

The Hamiltonian formulation for the continuum Calogero–Moser model on the torus.

Katie Marsden,
joint work with Rowan Killip and Monica Vişan.

June 23, 2026

The UCLA logo, consisting of the letters "UCLA" in white, bold, sans-serif font, centered within a solid blue rectangular background.

The continuum Calogero–Moser equation

We consider the continuum Calogero–Moser equation

$$iq_t + \partial_x^2 q \mp 2iq\partial_x C_+(|q|^2) = 0, \quad (\text{CCM})$$

aka Calogero–Moser/Sutherland derivative nonlinear Schrödinger equation.

The continuum Calogero–Moser equation

We consider the continuum Calogero–Moser equation

$$iq_t + \partial_x^2 q \mp 2iq\partial_x C_+(|q|^2) = 0, \quad (\text{CCM})$$

aka Calogero–Moser/Sutherland derivative nonlinear Schrödinger equation.

- The upper sign is focusing, lower sign is defocusing.

The continuum Calogero–Moser equation

We consider the continuum Calogero–Moser equation

$$iq_t + \partial_x^2 q \mp 2iq\partial_x C_+(|q|^2) = 0, \quad (\text{CCM})$$

aka Calogero–Moser/Sutherland derivative nonlinear Schrödinger equation.

- The upper sign is focusing, lower sign is defocusing.
- C_+ denotes the Szegő projection onto the Hardy space L_+^2 :

$$C_+ f(x) = \Pi f(x) = \sum_{n \geq 0} \hat{f}(n) e^{inx} \quad \text{on } \mathbb{T} = 2\pi/\mathbb{Z},$$

$$C_+ f(x) = \Pi f(x) = \int_0^\infty \hat{f}(\xi) e^{ix \cdot \xi} d\xi \quad \text{on } \mathbb{R}.$$

The continuum Calogero–Moser equation

We consider the continuum Calogero–Moser equation

$$iq_t + \partial_x^2 q \mp 2iq\partial_x C_+(|q|^2) = 0, \quad (\text{CCM})$$

aka Calogero–Moser/Sutherland derivative nonlinear Schrödinger equation.

- The upper sign is focusing, lower sign is defocusing.
- C_+ denotes the Szegő projection onto the Hardy space L_+^2 :

$$C_+ f(x) = \Pi f(x) = \sum_{n \geq 0} \hat{f}(n) e^{inx} \quad \text{on } \mathbb{T} = 2\pi/\mathbb{Z},$$

$$C_+ f(x) = \Pi f(x) = \int_0^\infty \hat{f}(\xi) e^{ix \cdot \xi} d\xi \quad \text{on } \mathbb{R}.$$

- Hardy space consists of L^2 -traces of holomorphic functions on the upper-half plane (over $\mathbb{R}$) or the unit disc (over $\mathbb{T}$).

The continuum Calogero–Moser equation

We consider the continuum Calogero–Moser equation

$$iq_t + \partial_x^2 q \mp 2iq\partial_x C_+(|q|^2) = 0, \quad (\text{CCM})$$

aka Calogero–Moser/Sutherland derivative nonlinear Schrödinger equation.

- The upper sign is focusing, lower sign is defocusing.
- C_+ denotes the Szegő projection onto the Hardy space L_+^2 :

$$C_+ f(x) = \Pi f(x) = \sum_{n \geq 0} \hat{f}(n) e^{inx} \quad \text{on } \mathbb{T} = 2\pi/\mathbb{Z},$$

$$C_+ f(x) = \Pi f(x) = \int_0^\infty \hat{f}(\xi) e^{ix \cdot \xi} d\xi \quad \text{on } \mathbb{R}.$$

- Hardy space consists of L^2 -traces of holomorphic functions on the upper-half plane (over $\mathbb{R}$) or the unit disc (over $\mathbb{T}$).
- The Hardy space is invariant by the Calogero–Moser flow, so a natural space to study the equation.

Physical background

On the real line, (CCM) has been derived independently in the focusing and defocusing settings.

Physical background

On the real line, (CCM) has been derived independently in the focusing and defocusing settings.

Defocusing:

- Pelinovsky (1995) derived a model for the self-modulation of (approximately) monochromatic wave-packets propagating at the interface of two fluids described by the intermediate long wave equation.

Physical background

On the real line, (CCM) has been derived independently in the focusing and defocusing settings.

Defocusing:

- Pelinovsky (1995) derived a model for the self-modulation of (approximately) monochromatic wave-packets propagating at the interface of two fluids described by the intermediate long wave equation.
- Infinite-depth limit $\rightarrow$ defocusing (CCM).

Physical background

On the real line, (CCM) has been derived independently in the focusing and defocusing settings.

Physical background

On the real line, (CCM) has been derived independently in the focusing and defocusing settings.

Focusing:

- Abanov–Bettelheim–Weigmann (2009): Consider an N-particle gas confined to a box of width L evolving according to the Calogero–Sutherland Hamiltonian

$$H_{\text{CSM}} = \frac{1}{2} \sum_{j=1}^N p_j^2 + \frac{1}{2} \left(\frac{\pi}{L} \right)^2 \sum_{j \neq k} \frac{g^2}{\sin^2(\pi(x_j - x_k)/L)}.$$

Physical background

On the real line, (CCM) has been derived independently in the focusing and defocusing settings.

Focusing:

- Abanov–Bettelheim–Weigmann (2009): Consider an N -particle gas confined to a box of width L evolving according to the Calogero–Sutherland Hamiltonian

$$H_{\text{CSM}} = \frac{1}{2} \sum_{j=1}^N p_j^2 + \frac{1}{2} \left(\frac{\pi}{L} \right)^2 \sum_{j \neq k} \frac{g^2}{\sin^2(\pi(x_j - x_k)/L)}.$$

- Taking a thermodynamic limit of the associated Hamiltonian flow, i.e. $N, L \rightarrow \infty$ with density $\rho = N/L$ constant, yields the focusing CCM on the line.

Physical background

On the real line, (CCM) has been derived independently in the focusing and defocusing settings.

Focusing:

- Abanov–Bettelheim–Weigmann (2009): Consider an N -particle gas confined to a box of width L evolving according to the Calogero–Sutherland Hamiltonian

$$H_{\text{CSM}} = \frac{1}{2} \sum_{j=1}^N p_j^2 + \frac{1}{2} \left(\frac{\pi}{L} \right)^2 \sum_{j \neq k} \frac{g^2}{\sin^2(\pi(x_j - x_k)/L)}.$$

- Taking a thermodynamic limit of the associated Hamiltonian flow, i.e. $N, L \rightarrow \infty$ with density $\rho = N/L$ constant, yields the focusing CCM on the line.
- It is well-known that the finite dimensional models (H_{CSM}) are **completely integrable** (Calogero 1971, Moser 1975).

Integrable structure

- The equation is completely integrable on L_+^2 !

