

# Minimal sterile neutrino dark matter

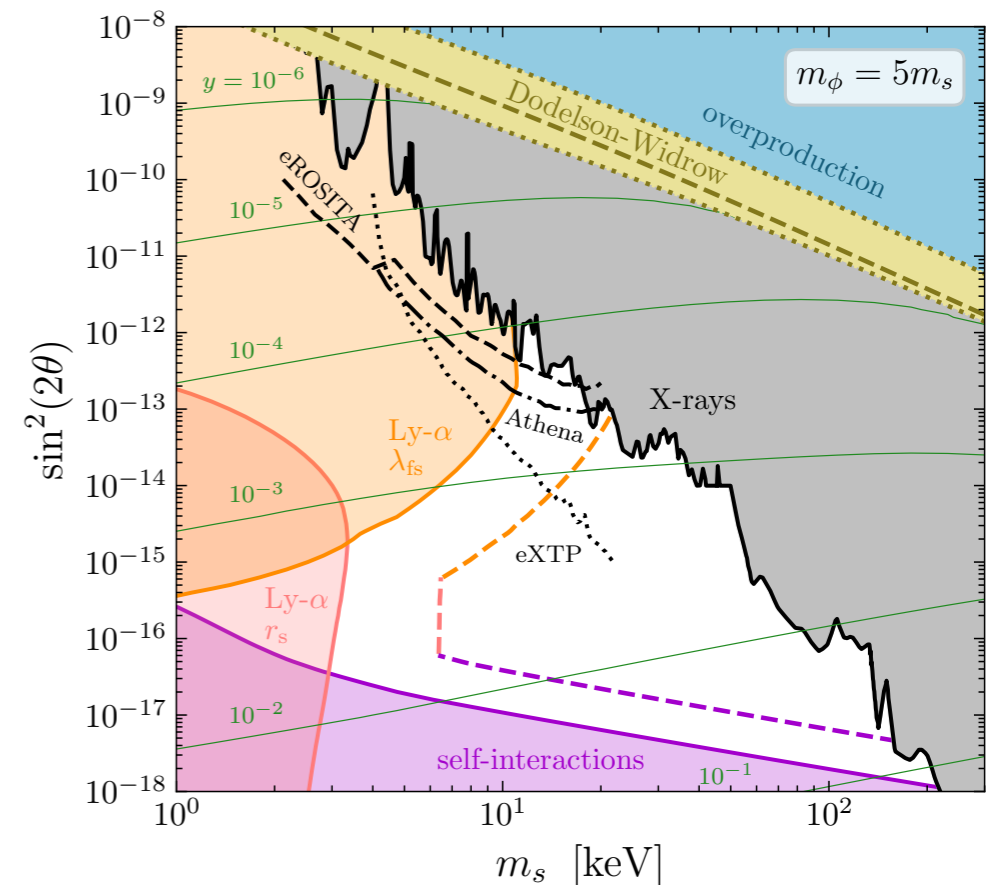
Torsten Bringmann

Mostly based on

TB, Depta, Hufnagel,  
Ruderman & Schmidt-Hoberg, PRL '21

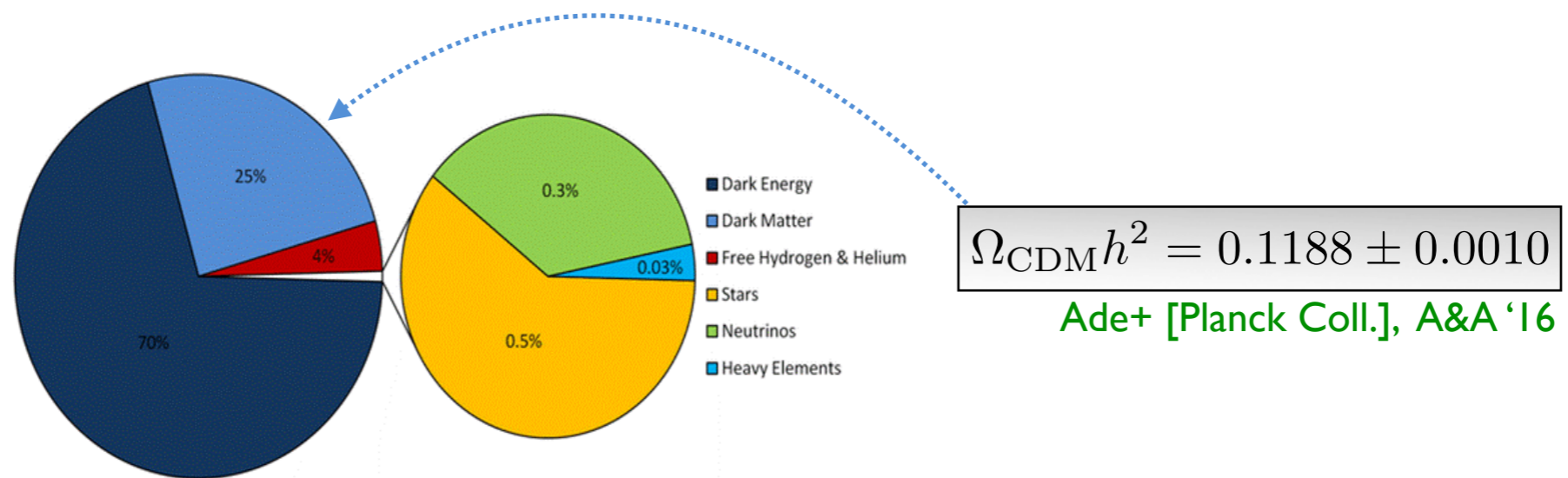
TB, Depta, Hufnagel, Kersten,  
Ruderman & Schmidt-Hoberg, PRD '23

+ ongoing work w/ A. Brekke



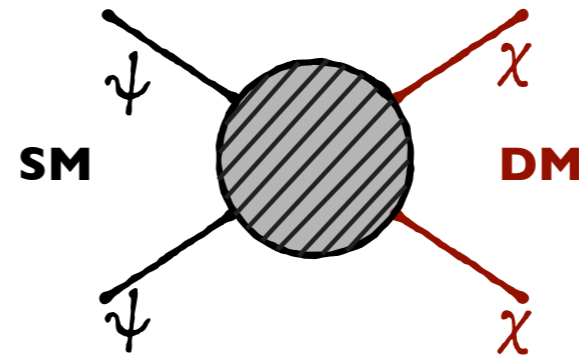
## Part I

# Dark matter production from the thermal bath



# Dark matter production

- ‘Generic’ interactions with the primordial heat bath:

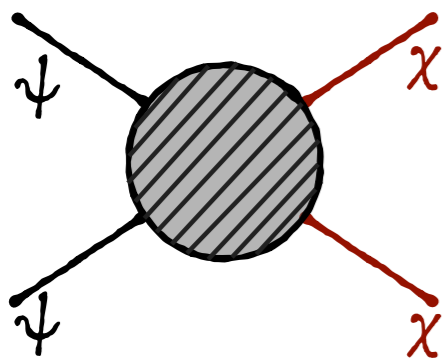


→ Correct relic abundance in two regimes:

$$\frac{dn_\chi}{dt} + 3Hn_\chi = \langle\sigma v\rangle n_{\chi,\text{eq}}^2$$

$$\frac{dn_\chi}{dt} + 3Hn_\chi = \langle\sigma v\rangle (n_{\chi,\text{eq}}^2 - n_\chi^2)$$

