Enumeration of curves via non-archimedean geometry

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Happy birthday to Bernard Teissier !

Tony Yue YU (Paris 7)

Non-archimedean enumerative geometry

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What is enumerative geometry about?

- 2 New results on tropical geometry and non-archimedean geometry
 - 3 Count holomorphic cylinders in log Calabi-Yau surfaces
 - New geometric invariants
 - The wall-crossing formula conjectured by Kontsevich-Soibelman
- Potential applications to singularity theory

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Q: How many conics pass through 5 generic points on \mathbb{CP}^2 ?



Q: More generally, how many rational curves of degree *d* pass through 3d - 1 generic points on \mathbb{CP}^2 ?

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Theorem (Kontsevich-Manin 94, Ruan-Tian 94)

The numbers N_d satisfy the following recursive formula

$$N_{d} = \sum_{\substack{d_{1},d_{2}>0\\d_{1}+d_{2}=d}} \binom{3d-4}{3d_{1}-2} (d_{1}d_{2})^{2} N_{d_{1}}N_{d_{2}} - \sum_{\substack{d_{1},d_{2}>0\\d_{1}+d_{2}=d}} \binom{3d-4}{3d_{2}-1} d_{1}d_{2}^{3}N_{d_{1}}N_{d_{2}}.$$

Now we can compute $N_5 = 87304$, $N_6 = 26312976$, $N_7 = 14616808192$, $N_8 = 13525751027392$, $N_9 = 19385778269260800$,...

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- The recursive formula above is a particular case of WDVV relations for Gromov-Witten invariants.

Counting rational curves in \mathbb{CP}^2 is an example of enumerative geometry. The main themes of enumerative geometry are

- Define new enumerative invariants.
- Study their properties.

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Moreover, theoretical physics (in particular, mirror symmetry) suggests that besides counting "closed curves", it is also important to count "open curves" (i.e. Riemann surfaces with boundaries):



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It is of great interest to count holomorphic discs in the total space, with boundaries on a torus fiber,





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- The existence of the SYZ torus fibration is largely conjectural (Gross-Wilson 2000).
- The counting of holomorphic discs does not give simple numerical invariants. (It does give rise to more sophisticated structures, e.g. obstructions in Floer homology (Fukaya-Oh-Ohta-Ono).)

More precisely:

First, we replace our ambient complex variety by a non-archimedean analytic space X over $\mathbb{C}((t))$, which we think of as a family of complex varieties over a small punctured disc.

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Theorem (Berkovich 99)

Given a nice formal model of X, one can construct a strong deformation retraction from X to a polyhedral complex S embedded in X, called the skeleton.

(see also the works by Thuillier, Mustata-Nicaise, Nicaise-Xu)

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- X : K3 surface of type III degeneration
- The skeleton S is a polyhedral complex homeomorphic to S^2 .



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Q: How to count curves in a non-archimedean analytic space?

A: I still do not know how to do it in general. But I developed some preliminary steps and studied a particular case for log Calabi-Yau surfaces.

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The balancing conditions are constraints on the shape of C^{trop} around every vertex.

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Q: More structures than set-theoretic? **A:** To be more precise, fix a real number *A*, and consider

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Theorem (Non-archimedean Gromov compactness theorem, Y 2014)

 $\overline{\mathcal{M}}_{g,n}(X,A)$ is a proper k-analytic stack if X is proper.

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The proofs use formal models, Artin's representability theorem, the geometry of stable curves, de Jong's three point lemma, analytic étale cohomology, vanishing cycles and quantifier eliminations for rigid subanalytic sets.

Count holomorphic cylinders in log Calabi-Yau surfaces

Let's apply the general theorems above to study the enumerative geometry of log Calabi-Yau surfaces.

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Y a smooth complex projective surface, $D \subset Y$ a nodal curve representing $-K_Y$, $X := ((Y \setminus D) \times_{\mathbb{C}} \mathbb{C}((t)))^{\operatorname{an}}$,

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The last step turns out very difficult in non-archimedean geometry. But in the case of log Calabi-Yau surfaces, we can borrow the algebraic construction of virtual fundamental class (Behrend-Fantechi), as long as we can prove the GAGA theorem for non-archimedean analytic stacks.

Theorem (Porta-Y 2014)

Let X be a (higher) algebraic stack proper over a k-affinoid space. Then the analytification functor induces an equivalence of categories

 $\operatorname{Coh^b}(X) \xrightarrow{\sim} \operatorname{Coh^b}(X^{\operatorname{an}}),$

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Finally, combining all the theorems above, plus some constructions, I manage to define the number of cylinders $N(L,\beta)$ in X given any broken path L in $S \setminus O$ and curve class $\beta \in NE(Y)$.

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The number of cylinders enjoys very nice properties. I hope to explain to you in the future.

Now let's look at a concrete example for a del Pezzo surface.

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 $Y: \mathbb{CP}^1 imes \mathbb{CP}^1$ blowup a smooth point in the toric boundary:



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We obtain that the number of cylinders corresponding to the broken path *L* equals $\binom{m}{k}$.

It gives exactly the wall-crossing formula around a focus-focus singularity, conjectured by Kontsevich-Soibelman:

$$(x,y) \longmapsto (x(1+y),y), \quad x^m y^n \longmapsto x^m (1+y)^m y^n = \sum_{k=0}^m \binom{m}{k} x^m y^{k+n}.$$

Potential applications to singularity theory

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- In the recent work Mirror symmetry for log Calabi-Yau surfaces, Gross, Hacking and Keel constructed the universal family mentioned above and proved Looijenga's conjecture on the smoothing of 2-dimensional cusp singularities.

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- In the recent work Mirror symmetry for log Calabi-Yau surfaces, Gross, Hacking and Keel constructed the universal family mentioned above and proved Looijenga's conjecture on the smoothing of 2-dimensional cusp singularities.

They do not use non-archimedean geometry. Their main tools are scattering diagrams and broken lines; both are combinatorial notions. Our counting of cylinders gives geometric interpretations to their combinatorial constructions.

In the near future, we aim to develop the enumeration of cylinders in log CY varieties of higher dimensions. We hope that this will provide a new tool for the study of moduli spaces and singularities in higher dimensions. In the near future, we aim to develop the enumeration of cylinders in log CY varieties of higher dimensions. We hope that this will provide a new tool for the study of moduli spaces and singularities in higher dimensions.

Thank you very much for your attention!