Integrable structure

- The equation is completely integrable on L_+^2 !
- Lax pair [Gérard–Lenzmann 2023 on $\mathbb{R}$; Badreddine 2024 on $\mathbb{T}$]:

$$\mathcal{L}_q = -i\partial_x \mp qC_+ \bar{q}, \quad \mathcal{P}_q = -i\partial_x^2 \pm 2q\partial_x C_+ \bar{q}.$$

Integrable structure

- The equation is completely integrable on L_+^2 !
- Lax pair [Gérard–Lenzmann 2023 on $\mathbb{R}$; Badreddine 2024 on $\mathbb{T}$]:

$$\mathcal{L}_q = -i\partial_x \mp qC_+ \bar{q}, \quad \mathcal{P}_q = -i\partial_x^2 \pm 2q\partial_x C_+ \bar{q}.$$

- In addition to the usual Lax equation, there is the special property

$$(\text{CCM}) \quad \Longleftrightarrow \quad \partial_t q = \mathcal{P}_q q.$$

Integrable structure

- The equation is completely integrable on L_+^2 !
- Lax pair [Gérard–Lenzmann 2023 on $\mathbb{R}$; Badreddine 2024 on $\mathbb{T}$]:

$$\mathcal{L}_q = -i\partial_x \mp qC_+ \bar{q}, \quad \mathcal{P}_q = -i\partial_x^2 \pm 2q\partial_x C_+ \bar{q}.$$

- In addition to the usual Lax equation, there is the special property

$$(\text{CCM}) \quad \Longleftrightarrow \quad \partial_t q = \mathcal{P}_q q.$$

- It follows that

$$\mathcal{E}_n(q) := \langle q, \mathcal{L}_q^n q \rangle$$

is conserved by the flow for every $n \in \mathbb{N}_{\geq 0}$.

E.g.

$$\text{Mass:} \quad \mathcal{E}_0(q) = \|q\|_{L^2}^2$$

$$\text{Momentum:} \quad \mathcal{E}_1(q) = \langle q, -i\partial_x q \mp qC_+(|q|^2) \rangle$$

Well-posedness in the Hardy space

The equation has scaling symmetry

$$q(t, x) \mapsto \sqrt{\lambda} q(\lambda^2 t, \lambda x),$$

which leaves L^2_+ invariant: the equation is mass-critical.

Well-posedness in the Hardy space

The equation has scaling symmetry

$$q(t, x) \mapsto \sqrt{\lambda} q(\lambda^2 t, \lambda x),$$

which leaves L_+^2 invariant: the equation is mass-critical.

Define $M_* = 2\pi$ for the focusing equation, $M_* = \infty$ for the defocusing equation. Set

$$B_M = \{q \in L_+^2 : \|q\|_{L_+^2}^2 < M\}.$$

Well-posedness in the Hardy space

The equation has scaling symmetry

$$q(t, x) \mapsto \sqrt{\lambda} q(\lambda^2 t, \lambda x),$$

which leaves L_+^2 invariant: the equation is mass-critical.

Define $M_* = 2\pi$ for the focusing equation, $M_* = \infty$ for the defocusing equation. Set

$$B_M = \{q \in L_+^2 : \|q\|_{L_+^2}^2 < M\}.$$

Well-posedness results:

- Global well-posedness on $B_M \subset L_+^2$ for all $M < M_*$ [Badreddine '23 on $\mathbb{T}$; Killip-Laurens-Visan '23 on $\mathbb{R}$].

Well-posedness in the Hardy space

The equation has scaling symmetry

$$q(t, x) \mapsto \sqrt{\lambda} q(\lambda^2 t, \lambda x),$$

which leaves L_+^2 invariant: the equation is mass-critical.

Define $M_* = 2\pi$ for the focusing equation, $M_* = \infty$ for the defocusing equation. Set

$$B_M = \{q \in L_+^2 : \|q\|_{L_+^2}^2 < M\}.$$

Well-posedness results:

- Global well-posedness on $B_M \subset L_+^2$ for all $M < M_*$ [Badreddine '23 on $\mathbb{T}$; Killip-Laurens-Visan '23 on $\mathbb{R}$].
- Mass = 2π : global wellposedness of smooth focusing solutions on $\mathbb{R}$ [Gérard-Lenzmann '23].

Well-posedness in the Hardy space

The equation has scaling symmetry

$$q(t, x) \mapsto \sqrt{\lambda} q(\lambda^2 t, \lambda x),$$

which leaves L_+^2 invariant: the equation is mass-critical.

Define $M_* = 2\pi$ for the focusing equation, $M_* = \infty$ for the defocusing equation. Set

$$B_M = \{q \in L_+^2 : \|q\|_{L_+^2}^2 < M\}.$$

Well-posedness results:

- Global well-posedness on $B_M \subset L_+^2$ for all $M < M_*$ [Badreddine '23 on $\mathbb{T}$; Killip-Laurens-Visan '23 on $\mathbb{R}$].
- Mass = 2π : global wellposedness of smooth focusing solutions on $\mathbb{R}$ [Gérard-Lenzmann '23].
- Mass $> 2\pi$: finite-time blow up for focusing solutions of mass $2\pi + \epsilon$ for any $1 > \epsilon > 0$ [Kim-Kim-Kwon '25 on $\mathbb{R}$; Chen-Lenzmann '26 on $\mathbb{T}$].

Well-posedness in the Hardy space

The equation has scaling symmetry

$$q(t, x) \mapsto \sqrt{\lambda} q(\lambda^2 t, \lambda x),$$

which leaves L_+^2 invariant: the equation is mass-critical.

Define $M_* = 2\pi$ for the focusing equation, $M_* = \infty$ for the defocusing equation. Set

$$B_M = \{q \in L_+^2 : \|q\|_{L_+^2}^2 < M\}.$$

Well-posedness results:

- Global well-posedness on $B_M \subset L_+^2$ for all $M < M_*$ [Badreddine '23 on $\mathbb{T}$; Killip-Laurens-Visan '23 on $\mathbb{R}$].
- Mass = 2π : global wellposedness of smooth focusing solutions on $\mathbb{R}$ [Gérard-Lenzmann '23].
- Mass $> 2\pi$: finite-time blow up for focusing solutions of mass $2\pi + \epsilon$ for any $1 > \epsilon > 0$ [Kim-Kim-Kwon '25 on $\mathbb{R}$; Chen-Lenzmann '26 on $\mathbb{T}$].

See also [Chapouto-Forlano-Laurens '25, '26] in the non-chiral setting.

Hamiltonian structure

We need to find a Hamiltonian function $H(q)$ and a symplectic form

$$\omega_q(f, g) = \operatorname{Re}\langle f, \Omega(q)g \rangle$$

such that

$$(\text{CCM}) \quad \Longleftrightarrow \quad \partial_t q = \nabla_\omega H(q) := 2\Omega(q)^{-1} \frac{\delta H}{\delta \bar{q}}.$$

Hamiltonian structure

We need to find a Hamiltonian function $H(q)$ and a symplectic form

$$\omega_q(f, g) = \operatorname{Re} \langle f, \Omega(q)g \rangle$$

such that

$$(\text{CCM}) \quad \Longleftrightarrow \quad \partial_t q = \nabla_\omega H(q) := 2\Omega(q)^{-1} \frac{\delta H}{\delta \bar{q}}.$$

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

Hamiltonian structure

We need to find a Hamiltonian function $H(q)$ and a symplectic form

$$\omega_q(f, g) = \operatorname{Re}\langle f, \Omega(q)g \rangle$$

such that

$$(\text{CCM}) \quad \Longleftrightarrow \quad \partial_t q = \nabla_\omega H(q) := 2\Omega(q)^{-1} \frac{\delta H}{\delta \bar{q}}.$$

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

Fun fact: $\Theta(q)^2 = 0$, so $(\Omega^\#(q))^{-1} = -(1 \pm \Theta(q))i$
 $\implies$ symplectic flows are easy to compute.

