

# Towards Transcendental Thurston Theory

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# Rational dynamics

- **Branched self-covers** of  $S^2$  are topological analogues of rational maps.
- Rational map  $g: \widehat{\mathbb{C}} \rightarrow \widehat{\mathbb{C}}$  is called **postcritically finite (pcf)** if each critical value of  $g$  has a finite orbit.
- **Thurston map** is a postcritically finite branched self-cover of  $S^2$ .

Question: When is a Thurston map dynamically equivalent to a pcf rational map?

Answer: Thurston's topological characterization of pcf rational maps (W.Thurston'80s, Douady-Hubbard'93).

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Studies of dynamics of **transcendental** entire maps and meromorphic maps.

Important achievements:

- 1 Very satisfactory results for some explicit families, for example **exponential family**  $z \mapsto \lambda \exp(z)$ ,  $\lambda \in \mathbb{C}^*$ .
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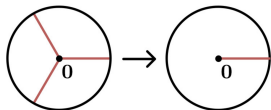
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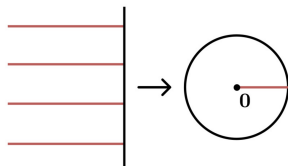
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**Example (Singular values).**

**Critical value**  
 $z \mapsto z^3$



**Asymptotic value**  
 $z \mapsto \exp(z)$



# Transcendental Thurston Theory

Meromorphic map  $g: \mathbb{C} \rightarrow \hat{\mathbb{C}}$  is called **postsingularly finite (psf)** if it has finitely many singular values, and each of them has a finite forward orbit.

Goal: Topological characterization of **psf** meromorphic maps.

Known results:

- Topological characterization of psf exponential maps (Hubbard-Schleicher-Shishikura'09).
- Further evidence towards an analogous characterization (Shemyakov'22, Mukundan-NP-Reinke'24, and D'Souza'25).

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# Setup

# Topologically holomorphic maps

## Definition.

Let  $X$  and  $Y$  be topological surfaces. A map  $f: X \rightarrow Y$  is called **topologically holomorphic** if  $f$  is continuous, open, and discrete.

We consider topologically holomorphic maps  $f: X \rightarrow S^2$ , where  $X = S^2$  or  $X = S^2 \setminus \{e\}$ , and  $f$  is of parabolic type.

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## Example.

- psf meromorphic maps;
- $\varphi \circ g$ , where  $g$  is a psf meromorphic map,  $\varphi \in \text{Homeo}(\hat{\mathbb{C}})$ , and  $\varphi(P_g) = P_g$ , where  $P_g$  is the **postsingular set** of  $g$ —the union of all forward orbits of singular values of  $f$ .

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# Combinatorial equivalence

## Definition.

Two Thurston maps  $f$  and  $g$  are **combinatorially equivalent** if there exist homeomorphisms  $h_0, h_1: S^2 \rightarrow S^2$  that are **isotopic rel.  $P_f$**  and satisfy  $h_0 \circ f = g \circ h_1$ .

$$\begin{array}{ccc} (S^2, P_f) & \xrightarrow{h_1} & (S^2, P_g) \\ \downarrow f & & \downarrow g \\ (S^2, P_f) & \xrightarrow{h_0} & (S^2, P_g) \end{array}$$

# Characterization problem

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A Thurston map  $f$  is said to be **realized** if  $f$  is combinatorially equivalent to a psf meromorphic map. Otherwise, it is called **obstructed**.

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When given Thurston map is realized?

# Obstructions

Let  $f$  be a Thurston map with a postsingular set  $P_f$ .

## Definition.

A simple closed **essential** curve  $\gamma \subset S^2 \setminus P_f$  is called a **Levy cycle** for  $f$  if, for some  $n \geq 1$ , there exists a simple closed curve  $\gamma' \subset f^{-n}(\gamma)$  such that

- $\gamma$  and  $\gamma'$  are homotopic in  $S^2 \setminus P_f$ ,
- $\deg(f^{on}|_{\gamma'}: \gamma' \rightarrow \gamma) = 1$ .