**freeze-in**



**depends on initial conditions**

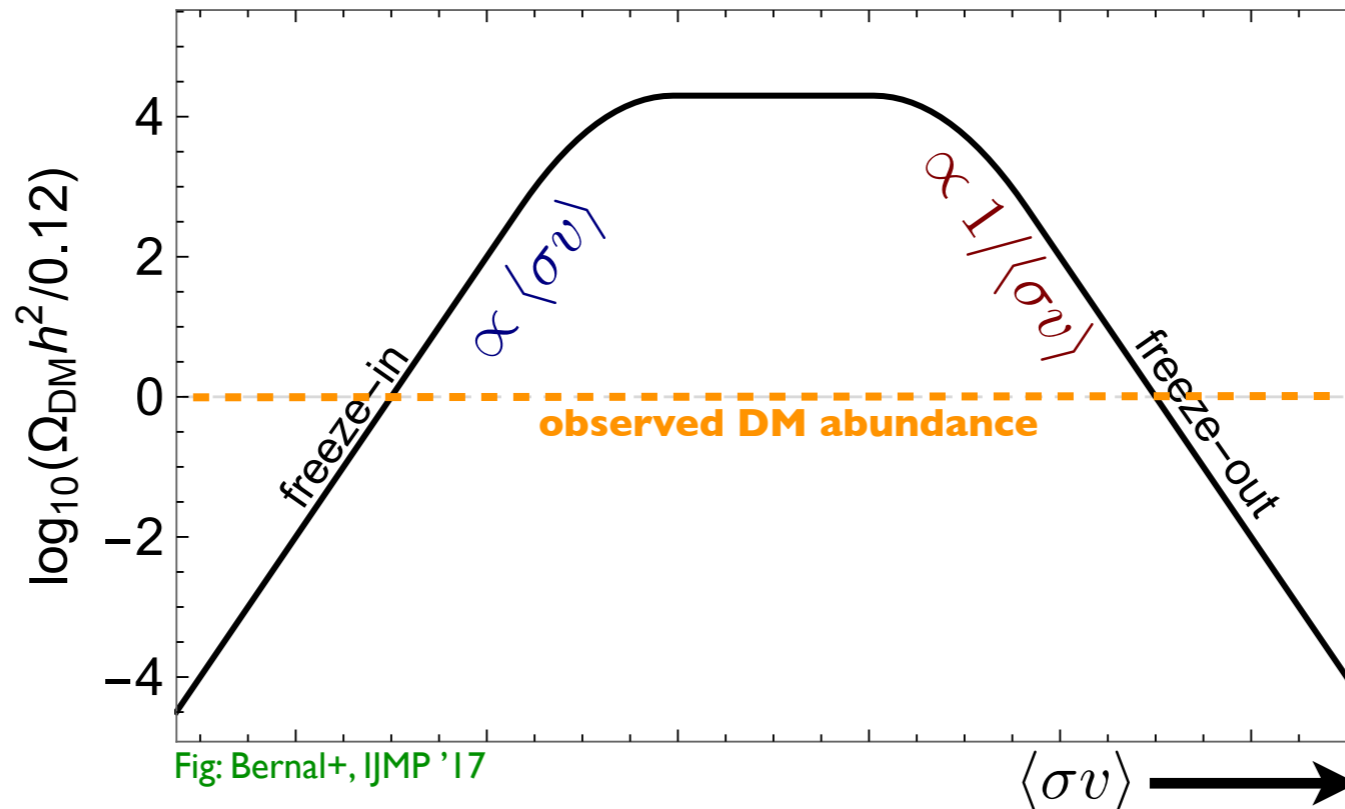
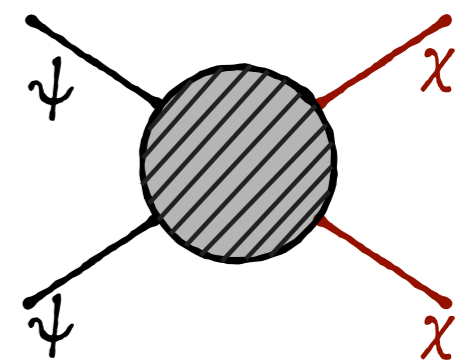


Fig: Bernal+, IJMP '17

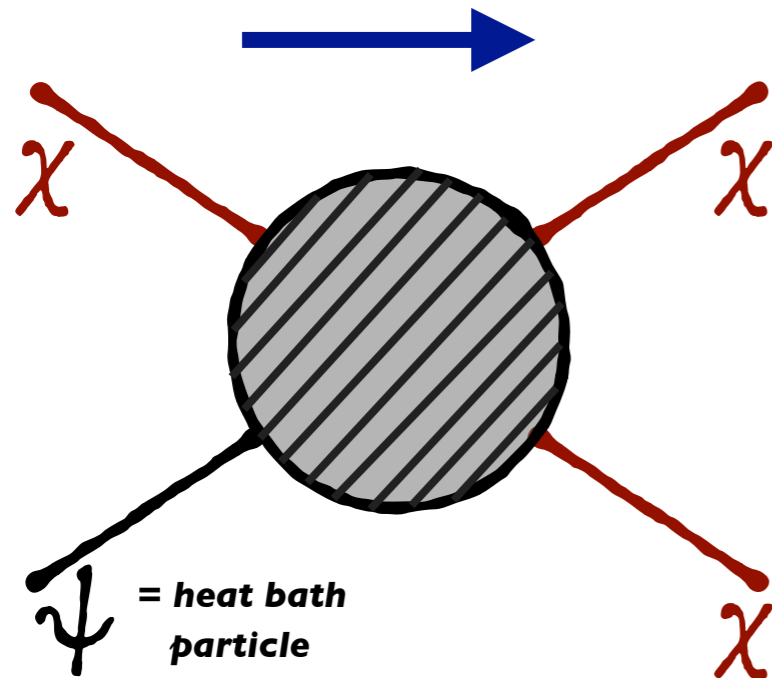
**freeze-out**



**insensitive to initial conditions**

# New avenues

## ● 'Pandemic' dark matter



TB, Depta, Hufnagel, Rudermann  
& Schmidt-Hoberg, PRL '21  
Hryczuk & Laletin, JHEP '21