Hamiltonian structure

We need to find a Hamiltonian function $H(q)$ and a symplectic form

$$\omega_q(f, g) = \operatorname{Re} \langle f, \Omega(q)g \rangle$$

such that

$$(\text{CCM}) \quad \Longleftrightarrow \quad \partial_t q = \nabla_\omega H(q) := 2\Omega(q)^{-1} \frac{\delta H}{\delta \bar{q}}.$$

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

Hamiltonian structure

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2}\mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iqC_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq\partial^{-1} \operatorname{Re}(\bar{q}g).$$

Hamiltonian structure

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

What happens on L^2_+ ?

Hamiltonian structure

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

What happens on L^2_+ ? Is the Hamiltonian structure preserved?

Hamiltonian structure

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

What happens on L_+^2 ? Is the Hamiltonian structure preserved? Does the symplectic form $\omega^\#$ restrict to L_+^2 ?

Hamiltonian structure

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

What happens on L_+^2 ? Is the Hamiltonian structure preserved? Does the symplectic form $\omega^\#$ restrict to L_+^2 ?

What about on the torus?

Hamiltonian structure

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+(|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

What happens on L_+^2 ? Is the Hamiltonian structure preserved? Does the symplectic form $\omega^\#$ restrict to L_+^2 ?

What about on the torus? ∂^{-1} is not defined!

Hamiltonian structure

On $L^2(\mathbb{R})$, Abanov–Bettelheim–Wiegmann found

$$H(q) = \frac{1}{2} \mathcal{E}_2(q) = \frac{1}{2} \int_{\mathbb{R}} |\partial_x q \mp iq C_+ (|q|^2)|^2 dx,$$

and

$$\Omega^\#(q) = i(1 \mp \Theta(q)), \quad \Theta(q)(g) = iq \partial^{-1} \operatorname{Re}(\bar{q}g).$$

What happens on L_+^2 ? Is the Hamiltonian structure preserved? Does the symplectic form $\omega^\#$ restrict to L_+^2 ?

What about on the torus? ∂^{-1} is not defined!

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)
- non-degenerate

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)
- non-degenerate

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)
- non-degenerate

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)
- non-degenerate

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)
- non-degenerate

Theorem (Killip, M., Viřan 2026)

The restriction of the form $\omega^\#$ to $L_+^2(\mathbb{R})$ defines a symplectic form on

$$B_M = \{q \in L_+^2(\mathbb{R}) : \|q\|_{L_+^2}^2 < M\}$$

for all $M < M_$.*

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)
- non-degenerate

Theorem (Killip, M., Viřan 2026)

The restriction of the form $\omega^\#$ to $L_+^2(\mathbb{R})$ defines a symplectic form on

$$B_M = \{q \in L_+^2(\mathbb{R}) : \|q\|_{L_+^2}^2 < M\}$$

for all $M < M_$.*

*In the focusing case, the restriction becomes degenerate **at** the threshold $M = 2\pi$!*

Restriction to Hardy space.

Does $\omega^\#$ restrict to a symplectic form on $L_+^2(\mathbb{R})$?

Recall a symplectic form must be:

- antisymmetric
- closed ($\iff$ Poisson bracket satisfies Jacobi identity)
- **non-degenerate**

Theorem (Killip, M., Viřan 2026)

The restriction of the form $\omega^\#$ to $L_+^2(\mathbb{R})$ defines a symplectic form on

$$B_M = \{q \in L_+^2(\mathbb{R}) : \|q\|_{L_+^2}^2 < M\}$$

for all $M < M_$.*

*In the focusing case, the restriction becomes degenerate **at** the threshold $M = 2\pi$!*

The flow of $H(q) = \frac{1}{2}\mathcal{E}_2(q)$ with respect to the restriction is (CCM).

The torus case.

The torus case.

How can we exhibit (CCM) as a Hamiltonian equation on $L^2_+(\mathbb{T})$?

The torus case.

How can we exhibit (CCM) as a Hamiltonian equation on $L^2_+(\mathbb{T})$? ...we can't!

The torus case.

How can we exhibit (CCM) as a Hamiltonian equation on $L^2_+(\mathbb{T})$? ...we can't! Rather, we have the following:

The torus case.

How can we exhibit (CCM) as a Hamiltonian equation on $L_+^2(\mathbb{T})$? ...we can't! Rather, we have the following:

Theorem (Killip, M., Viřan 2026)

The Hamiltonian

$$H(q) = \frac{1}{2}\mathcal{E}_2 \pm \frac{3}{4\pi}\mathcal{E}_0\mathcal{E}_1 + \frac{1}{4\pi^2}\mathcal{E}_0^3$$

“almost” generates (CCM) with respect to the symplectic form

$$\omega_q(f, g) = \operatorname{Re}\langle f, iC_+(g \mp 2iq\tilde{\partial}^{-1}\operatorname{Re}(\bar{q}g)) \rangle \quad (1)$$

on $B_M \subset L_+^2(\mathbb{T})$ whenever $M < M_$.*

In the focusing case, the form becomes degenerate at $M = 2\pi$.

The torus case.

Theorem (Killip, M., Viřan 2026)

The Hamiltonian

$$H(q) = \frac{1}{2}\mathcal{E}_2 \pm \frac{3}{4\pi}\mathcal{E}_0\mathcal{E}_1 + \frac{1}{4\pi^2}\mathcal{E}_0^3$$

“almost” generates (CCM) with respect to the symplectic form

$$\omega_q(f, g) = \operatorname{Re}\langle f, iC_+(g \mp 2iq\tilde{\partial}^{-1}\operatorname{Re}(\bar{q}g)) \rangle \quad (1)$$

on $B_M \subset L_+^2(\mathbb{T})$ whenever $M < M_$.*

In the focusing case, the form becomes degenerate at $M = 2\pi$.

The torus case.

Theorem (Killip, M., Viřan 2026)

The Hamiltonian

$$H(q) = \frac{1}{2}\mathcal{E}_2 \pm \frac{3}{4\pi}\mathcal{E}_0\mathcal{E}_1 + \frac{1}{4\pi^2}\mathcal{E}_0^3$$

“almost” generates (CCM) with respect to the symplectic form

$$\omega_q(f, g) = \operatorname{Re}\langle f, iC_+(g \mp 2iq\tilde{\partial}^{-1}\operatorname{Re}(\bar{q}g)) \rangle \quad (1)$$

on $B_M \subset L_+^2(\mathbb{T})$ whenever $M < M_$.*

In the focusing case, the form becomes degenerate at $M = 2\pi$.

Here,

$$\tilde{\partial}^{-1}f(x) := \sum_{n \neq 0} (in)^{-1} \hat{f}(n) e^{inx}.$$

The torus case.

Theorem (Killip, M., Viřan 2026)

The Hamiltonian

$$H(q) = \frac{1}{2}\mathcal{E}_2 \pm \frac{3}{4\pi}\mathcal{E}_0\mathcal{E}_1 + \frac{1}{4\pi^2}\mathcal{E}_0^3$$

“almost” generates (CCM) with respect to the symplectic form

$$\omega_q(f, g) = \operatorname{Re}\langle f, iC_+(g \mp 2iq\tilde{\partial}^{-1}\operatorname{Re}(\bar{q}g)) \rangle \quad (1)$$

on $B_M \subset L_+^2(\mathbb{T})$ whenever $M < M_$.*

In the focusing case, the form becomes degenerate at $M = 2\pi$.

The torus case.

Theorem (Killip, M., Viřan 2026)

The Hamiltonian $H(q)$ “almost” generates (CCM) with respect to the symplectic form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+ (g \mp 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle \quad (1)$$

on $B_M \subset L_+^2(\mathbb{T})$ whenever $M < M_$.*

In the focusing case, the form becomes degenerate at $M = 2\pi$.

The torus case.

