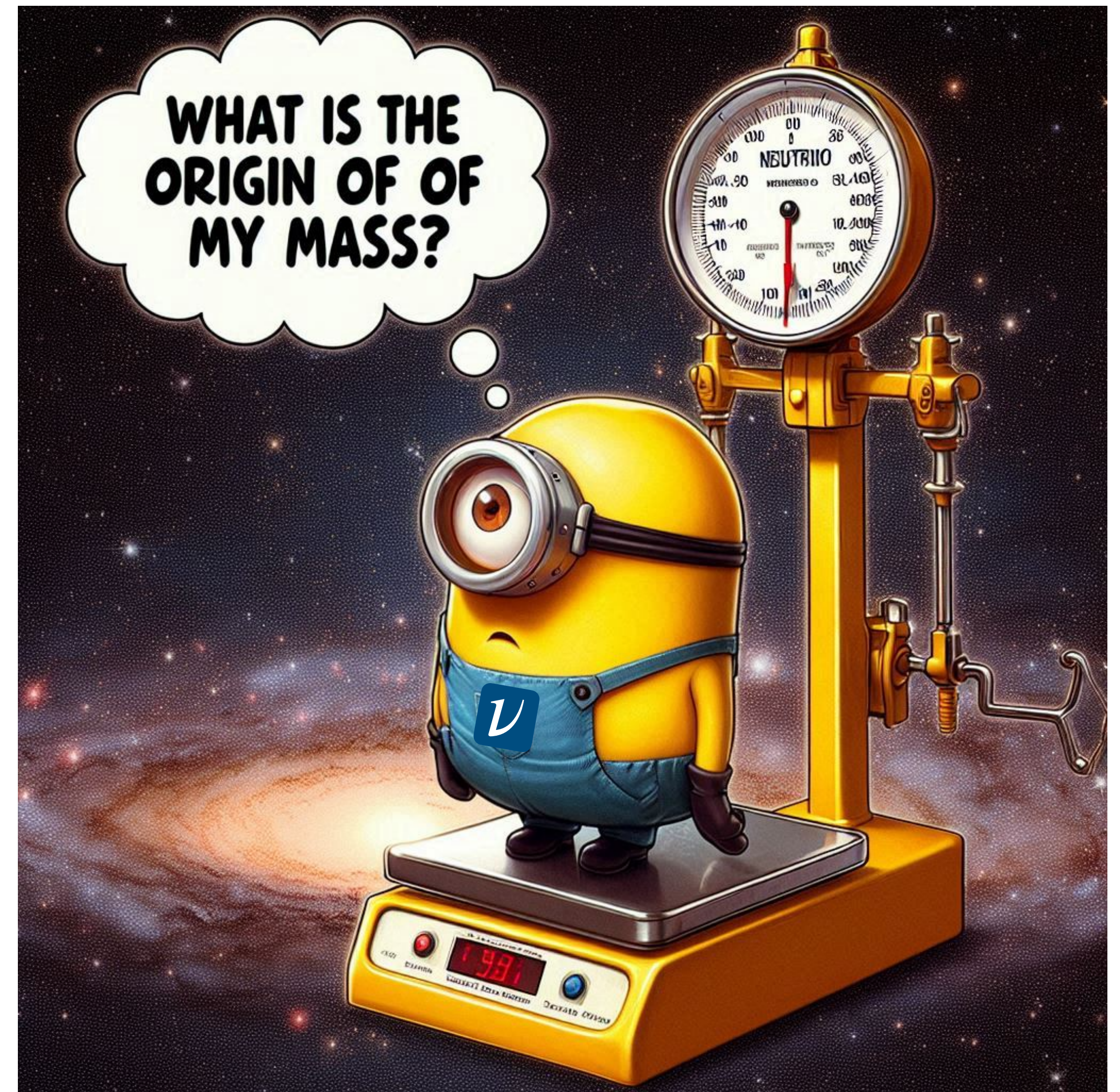


# DYNAMIC NEUTRINO MASSES - WHAT, HOW AND WHERE?

Manibrata Sen  
IIT Bombay

*Dark Matter and Neutrinos*

22.05.25



# Origin of neutrino mass

- Neutrino mass can be **Dirac**: add a RH neutrino  $N$  and no lepton number violation.

$$\mathcal{L} \supset y LH^c N = m_D \nu N$$

- **Majorana** neutrinos-lepton number violated. Generate the Weinberg operator at dim=5:

$$\mathcal{L} \supset y (LH)^2 / \Lambda .$$

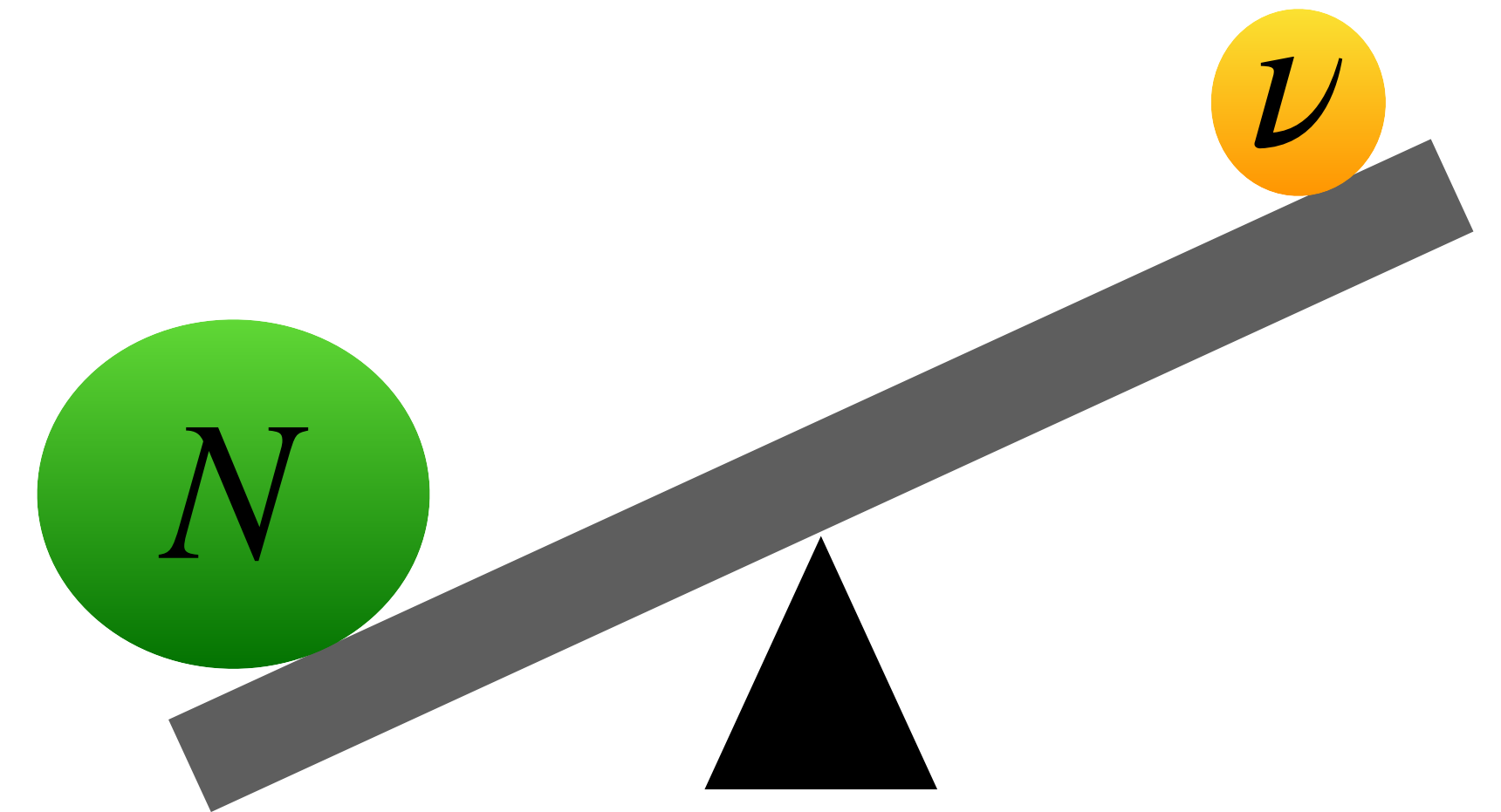
- **Seesaw mechanism**.

$$\mathcal{L} = m_D \nu N + m_N NN$$

- Diagonalise and if  $m_N \gg m_D$ , then  $m_{\text{light}} \sim m_D^2 / m_N$  and

$$m_{\text{heavy}} \sim m_N.$$

- Neutrino mass from **vacuum expectation values of scalars**.



Seesaw mechanism

# But neutrinos are special...

- Neutrinos stand out from the rest of the SM particles. Can offer a gateway to beyond the SM physics.
- We can speculate that neutrino mass can have a different origin from the rest of the SM particles
- Neutrino mass can be generated through some "dark" interactions.
- Or through some unknown phase transition in the early Universe.