$$\dot{n}_\chi + 3H n_\chi = n_\chi n_\psi^{\text{eq}} \langle \sigma v \rangle$$

[for  $n_\chi \ll n_\psi^{\text{eq}}$ ]

## ● The 'SIR' compartmental model

*A Contribution to the Mathematical Theory of Epidemics.*  
By W. O. KERMACK and A. G. MCKENDRICK.  
(Communicated by Sir Gilbert Walker, F.R.S.—Received May 13, 1927.)

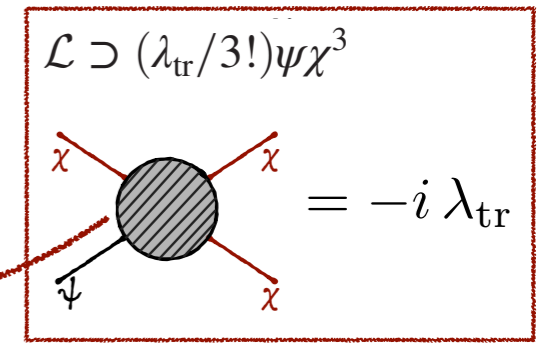
$S$  # **susceptible individuals**  
 $I$  # **infected individuals**  
 $R$  # **recovered** ( $R = \text{tot} - S - I$ )

