite order -for example if H is finite dimensional, we have that

$$(\mathcal{S}^2)^{2k-1} = \mathrm{id} \quad \Rightarrow \quad (\mathcal{S}^{2k})^2 = \mathcal{S}^2 \quad \Rightarrow \quad \sigma := \mathcal{S}^{2k}; \ \sigma^2 = \mathcal{S}^2,$$

then the Hopf algebra is almost involutive and S^{2k} is the companion automorphism we are looking for.

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Sweedler's four dimensional algebra

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As an algebra:

$$H_4 = \langle g, x : g^2 = 1, x^2 = 0, xg + gx = 0 \rangle.$$

As a coalgebra:

 $\Delta(g) = g \otimes g$, $\Delta(x) = x \otimes g + 1 \otimes x$.

The antipode: S(g) = g, S(x) = -xg, $S^2(g) = g$, $S^2(x) = -x$.

The maps $\sigma_{\pm}: H_4 \to H_4$ defined as: $\sigma_{\pm}(g) = g$, $\sigma_{\pm}(x) = \pm ix$, and extended multiplicatively, are two different companion automorphisms for H_4 .

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Some general results

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Recall that a Hopf algebra *H* is AI (almost involutive) if it admits a companion homomorphism $\sigma^2 = S^2$.

Assume that H and K are Al Hopf algebras, it is clear that:

- 1. $H \otimes K$ is an AI Hopf algebra.
- 2. If H is finite dimensional and AI, H^* is also an AI Hopf algebra.
- 3. If H is finite dimensional and AI so is D(H), the Drinfel'd double.
- 4. If *H* is an involutive Hopf algebra it is obviously almost involutive. For example semisimple Hopf algebras in characteristic zero are AI.

Items 1,2, and 4 are clear. Later we deal with the third. To simplify notations and indexes, it is customary to write the comultiplication in a Hopf algebra H in the following manner:

$$\Delta(a) = \sum a_1 \otimes a_2$$

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Definition

A matched pair of Hopf algebras is a pair of Hopf algebras (A, H) and a pair of maps:

 $H \stackrel{\triangleleft}{\leftarrow} H \otimes A \stackrel{\triangleright}{\to} A,$ $x \triangleleft a \leftarrow x \otimes a \rightarrow x \triangleright a.$

subject to certain compatibility conditions:

- The maps ⊲, ▷ are coalgebra maps and actions of *A* and *H* respectively.
- The actions <1, >> satisfy the following compatibility conditions:

$$x \triangleright ab = \sum (x_1 \triangleright a_1) ((x_2 \triangleleft a_2) \triangleright b), \quad x \triangleright 1 = \varepsilon(x) 1,$$
$$xy \triangleleft a = \sum (x \triangleleft (y_1 \triangleright a_1)) (y_2 \triangleleft a_2), \quad 1 \triangleleft a = \varepsilon(a) 1,$$
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First general results III: the bicrossed product

Theorem

In the above situation, endowing $A \otimes H$ with the tensor product coproduct and with the product and antipode:

$$(a \otimes x)(b \otimes y) = \sum a(x_1 \triangleright b_1) \otimes (x_2 \triangleleft b_2)y,$$

$$S(a \otimes x) = \sum (S(x_2) \triangleright S(a_2)) \otimes (S(x_1) \triangleleft S(a_1)),$$

we obtain a Hopf algebra $A \bowtie H$: the bicrossed product of A and H.

Moreover, the bicrossed product of AI Hopf algebras is an AI Hopf algebra provided that the companion morphisms of the factors satisfy the following compatibility conditions:

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In the above situation, endowing $A \otimes H$ with the tensor product coproduct and with the product and antipode:

$$(a \otimes x)(b \otimes y) = \sum a(x_1 \triangleright b_1) \otimes (x_2 \triangleleft b_2)y,$$

 $\mathcal{S}(a \otimes x) = \sum (\mathcal{S}(x_2) \triangleright \mathcal{S}(a_2)) \otimes (\mathcal{S}(x_1) \triangleleft \mathcal{S}(a_1)),$

we obtain a Hopf algebra $A \bowtie H$: the bicrossed product of A and H.

Moreover, the bicrossed product of AI Hopf algebras is an AI Hopf algebra provided that the companion morphisms of the factors satisfy the following compatibility conditions:

$$\sigma_{\mathcal{A}}(\boldsymbol{x} \triangleright \boldsymbol{a}) = \sigma_{\mathcal{H}}(\boldsymbol{x}) \triangleright \sigma_{\mathcal{A}}(\boldsymbol{a}) \quad , \quad \sigma_{\mathcal{H}}(\boldsymbol{x} \triangleleft \boldsymbol{a}) = \sigma_{\mathcal{H}}(\boldsymbol{x}) \triangleleft \sigma_{\mathcal{A}}(\boldsymbol{a})$$

In this case

$$\sigma_{A\bowtie H}(\boldsymbol{a}\otimes \boldsymbol{x})=\sigma_A(\boldsymbol{a})\otimes\sigma_H(\boldsymbol{x}).$$

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Corollary

If H is a finite dimensional AI Hopf algebra so is D(H).

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Other general results: the case of a trivial extension

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We assume that the Hopf algebra H has a decomposition:

 $H = K \oplus M$,

and that:

- *1.* $K \subseteq H$ is a sub Hopf algebra.
- 2. *M* is a *K*-bimodule and a *K*-bicomodule, i.e:
 - 2.1 $KM + MK \subseteq M;$
 - 2.2 $\Delta(M) \subseteq K \otimes M + M \otimes K;$
- 3. The extension of K by M is trivial, in other words, $M^2 = 0$.