Dark, dynamic origin of neutrino mass



# Do we have any reasons to think like this?

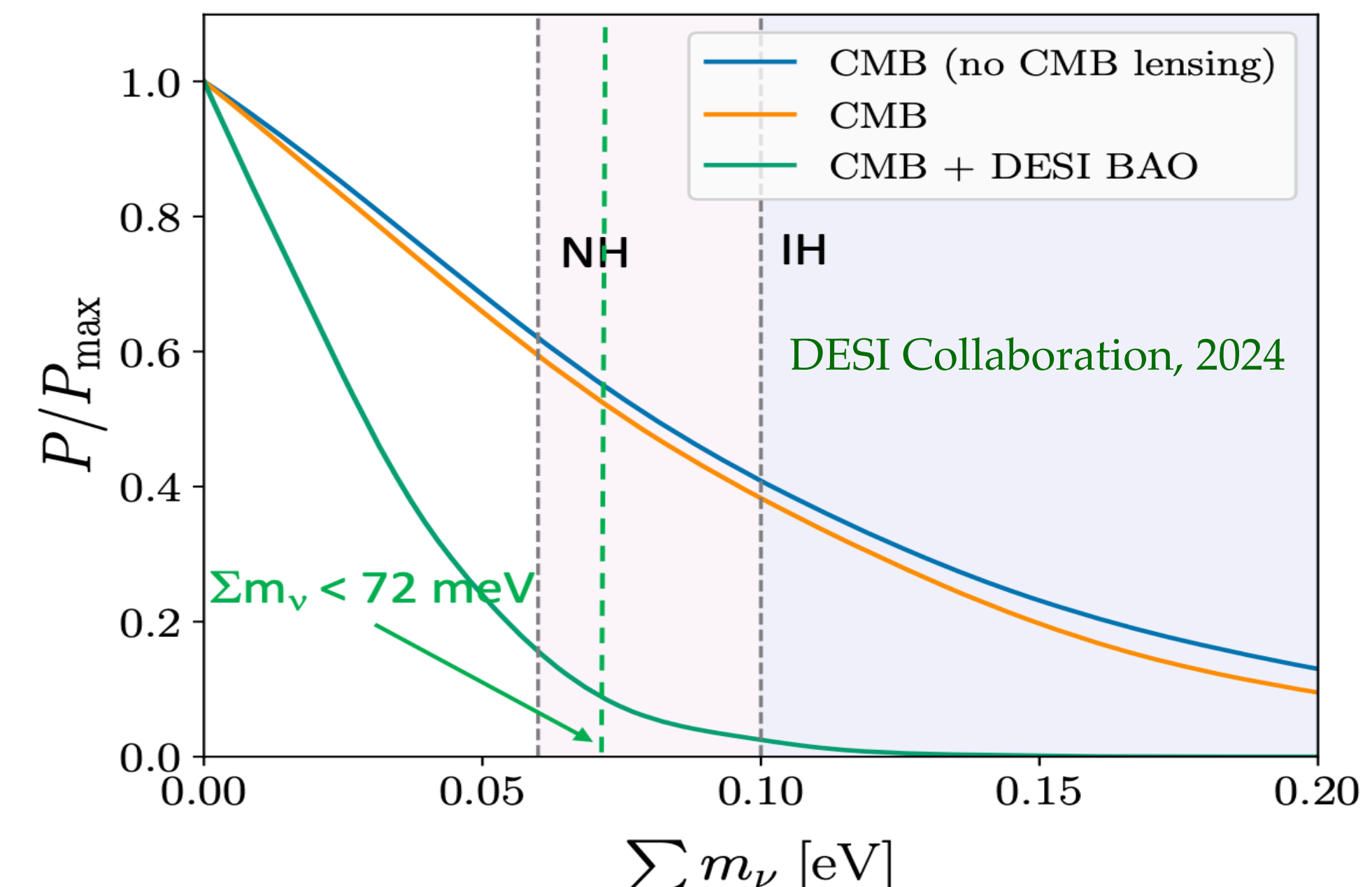
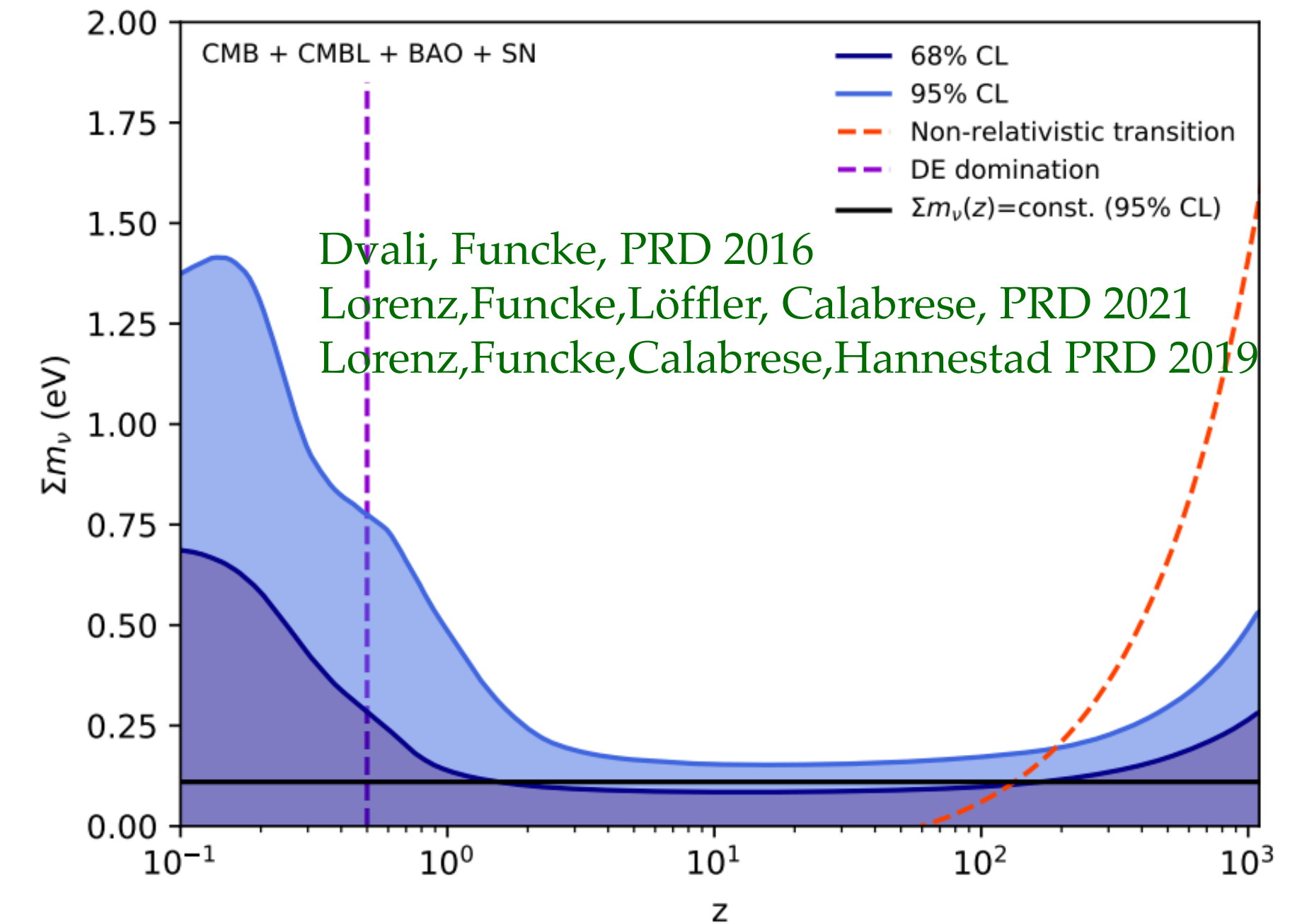
- Nothing concrete, to be honest. The Higgs mechanism works just fine.

However, some scattered hints, maybe?

- Redshift dependent bounds on  $\sum m_\nu$  from CMB (TT, polarisation, lensing), BAO, SNIA.

- Loosening of bounds at low redshift can be explained by a redshift dependent mass - **dynamic masses**.

- Recent DESI results indicate some tension in  $\sum m_\nu$  with oscillation results.



# DYNAMIC NEUTRINO MASSES = WHAT?

# The basic observation

- Neutrino oscillations imply neutrino mass!

- Oscillation experiments probe mass-squared  $H = \sqrt{p^2 + |m|^2} \approx p + \frac{|m|^2}{2E}$

- Oscillations probe  $m^2$  and not  $m$  directly. This gives energy dependence of oscillation probabilities.

- Any contribution to the Hamiltonian of evolution with a  $\frac{\text{const}}{E}$  form can reproduce oscillations.

A forward scattering potential?

# The Wolfenstein potential

- In the medium, neutrinos “feel” a potential due to scattering with the particles.

Wolfenstein (PRD 1978)

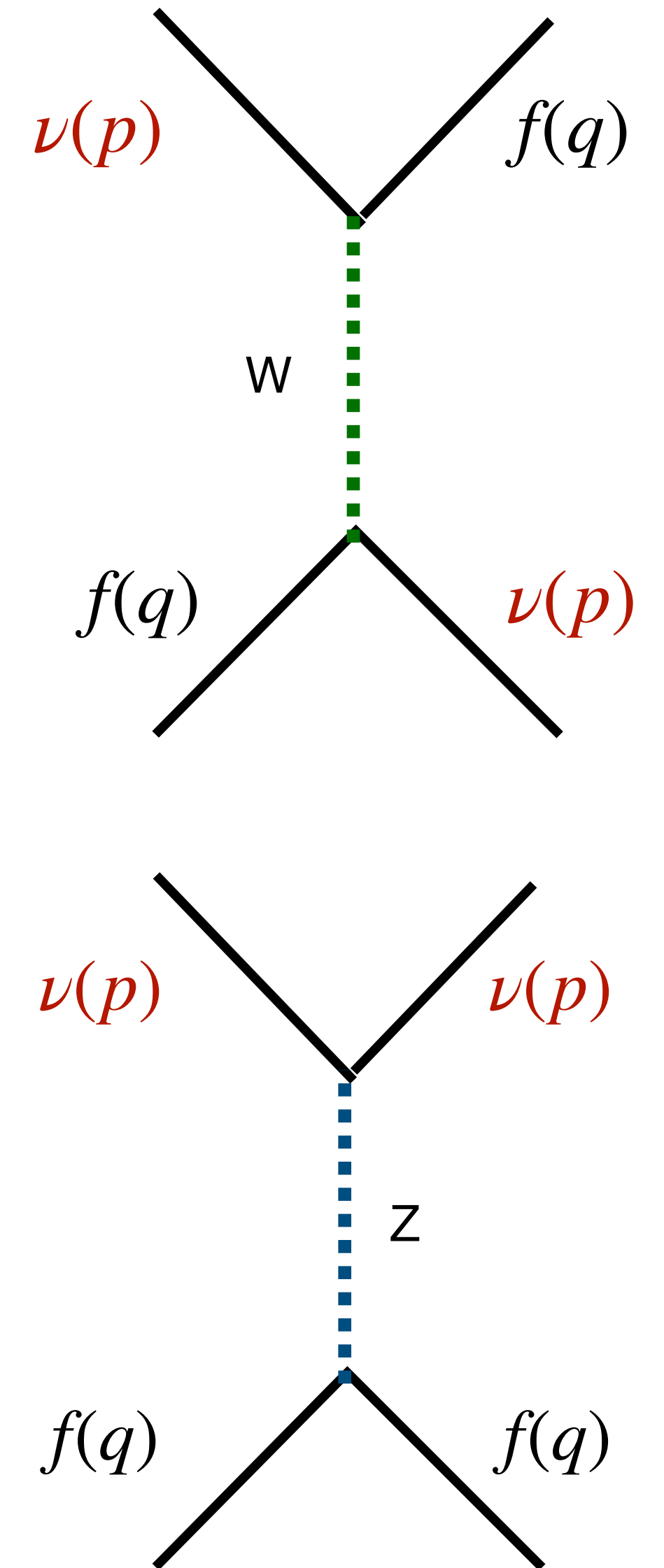
- Potential is proportional to the number density ( $n$ ) of background scatters,  $V_n = \sqrt{2}G_F n_n$ , where  $G_F \propto g^2/M_W^2$ .

- This potential has an energy dependence at  $\sqrt{s} \gg m_W$

$$V \propto \begin{cases} \frac{1}{m_W^2} & \text{if } \sqrt{s} < m_W \\ \frac{1}{2m_W E} & \text{if } \sqrt{s} > m_W \end{cases}$$

Lunardini, Smirnov (NPB 00)

- Indeed can be of the form (1/E) for **high energy** or **light mediator**!



# The basic observation...revisited

- Any contribution to the Hamiltonian of evolution with a  $\frac{\text{const}}{E}$  form can reproduce oscillation data.
- Forward scattering of neutrinos on light targets through a **light mediator** can have a  $(1/E)$  form at experimentally accessible energies.
- Can the potential with  $1/E$  dependence substitute the mass completely? In this case, neutrinos can be "massless" in "vacuum".

# Refractive neutrino mass

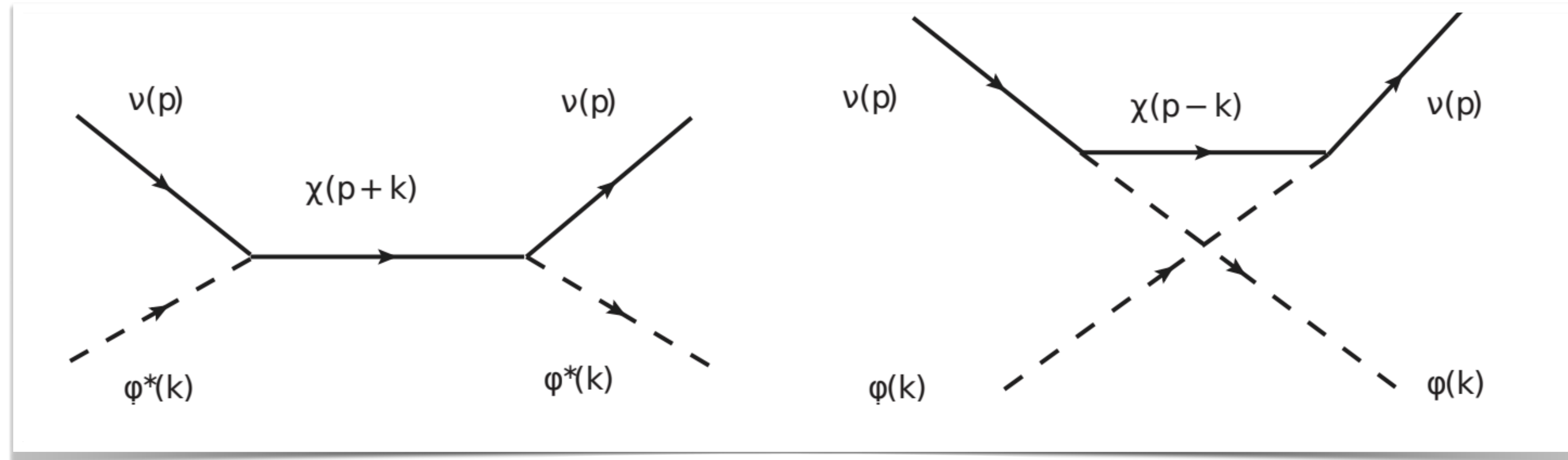
- Neutrinos are massless in vacuum.
- Space is filled with light dark matter particles. DM fills up vacuum, somewhat like a uniform coherent field.
- Forward scattering on DM generates “refractive neutrino masses” such that  $m^2 \propto n_{\text{DM}}$ .
- Problem with cosmology:  $m(z) \propto (1+z)^{3/2} m(0)$ .
- If  $m(0) = \sqrt{\Delta m_{\text{atm}}^2}$ , then  $m(z \sim 1000) \simeq \mathcal{O}(10 \text{ eV})$ .  
Spoils observation of  $\sum m_\nu$  from CMB and structure formation. Need to evade!