$\beta$  **infection rate**  
 $\gamma$  **recovery rate**

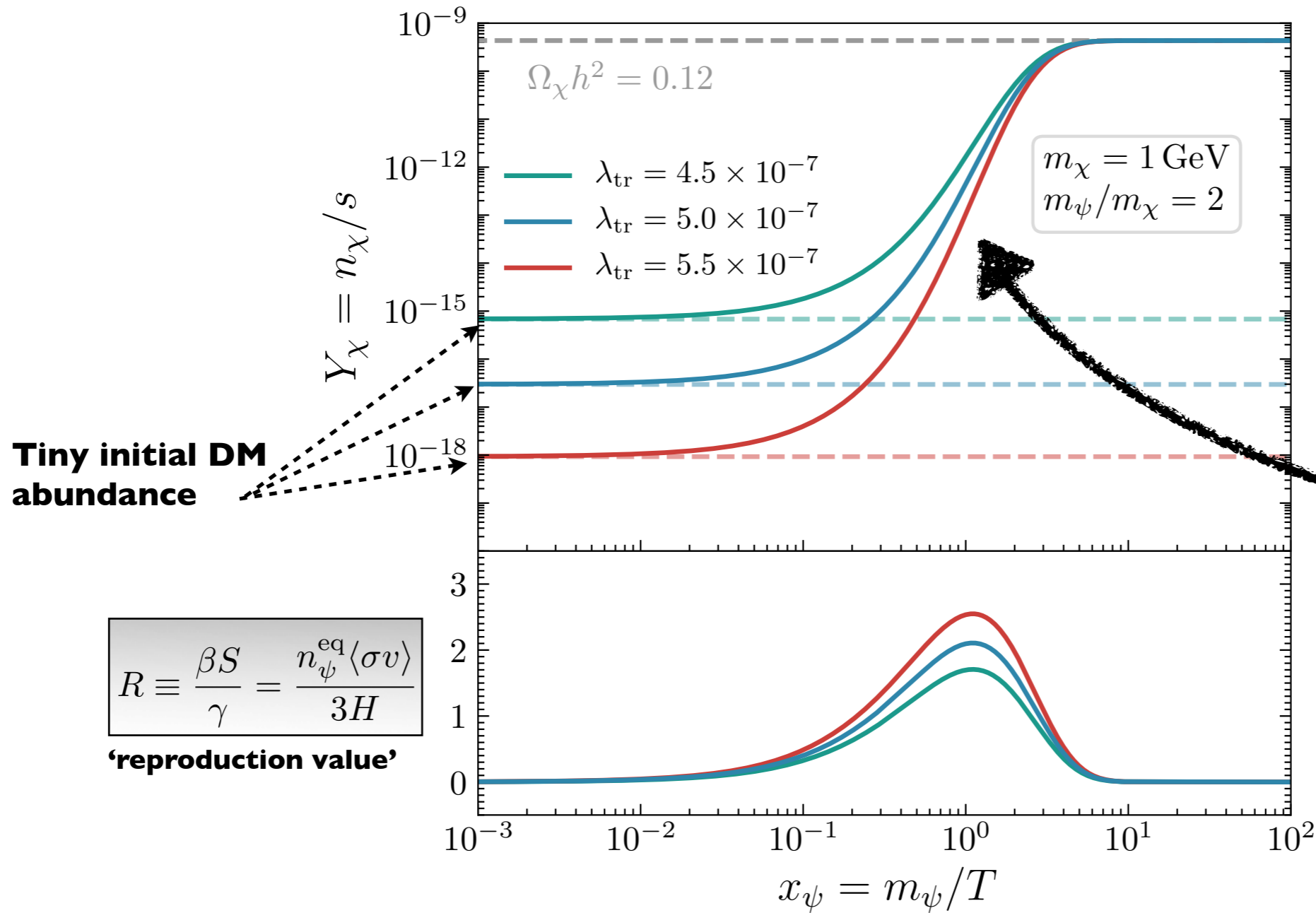
$$\dot{I} = \beta S I - \gamma I$$

# Exponential DM production

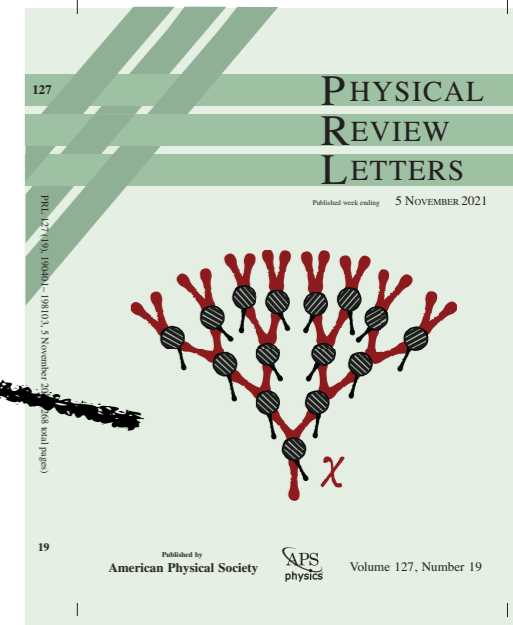
$$\dot{n}_\chi + 3Hn_\chi = n_\chi n_\psi^{\text{eq}} \langle \sigma v \rangle$$



toy model



Tiny initial DM abundance



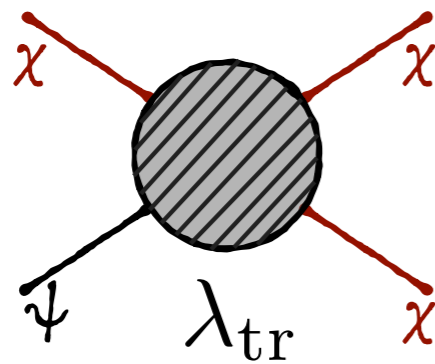
exponential growth  $R \gtrsim 1$

← large  $H$

→ small  $n_\psi^{\text{eq}}$

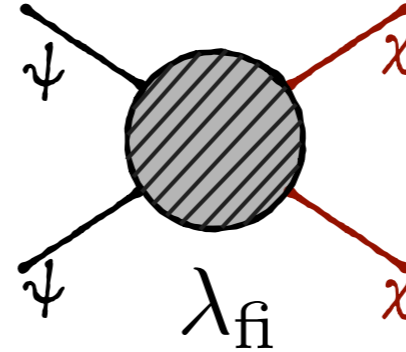
# Adding freeze-in production

'transmission'

