# Universal central extensions of the Lie algebra of unimodular vector fields

Leonid Ryvkin (j.w. Bas Janssens and Cornelia Vizman)

### References

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# Plan

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# <span id="page-3-0"></span>Lie algebras

### Definition (Lie algebra)

 $\mathbb{R}$ -vector space  $\mathfrak g$ 

\n bilinear map 
$$
[\cdot, \cdot] : \mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}
$$
 s.th.  $\forall x, y, z \in \mathfrak{g}$ \n

\n\n $[x, y] = -[y, x]$  (skew-symmetry)\n

\n\n $[x, [y, z]] = [[x, y], z] + [y, [x, z]]$  (Jacobi identity)\n

### Example

$$
\Leftrightarrow \quad \mathfrak{gl}(n,\mathbb{R})=\mathbb{R}^{n\times n}, \text{ all matrices}
$$

- $\mathfrak{so}(n,\mathbb{R})$ ,  $\mathfrak{sl}(n,\mathbb{R})$ , subalgebras of  $\mathfrak{gl}(n,\mathbb{R})$
- $\mathfrak{X}(M)$ , vector fields on a smooth manifold M
- $\mathfrak{X}(M,\omega)$ , vector fields preserving a volume form  $\omega \in \Omega^n(M)$

### Lie algebra cohomology

Definition (Lie algebra coholomology)

$$
\mathbb{R} \xrightarrow{\delta^0=0} \mathfrak{g}^* \xrightarrow{\delta^1} \Lambda^2 \mathfrak{g}^* \xrightarrow{\delta^2} \Lambda^3 \mathfrak{g}^* \xrightarrow{\delta^3} \Lambda^4 \mathfrak{g}^* \xrightarrow{\delta^4}
$$

$$
\delta^k(\alpha)(v_1, ..., v_{k+1}) = \sum_{1 \le i < j \le k+1} (-1)^{i+j} \alpha([v_i, v_j], v_1, ..., \widehat{v_i}, ..., \widehat{v_j}, ..., v_{k+1})
$$

$$
H^k(\mathfrak{g}) = \frac{\ker \delta^k}{\text{Image } \delta^{k-1}}
$$

#### Example

 $\mathfrak g$  abelian (i.e.  $[\cdot,\cdot]=0) \Longrightarrow H^k(\mathfrak g)=\Lambda^k\mathfrak g^*$ 4

\n- $$
\mathfrak g
$$
 Lie algebra of  $G$  (connected, compact)
\n- $\implies H(\mathfrak g) = H_{\text{deRham}}(G)$
\n

## <span id="page-5-0"></span>**Perfectness**

\n- \n
$$
H^0(\mathfrak{g}) = \mathbb{R}
$$
\n
\n- \n $H^1(\mathfrak{g}) = \ker(\delta^1) = \ker([\cdot, \cdot]^*) = \{ \alpha \in \mathfrak{g}^* \mid \alpha([\mathfrak{g}, \mathfrak{g}]) = 0 \}$ \n
\n- \n $\text{l.e. } H^1(\mathfrak{g}) = 0 \iff [\mathfrak{g}, \mathfrak{g}] = \mathfrak{g}$ \n
\n

# Definition (perfectness)  $\mathfrak g$  perfect : $\Longleftrightarrow [\mathfrak g,\mathfrak g]=\mathfrak g$ .



 $H^2(\mathfrak{g})$  classifies central extensions by  $\mathbb{R}$ ...

# Central extensions

#### Definition (Central extension)

Central extension of g :  $\iff$  Lie algebra surjection  $p : \tilde{g} \to g$  such that  $[\ker(p), \tilde{g}] = 0$ . Call it a central extension by  $c = \ker(p)$ .