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4. *M* is invariant by S.

We add the following conditions:

- 1. *K* is almost involutive with companion morphism σ_K .
- 2. There is a linear map $\sigma_M : M \to M$, with the property that $\sigma_M^2 = S^2|_M$ and such that: 2.1 $\sigma_M(hm) = \sigma_K(h)\sigma_M(m)$, $\sigma_M(mh) = \sigma_M(m)\sigma_K(h)$. 2.2 If $\Delta(m) = \sum h_i \otimes m_i + n_i \otimes k_i \in K \otimes M + M \otimes K$, then $\Delta(\sigma_M(m)) = \sum \sigma_K(h_i) \otimes \sigma_M(m_i) + \sigma_M(n_i) \otimes \sigma_K(k_i)$.



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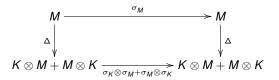
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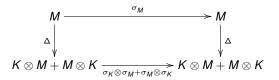
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Theorem: The map $\sigma(h + m) = \sigma_K(h) + \sigma_M(m)$ is a companion automorphism for *H*.

Proof: Indeed, it is clear that $\sigma^2 = S^2$.

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$$\sigma((h+m)(k+n)) = \sigma(hk+hn+mk) = \sigma_{K}(hk) + \sigma_{M}(hn+mk) = \sigma_{K}(h)\sigma_{K}(k) + \sigma_{K}(h)\sigma_{M}(n) + \sigma_{M}(m)\sigma_{K}(k) = (\sigma_{K}(h) + \sigma_{M}(m))(\sigma_{K}(k) + \sigma_{M}(n)) = \sigma(h+m)\sigma(k+n).$$

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A situation that happens frequently –for example in the case of pointed Hopf algebras– is that we have a trivial extension and the subHopf algebra coincides with the 1–eigenspace of S^2 , i.e.

$$K = \left\{ x \in H : S^2(x) = x \right\}.$$

In that situation, *K* involutive by definition.

Sweedler's Hopf algebra as an example.

$$H_4 = \{1, g, x, gx\}_{\mathbb{K}} = \{1, g\}_{\mathbb{K}} \oplus \{x, gx\}_{\mathbb{K}} = K \oplus M.$$

In this situation $\sigma_K = id$ and $\sigma_M = i$ id satisfy all the required properties.

An eight dimensional example.

 $\langle g, h, x : g^2 = 1, h^2 = 1, x^2 = 0, gx + xg = 0, hx + xh = 0, gh - hg = 0 \rangle$. Where *g* and *h* are group-like elements and *x* is a (*g*, 1)-primitive element

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$$K = \left\{ x \in H : \mathcal{S}^2(x) = x \right\}.$$

In that situation, K involutive by definition.

Sweedler's Hopf algebra as an example.

$$H_4 = \{1, g, x, gx\}_{\mathbb{K}} = \{1, g\}_{\mathbb{K}} \oplus \{x, gx\}_{\mathbb{K}} = K \oplus M.$$

In this situation $\sigma_{K} = id$ and $\sigma_{M} = i id$ satisfy all the required properties.

An eight dimensional example.

 $\langle g, h, x : g^2 = 1, h^2 = 1, x^2 = 0, gx + xg = 0, hx + xh = 0, gh - hg = 0 \rangle$. Where *g* and *h* are group-like elements and *x* is a (*g*, 1)-primitive element

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Examples of non almost involutive Hopf algebras

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An eight dimensional non example

Consider the eight dimensional Hopf algebra given as:

$$A_{C_4}'' = \left\langle g, x: \ g^4 = 1, \ x^2 = g^2 - 1, \ gx + xg = 0 \right\rangle,$$

where g is a group-like element and x is a (g, 1)-primitive element.

In this situation the antipode squared has order two and its eigenspaces are:

$E_1 = \{1, g, g^2, g^3\}_{\mathbb{K}}, \ E_{-1} = \{x, gx, g^2x, g^3x\}_{\mathbb{K}}.$

If σ exists, it leaves invariant $E_{\pm 1}$. As g is the modular element, we have that $\sigma(g) = g$, as the integral $\ell = (1 + g + g^2 + g^3)x$ is mapped into an integral, then $\sigma(\ell) = p\ell$ with $p^2 = -1$. Hence, $\sigma(x) = px$.

As $x^2 = g^2 - 1$, then $\sigma(x^2) = (px)^2 = -x^2 = \sigma(g^2 - 1) = g^2 - 1$, that is a contradiction.

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Classification up to dimension 15

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Prime squared dimensions: 4,9, the Hopf algebras are semisimple (and then of the form $\mathbb{K}G$ with $G = C_{p^2}$ or $C_p \times C_p$).

Dimension of the form 2p: 6,10,14, the Hopf algebras are semisimple.

Dimension 15: all Hopf algebras of dimension 15 are semisimple.

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For the classification of Hopf algebras up to dimension 15 one should cite work of many people in particular: Andruskiewitsch, Williams, Masuoka, Natale, Ng, Stephan, Zhu, etc.

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Next steps

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- 2. Work out the categorical viewpoint or is the representation theory of an AI Hopf algebra, special? How is it special?
- 3. Classify the (co) quasitriangular Al Hopf algebras.
- 4. Recalling that in the case of CQG, the companion automorphism is given by conjugation, does the same happens –under certain restrictions– for finite dimensional AI Hopf algebras?

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