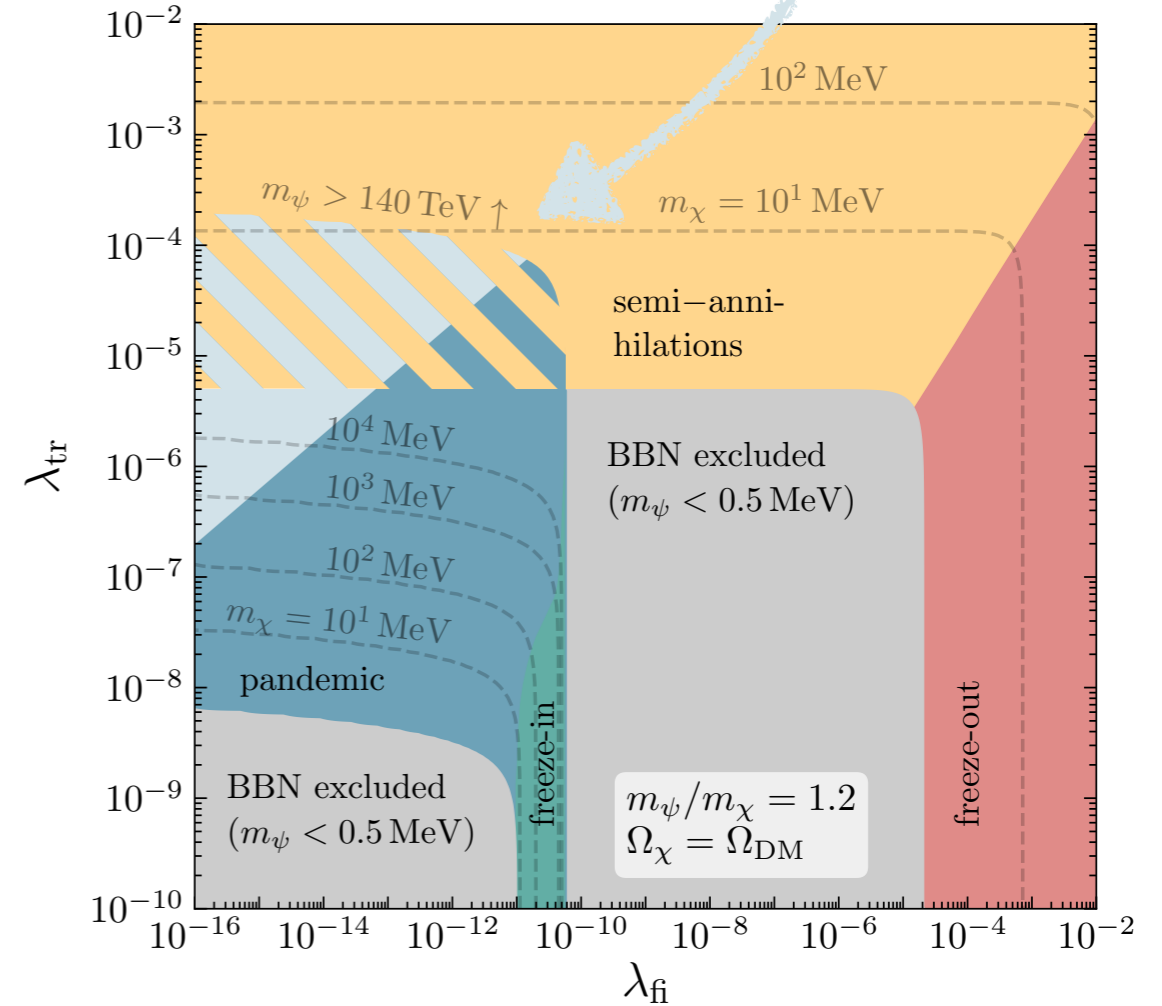