Theorem (Killip, M., Viřan 2026)

The Hamiltonian $H(q)$ “almost” generates (CCM) with respect to the symplectic form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+ (g \mp 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle \quad (1)$$

on $B_M \subset L^2_+(\mathbb{T})$ whenever $M < M_$.*

In the focusing case, the form becomes degenerate at $M = 2\pi$.

“Almost”: the Hamiltonian equation generated above is

$$i\partial_t q + q_{xx} \mp 2iq\partial_x C_+(|q|^2) \pm \pi^{-1} \mathcal{E}_0(q) q_x = 0,$$

which is (CCM) in a moving frame:

$$q(t, x) \mapsto q(t, x \pm \pi^{-1} \mathcal{E}_0 t).$$

The torus case.

Theorem (Killip, M., Viřan 2026)

The Hamiltonian $H(q)$ “almost” generates (CCM) with respect to the symplectic form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+(g \mp 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle \quad (1)$$

on $B_M \subset L_+^2(\mathbb{T})$ whenever $M < M_$.*

In the focusing case, the form becomes degenerate at $M = 2\pi$.

“Almost”: the Hamiltonian equation generated above is

$$i\partial_t q + q_{xx} \mp 2iq\partial_x C_+(|q|^2) \pm \pi^{-1} \mathcal{E}_0(q) q_x = 0,$$

which is (CCM) in a moving frame:

$$q(t, x) \mapsto q(t, x \pm \pi^{-1} \mathcal{E}_0 t).$$

We found no Hamiltonian structure for the original (CCM) on $\mathbb{T}$.

Good news: The Hamiltonian equation is still completely integrable with the same Lax operator as before, and all the same conserved quantities.

Good news: The Hamiltonian equation is still completely integrable with the same Lax operator as before, and all the same conserved quantities.

Medium news: The defocusing Hamiltonian is no longer bounded below; rather

$$H(q) \geq -\frac{1}{32\pi^2} \mathcal{E}_0(q)^2.$$

Good news: The Hamiltonian equation is still completely integrable with the same Lax operator as before, and all the same conserved quantities.

Medium news: The defocusing Hamiltonian is no longer bounded below; rather

$$H(q) \geq -\frac{1}{32\pi^2} \mathcal{E}_0(q)^2.$$

Not-so-good news: $\Omega(q)g = iC_+(q \mp 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g))$ is not explicitly invertible. Fortunately, we are still able to compute the symplectic flows along the whole hierarchy.

The failure of non-degeneracy

It is easy to see that the focusing restricted form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+ (g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle$$

becomes degenerate at the wellposedness threshold:

The failure of non-degeneracy

It is easy to see that the focusing restricted form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+(g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle$$

becomes degenerate at the wellposedness threshold:

Set $q \equiv 1$ ($\|q\|_{L^2}^2 = 2\pi$), $g(x) = e^{ix} \in L_+^2(\mathbb{T})$.

The failure of non-degeneracy

It is easy to see that the focusing restricted form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+(g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle$$

becomes degenerate at the wellposedness threshold:

Set $q \equiv 1$ ($\|q\|_{L^2}^2 = 2\pi$), $g(x) = e^{ix} \in L_+^2(\mathbb{T})$. Then

$$C_+(g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) = e^{ix} - 2iC_+\tilde{\partial}^{-1}\left(\frac{e^{ix} + e^{-ix}}{2}\right)$$

The failure of non-degeneracy

It is easy to see that the focusing restricted form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+(g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle$$

becomes degenerate at the wellposedness threshold:

Set $q \equiv 1$ ($\|q\|_{L^2}^2 = 2\pi$), $g(x) = e^{ix} \in L_+^2(\mathbb{T})$. Then

$$\begin{aligned} C_+(g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) &= e^{ix} - 2iC_+\tilde{\partial}^{-1}\left(\frac{e^{ix} + e^{-ix}}{2}\right) \\ &= e^{ix} - 2i\frac{e^{ix}}{2i} = 0! \end{aligned}$$

The failure of non-degeneracy

It is easy to see that the focusing restricted form

$$\omega_q(f, g) = \operatorname{Re} \langle f, iC_+(g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) \rangle$$

becomes degenerate at the wellposedness threshold:

Set $q \equiv 1$ ($\|q\|_{L^2}^2 = 2\pi$), $g(x) = e^{ix} \in L_+^2(\mathbb{T})$. Then

$$\begin{aligned} C_+(g - 2iq\tilde{\partial}^{-1} \operatorname{Re}(\bar{q}g)) &= e^{ix} - 2iC_+\tilde{\partial}^{-1}\left(\frac{e^{ix} + e^{-ix}}{2}\right) \\ &= e^{ix} - 2i\frac{e^{ix}}{2i} = 0! \end{aligned}$$

Similarly, degeneracy in $L_+^2(\mathbb{R})$ occurs at the ground state

$$q(x) = \frac{\sqrt{2}}{x + i}.$$

A new proof of critical global well-posedness

Equipped with the Hamiltonian structure, we can give a new proof of global well-posedness for (CCM) in the Hardy space.

Theorem (Badreddine '23 on $\mathbb{T}$, Killip–Laurens–Viřan '25 on $\mathbb{R}$; alt. proof Killip, M., Viřan '26)

The flow (CCM) is globally well-posed on $B_{M_} \subset L^2_+$ ($\mathbb{T}$ or $\mathbb{R}$).*

A new proof of critical global well-posedness

Equipped with the Hamiltonian structure, we can give a new proof of global well-posedness for (CCM) in the Hardy space.

Theorem (Badreddine '23 on $\mathbb{T}$, Killip–Laurens–Vişan '25 on $\mathbb{R}$; alt. proof Killip, M., Vişan '26)

The flow (CCM) is globally well-posed on $B_{M_} \subset L_+^2$ ($\mathbb{T}$ or $\mathbb{R}$).*

Our proof is based on the method of commuting flows [Killip–Vişan 2019].

A new proof of critical global well-posedness

Equipped with the Hamiltonian structure, we can give a new proof of global well-posedness for (CCM) in the Hardy space.

Theorem (Badreddine '23 on $\mathbb{T}$, Killip–Laurens–Vişan '25 on $\mathbb{R}$; alt. proof Killip, M., Vişan '26)

The flow (CCM) is globally well-posed on $B_{M_} \subset L_+^2$ ($\mathbb{T}$ or $\mathbb{R}$).*

Our proof is based on the method of commuting flows [Killip–Vişan 2019]. We will demonstrate it on the torus. The method is as follows:

A new proof of critical global well-posedness

Equipped with the Hamiltonian structure, we can give a new proof of global well-posedness for (CCM) in the Hardy space.

Theorem (Badreddine '23 on $\mathbb{T}$, Killip–Laurens–Vişan '25 on $\mathbb{R}$; alt. proof Killip, M., Vişan '26)

The flow (CCM) is globally well-posed on $B_{M_} \subset L_+^2$ ($\mathbb{T}$ or $\mathbb{R}$).*

Our proof is based on the method of commuting flows [Killip–Vişan 2019]. We will demonstrate it on the torus. The method is as follows:

- 1 Approximate the Hamiltonian $H(q)$ by smoother versions $H_\kappa(q)$.

A new proof of critical global well-posedness

Equipped with the Hamiltonian structure, we can give a new proof of global well-posedness for (CCM) in the Hardy space.