# DYNAMIC NEUTRINO MASSES = HOW?

# A model of dark neutrino mass

- Consider **massless** neutrinos scattering off ultralight scalar DM  $\phi$  through a fermionic mediator  $\chi$ .

$$\mathcal{L} \supset \sum_{\alpha=e,\mu,\tau} \sum_k g_{\alpha k} \bar{\chi}_{kR} \nu_{\alpha L} \phi^* + m_{\chi k} \bar{\chi}_{kR} \chi_{kL} + \text{h.c.}$$



Choi, Chun, Kim (PDU 2020)  
 Chun (2021)  
 Smirnov, Valera (JHEP 2021)  
 Smirnov, **MS** (JCAP 2024)

The effective potential

$$V_{\alpha\beta} = \sum_k g_{\alpha k} g_{\beta k}^* \left[ \frac{\bar{n}_\phi (2Em_\phi - m_{\chi k}^2)}{(2Em_\phi - m_{\chi k}^2)^2 + (m_\chi \Gamma_{\chi k})^2} + \frac{n_\phi}{2Em_\phi + m_{\chi k}^2} \right],$$

$$E_R = \frac{m_\chi^2}{2m_\phi}$$

# The refractive mass

- Define the refractive mass  $\tilde{m}^2 \equiv (2E) V$
- In terms of  $y \equiv E/E_R$ , we can write

$$\tilde{m}_{\alpha\beta}^2 = 2y E_R \sum_k \frac{g_{\alpha k} g_{\beta k}^*}{2m_\chi^2} (n_\phi + \bar{n}_\phi) \left[ \frac{(1 - \epsilon)(y - 1)}{(y - 1)^2 + \frac{\Gamma_{\chi k}^2}{m_\chi^2}} + \frac{1 + \epsilon}{1 + y} \right]$$

where  $\epsilon \equiv \frac{n_\phi - \bar{n}_\phi}{n_\phi + \bar{n}_\phi}$  is the DM asymmetry

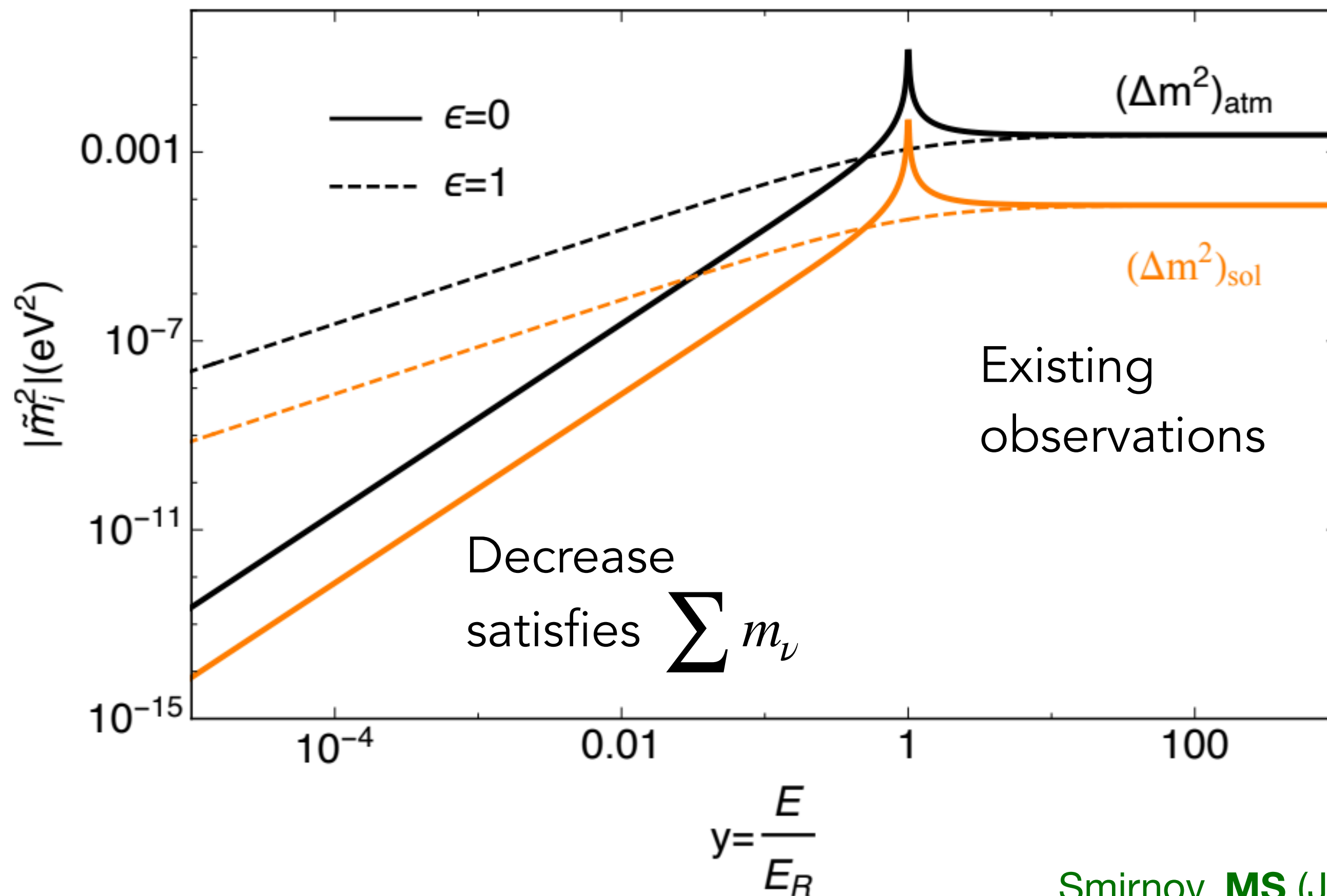
- We can write this as  $\tilde{m}^2 = \tilde{m}_{\text{asy}}^2 \frac{y(y - \epsilon)}{y^2 - 1}$  where  $\tilde{m}_{\text{asy}}^2 = \left( \frac{n_\phi + \bar{n}_\phi}{m_\phi} \sum_k g_{\alpha k} g_{\beta k}^* \right)$

Energy independent

Energy dependent

# Fitting the neutrino oscillation data

$$\tilde{m}^2 = \tilde{m}_{\text{asy}}^2 \frac{y(y - \epsilon)}{y^2 - 1}$$



Smirnov, **MS** (JCAP 2024)

- For high energies,  $y \gg 1$ ,

$$\tilde{m}^2 / \tilde{m}_{\text{asy}}^2 = \begin{cases} 1 - \frac{\epsilon}{y}, & \epsilon \neq 0. \\ 1 + y^{-2}, & \epsilon = 0. \end{cases}$$

$\tilde{m}^2$  independent of energy! Can be used to fit oscillation data!

- For low energies  $y \ll 1$ ,  
 $\tilde{m}^2 / \tilde{m}_{\text{asy}}^2 = y(\epsilon - y)$ .

Can satisfy cosmological bounds

# Fitting the neutrino oscillation data

- To explain  $3\nu$  data, we need at least 2 mediators  $\chi_{1,2}$ .

- In the  $(\nu_e, \nu_\mu, \nu_\tau, \chi_1, \chi_2)$  basis, a nearly TBM form:

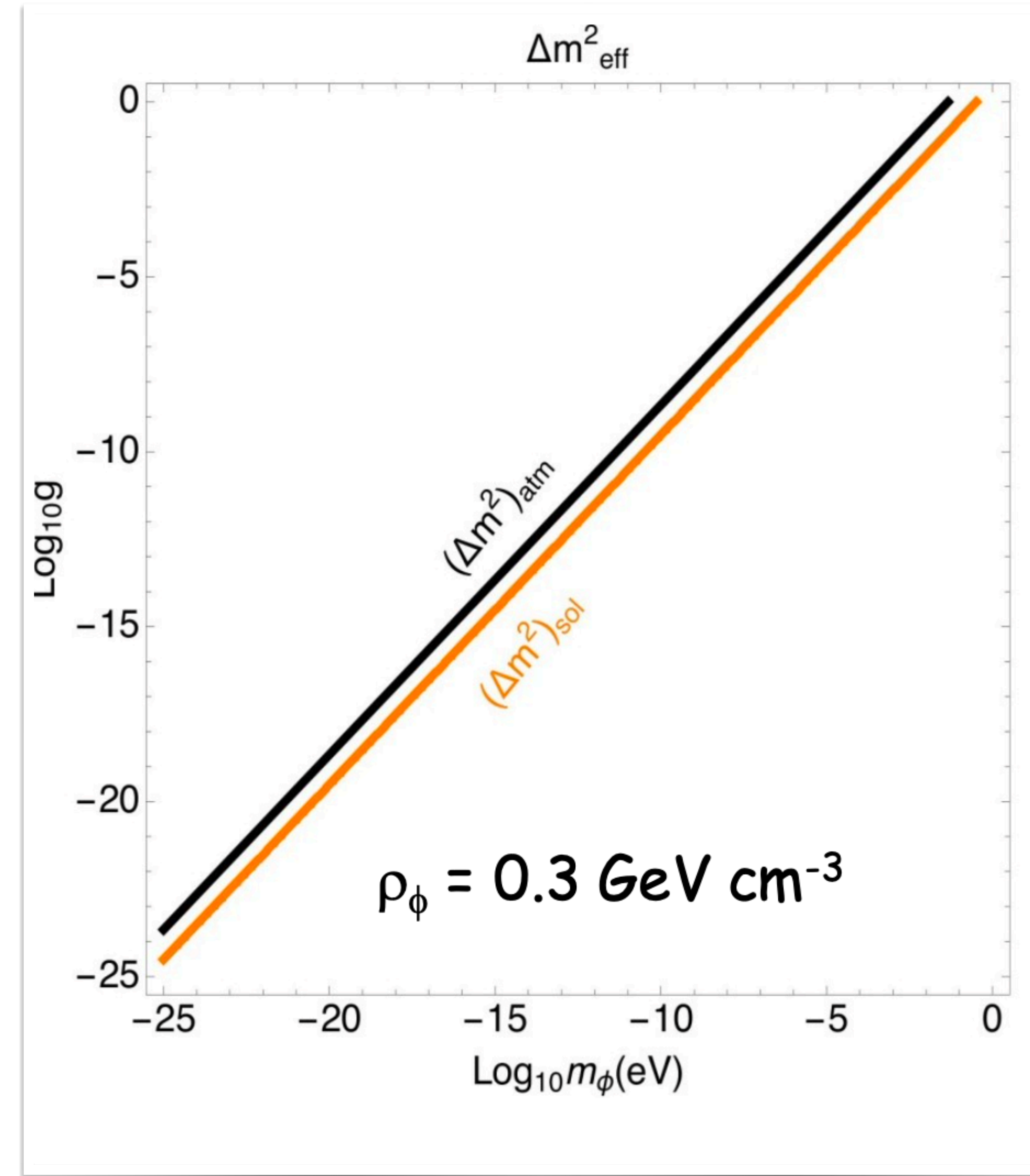
$$g_{e1} = g_{\mu 1} = g_{\tau 1} = g_1, \quad g_{e2} = 0, \quad g_{\mu 2} = -g_{\tau 2} = g_2$$