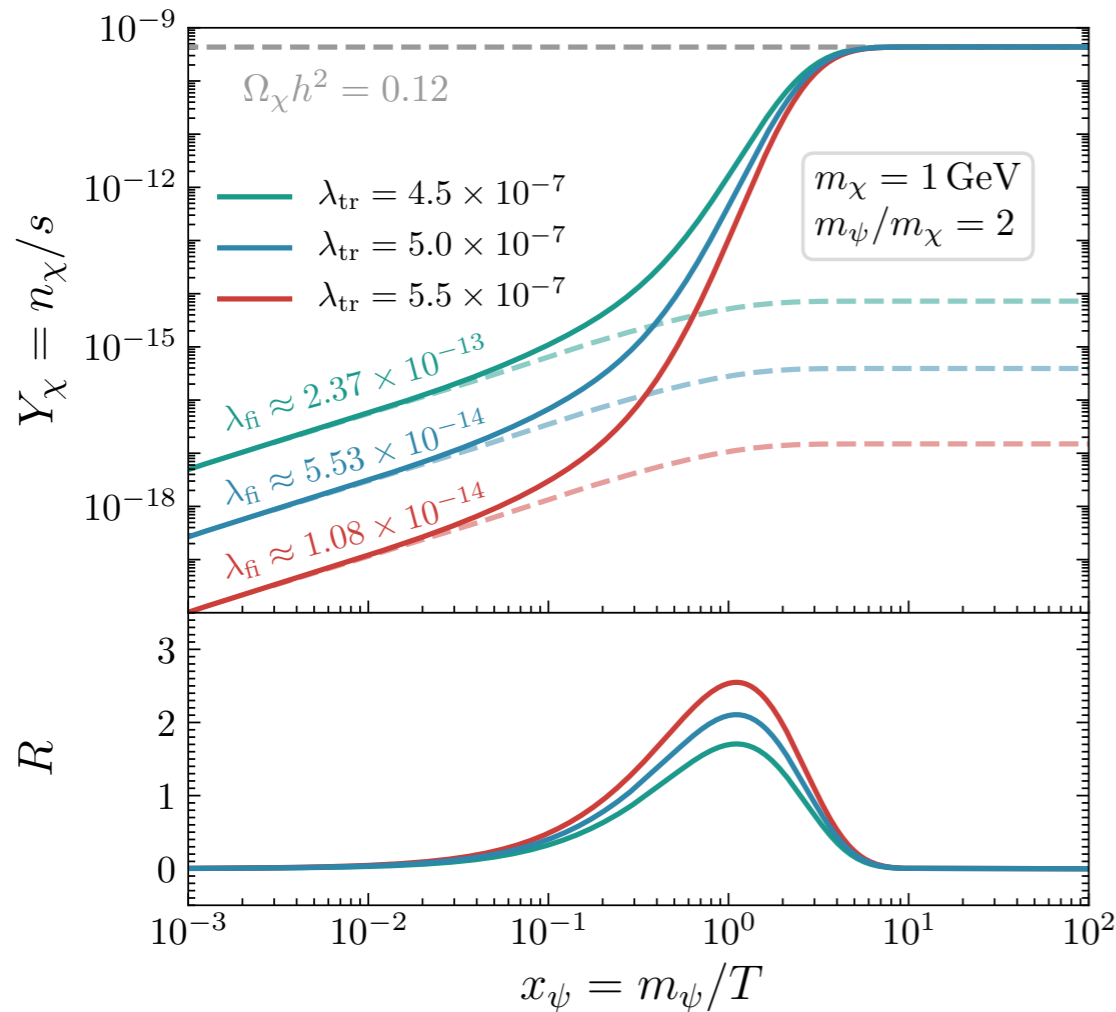