#### Example

- trivial central extension by  $\mathfrak{c} = \mathbb{R}^n$ :  $\tilde{\mathfrak{g}} = \mathfrak{g} \oplus \mathfrak{c}$  (with brackets extending trivially)
- $\mathfrak{g}=\mathbb{R}^2=\langle\langle \mathfrak{a},\mathfrak{b}\rangle\rangle$  (with trivial bracket),  $\mathfrak{c}=\mathbb{R}=\langle\langle\mathfrak{c}\rangle\rangle$ , bracket on  $\tilde{\mathfrak{g}} = \mathfrak{g} \oplus \mathfrak{c}$  by  $[a, b] = c$ . (Heisenberg algebra as extension of  $\mathbb{R}^2$ .)
- finite-dimensional semisimple Lie algebras are perfect have no non-trivial central extensions.

## Universal central extensions

#### Definition (Universal central extension)

 $\hat{q}: \hat{g} \rightarrow g$  universal central extension :⇔ for all  $p : \tilde{g} \to g$  exists unique  $f : \hat{g} \to \tilde{g}$  such that  $\hat{q} = pf$ .



#### Theorem

- A universal central extension exists iff  $H^1(\mathfrak{g})=0.$
- It is characterized by  $H^1(\hat{\mathfrak{g}})=0$ ,  $H^2(\hat{\mathfrak{g}})=0$ .

# <span id="page-8-0"></span>Infinite dimensions and topologies

- Consider now  $\mathfrak{X}(M,\omega)$ , where  $\omega \in \Omega^{m}(M)$  is a volume.
	- $\mathfrak{X}(M, \omega)$  inherits a Fréchet topology from  $\mathfrak{X}(M)$ .
	- The Lie bracket is continuous
	- $[\mathfrak{X}(M,\omega),\mathfrak{X}(M,\omega)] = \mathfrak{X}_{ex}(M,\omega) = \{X \mid \iota_X \omega \text{ exact}\}\$
	- Modify previous slides with the topology
		- Replace  $H(g)$  by  $H_{cont}(g)$ , which only admits continuous maps  $\Lambda^k \mathfrak{g} \to \mathbb{R}$  as cochains.
		- require  $\tilde{\mathfrak{g}} \to \mathfrak{g}$  to be continuous and the existence of a linear split  $\mathfrak{g} \to \tilde{\mathfrak{g}}$  in the definition of central extension.
		- $\mathfrak g$  perfect and  $H^2_{cont}(\mathfrak g)$  finite-dimensional implies the existence of a universal central extension.

# <span id="page-9-0"></span>Main result

#### Theorem (JRV, Conjecture by Claude Roger 1993)

Let  $m > 2$ .  $\hat{\mathfrak{g}} = \frac{\Omega^{m-2}(M)}{d\Omega^{m-3}(M)}$  $\frac{d\Omega}{d\Omega^{m-3}(M)}$  is the universal central extension of  $\mathfrak{g}=\mathfrak{X}_{\mathrm{ex}}(M,\omega)$ (in the category of linearly split extensions by locally convex spaces), with

\n- \n
$$
\hat{q}(\bar{\alpha}) := X_{\alpha}
$$
 for the unique field with  $\iota_{X_{\alpha}}\omega = d\alpha$ .\n
\n- \n $[\bar{\alpha}, \bar{\beta}] := \overline{L_{X_{\alpha}}\beta}$ \n
\n

As a diagram the extension reads:  $H^{m-2}_{deRham}(M) \to \hat{\mathfrak{g}} \to \mathfrak{X}_{\text{ex}}(M,\omega).$ 

By a theorem of Neeb we need to show

 $\hat{g}$  is perfect

$$
\triangleq H^2_{cont}(\hat{\mathfrak{g}})=0
$$

# <span id="page-10-0"></span>The Leibniz algebra

#### Definition (left Leibniz algebra)

 $\mathfrak{L}, [\cdot, \cdot] : \mathfrak{L} \times \mathfrak{L} \to \mathfrak{L}$  such that  $[x, [y, z]] = [[x, y], z] + [y, [x, z]]$ .

#### Example

 $\mathfrak{L} = \Omega^{m-2} (M)$  with  $[\alpha, \beta] = L_{X_\alpha} \beta$  is a Leibniz algebra, not a Lie algebra.