- Under NMO ( $m_1 = 0$ ),

$$\left(\frac{g_1}{m_\phi}\right)^2 = \frac{\Delta m_{\text{sol}}^2}{3\rho_\phi}, \quad \left(\frac{g_2}{m_\phi}\right)^2 = \frac{\Delta m_{\text{atm}}^2}{2\rho_\phi}$$

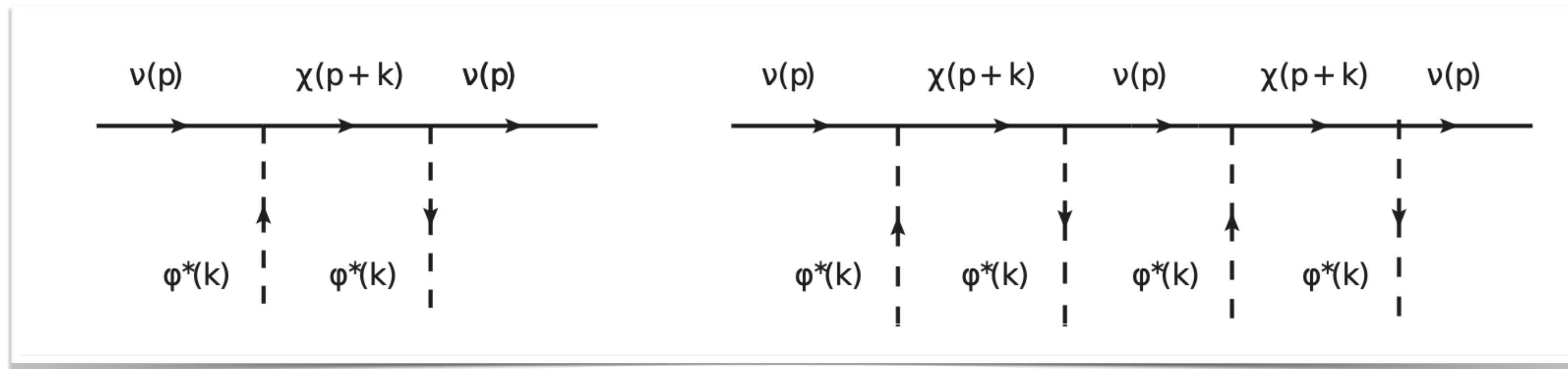
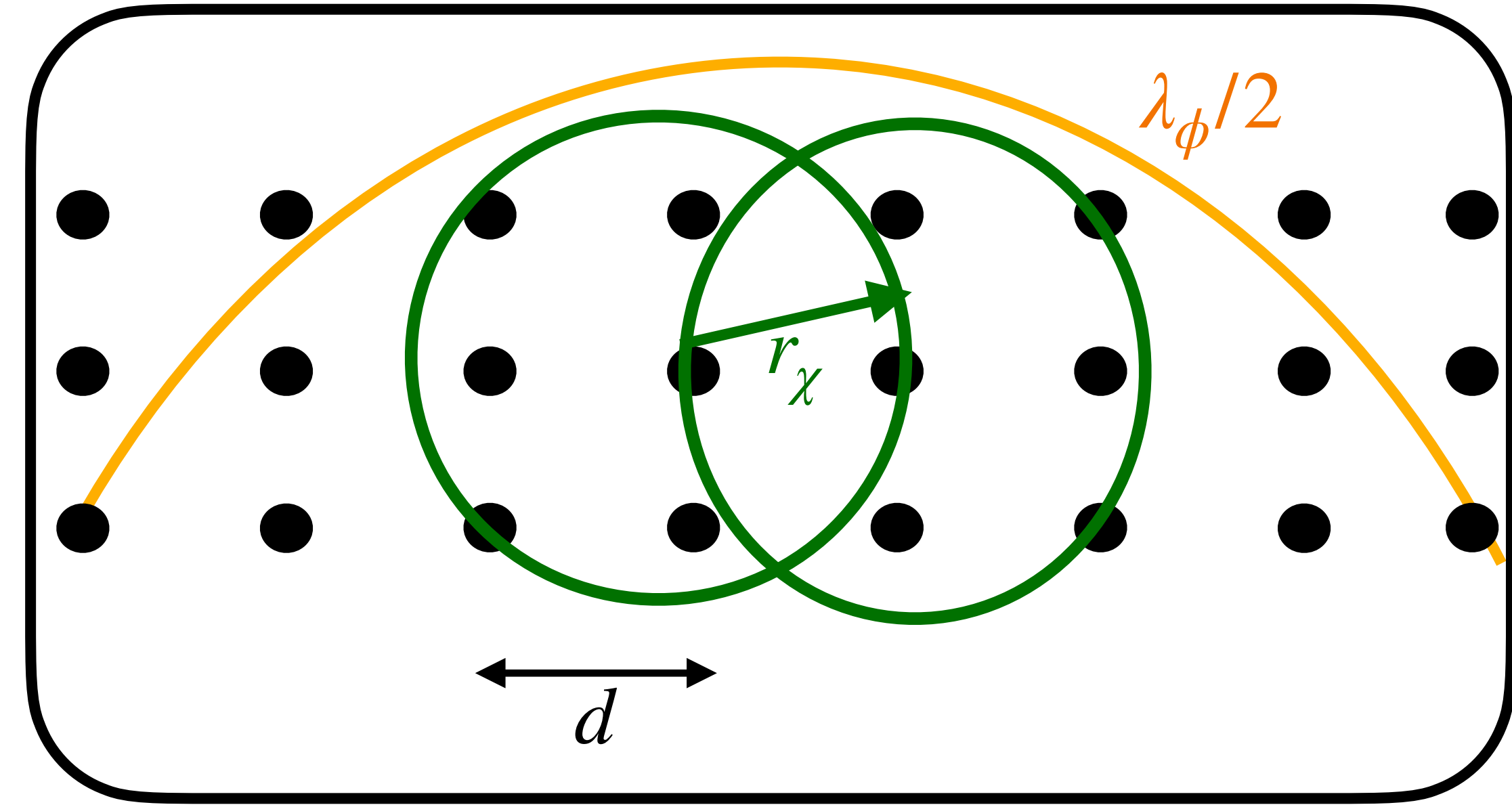
- This does not depend on  $m_\chi$ , which is computed

$$\text{from } m_\chi = \sqrt{2E_R m_\phi}$$

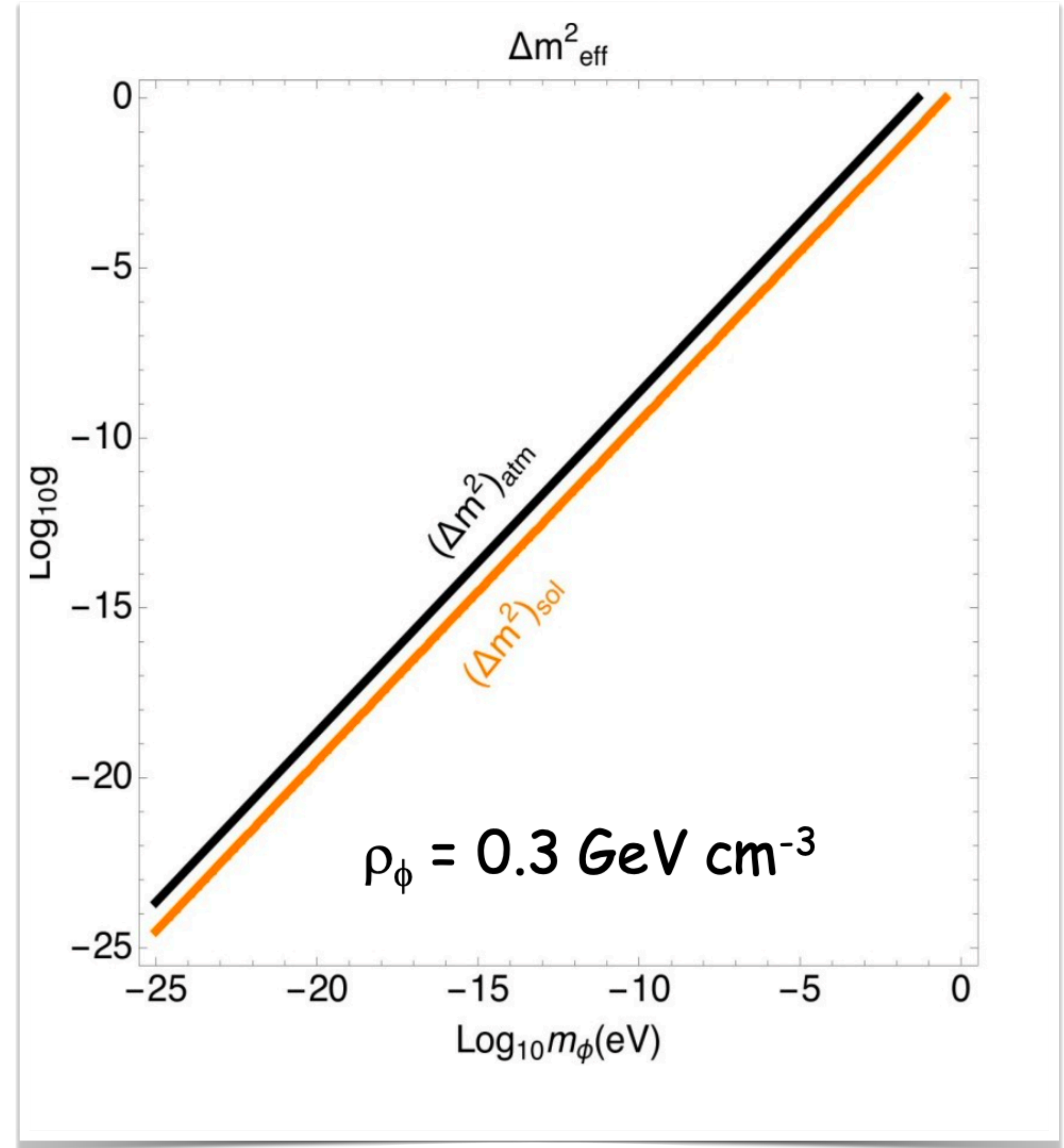
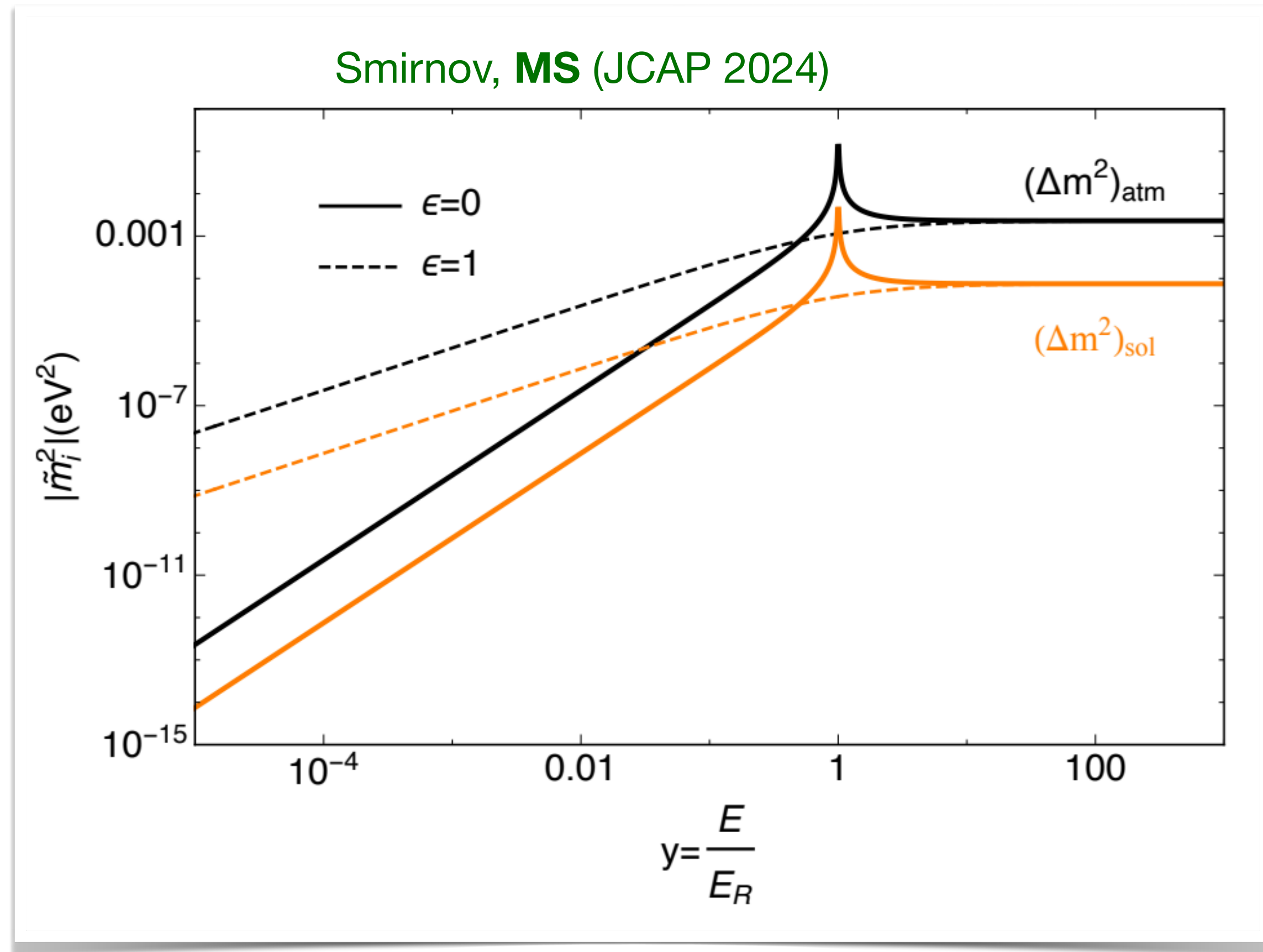