If  $n = 1$ ,  $\gamma$  is called a **Levy fixed curve**.

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## Theorem (Hubbard-Schleicher-Shishikura'09).

An **exponential** Thurston map is realized if and only if it has no Levy cycle.

# Main result

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## Theorem (NP'24).

Let  $f$  be a Thurston map satisfying the following conditions:

(A)  $|P_f| = 4$ , and

(B) there exists  $B \subset P_f$  such that  $|B| = 3$ ,  $S_f \subset B$ , and  $|\overline{f^{-1}(B)} \cap P_f| = 3$ .

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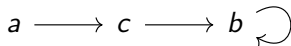
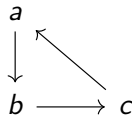
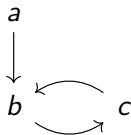
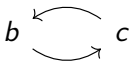
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## Examples

Let  $f: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be a Thurston map with  $S_f = \{a, b, \infty\}$  and  $P_f = \{a, b, c, \infty\}$ .

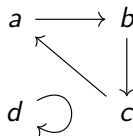
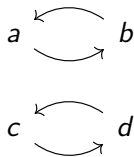
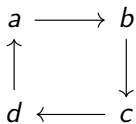
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# Examples

Let  $f: S^2 \dashrightarrow S^2$  be a Thurston map with  $|S_f| \leq 3$  and  $P_f = \{a, b, c, d\}$ .



# Remarks

- 1 The first Thurston-type criterion applicable to uncountably many pairwise combinatorially inequivalent Thurston maps, both realized and obstructed.
- 2 This criterion also applies to non-entire transcendental Thurston maps and Thurston maps with several essential singularities;
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# Idea of proof

## Recalling combinatorial equivalence

Let  $f$  be a Thurston map.

Goal: Find two homeomorphisms  $h_0, h_1: S^2 \rightarrow \hat{\mathbb{C}}$  such that  $h_0$  and  $h_1$  are isotopic rel.  $P_f$  and  $g = h_0 \circ f \circ h_1^{-1}: \hat{\mathbb{C}} \dashrightarrow \hat{\mathbb{C}}$  is holomorphic.

$$\begin{array}{ccc} S^2 & \xrightarrow{h_1} & \hat{\mathbb{C}} \\ \downarrow f & & \downarrow h_0 \circ f \circ h_1^{-1} \\ R^2 & \xrightarrow{h_0} & \hat{\mathbb{C}} \end{array}$$

# Pullback

Let  $f$  be a Thurston map and  $\varphi: S^2 \rightarrow \widehat{\mathbb{C}}$  be a homeomorphism. Then

- there exists a homeomorphism  $\psi: S^2 \rightarrow \widehat{\mathbb{C}}$  such that  $\varphi \circ f \circ \psi^{-1}: \widehat{\mathbb{C}} \dashrightarrow \widehat{\mathbb{C}}$  is holomorphic;

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# Iterating pullback

$$\begin{array}{ccc} \vdots & & \vdots \\ \downarrow & & \downarrow \\ S^2 & \xrightarrow{\varphi_3} & \widehat{\mathbb{C}} \\ \downarrow f & & \downarrow \varphi_2 \circ f \circ \varphi_3^{-1} \\ S^2 & \xrightarrow{\varphi_2} & \widehat{\mathbb{C}} \\ \downarrow f & & \downarrow \varphi_1 \circ f \circ \varphi_2^{-1} \\ S^2 & \xrightarrow{\varphi_1} & \widehat{\mathbb{C}} \\ \downarrow f & & \downarrow \varphi_0 \circ f \circ \varphi_1^{-1} \\ S^2 & \xrightarrow{\varphi_0} & \widehat{\mathbb{C}} \end{array}$$

# Teichmüller space

Let  $A \subset S^2$  be a finite set.