Theorem (Badreddine '23 on $\mathbb{T}$, Killip–Laurens–Vişan '25 on $\mathbb{R}$; alt. proof Killip, M., Vişan '26)

The flow (CCM) is globally well-posed on $B_{M_} \subset L_+^2$ ($\mathbb{T}$ or $\mathbb{R}$).*

Our proof is based on the method of commuting flows [Killip–Vişan 2019]. We will demonstrate it on the torus. The method is as follows:

- 1 Approximate the Hamiltonian $H(q)$ by smoother versions $H_\kappa(q)$.
- 2 Solve the H_κ flows globally in $C(\mathbb{R}; L_+^2(\mathbb{T}))$ ($M < M_*$).

A new proof of critical global well-posedness

Equipped with the Hamiltonian structure, we can give a new proof of global well-posedness for (CCM) in the Hardy space.

Theorem (Badreddine '23 on $\mathbb{T}$, Killip–Laurens–Vişan '25 on $\mathbb{R}$; alt. proof Killip, M., Vişan '26)

The flow (CCM) is globally well-posed on $B_{M_} \subset L_+^2$ ($\mathbb{T}$ or $\mathbb{R}$).*

Our proof is based on the method of commuting flows [Killip–Vişan 2019]. We will demonstrate it on the torus. The method is as follows:

- 1 Approximate the Hamiltonian $H(q)$ by smoother versions $H_\kappa(q)$.
- 2 Solve the H_κ flows globally in $C(\mathbb{R}; L_+^2(\mathbb{T}))$ ($M < M_*$).
- 3 Use equicontinuity to take $\kappa \rightarrow \infty$ to solve the original flow.

A new proof of critical global well-posedness

Equipped with the Hamiltonian structure, we can give a new proof of global well-posedness for (CCM) in the Hardy space.

Theorem (Badreddine '23 on $\mathbb{T}$, Killip–Laurens–Vişan '25 on $\mathbb{R}$; alt. proof Killip, M., Vişan '26)

The flow (CCM) is globally well-posed on $B_{M_} \subset L_+^2$ ($\mathbb{T}$ or $\mathbb{R}$).*

Our proof is based on the method of commuting flows [Killip–Vişan 2019]. We will demonstrate it on the torus. The method is as follows:

- 1 Approximate the Hamiltonian $H(q)$ by smoother versions $H_\kappa(q)$.
- 2 Solve the H_κ flows globally in $C(\mathbb{R}; L_+^2(\mathbb{T}))$ ($M < M_*$).
- 3 Use equicontinuity to take $\kappa \rightarrow \infty$ to solve the original flow.

Step 1: Commuting flows

Observe that

$$\beta(\kappa, q) = \langle q, (\mathcal{L}_q + \kappa)^{-1} q \rangle = \kappa^{-1} \mathcal{E}_0 - \kappa^{-2} \mathcal{E}_1 + \kappa^{-3} \mathcal{E}_2 + \dots$$

generates the conserved energies.

Step 1: Commuting flows

Observe that

$$\beta(\kappa, q) = \langle q, (\mathcal{L}_q + \kappa)^{-1} q \rangle = \kappa^{-1} \mathcal{E}_0 - \kappa^{-2} \mathcal{E}_1 + \kappa^{-3} \mathcal{E}_2 + \dots$$

generates the conserved energies.

Since the resolvent is regularizing, the quantity β makes sense at lower regularity than

$$H(q) = \frac{1}{2} \mathcal{E}_2 \pm \frac{3}{2} \mathcal{E}_0 \mathcal{E}_1 + \mathcal{E}_0^3.$$

Step 1: Commuting flows

Observe that

$$\beta(\kappa, q) = \langle q, (\mathcal{L}_q + \kappa)^{-1} q \rangle = \kappa^{-1} \mathcal{E}_0 - \kappa^{-2} \mathcal{E}_1 + \kappa^{-3} \mathcal{E}_2 + \dots$$

generates the conserved energies.

Since the resolvent is regularizing, the quantity β makes sense at lower regularity than

$$H(q) = \frac{1}{2} \mathcal{E}_2 \pm \frac{3}{2} \mathcal{E}_0 \mathcal{E}_1 + \mathcal{E}_0^3.$$

This provides a means of approximating the Hamiltonian [cf Killip-Laurens-Vişan '23]:

$$\begin{aligned} \mathcal{E}_1^\kappa &:= \kappa^2 (\kappa^{-1} \mathcal{E}_0 - \beta) \simeq \mathcal{E}_1, \\ \mathcal{E}_2^\kappa &:= \kappa^{-2} (\beta - \kappa^{-1} \mathcal{E}_0 + \kappa^{-2} \mathcal{E}_1) \simeq \mathcal{E}_2^\kappa \quad \text{as } \kappa \rightarrow \infty. \end{aligned}$$

Step 1: Commuting flows

Observe that

$$\beta(\kappa, q) = \langle q, (\mathcal{L}_q + \kappa)^{-1} q \rangle = \kappa^{-1} \mathcal{E}_0 - \kappa^{-2} \mathcal{E}_1 + \kappa^{-3} \mathcal{E}_2 + \dots$$

generates the conserved energies.

Since the resolvent is regularizing, the quantity β makes sense at lower regularity than

$$H(q) = \frac{1}{2} \mathcal{E}_2 \pm \frac{3}{2} \mathcal{E}_0 \mathcal{E}_1 + \mathcal{E}_0^3.$$

This provides a means of approximating the Hamiltonian [cf Killip-Laurens-Vişan '23]:

$$\mathcal{E}_1^\kappa := \kappa^2 (\kappa^{-1} \mathcal{E}_0 - \beta) \simeq \mathcal{E}_1,$$

$$\mathcal{E}_2^\kappa := \kappa^{-2} (\beta - \kappa^{-1} \mathcal{E}_0 + \kappa^{-2} \mathcal{E}_1) \simeq \mathcal{E}_2^\kappa \quad \text{as } \kappa \rightarrow \infty.$$

→ regularized Hamiltonian:

$$H_\kappa(q) = \frac{1}{2} \mathcal{E}_2^\kappa \pm \frac{3}{2} \mathcal{E}_0 \mathcal{E}_1^\kappa + \mathcal{E}_0^3.$$

Step 2: Wellposedness of the regularized flows

Regularized Hamiltonian:

$$H_{\kappa}(q) = \frac{1}{2}\mathcal{E}_2^{\kappa} \pm \frac{3}{2}\mathcal{E}_0\mathcal{E}_1^{\kappa} + \mathcal{E}_0^3.$$

Step 2: Wellposedness of the regularized flows

Regularized Hamiltonian:

$$H_{\kappa}(q) = \frac{1}{2}\mathcal{E}_2^{\kappa} \pm \frac{3}{2}\mathcal{E}_0\mathcal{E}_1^{\kappa} + \mathcal{E}_0^3 \quad \text{“commuting Hamiltonians”}$$

Step 2: Wellposedness of the regularized flows

Regularized Hamiltonian:

$$H_\kappa(q) = \frac{1}{2}\mathcal{E}_2^\kappa \pm \frac{3}{2}\mathcal{E}_0\mathcal{E}_1^\kappa + \mathcal{E}_0^3 \quad \text{"commuting Hamiltonians"}$$

Theorem (Well-posedness of commuting flows.)

Fix $\kappa \geq 1$. The flow

$$\partial_t q = \nabla_\omega H_\kappa(q), \quad q(0) = q_0$$

is globally well-posed on $B_M \subset L^2_+$, $M < M_$.*

Moreover, bounded L^2 -equicontinuous data sets produce bounded L^2 -equicontinuous orbits.