# A word of caution

- Typically  $d < r_\chi < \lambda_{dB}$ , where distance between scatterers  $d \sim n_\phi^{-1/3}$ , radius of interaction  $r_\chi \sim 1/m_\chi$  & de-Broglie wavelength  $\lambda_{dB} \sim 1/m_\phi v_\phi$
- Need to resum the series of diagrams. Can lead to perturbativity violation.
- Leads to additional constraints.



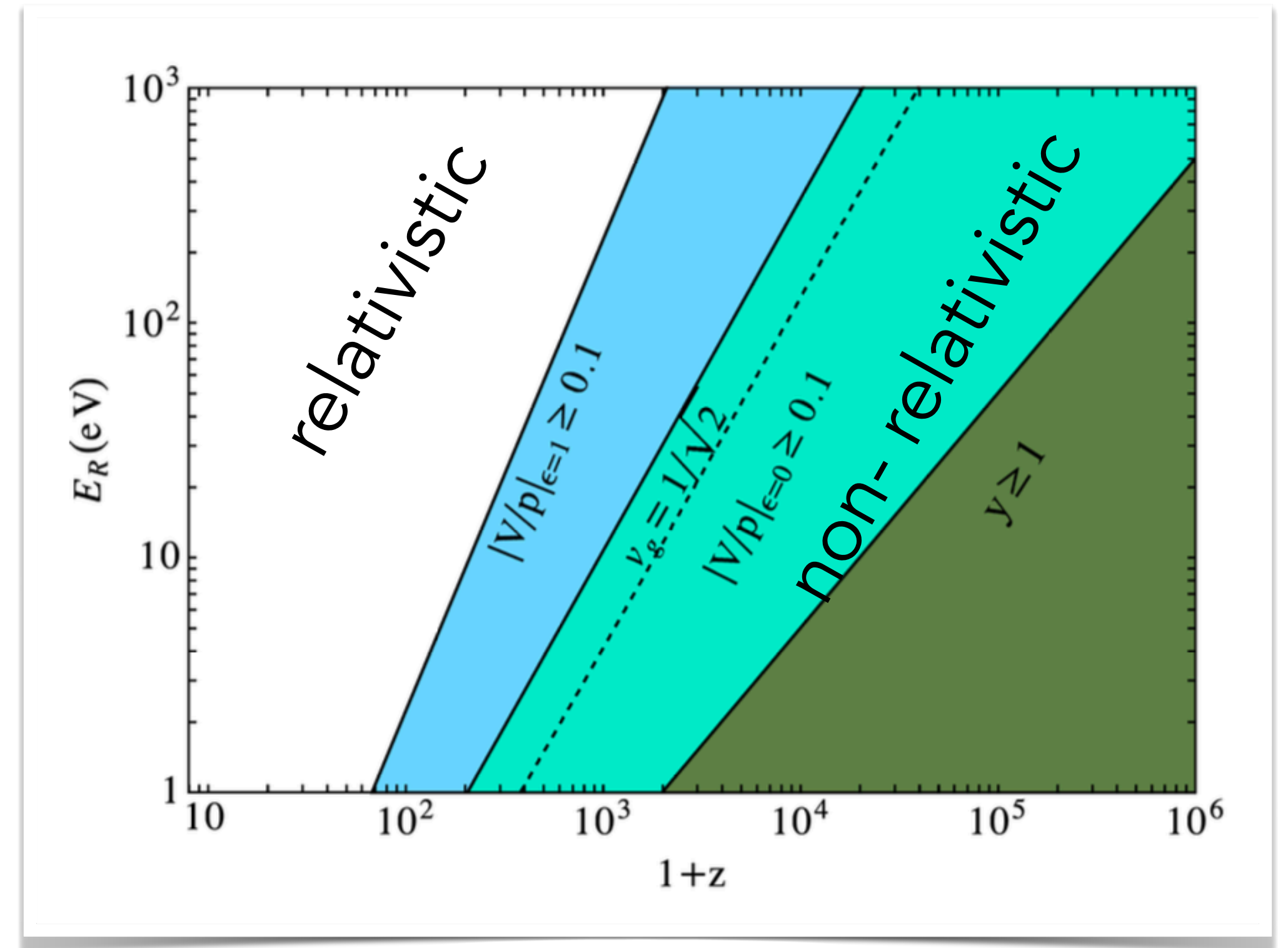
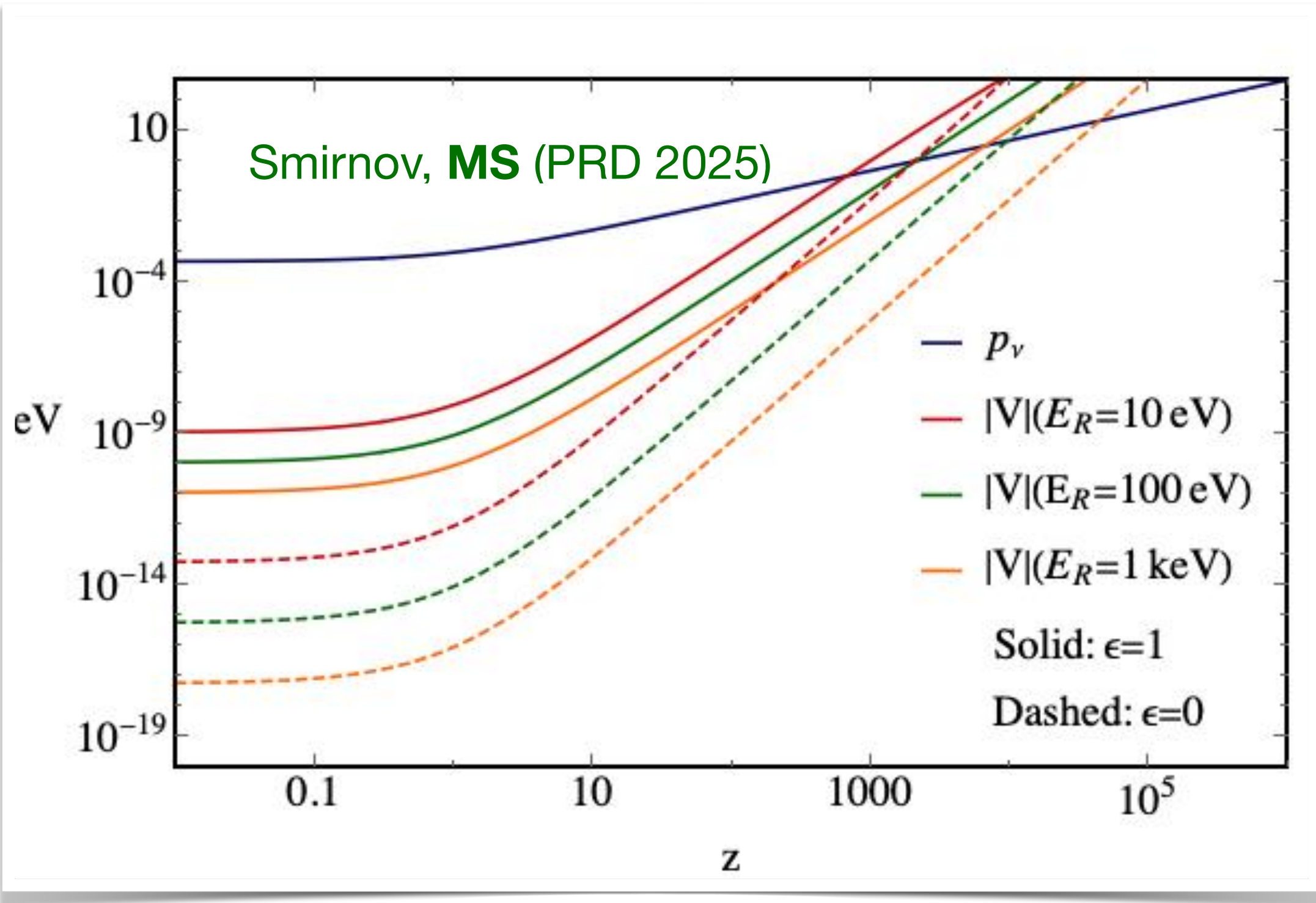
# What are the major roadblocks?