+

'freeze-in'



2→4  
freeze-in  
dominates

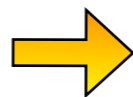


➔ 'Pandemic' production turns out to be a rather generic mechanism for the genesis of DM!

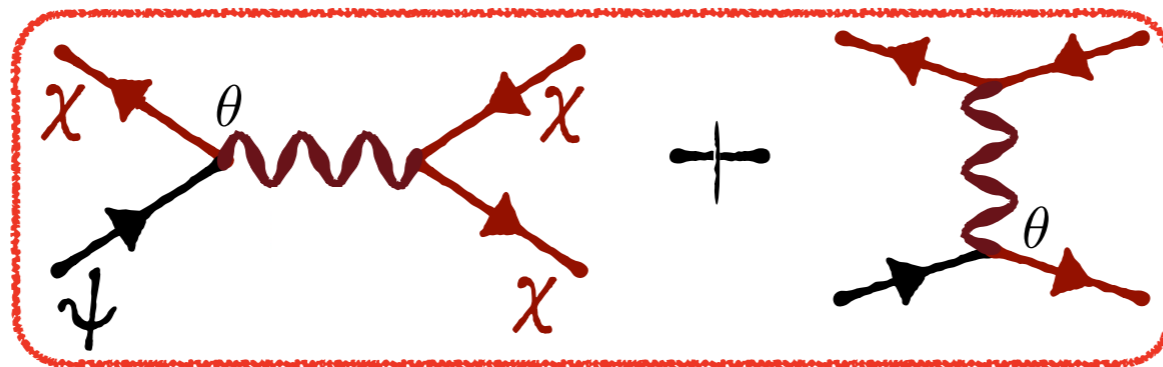
# But... how ?