Theorem (JRV)  $[\mathfrak{L}, \mathfrak{L}] = \mathfrak{L}.$ 

Corollary:  $\hat{a}$  and  $\alpha$  are perfect.

### <span id="page-11-0"></span>Local perfectness

#### Lemma

Let  $\mathfrak{L}_{loc} = \Omega_c^{m-2}(U)$ ,  $U \subset \mathbb{R}^m$  connected,  $\omega = dx^1 \wedge ... \wedge dx^m$ .  $\forall x\in\mathfrak{\mathfrak{L}}_{loc}$  exist  $y_{i},z_{i}\in\mathfrak{\mathfrak{L}}_{loc}$  such that

$$
x = \sum_{i=1}^{(m+1)^3} [y_i, z_i]
$$

proof: Coordinate computation and Poincare Lemma

## <span id="page-12-0"></span>Ostrands theorem and pavings

#### Theorem (Ostrand, Brouwer-Lebesgue)

Let U an open cover of M. Then there exist open sets  $V_{i,\alpha}$ ,  $i \in \{1, ..., m+1\}, \alpha \in \mathbb{N}$  with the following properties:

- Each  $V_{i,\alpha}$  is a connected open subset of an element in U.
- For fixed i and  $\alpha \neq \beta$ ,  $V_{i,\alpha} \cap V_{i,\beta} = \emptyset$
- $V_{i,\alpha}$  cover M.

In particular  $W_i = \bigsqcup_\alpha V_{i,\alpha}$  gives an open cover of  $M$  by  $m+1$  sets.



# <span id="page-13-0"></span>proof of global perfectness of  $\mathfrak L$

#### Proof.

Pick an open cover  $\mathcal{U} = \{U_\beta\}$  of M such that for each  $U_\beta$  there are coordinates  $\phi_\beta:U_\beta\to{\mathbb R}^m$  with  $\omega|_{U_\beta}=\phi_\beta^*(d\mathrm{x}^1\wedge...\wedge d\mathrm{x}^m).$ 

Apply Ostrands theorem and pick a partition of unity for  $V_{i,\alpha}$ 

- Use local Lemma to get solutions on  $V_{i,\alpha}$ (with  $(m+1)^3$  terms each)
- patch them together to solutions on  $W_i$ (with  $(m+1)^3$  terms each)
- Put all of them together (with  $(m+1)^4$  terms in total)

# <span id="page-14-0"></span>Summary and plan

So far we know:

\n- $$
\mathfrak{L} = \Omega^{m-2}(M)
$$
 is a perfect Leibniz algebra.
\n- $\hat{\mathfrak{g}} = \frac{\Omega^{m-2}(M)}{d\Omega^{m-3}(M)}$  is perfect.
\n- $\mathfrak{g} = \mathfrak{X}_{\text{ex}}(M,\omega)$  is perfect.
\n

We want to show  $H^2_{cont}(\hat{\mathfrak{g}})=0$  as follows:

- Pick a cocycle  $\Psi: \Lambda^2 \hat{\mathfrak{a}} \to \mathbb{R}$
- Consider the induced map  $\hat{\Psi}: \hat{\mathfrak{g}} \to \hat{\mathfrak{g}}'.$ Use  $\pi: \mathfrak{L} \to \hat{\mathfrak{g}}$  to obtain  $\Phi = \pi' \hat{\Psi} \pi: \mathfrak{L} \to \mathfrak{L}'$
- Show it has a good local coordinate expression (Peetre's theorem)
- Show it is exact locally (using representation theory of  $\mathfrak{sl}(m,\mathbb{R})$ )
- Glue the local solutions

# <span id="page-15-0"></span>Peetre's theorem

### Definition (support-decreasing)

Let  $E,F$  be vector bundles over  $M$ ,  $\Phi:\Gamma_c(E)\rightarrow \Gamma_c(F)'$  is called support-decreasing if for all  $f$ :

```
\text{supp}(\Phi(f)) \subset \text{supp}(f)
```
Theorem (Peetre's theorem)

If  $\Phi$  is support-decreasing

- The set of discontinuity of  $\Phi$  is discrete.
- Outside of it  $\Phi$  can be locally written as:

$$
\Phi(f)=\sum_{|I|\leq k}a_I D_I f
$$

where  $I=(i_1,...,i_m)$  are multiindices,  $D_I=(\partial_{x_1})^{i_1}...( \partial_{x_m})^{i_m}$ and  $a<sub>l</sub>$  are distributions.