# Neutrino constraints

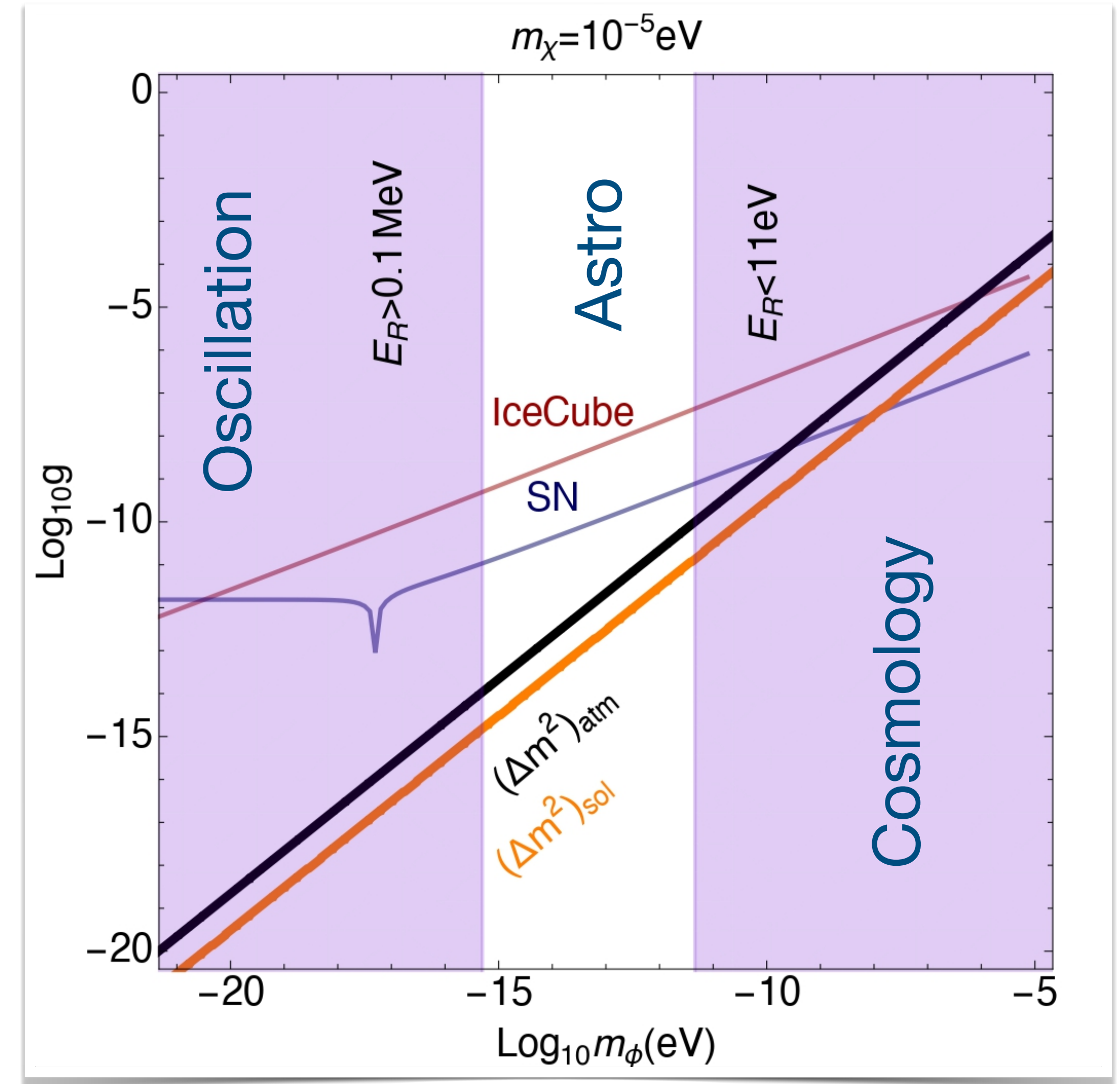
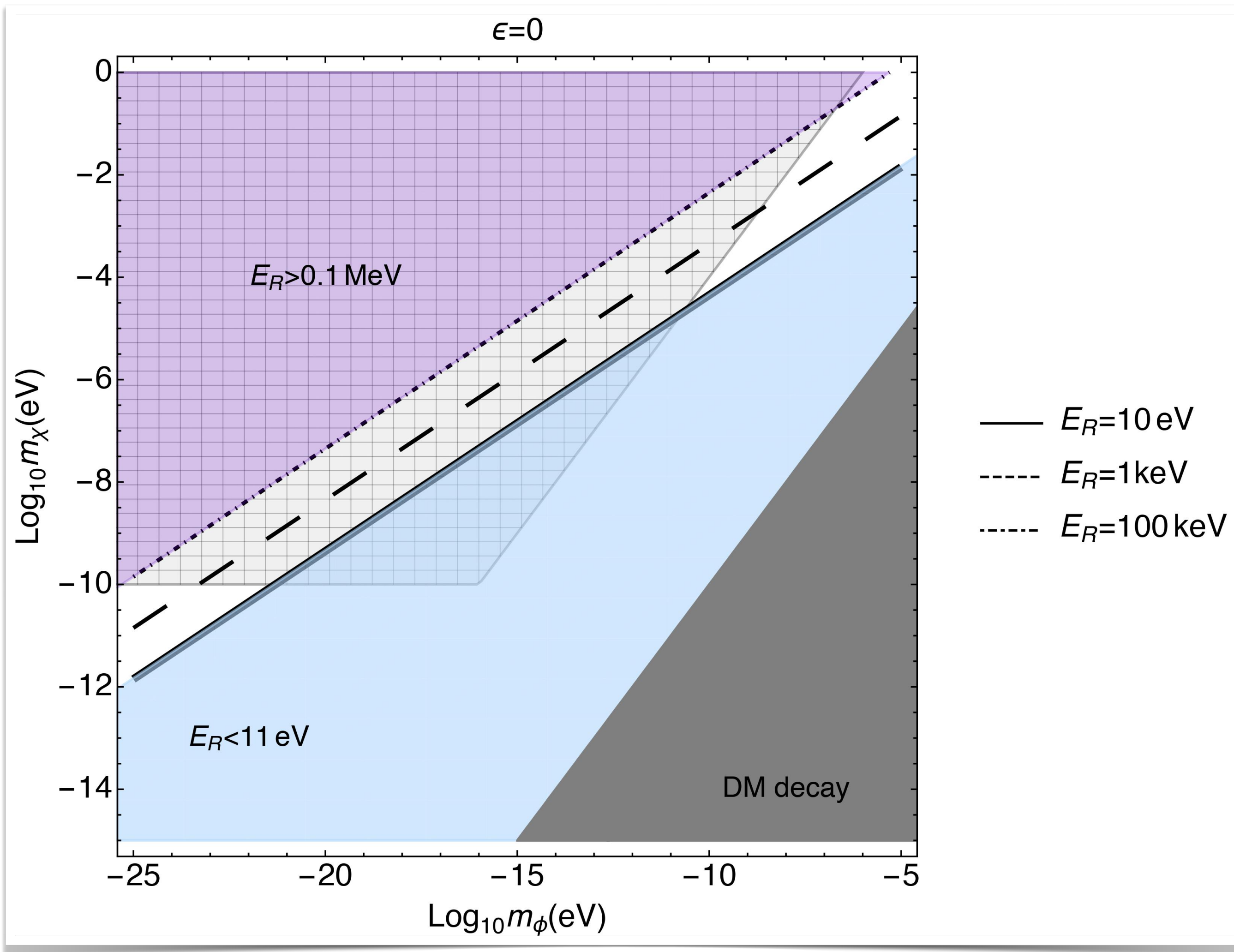
- $\Delta m^2$  should not vary with energy for  $E > 0.1 \text{ MeV}$ . Therefore,  $E_R$  cannot be bigger than lowest energy neutrinos observed - solar pp neutrinos  $\sim 0.1 \text{ MeV}$ .
- Astrophysical neutrinos interact with DM, leading to energy loss of the neutrinos and a suppression of their flux. Can be translated to a bound on  $\sigma_{\nu\phi}(g, m_\phi, m_\chi)/m_\phi$ .
- Consider two sources:
  1. Observation of O(10) MeV neutrinos from SN1987A at roughly 50 kpc.
  2. Observation of O(100) TeV neutrino by IceCube.
- Oscillations of  $\nu \rightarrow \chi$ . Strong constraints from solar neutrinos and BBN.

# Cosmological probes of neutrino masses



- Dispersion for massless neutrinos:  $E = p(z) + V(z)$  and group velocity  $v_g = \frac{dE}{dp} = 1 + \frac{dV}{dp}$
- Satisfying constraints on  $\sum m_\nu$  requires  $E_R > 11 \text{ eV}$ .
- Below  $z=1000$ , neutrinos effectively massless. **Can also resolve the DESI tension!**

# Different constraints



- Bounds on parameters required for explaining observation.

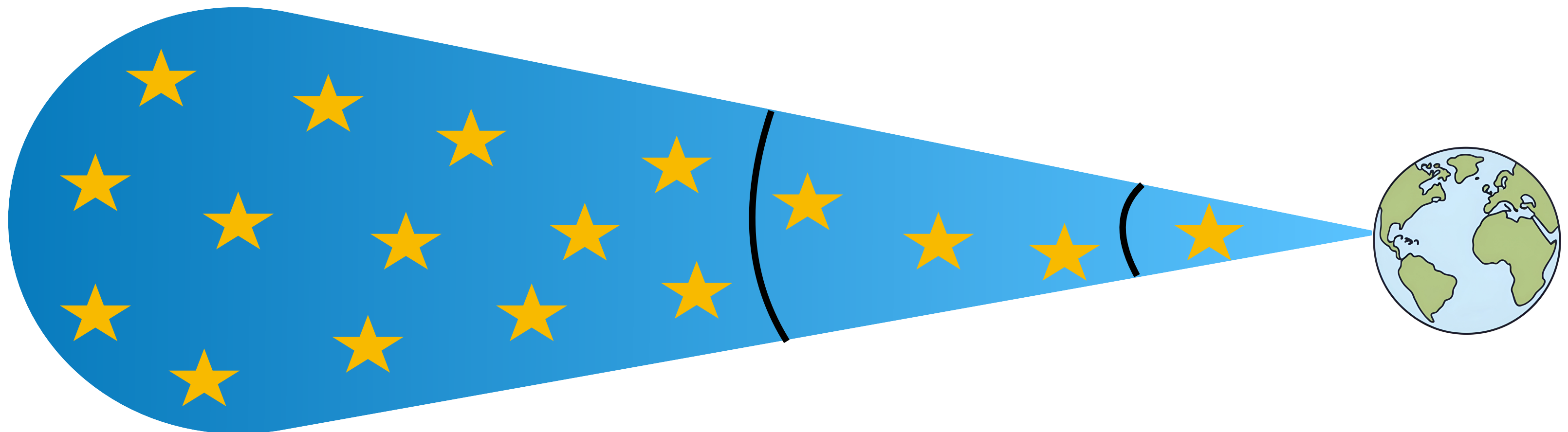
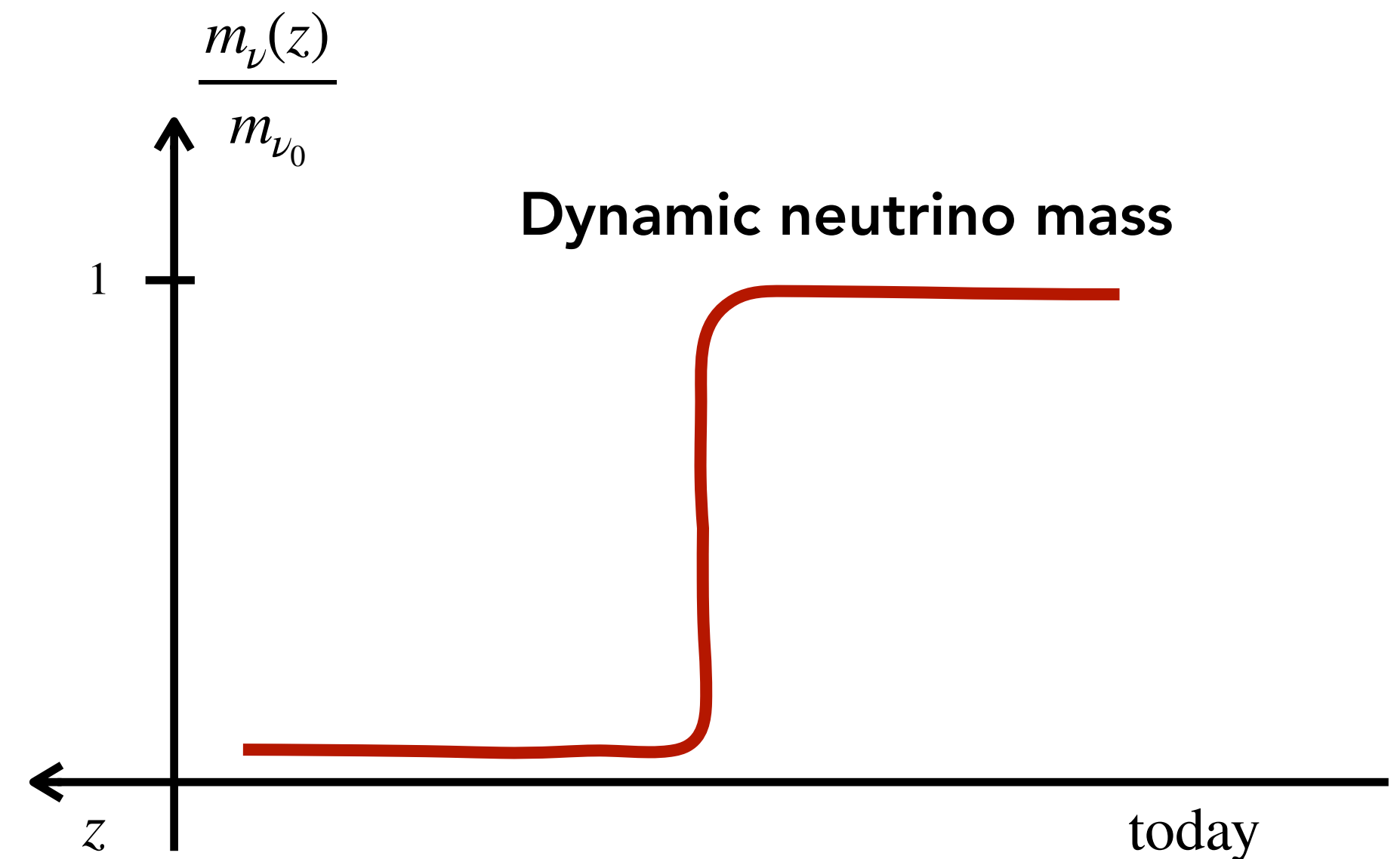
Smirnov, **MS** (JCAP 2024)