Step 2: Wellposedness of the regularized flows

Regularized Hamiltonian:

$$H_\kappa(q) = \frac{1}{2}\mathcal{E}_2^\kappa \pm \frac{3}{2}\mathcal{E}_0\mathcal{E}_1^\kappa + \mathcal{E}_0^3 \quad \text{“commuting Hamiltonians”}$$

Theorem (Well-posedness of commuting flows.)

Fix $\kappa \geq 1$. The flow

$$\partial_t q = \nabla_\omega H_\kappa(q), \quad q(0) = q_0$$

is globally well-posed on $B_M \subset L^2_+$, $M < M_$.*

Moreover, bounded L^2 -equicontinuous data sets produce bounded L^2 -equicontinuous orbits.

This flow is no longer L^2 -critical: global well-posedness can be established by Picard iteration and conservation laws.

Step 2: Wellposedness of the regularized flows

Regularized Hamiltonian:

$$H_\kappa(q) = \frac{1}{2}\mathcal{E}_2^\kappa \pm \frac{3}{2}\mathcal{E}_0\mathcal{E}_1^\kappa + \mathcal{E}_0^3 \quad \text{“commuting Hamiltonians”}$$

Theorem (Well-posedness of commuting flows.)

Fix $\kappa \geq 1$. The flow

$$\partial_t q = \nabla_\omega H_\kappa(q), \quad q(0) = q_0$$

is globally well-posed on $B_M \subset L^2_+$, $M < M_$.*

Moreover, bounded L^2 -equicontinuous data sets produce bounded L^2 -equicontinuous orbits.

This flow is no longer L^2 -critical: global well-posedness can be established by **Picard** iteration and conservation laws.

Resolvent estimates

The simple well-posedness argument for the H_κ -flow is possible due to new uniform bounds for the resolvent of the Lax operator $\mathcal{L}_q = -i\partial \mp qC_+ \bar{q}$ on bounded sets:

Lemma (Equivalence of Sobolev spaces.)

Let $M \leq M_$. Then for all $-1 \leq s \leq 1$ it holds*

$$\|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_q + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2}$$

uniformly for $q \in B_M \subset L_+^2$ and $\kappa \geq 1$.

Resolvent estimates

The simple well-posedness argument for the H_κ -flow is possible due to new uniform bounds for the resolvent of the Lax operator $\mathcal{L}_q = -i\partial \mp qC_+ \bar{q}$ on bounded sets:

Lemma (Equivalence of Sobolev spaces.)

Let $M \leq M_$. Then for all $-1 \leq s \leq 1$ it holds*

$$\|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_q + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2}$$

uniformly for $q \in B_M \subset L_+^2$ and $\kappa \geq 1$.

In particular:

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M.$$

Resolvent estimates

The simple well-posedness argument for the H_κ -flow is possible due to new uniform bounds for the resolvent of the Lax operator $\mathcal{L}_q = -i\partial \mp qC_+ \bar{q}$ on bounded sets:

Lemma (Equivalence of Sobolev spaces.)

Let $M \leq M_*$. Then for all $-1 \leq s \leq 1$ it holds

$$\|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_q + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2}$$

uniformly for $q \in B_M \subset L_+^2$ and $\kappa \geq 1$.

In particular:

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M.$$

This improves on previous uniform estimates on *equicontinuous* sets (Killip–Laurens–Viřan '25).

Resolvent estimates

The simple well-posedness argument for the H_κ -flow is possible due to new uniform bounds for the resolvent of the Lax operator $\mathcal{L}_q = -i\partial \mp qC_+ \bar{q}$ on bounded sets:

Lemma (Equivalence of Sobolev spaces.)

Let $M \leq M_*$. Then for all $-1 \leq s \leq 1$ it holds

$$\|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_q + \kappa)^s f\|_{L_+^2} \lesssim_M \|(\mathcal{L}_0 + \kappa)^s f\|_{L_+^2}$$

uniformly for $q \in B_M \subset L_+^2$ and $\kappa \geq 1$.

In particular:

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M.$$

This improves on previous uniform estimates on *equicontinuous* sets (Killip–Laurens–Viřan '25).

The proof uses a connection to Carleman's proof of the isoperimetric inequality.

Resolvent estimates

Proof: We will focus on proving

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L^2_+ \rightarrow H^1_+} \leq C_M \quad (\kappa \geq 1).$$

in the *focusing* case.

Proof: We will focus on proving

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M \quad (\kappa \geq 1).$$

in the *focusing* case. Treat $\mathcal{L}_q + \kappa$ as a perturbation of $\mathcal{L}_0 + \kappa$:

$$(\mathcal{L}_q + \kappa)^{-1} = (\mathcal{L}_0 + \kappa)^{-1} (1 - T(q))^{-1},$$

$$T(q) := qC_+ \bar{q}(\mathcal{L}_0 + \kappa)^{-1}.$$

Resolvent estimates

Proof: We will focus on proving

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M \quad (\kappa \geq 1).$$

in the *focusing* case. Treat $\mathcal{L}_q + \kappa$ as a perturbation of $\mathcal{L}_0 + \kappa$:

$$(\mathcal{L}_q + \kappa)^{-1} = \underbrace{(\mathcal{L}_0 + \kappa)^{-1}}_{\text{bounded } L_+^2 \rightarrow H_+^1} (1 - T(q))^{-1},$$

$$T(q) := qC_+ \bar{q}(\mathcal{L}_0 + \kappa)^{-1}.$$

Resolvent estimates

Proof: We will focus on proving

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M \quad (\kappa \geq 1).$$

in the *focusing* case. Treat $\mathcal{L}_q + \kappa$ as a perturbation of $\mathcal{L}_0 + \kappa$:

$$(\mathcal{L}_q + \kappa)^{-1} = \underbrace{(\mathcal{L}_0 + \kappa)^{-1}}_{\text{bounded } L_+^2 \rightarrow H_+^1} (1 - T(q))^{-1},$$

$$T(q) := qC_+ \bar{q}(\mathcal{L}_0 + \kappa)^{-1}.$$

We (essentially) need to bound the spectrum of $T(q)$ away from 1.

Resolvent estimates

Proof: We will focus on proving

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M \quad (\kappa \geq 1).$$

in the *focusing* case. Treat $\mathcal{L}_q + \kappa$ as a perturbation of $\mathcal{L}_0 + \kappa$:

$$(\mathcal{L}_q + \kappa)^{-1} = \underbrace{(\mathcal{L}_0 + \kappa)^{-1}}_{\text{bounded } L_+^2 \rightarrow H_+^1} (1 - T(q))^{-1},$$

$$T(q) := q C_+ \bar{q} (\mathcal{L}_0 + \kappa)^{-1}.$$

We (essentially) need to bound the spectrum of $T(q)$ away from 1. $T(q)$ is not easy to analyze because it is not self-adjoint. But its conjugate

$$S(q) = C_+ \bar{q} (\mathcal{L}_0 + \kappa)^{-1} q$$

is.