Two homeomorphisms  $\varphi: S^2 \rightarrow \widehat{\mathbb{C}}$  and  $\psi: S^2 \rightarrow \widehat{\mathbb{C}}$  are said to be equivalent if there exists a Möbius transformation  $M$  such that  $M \circ \varphi$  and  $\psi$  are isotopic rel.  $A$ .

$$\begin{array}{ccc} & (S^2, A) & \\ \swarrow \varphi & & \searrow \psi \\ (\widehat{\mathbb{C}}, \varphi(A)) & \xrightarrow{M} & (\widehat{\mathbb{C}}, \psi(A) = (M \circ \varphi)(A)) \end{array}$$

## Definition.

The **Teichmüller space**  $\mathcal{T}_A$  of the sphere  $S^2$  with the marked set  $A$  is

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# General properties

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Teichmüller space  $\mathcal{T}_{P_f}$  is a complex manifold of dimension  $|P_f| - 3$  and  $\sigma_f$  is a holomorphic map.

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Teichmüller space  $\mathcal{T}_{P_f}$  has a natural complete metric and  $\sigma_f$  is 1-Lipschitz.

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where  $\eta_1 \approx \eta_2$  if there exists a Möbius transformation  $M$  such that  $M \circ \eta_1 = \eta_2$ .

$\pi: \mathcal{T}_A \rightarrow \mathcal{M}_A$  universal holomorphic covering

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# Demystifying Condition (B)

## Proposition.

Let  $f$  be a Thurston map satisfying conditions (B). Then there exists

- an open and connected set  $W \subset \mathcal{M}_{P_f}$ , and
- a holomorphic covering  $G: W \rightarrow \mathcal{M}_{P_f}$

such that the following diagram commutes:

$$\begin{array}{ccc} \mathcal{T}_{P_f} & \xrightarrow{\sigma_f} & \sigma_f(\mathcal{T}_{P_f}) \\ \downarrow \pi & & \downarrow \pi \\ \mathcal{M}_{P_f} & \xleftarrow{G} & W \end{array}$$

# Iteration on the unit disk

## Theorem (NP'24).

Let  $h: \mathbb{D} \rightarrow \mathbb{D}$  be a holomorphic map, and  $\pi: \mathbb{D} \rightarrow \Sigma = \widehat{\mathbb{C}} - \{0, 1, \infty\}$  and  $g: U \rightarrow \Sigma$  are non-injective holomorphic covering maps, where  $U \subset \Sigma$  is a domain of  $\widehat{\mathbb{C}}$ . Suppose the following diagram commutes:

$$\begin{array}{ccc} \mathbb{D} & \xrightarrow{h} & h(\mathbb{D}) \\ \downarrow \pi & & \downarrow \pi \\ \Sigma & \xleftarrow{g} & U \end{array}$$

Then exactly one of the following two possibilities is satisfied:

- 1  $(h^{\circ n}(z))$  converges to the unique fixed point of  $h$  for every  $z \in \mathbb{D}$ ,
- 2  $(\pi(h^{\circ n}(z)))$  converges to the same repelling fixed point  $x \in \{0, 1, \infty\}$  of the map  $g$ , regardless of the choice of  $z \in \mathbb{D}$ .

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# Degenerations

## Observation

Let  $f$  be a Thurston map satisfying conditions (A) and (B).

Then the orbit  $(\sigma_f^{\circ n}(\tau))$  leaves every compact set of the Teichmüller space  $\mathcal{T}_{P_f}$  if and only if its projection  $(\pi(\sigma_f^{\circ n}(\tau)))$  does so in the moduli space  $\mathcal{M}_{P_f}$ .

# Relative version of Thurston's result

# Marked Thurston maps

Let  $f: S^2 \dashrightarrow S^2$  be a Thurston map.

- Let  $A \subset S^2$  be a finite set such that  $P_f \subset A$  and for every  $a \in A$  either  $f(a) \in A$  or  $a$  is an essential singularity of  $f$ ;
- Pair  $(f, A)$  is called a **marked Thurston map**.

## Definition.

Two marked Thurston maps  $f: (S^2, A) \dashrightarrow S^2$  and  $g: (S^2, B) \dashrightarrow S^2$  are **combinatorially equivalent** if there exist two homeomorphisms  $h_0, h_1: S^2 \rightarrow S^2$  such that  $h_0$  is isotopic rel.  $A$  to  $h_1$ , and  $h_0 \circ f = g \circ h_1$ .