- Additional bounds on ultralight DM.

# **DYNAMIC NEUTRINO MASSES = WHERE?**

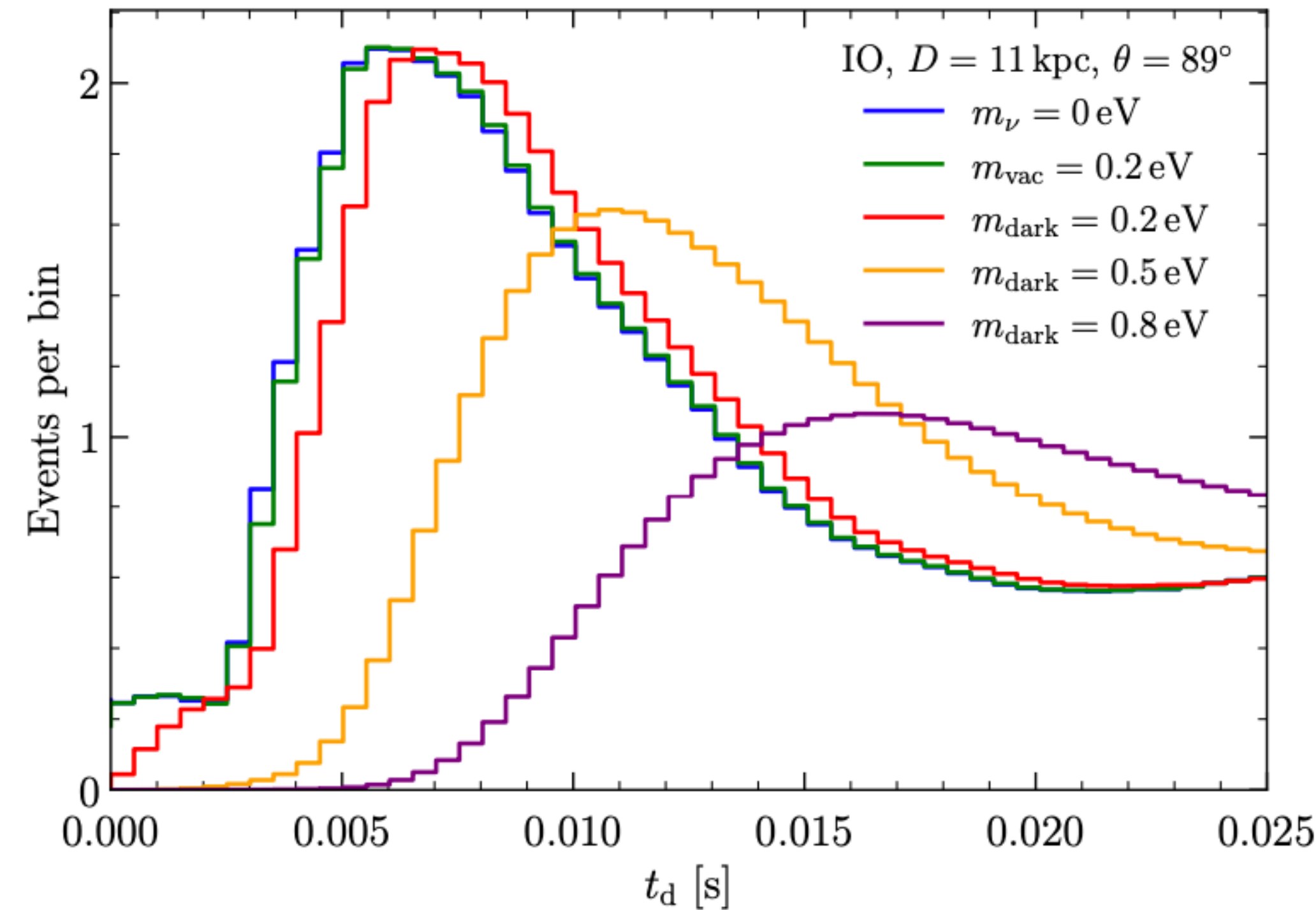
# Redshift dependent neutrino masses

- Refractive neutrino mass is redshift dependent.
- Neutrinos in the past had lower mass.
- Can show up through different neutrino propagation in core-collapse SN in the past (MSW resonance, adiabaticity, etc.). Changes in the DSNB!



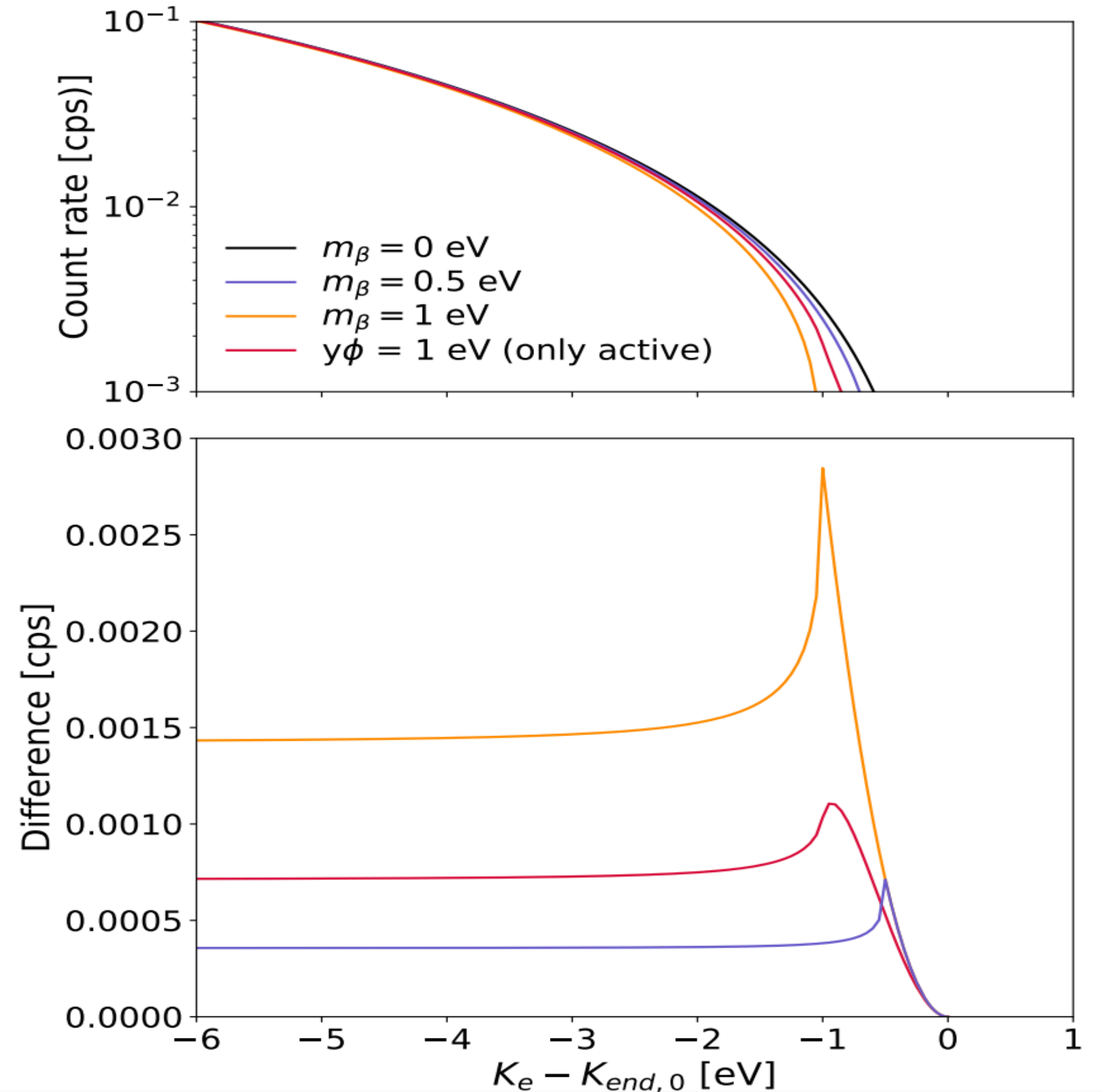
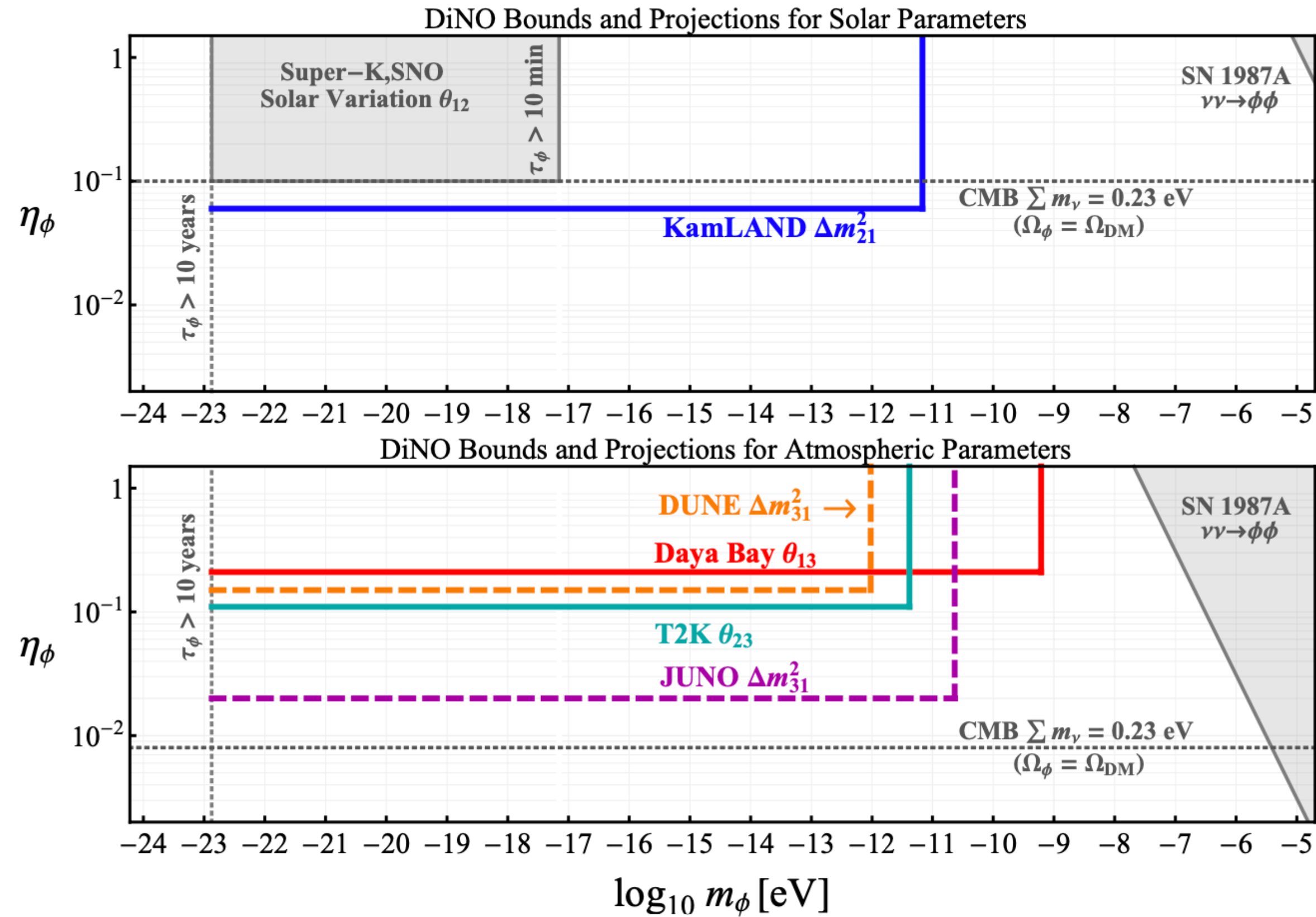
# Time of flight delays of neutrinos

