# Exercises on the lectures - Gibbs measures in hyperbolic geometry and dynamics

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The exercises use the notations of my personal notes of the lectures given at IHES in july 2025. They are available here https://imag.umontpellier.fr/ schapira/recherche/IHES2025-Barbara.pdf

# 1 Construction of Gibbs measures

## 1.1 The geodesic flow on the hyperbolic disc

### 1.1.1 Hyperbolic plane / disc

The hyperbolic plane is defined as  $\mathbb{H} = \mathbb{R} \times \mathbb{R}_+^*$  and endowed with the hyperbolic metric  $ds^2 = \frac{dx^2 + dy^2}{y^2}$ . The geodesics are the curves which minimize the distance.

**Exercise 1.1** Check these classical facts. The hyperbolic geodesics are the vertical half-lines and the half-circles orthogonal to the boundary  $\mathbb{R} \times \{0\}$ . The isometries preserving orientation are the homographies  $z \to \frac{az+b}{cz+d}$  where  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  is a matrix with determinant 1.

#### 1.1.2 Geodesic flow

**Exercise 1.2** If  $c_1, c_2$  are two geodesic rays such that  $d(c_1(t), c_2(t)) \to 0$  when  $t \to +\infty$ , then show that for every  $t \ge 0$ ,

$$d(c_1(t), c_2(t)) \le e^{-t} d(c_1(0), c_2(0))$$

Hint Use the upper half plane model and come back to two vertical rays.

Denote by o the center of the disk  $\mathbb{D}$ . If  $v \in T^1\mathbb{D}$ ,  $\pi(v)$  is the basepoint of v. The Hopf coordinates are given by the homeomorphism

$$H: v \in T^1 \mathbb{D} \mapsto (v^-, v^+, \beta_{v^+}(o, \pi(v)))$$
.

In these coordinates, the geodesic flow acts as follows. If  $v \simeq (v^-, v^+, s)$ , then

$$g_t(v) \simeq (v^-, v^+, s + t)$$
.

Consider an isometry  $\gamma \in PSL(2,\mathbb{R})$ . In these coordinates it acts as follows

$$\gamma.v \simeq (\gamma v^-, \gamma v^+, s + \beta_{v^+}(\gamma^{-1}o, o).$$

Exercise 1.3 Check and prove the above formulas.

**Exercise 1.4** There is a 1-1 correspondence between Radon measures m invariant under the geodesic flow on  $T^1S$ , Radon measure  $\tilde{m}$  invariant under the geodesic flow and the group  $\Gamma$  on  $T^1\mathbb{D}$ , and geodesic currents, i.e. Radon measures  $\mathcal{C}$  on  $\partial^2\mathbb{D}$  that are  $\Gamma$  invariant.

#### 1.2 Patterson Sullivan Gibbs construction

**Exercise 1.5** Show that if  $\Gamma$  contains at least two hyperbolic isometries with distinct axes, then there does not exist  $\Gamma$ -invariant probability measures on  $S^1$ .

#### 1.2.1 Hölder maps, Poincare series

Define the Poincaré series associated with  $(\Gamma, f)$  as

$$P_{(\Gamma,f)}(s) = \sum_{\gamma \in \Gamma} e^{-sd(o,\gamma o) + \int_o^{\gamma} \tilde{f}}$$

Set

$$\delta^f = \lim_{t \to \infty} \frac{1}{t} \log \sum_{\gamma \in \Gamma, d(o, \gamma o) \in [t, t+1]} e^{\int_o^{\gamma o} \tilde{f}}.$$

**Exercise 1.6** Show that this series converges for  $s > \delta^f$  and diverges for  $s < \delta^f$ .

Exercise 1.7 Read Patterson's trick in [?].

**Exercise 1.8** Deduce that  $\nu^f$  is supported on  $S^1$ 

**Exercise 1.9** Define the limit set  $\Lambda_{\Gamma} = \overline{\Gamma o} \setminus \Gamma o$ .

- Show that it is the smallest  $\Gamma$ -invariant set on  $S^1$ .
- Show that  $\nu^f$  gives full measure to  $\Lambda_{\Gamma}$ .

**Exercise 1.10** Denote by  $\rho^f$  and  $\beta^f$  the cocycles on  $S^1 \times \mathbb{D} \times D$  defined by

$$\rho^f_\xi(x,y) = \lim_{t \to \infty} \int_x^\xi \tilde{f} - \int_y^\xi \tilde{f} \quad and \quad \beta^f = \delta^f \beta - \rho^f$$

Use the geodesic rays  $c_x$  and  $c_y$  from x (resp y) to  $\xi$  to give a rigorous meaning to the above expression.