# <span id="page-16-0"></span>The perfectness trick

Let  $\Psi$  be a cocycle, and  $\hat{\Psi}$ ,  $\Phi$  its induced maps.

- Φ support-decreasing  $\iff$  If  $\alpha, \beta \in \mathfrak{L}$  have disjoint supports, then  $\Psi(\bar{\alpha}, \bar{\beta}) = 0$ .
- Let supp $(\alpha) \subset \text{supp}(\beta)^c$  $\exists \gamma_i, \delta_i$  (with supports in  $\mathrm{supp}(\beta)^c)$  such that  $\alpha = \sum_{i=1}^N [\gamma_i, \delta_i].$

$$
\Psi(\bar{\alpha}, \bar{\beta}) = \sum \Psi([\bar{\gamma}_i, \bar{\delta}_i], \bar{\beta}) = \sum \Psi([\bar{\gamma}_i, \bar{\beta}], \bar{\delta}_i) - \sum \Psi([\bar{\delta}_i, \bar{\beta}], \bar{\gamma}_i) = 0
$$

Since  $[\bar{\epsilon}, \bar{\beta}] = \overline{L_{\chi_{\epsilon}}\beta}$  vanishes for support-disjoint  $\beta, \epsilon$ .

Peetre's theorem applies to Φ. Moreover Φ is continuous  $\implies$  It is a distribution-valued diff. operator near any point.

## <span id="page-17-0"></span>Remainder of the proof

- Consider  $\Phi|_{U}$  for small contractible sets U Use the fact that  $\Phi|_{U}$ is a Diff. Op. vanishing on  $\Omega_{cl}^{m-2}(M)$  to push it to  $\Omega^{m-1}(M)$ .
- Diff. Ops. are determined by their values on Polynomials. Use this to find local potentials of Ψ inductively.
- Use sheaf properties of  $\mathfrak L$  to glue the local potentials.

$$
\Longrightarrow H^2_{cont}(\hat{\mathfrak{g}})=0.
$$

Theorem  $\hat{\mathfrak{g}} = \frac{\Omega^{m-2}(M)}{d\Omega^{m-3}(M)}$  $\frac{\Delta P^{N-1}(M)}{d\Omega^{m-3}(M)}$  is the universal central extension of  $\mathfrak{g}=\mathfrak{X}_{\text{ex}}(M,\omega).$ 

### Further results

\n- \n
$$
H_{cont}^2(\mathfrak{X}_{\text{ex}}(M,\omega)) = H_{deRham}^{n-2}(M)'.
$$
 Moreover\n 
$$
H_{cont}^2(\mathfrak{X}(M,\omega)) = H_{deRham}^{n-2}(M)' \oplus \Lambda^2 H_{deRham}^{n-1}(M)'
$$
.\n
\n- \n
$$
\hat{\mathfrak{g}} = \frac{\Omega_c^{m-2}(M)}{d\Omega_c^{m-3}(M)}
$$
 is also the universal central extension of\n 
$$
\mathfrak{g} = \{X_{\alpha} \mid \alpha \in \Omega_c^{m-2}(M)\}
$$
\n (among finite-dimensional extensions)\n
\n

- $d\Omega^{m-3}(M)$  in  $\Omega^{m-2}(M)$  is exactly the ideal of squares, i.e. consists of finite sums of elements of the type  $[x, x]$ .
- For  $m = 3$  and M compact, we can also find a universal central  $\mathcal{S}$ extension of Fréchet Lie groups.