Resolvent estimates

Proof: We will focus on proving

$$\sup_{q \in B_M} \|(\mathcal{L}_q + \kappa)^{-1}\|_{L_+^2 \rightarrow H_+^1} \leq C_M \quad (\kappa \geq 1).$$

in the *focusing* case. Treat $\mathcal{L}_q + \kappa$ as a perturbation of $\mathcal{L}_0 + \kappa$:

$$(\mathcal{L}_q + \kappa)^{-1} = \underbrace{(\mathcal{L}_0 + \kappa)^{-1}}_{\text{bounded } L_+^2 \rightarrow H_+^1} (1 - T(q))^{-1},$$

$$T(q) := q C_+ \bar{q} (\mathcal{L}_0 + \kappa)^{-1}.$$

We (essentially) need to bound the spectrum of $T(q)$ away from 1. $T(q)$ is not easy to analyze because it is not self-adjoint. But its conjugate

$$S(q) = C_+ \bar{q} (\mathcal{L}_0 + \kappa)^{-1} q$$

is. S and T have the same spectrum, so we need to show

$$d(\sigma(S(q)), 1) \geq C_M, \quad (q \in B_M).$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

$$\iff \int_{-\pi}^{\pi} \int_{r=0}^1 |f'(re^{i\theta})|^2 r \, dr \, d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f'(e^{i\theta})| \, d\theta \right]^2$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

[Carleman 1921]



$$\int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r dr d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| d\theta \right]^2$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

[Carleman 1921]

$\Longleftarrow$

$$\int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r dr d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| d\theta \right]^2$$

$\Longleftrightarrow$

$$2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| d\theta \right]^2$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

[Carleman 1921]

$\Longleftarrow$

$$\int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r dr d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| d\theta \right]^2$$

$\Longleftrightarrow$

$$2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| d\theta \right]^2$$

$\Longleftrightarrow$

$$\langle f, (2\mathcal{L}_0 + 2)^{-1} f \rangle \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| d\theta \right]^2.$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

$$\begin{aligned} & \text{[Carleman 1921]} \iff \int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r \, dr \, d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2 \end{aligned}$$

$$\iff 2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2$$

$$\iff \langle f, (2\mathcal{L}_0 + 2)^{-1} f \rangle \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2.$$

Setting $f = q \cdot g \implies$

$$\langle g, \bar{q}(\mathcal{L}_0 + 1)^{-1}(qg) \rangle \leq \frac{1}{2\pi} \|q\|_{L_+^2}^2 \|g\|_{L_+^2}^2$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

$$\begin{aligned} & \text{[Carleman 1921]} \\ & \iff \int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r \, dr \, d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2 \end{aligned}$$

$$\iff 2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2$$

$$\iff \langle f, (2\mathcal{L}_0 + 2)^{-1} f \rangle \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2.$$

Setting $f = q \cdot g \implies$

$$\langle g, \bar{q}(\mathcal{L}_0 + \kappa)^{-1}(qg) \rangle \leq \frac{1}{2\pi} \|q\|_{L_+^2}^2 \|g\|_{L_+^2}^2$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

$$\begin{aligned} & \text{[Carleman 1921]} \\ & \iff \int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r \, dr \, d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2 \end{aligned}$$

$$\iff 2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2$$

$$\iff \langle f, (2\mathcal{L}_0 + 2)^{-1} f \rangle \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2.$$

Setting $f = q \cdot g \implies$

$$\langle g, \underbrace{C_+ \bar{q} (\mathcal{L}_0 + \kappa)^{-1} (qg)}_{S(q)g} \rangle \leq \frac{1}{2\pi} \|q\|_{L_+^2}^2 \|g\|_{L_+^2}^2$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

$$\begin{aligned} & \text{[Carleman 1921]} \\ & \iff \int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r \, dr \, d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2 \end{aligned}$$

$$\iff 2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2$$

$$\iff \langle f, (2\mathcal{L}_0 + 2)^{-1} f \rangle \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2.$$

Setting $f = q \cdot g \implies$

$$\|S(q)\|_{L_+^2 \rightarrow L_+^2} \leq \frac{1}{2\pi} \|q\|_{L_+^2}^2.$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

$$\stackrel{[\text{Carleman 1921}]}{\Longleftarrow} \int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r \, dr \, d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2$$

$$\Longleftrightarrow 2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2$$

$$\Longleftrightarrow \langle f, (2\mathcal{L}_0 + 2)^{-1} f \rangle \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2.$$

Setting $f = q \cdot g \implies$

$$\|S(q)\|_{L_+^2 \rightarrow L_+^2} \leq \frac{1}{2\pi} \|q\|_{L_+^2}^2 \leq \frac{M}{2\pi}.$$

A consequence of the isoperimetric inequality

Our resolvent estimates now hinge on a bound essentially derived from the isoperimetric inequality

$$\mathcal{A} \leq \frac{1}{4\pi} L^2$$

$$\begin{aligned} & \text{[Carleman 1921]} \\ & \iff \int_{-\pi}^{\pi} \int_{r=0}^1 |f(re^{i\theta})|^2 r \, dr \, d\theta \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2 \end{aligned}$$

$$\iff 2\pi \sum_{n \geq 0} \frac{1}{2(n+1)} |\hat{f}(n)|^2 \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2$$

$$\iff \langle f, (2\mathcal{L}_0 + 2)^{-1} f \rangle \leq \frac{1}{4\pi} \left[\int_{-\pi}^{\pi} |f(e^{i\theta})| \, d\theta \right]^2.$$

Setting $f = q \cdot g \implies$

$$\sigma(S(q)) \subset \{|z| \leq M/2\pi\}$$

is bounded away from 1!

Equicontinuity

The previous estimates suffices to prove global well-posedness of the H_{κ} flows. We now turn to the equicontinuity of orbits.

Equicontinuity

The previous estimates suffices to prove global well-posedness of the H_{κ} flows. We now turn to the equicontinuity of orbits.

Definition

A bounded set $\mathcal{Q} \subset L^2_+$ is called equicontinuous if the set of Fourier transforms $\{\widehat{q} : q \in \mathcal{Q}\}$ is L^2 -tight.

Equicontinuity

The previous estimates suffices to prove global well-posedness of the H_{κ} flows. We now turn to the equicontinuity of orbits.

Definition

A bounded set $\mathcal{Q} \subset L^2_+$ is called equicontinuous if the set of Fourier transforms $\{\widehat{q} : q \in \mathcal{Q}\}$ is L^2 -tight. This is equivalent to tightness of the measures

$$\{|\widehat{q}(\xi)|^2 d\xi : q \in \mathcal{Q}\}.$$

Equicontinuity

The previous estimates suffices to prove global well-posedness of the H_{κ} flows. We now turn to the equicontinuity of orbits.

Definition

A bounded set $\mathcal{Q} \subset L^2_+$ is called equicontinuous if the set of Fourier transforms $\{\widehat{q} : q \in \mathcal{Q}\}$ is L^2 -tight. This is equivalent to tightness of the measures

$$\{|\widehat{q}(\xi)|^2 d\xi : q \in \mathcal{Q}\}.$$

We can view the measures above as the spectral measures of the operator $\mathcal{L}_0$ on L^2_+ .

Equicontinuity

The previous estimates suffices to prove global well-posedness of the H_{κ} flows. We now turn to the equicontinuity of orbits.

Definition

A bounded set $\mathcal{Q} \subset L^2_+$ is called equicontinuous if the set of Fourier transforms $\{\widehat{q} : q \in \mathcal{Q}\}$ is L^2 -tight. This is equivalent to tightness of the measures

$$\{|\widehat{q}(\xi)|^2 d\xi : q \in \mathcal{Q}\}.$$

We can view the measures above as the spectral measures of the operator $\mathcal{L}_0$ on L^2_+ . This is a definition which generalizes nicely to an arbitrary self-adjoint operator $\mathcal{L}$.

Equicontinuity

The previous estimates suffices to prove global well-posedness of the H_{κ} flows. We now turn to the equicontinuity of orbits.

Definition

Let $\mathcal{L}$ be a self-adjoint operator on L^2_+ . A bounded set $\mathcal{Q} \subset L^2_+$ is called $\mathcal{L}$ -equicontinuous if the family of spectral measures

$$\{d\mu_q^{\mathcal{L}} : q \in \mathcal{Q}\} \quad \text{is tight.}$$

Equicontinuity

The previous estimates suffices to prove global well-posedness of the H_{κ} flows. We now turn to the equicontinuity of orbits.