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## Relative version of Thurston's theorem

How are the properties of marked Thurston maps  $f: (S^2, A) \looparrowright$  and  $f: (S^2, B) \looparrowright$  related when  $B \subset A$ ?

## Relative version of Thurston's theorem

Suppose the Thurston map  $f: (S^2, B) \looparrowright$  is realized. What can be said about the realizability of the Thurston map  $f: (S^2, A) \looparrowright$ , where  $B \subset A$ ?

**Theorem (NP'24; Bartholdi-Dudko'21; Selinger-Yampolski'15).**

Let  $f: (S^2, A) \looparrowright$  and  $f: (S^2, B) \looparrowright$  are marked Thurston maps with  $B \subset A$ . Then  $f: (S^2, A) \looparrowright$  is realized if and only if  $f: (S^2, B) \looparrowright$  is realized and  $f: (S^2, A) \looparrowright$  has no Levy cycle.

The theorem addresses the following three cases in a unified way:

- 1  $f$  is a finite degree map that is not a  $(2, 2, 2, 2)$ -map;
- 2  $f$  is a  $(2, 2, 2, 2)$ -map;
- 3  $f$  is a transcendental map.

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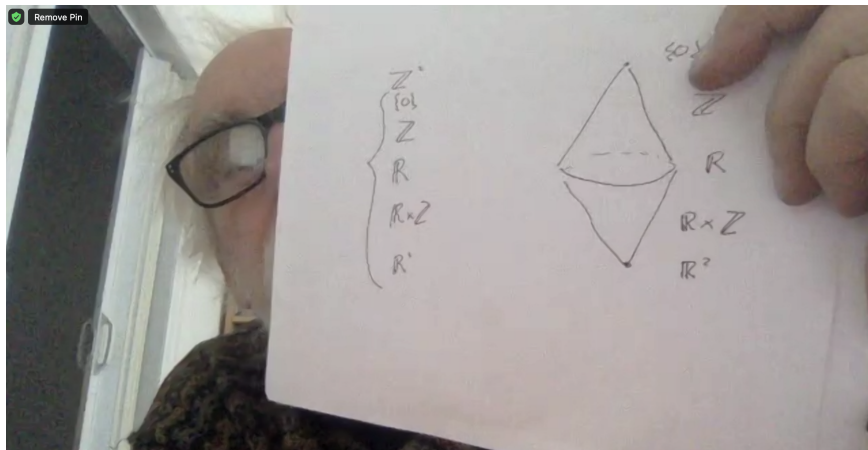
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Happy birthday, Hamal!



# Getting a Levy fixed curve

## Proposition.

Let  $f$  be a Thurston map with  $|P_f| = 4$ , and  $\tau = [\varphi] \in \mathcal{T}_{P_f}$ ,  $\sigma_f(\tau) = [\psi] \in \mathcal{T}_{P_f}$ , so that the map  $g := \varphi \circ f \circ \psi^{-1}: \hat{\mathbb{C}} \dashrightarrow \hat{\mathbb{C}}$  is holomorphic.

Suppose that there exists an annulus  $U \subset \hat{\mathbb{C}}$  such that:

- each connected component of  $\hat{\mathbb{C}} - U$  contains two points of  $\psi(A)$ ;
- $\text{mod}(U) \geq M(d_0)$ , where  $d_0 = d_T(\tau, \sigma_f(\tau))$ ;
- $g$  is injective on  $U$ .

Then  $f$  has a Levy fixed curve.