- Refractive neutrino mass is dependent on the local DM density  $m^2 \propto n_{\text{DM}}$ .
- Therefore, it can be different in DM rich/poor regions.
- Look for time-of-flight delay of neutrinos from SNe neutronisation burst.
- Results can be sensitive to DM spikes.



Ge, Kong, Smirnov (PRL 2024)  
Pompa, **MS** (in prep)

# Other ways of probing refractive masses



- Ultralight DM can induce time-dependence in neutrino masses. Can show up in oscillation experiments or beta decay experiments

Talk by Hong-Yi Zhang

Dev, Machado, Martinez-Mirave (JHEP 2020)  
 Krnjaic, Machado, Necib (PRD 2018),  
 Huang, Lindner, Martinez-Mirave, MS, (PRD 2022)

# Revisiting Quark-Lepton complementarity

$$\begin{array}{c}
 \nu_e \quad \nu_\mu \quad \nu_\tau \quad \chi_1 \quad \chi_2 \\
 \left( \begin{array}{cc}
 \boxed{U_{CKM}{}_{3 \times 3}} & U_{\nu\chi}{}_{3 \times 2} \\
 U_{\nu\chi}{}_{2 \times 3}^\dagger & I_{\chi\chi}{}_{2 \times 2}
 \end{array} \right) \xrightarrow[\text{interaction}]{\text{DM-}\nu} \left( \begin{array}{cc}
 \boxed{U_{PMNS}} & U'_{\nu\chi} \\
 U'_{\nu\chi} & I_{\chi\chi}
 \end{array} \right)
 \end{array}$$

- We can postulate that neutrinos have a tiny non-zero mass in vacuum and a mixing consistent with the **CKM** mixing.
- Interaction with DM provides a "matter effect" and rotates the mixing angle to the observed PMNS mixing. Generates a "dark" PMNS.

# Final thoughts

- Neutrinos present a definite clue of existence of non-standard physics.
- The origin of neutrino mass holds the key to this unexplored chamber of secrets.
- Neutrino oscillation results can be explained by **interactions of massless neutrinos with ultralight dark matter** through a light fermionic mediator. Need at least **2 mediators**.
- **Refraction gives energy dependent mass at low energies**, and allows to avoid the cosmological bound on sum of neutrino masses from structure formation. Viable parameter space from observations.
- Might give us a clue about the nature of dark matter - another unanswered avenue in the Standard Model.

**Thank you!**

BACKUP

# Caution 1: Perturbativity and resummation

Some back-of-the-envelope estimates:

- de-Broglie wavelength  $\lambda_{dB} = \frac{1}{m_\phi v_{\text{vir}}} = 4 \cdot 10^7 \text{ cm} \left( \frac{5 \cdot 10^{-10} \text{ eV}}{m_\phi} \right)$ .

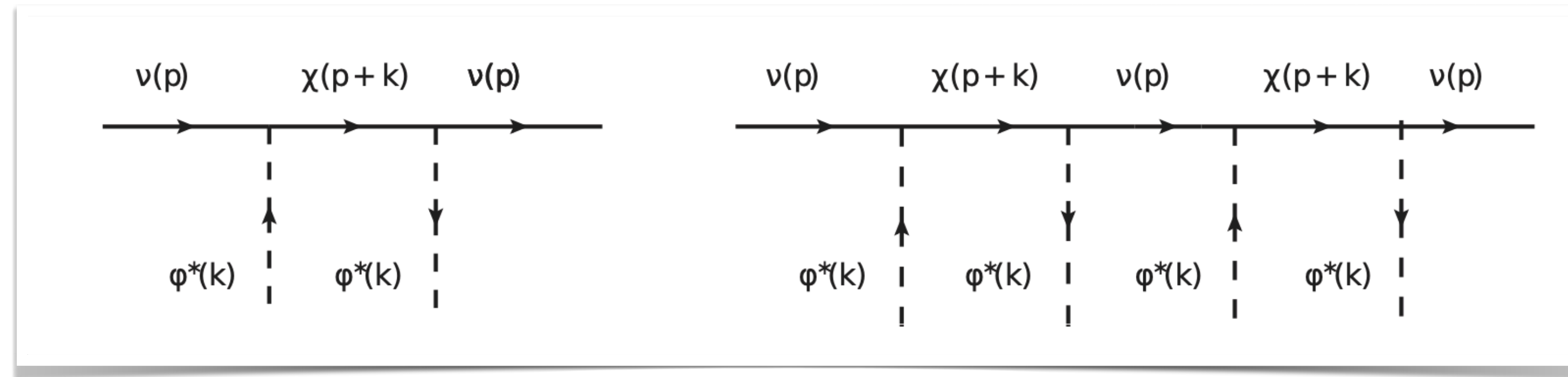
- Number density  $n_\phi = \frac{\rho_\phi}{m_\phi} = 6 \cdot 10^{17} \text{ cm}^{-3} \left( \frac{5 \cdot 10^{-10} \text{ eV}}{m_\phi} \right)$ .

- Distance between scatterers:  $d \simeq n_\phi^{-1/3} = 1.2 \cdot 10^{-6} \text{ cm} \left( \frac{m_\phi}{5 \cdot 10^{-10} \text{ eV}} \right)^{1/3}$ .

- Radius of interaction:  $r_\chi = \frac{1}{m_\chi} = 6 \cdot 10^{-4} \text{ cm} \left( \frac{0.03 \text{ eV}}{m_\chi} \right)$

$$\lambda_{dB} \gg r_\chi \gg d$$

# Caution 1: Perturbativity and resummation



- Radius of interaction  $r_\chi \ll \lambda_{\text{dB}}$ . Hence multiple scatterings with DM.

- Formal resummation :  $\tilde{V} = \frac{V}{1 + \zeta}$ , where

$$\zeta \approx \begin{cases} \frac{\Delta m_{\text{atm}}^2}{2Em_\phi - m_\chi^2} = \frac{V}{m_\phi} & \text{for } \epsilon = -1, \\ \frac{V}{m_\phi} \frac{E_R}{E} & \text{for } \epsilon = 0. \end{cases}$$

- Perturbativity might be broken below  $E_R$ , however, qualitative conclusions remain same.

# ULDM as classical field

- System of  $\phi$  with large occupation number can condense to a classical field.
- de-Broglie wavelength  $\lambda_{\text{dB}} = (m_\phi v_\phi)^{-1} \simeq 600 \text{ pc} \left( \frac{10^{-22} \text{ eV}}{m_\phi} \right) \left( \frac{10^{-3}}{v_\phi} \right)$
- In a given vol  $\lambda_{\text{dB}}^3$ , occupation number  $N = 10^{91} \left( \frac{10^{-22} \text{ eV}}{m_\phi} \right)^4$ . Justifies use as a classical field since the fluctuations are  $N^{-1}$ .

$$\phi_c = \langle \Phi_c | \hat{\phi} | \Phi_c \rangle = \int \frac{d^3 k}{(2\pi)^3} \frac{1}{\sqrt{2E_k}} [f_a^{\text{tot}}(k) e^{-ikx} + f_b^{\text{tot}}(k) e^{ikx}] = F(x, t) e^{i\Phi}$$

# ULDM as classical field

- The Lagrangian  $\mathcal{L} \supset g_{\alpha k} \phi_c^* \bar{\nu}_{\alpha L} \chi_{kR}$  contributes to neutrino mass.
- The mass matrix in the basis  $(\nu_f, \chi_L) = (\nu_e, \nu_\mu, \nu_\tau, \chi_1, \chi_2)$  is

$$\mathbf{M} = \begin{bmatrix} 0 & g_{\alpha k} \phi_c^{\text{tot} \dagger} \\ g_{\alpha k} \phi_c^{\text{tot} \dagger} & \text{diag}(m_{\chi k}) \end{bmatrix}, \quad \alpha = e, \mu, \tau, \quad k = 1, 2.$$

- The Hamiltonian

$$\mathbf{H} \approx \frac{1}{2E} \mathbf{M} \mathbf{M}^\dagger = \frac{1}{2E} \begin{bmatrix} \bar{F}^2 \sum_k g_{\alpha k} g_{\beta k}^* & g_{\alpha k} \bar{F} m_{\chi k} e^{-i\bar{\Phi}} \\ g_{\alpha k}^* \bar{F}^* m_{\chi k} e^{i\bar{\Phi}} & \bar{M}_\chi^2 \end{bmatrix}$$

- 3X3 block of active neutrinos has the same form as  $m_{\text{asy}}^2$ .

# ULDM as classical field

- We get an additional time dependence due to  $F(x, t)$ . For a real field,

$$|F(x, t)|^2 = \frac{\rho_\phi}{m_\phi^2} \cos^2(m_\phi t)$$

- Resonance can arise due to periodic time dependence of F.

- After a TBM rotation,

- one massless state decouples.

- other 4 states evolve as two pairs independently with

$$\mathbf{M}_{a1} = \begin{bmatrix} 0 & m_{ak} e^{-i\bar{\Phi}} \\ m_{ak} e^{-i\bar{\Phi}} & m_{\chi k} \end{bmatrix}$$

- Bounds from  $\nu - \chi$  oscillations. Can be avoided with small  $\delta m^2$  or small mixings.

- Require this component to be sub-dominant.

# Oscillation constraints