Definition

Let $\mathcal{L}$ be a self-adjoint operator on L^2_+ . A bounded set $\mathcal{Q} \subset L^2_+$ is called $\mathcal{L}$ -equicontinuous if the family of spectral measures

$$\{d\mu_q^{\mathcal{L}} : q \in \mathcal{Q}\} \quad \text{is tight.}$$

Equivalently

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L} + 1)^2}{(\mathcal{L} + 1)^2 + \kappa^2} q \right\rangle = 0.$$

Lemma

Let $M < M_$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $Q \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous.*

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Proof: By the equivalence of Sobolev norms,

$$(\mathcal{L}_0 + 1)^2 \simeq_M (\mathcal{L}_u + 1)^2$$

as quadratic forms.

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Proof: By the equivalence of Sobolev norms,

$$(\mathcal{L}_0 + 1)^2 \simeq_M (\mathcal{L}_u + 1)^2$$

as quadratic forms.

Key fact: The function $\varphi(X) = \frac{X}{X + \kappa^2}$ is operator monotone:

$$\langle q, \varphi(A)q \rangle \leq \langle q, \varphi(B)q \rangle$$

for all positive, self-adjoint operators $A \leq B$.

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Proof: By the equivalence of Sobolev norms,

$$(\mathcal{L}_0 + 1)^2 \simeq_M (\mathcal{L}_u + 1)^2$$

as quadratic forms.

Key fact: The function $\varphi(X) = \frac{X}{X + \kappa^2}$ is operator monotone.

Equicontinuity

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Proof: By the equivalence of Sobolev norms,

$$(\mathcal{L}_0 + 1)^2 \simeq_M (\mathcal{L}_u + 1)^2$$

as quadratic forms.

Key fact: The function $\varphi(X) = \frac{X}{X + \kappa^2}$ is operator monotone. Thus

$$\left\langle q, \frac{(\mathcal{L}_0 + 1)^2}{(\mathcal{L}_0 + 1)^2 + \kappa^2} q \right\rangle \simeq_M \left\langle q, \frac{(\mathcal{L}_u + 1)^2}{(\mathcal{L}_u + 1)^2 + \kappa^2} q \right\rangle \quad \forall q \in L_+^2.$$

Equicontinuity

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Proof: By the equivalence of Sobolev norms,

$$(\mathcal{L}_0 + 1)^2 \simeq_M (\mathcal{L}_u + 1)^2$$

as quadratic forms.

Key fact: The function $\varphi(X) = \frac{X}{X + \kappa^2}$ is operator monotone. Thus

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_0 + 1)^2}{(\mathcal{L}_0 + 1)^2 + \kappa^2} q \right\rangle \simeq_M \lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_u + 1)^2}{(\mathcal{L}_u + 1)^2 + \kappa^2} q \right\rangle.$$

Equicontinuity

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Proof: By the equivalence of Sobolev norms,

$$(\mathcal{L}_0 + 1)^2 \simeq_M (\mathcal{L}_u + 1)^2$$

as quadratic forms.

Key fact: The function $\varphi(X) = \frac{X}{X + \kappa^2}$ is operator monotone. Thus

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_0 + 1)^2}{(\mathcal{L}_0 + 1)^2 + \kappa^2} q \right\rangle \simeq_M \lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_u + 1)^2}{(\mathcal{L}_u + 1)^2 + \kappa^2} q \right\rangle.$$

$$LHS = 0 \iff RHS = 0$$

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

The quantity $(*)$ characterises equicontinuity. And it is conserved by the flow!

Lemma

Let $M < M_$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if*

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

The quantity $(*)$ characterises equicontinuity. And it is conserved by the flow! Thus equicontinuous data sets lead to equicontinuous orbits:

Lemma

Let $M < M_*$, $u \in B_M$, $\mathcal{L}_u$ its associated Lax operator. A bounded set $\mathcal{Q} \subset B_M$ is $\mathcal{L}_u$ -equicontinuous if and only if it is $\mathcal{L}_0$ -equicontinuous, if and only if

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \left\langle q, \frac{(\mathcal{L}_q + 1)^2}{(\mathcal{L}_q + 1)^2 + \kappa^2} q \right\rangle = 0. \quad (*)$$

The quantity $(*)$ characterises equicontinuity. And it is conserved by the flow! Thus equicontinuous data sets lead to equicontinuous orbits: for $\mathcal{Q} \subset B_M$ equicontinuous,

$$\mathcal{Q}_* := \{e^{t\nabla_\omega H_\kappa} q_0 : q_0 \in \mathcal{Q}, t \in \mathbb{R}, \kappa \geq 1\}$$

is also equicontinuous (and bounded).

Step 3: Limit $\kappa \rightarrow \infty$.

The fact that the H_κ flows commute combined with the equicontinuity of orbits **hugely** simplifies the limit.

Step 3: Limit $\kappa \rightarrow \infty$.

The fact that the H_κ flows commute combined with the equicontinuity of orbits **hugely** simplifies the limit. We reduce to proving

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \|\nabla_\omega (H_\kappa - H)(q)\|_{H^{-5}} = 0 \quad (\dagger)$$

for bounded, equicontinuous sets $\mathcal{Q}$.

Step 3: Limit $\kappa \rightarrow \infty$.

The fact that the H_κ flows commute combined with the equicontinuity of orbits **hugely** simplifies the limit. We reduce to proving

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \|\nabla_\omega(H_\kappa - H)(q)\|_{H^{-5}} = 0 \quad (\dagger)$$

for bounded, equicontinuous sets $\mathcal{Q}$.

Remarks:

- The equicontinuity assumption is used to bound terms such as

$$\lim_{\kappa \rightarrow \infty} \|\mathcal{L}_q(\mathcal{L}_q + \kappa)^{-1}q\|_{L_+^2}$$

in $(\dagger)$.

Step 3: Limit $\kappa \rightarrow \infty$.

The fact that the H_κ flows commute combined with the equicontinuity of orbits **hugely** simplifies the limit. We reduce to proving

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \|\nabla_\omega (H_\kappa - H)(q)\|_{H^{-5}} = 0 \quad (\dagger)$$

for bounded, equicontinuous sets $\mathcal{Q}$.

Remarks:

- The equicontinuity assumption is used to bound terms such as

$$\lim_{\kappa \rightarrow \infty} \|\mathcal{L}_q (\mathcal{L}_q + \kappa)^{-1} q\|_{L_+^2}$$

in $(\dagger)$.

- We are able to establish $(\dagger)$ without any gauge transform, local smoothing estimates, ...

Step 3: Limit $\kappa \rightarrow \infty$.

The fact that the H_κ flows commute combined with the equicontinuity of orbits **hugely** simplifies the limit. We reduce to proving

$$\lim_{\kappa \rightarrow \infty} \sup_{q \in \mathcal{Q}} \|\nabla_\omega (H_\kappa - H)(q)\|_{H^{-5}} = 0 \quad (\dagger)$$

for bounded, equicontinuous sets $\mathcal{Q}$.

Remarks:

- The equicontinuity assumption is used to bound terms such as

$$\lim_{\kappa \rightarrow \infty} \|\mathcal{L}_q (\mathcal{L}_q + \kappa)^{-1} q\|_{L^2_+}$$

in $(\dagger)$.

- We are able to establish $(\dagger)$ without any gauge transform, local smoothing estimates, ...this is thanks to the powerful chiral assumption.

Thank you!