# Getting a Levy fixed curve

## Proposition.

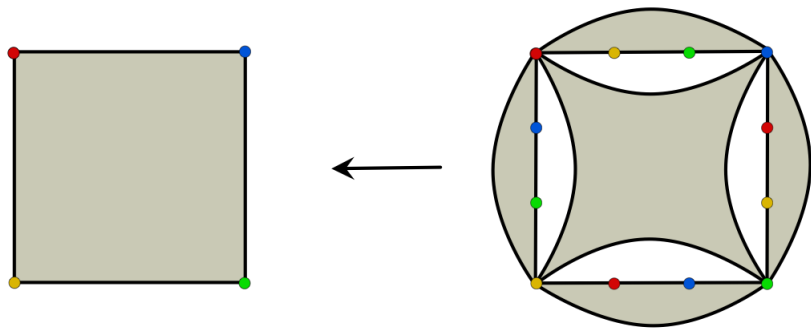
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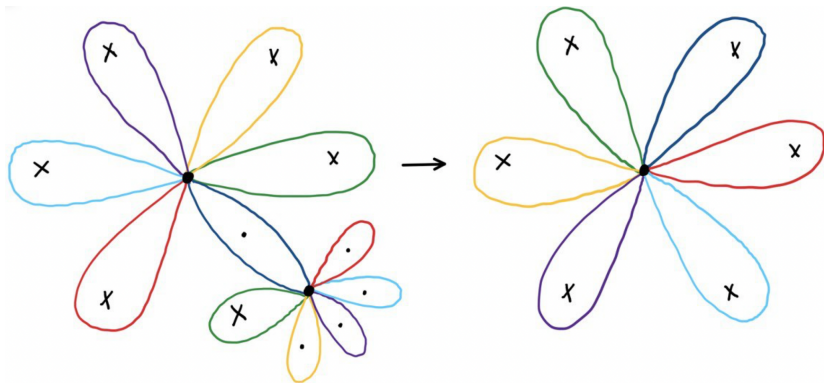
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# Combinatorial model



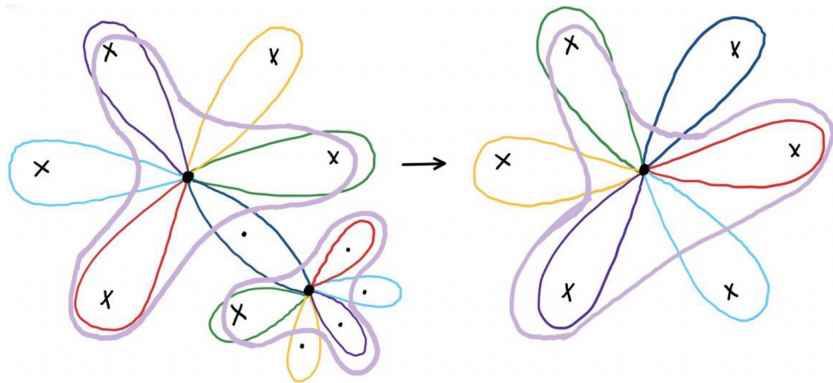
$$f(z) = \frac{3z^5 - 20z}{5z^4 - 12}$$
$$C_f = P_f = \{\pm 1 \pm i\}$$

# Obstructed example

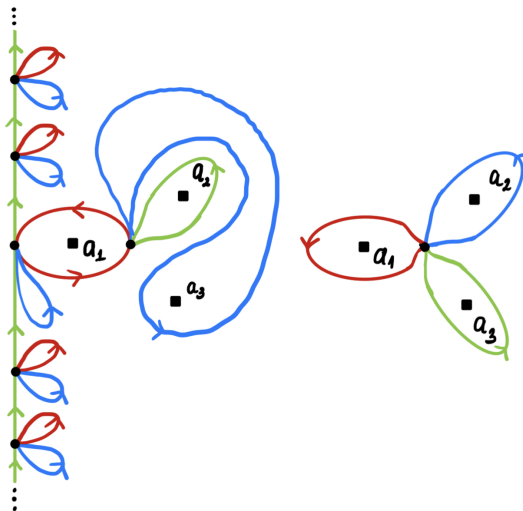


$$X_1 \rightarrow X_2 \rightarrow X_3 \rightarrow X_4 \rightarrow X_5 \rightarrow X_6$$

## Example of a Levy cycle



# Obstructed example



# Example of a Levy cycle