**Exercise 1.11** Show that the measure  $\nu^f$  is  $\Gamma$  quasi invariant and that for a.e.  $\xi$  and all  $\gamma \in \Gamma$ ,

$$\frac{d\gamma_* \nu^f}{d\nu^f}(\xi) = \exp(-\delta^f \beta_{\xi}(\gamma o, o) + \rho_{\xi}^f(\gamma o, o)).$$

Exercise 1.12 Prove the Shadow lemma by following the steps below.

1. Use the conformality of  $\nu^f$  to get

$$\nu^f(\mathcal{O}_o(B(\gamma o,R)) = \gamma_* \nu^f \big( \gamma^{-1}(\mathcal{O}_o(B(\gamma o,R)) = \gamma_* \nu^f (\mathcal{O}_{\gamma^{-1}o}(B(o,R))) \,.$$

- 2. Show that on  $\mathcal{O}_{\gamma^{-1}o}(B(o,R))$  the Radon Nikodym derivative  $d\gamma_*\nu^f/d\nu^f$  is uniformly close to  $\exp\left(-\delta^f d(o,\gamma o) + \int_o^{\gamma o} f\right)$ .
- 3. Check that the measure on the right is bounded from above
- 4. Use the fact that  $\nu^f$  is not a single Dirac measure to show that there exists some  $\alpha > 0$ , such that for every  $y \in \mathbb{D} \cup S^1$ ,  $\nu^f(\mathcal{O}_y(B(o,R)) \ge \alpha > 0$ .

#### 1.2.2 Product measure

**Exercise 1.13** Show that the measure  $C^f$  on  $S^1 \times S^1$  defined by

$$d\mathcal{C}^f(\xi,\eta) = \exp\left(\beta_\eta^f(o,x) + \beta_\xi^f(o,x)\right) d\nu^f(\xi) d\nu^f(\eta),$$

(with  $x \in (\xi \eta)$  an arbitrary point= is a geodesic current, i.e. a  $\Gamma$ -invariant measure. We admit that it gives zero measure to the diagonal of  $S^1 \times S^1$ .

It allows to define a measure  $\tilde{m}^f$  on  $T^1\mathbb{D}$ , as

$$\tilde{m}^f = (H^{-1})_* (\tilde{C}^f \otimes dt)$$
.

This measure  $\tilde{m}^f$  is  $\Gamma$ -invariant and  $(g^t)$  invariant. Therefore it induces a measure  $m^f$  on  $T^1S = T^1\mathbb{D}/\Gamma$ , that is a Radon measure, i.e. gives finite mass to compact sets.

**Exercise 1.14** Show that the measure  $m^f$  is supported on

$$\Omega := \left( H^{-1}(\Lambda_{\Gamma} \times \Lambda_{\Gamma} \times \mathbb{R}) \right) / \Gamma \subset T^{1}S$$

**Exercise 1.15** Show that the surface  $S = \mathbb{D}/\Gamma$  is convex-cocompact if and only if  $H^{-1}(\Lambda_{\Gamma} \times \Lambda_{\Gamma} \times \mathbb{R})$  is cocompact, i.e.

$$\Omega := \left( H^{-1}(\Lambda_{\Gamma} \times \Lambda_{\Gamma} \times \mathbb{R}) \right) / \Gamma$$

is compact.

Hint: Recall that S is convex-cocompact, by definition, if the convex hull  $C^{core}$  of the limit set in  $\mathbb D$  is cocompact. First observe that  $\Omega \subset T^1C^{core}/\Gamma$  and deduce that one direction of the equivalence is easy. For the other direction, use the fact that triangles are thin to show that any point of  $C^{core}$  is at uniformly bounded distance of a geodesic joining two points of  $\Lambda_{\Gamma}$ .

Exercise 1.16 Define a dynamical ball as the set

$$B(v, T, \epsilon) = \{ w \in T^1 S, \forall 0 \le t \le T, d(g^t v, g^t w) \le \epsilon \}$$

Show that  $B(v, T, \epsilon)$  is comparable (in Hopf coordinates) to  $\mathcal{O}_{\pi(v)}(B(\pi(g^Tv), r)) \times \mathcal{O}_{\pi(g^Tv)}(B(v, r)) \times [-\rho, \rho]$  for  $r, \rho$  suitable constants. See [?] (Completer ref)

**Exercise 1.17** Prove that, when finite, the measure  $m^f$  satisfies the Gibbs property: for every  $v \in T^1S$ ,

$$m^f(B(v,T,\epsilon)) \asymp \exp\left(-\delta^f T + \int_0^T f(g^t v)\right) \, dt$$

In particular, check that

$$h(m^f) = \delta^f - \int f \, dm^f$$

thanks to the Shadow Lemma and the above exercise.

# 2 Regularity of entropy under perturbations

#### 2.1 Boundaries

#### 2.2 Identifying the sets of invariant measures

Exercise 2.1 Show the following properties

- $m_{\mathcal{C}}^{g_1}$  has full support iff  $m_{\mathcal{C}}^{g_2}$  has full support.
- $m_{\mathcal{C}}^{g_1}$  is ergodic iff  $m_{\mathcal{C}}^{g_2}$  is ergodic.
- $m_{\mathcal{C}}^{g_1}$  is supported on a periodic orbit iff  $m_{\mathcal{C}}^{g_2}$  is supported on a periodic orbit.
- $m_{\mathcal{C}}^{g_1}$  is a quasi product measure (i.e.  $\mathcal{C}$  is equivalent to a product measure) iff  $m_{\mathcal{C}}^{g_2}$  is a quasi product measure.

#### 2.3 Geodesic stretch

**Exercise 2.2** Use the fact that the  $g_1$  and  $g_2$ - geodesics from  $\pi(v)$  to  $v_+^{g_1}$  are at bounded distance one another to prove that the ergodic average of the geodesic stretch satisfies

$$\frac{1}{T} \int_0^T \mathcal{E}^{g_1 \to g_2}(g_1^t v) dt \approx \frac{d^{g_2}(\pi(v), \pi(g_1^T v))}{d^{g_1}(\pi(v), \pi(g_1^T v))}$$

# 2.4 Morse correspondance

**Exercise 2.3** Check from the definition that the Hopf coordinates, and therefore the homeomorphism  $\Phi^{g_1 \to g_2}$  commute with the geodesic flow:

$$\Phi^{g_1 \to g_2} \circ g_1^t = g_2^t \circ \Phi^{g_1 \to g_2}$$

**Exercise 2.4** Show that  $\tilde{\Psi}^{g_1 \to g_2}$  is  $\Gamma$ -equivariant, and induces therefore an orbit equivalence from  $T_{g_1}^1 S$  to  $T_{g_2}^1 S$ .

**Exercise 2.5** Let  $G: T_{g_2}^1 S \to \mathbb{R}$  be a continuous map, and  $m_{\mathcal{C}}^{g_i}$  be two invariant probability measures on  $T_{g_i}^1 S$  associated with the same geodesic current  $\mathcal{C}$  on  $\partial^2 \tilde{S}$ . Show that

$$\int_{T_{g_2}^1 S} G(w) \, dm_{\mathcal{C}}^{g_2}(w) = \int_{T_{g_1}^1 S} G \circ \Psi^{g_1 \to g_2}(v) \times \mathcal{E}^{g_1 \to g_2}(v) \, dm_{\mathcal{C}}^{g_1}(v) \,.$$

If you succeeded to prove that, you forgot the normalization. Indeed,  $m_{\mathcal{C}}^{g_i}$  are the normalized probability measures on  $T_{g_i}^1S$  associated through quotient by  $\Gamma$  and Hopf coordinates  $H^{g_i}$  to the measure  $\mathcal{C}\otimes dt$ . Show that the correct formula is

$$\int_{T_{g_2}^1 S} G(w) \, dm_{\mathcal{C}}^{g_2}(w) = \frac{\int_{T_{g_1}^1 S} G \circ \Psi^{g_1 \to g_2}(v) \times \mathcal{E}^{g_1 \to g_2}(v) \, dm_{\mathcal{C}}^{g_1}(v)}{\int_{T_{g_1}^1 S} \mathcal{E}^{g_1 \to g_2}(v) \, dm_{\mathcal{C}}^{g_1}(v)}$$

#### 2.5 Shadows are shadows

Exercise 2.6 Show that

$$\mathcal{O}_{x}^{g_{1}}(B^{g_{1}}(y,r)) \subset \mathcal{O}_{x}^{g_{2}}(B^{g_{2}}(y,r+C))$$
.

**Exercise 2.7** Prove that  $m_{\mathcal{C}}^{g_2}$  is a Gibbs measure wrt the potential G iff  $m_{\mathcal{C}}^{g_1}$  is a Gibbs measure wrt the potential  $G \circ \mathcal{E}^{g_1 \to g_2}$ .

Exercise 2.8 Prove that

$$h(m_{\mathcal{C}}^{g_2}) = \frac{1}{\int_{T_{q_1}^1 S} \mathcal{E}^{g_1 \to g_2} dm_{\mathcal{C}}^{g_1}} \times h(m_{\mathcal{C}}^{g_1})$$