- How to generically realize  $\langle \sigma v \rangle_{\text{fi}} \ll \langle \sigma v \rangle_{\text{tr}}$  ?
- Most easily by adding a **dark sector mediator** and **mass mixing** :

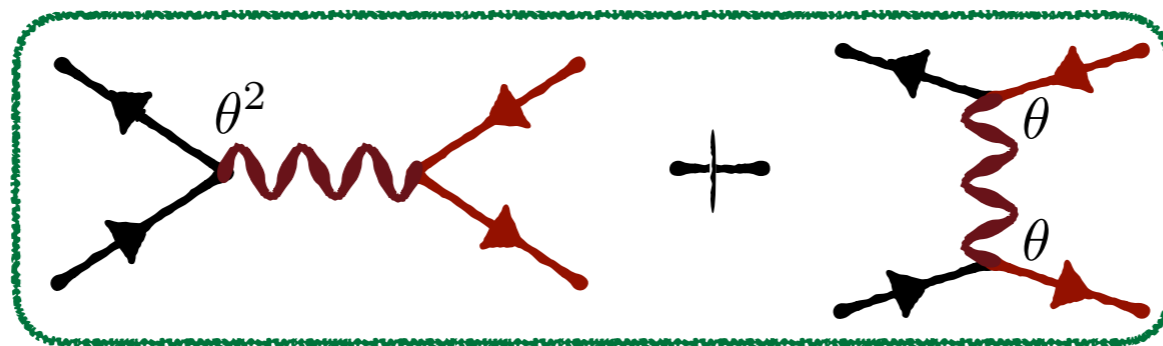
$$\mathcal{L} \supset -\delta m (\bar{\psi}\chi + \bar{\chi}\psi) - g\bar{\chi}V\chi$$



$$\mathcal{L} \supset -g[\bar{\chi}V\chi + \theta(\bar{\psi}V\chi + \bar{\chi}V\psi) + \theta^2\bar{\psi}V\psi]$$



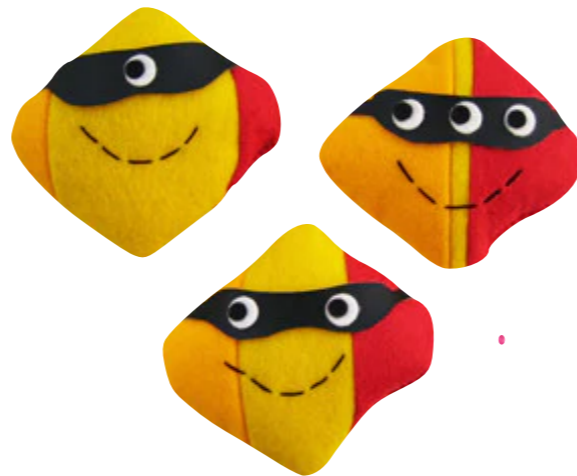
**transmission**  $\propto \theta$



**freeze-in**  $\propto \theta^2$

## Part II

# Sterile neutrinos as dark matter



# Recap: DM = BSM

Fig: Gninenko, Gorbunov & Shaposhnikov, Adv. HEP '12

Three generations of matter (fermions) spin 1/2

	I	II	III	
Mass →	2.4 MeV	1.27 GeV	171.2 GeV	0
Charge →	2/3	2/3	2/3	0
Name →	Left $u$ Right Up	Left $c$ Right Charm	Left $t$ Right Top	$g$ Gluon
Quarks	4.8 MeV	104 MeV	4.2 GeV	0
	-1/3	-1/3	-1/3	0
	Left $d$ Right Down	Left $s$ Right Strange	Left $b$ Right Bottom	$\gamma$ Photon
Leptons	0 eV	0 eV	0 eV	91.2 GeV
	0	0	0	0
	Left $\nu_e$ Right Electron neutrino	Left $\nu_\mu$ Right Muon neutrino	Left $\nu_\tau$ Right Tau neutrino	$Z^0$ Weak force
	0.511 MeV	105.7 MeV	1.777 GeV	> 114 GeV
	-1	-1	-1	0
	Left $e$ Right Electron	Left $\mu$ Right Muon	Left $\tau$ Right Tau	$H$ Higgs boson
				Spin 0
				80.4 GeV
				$\pm 1$ $W^\pm$ Weak force

most particles are 'obviously' **ruled out** as dark matter...

strongly interacting

charged / visible

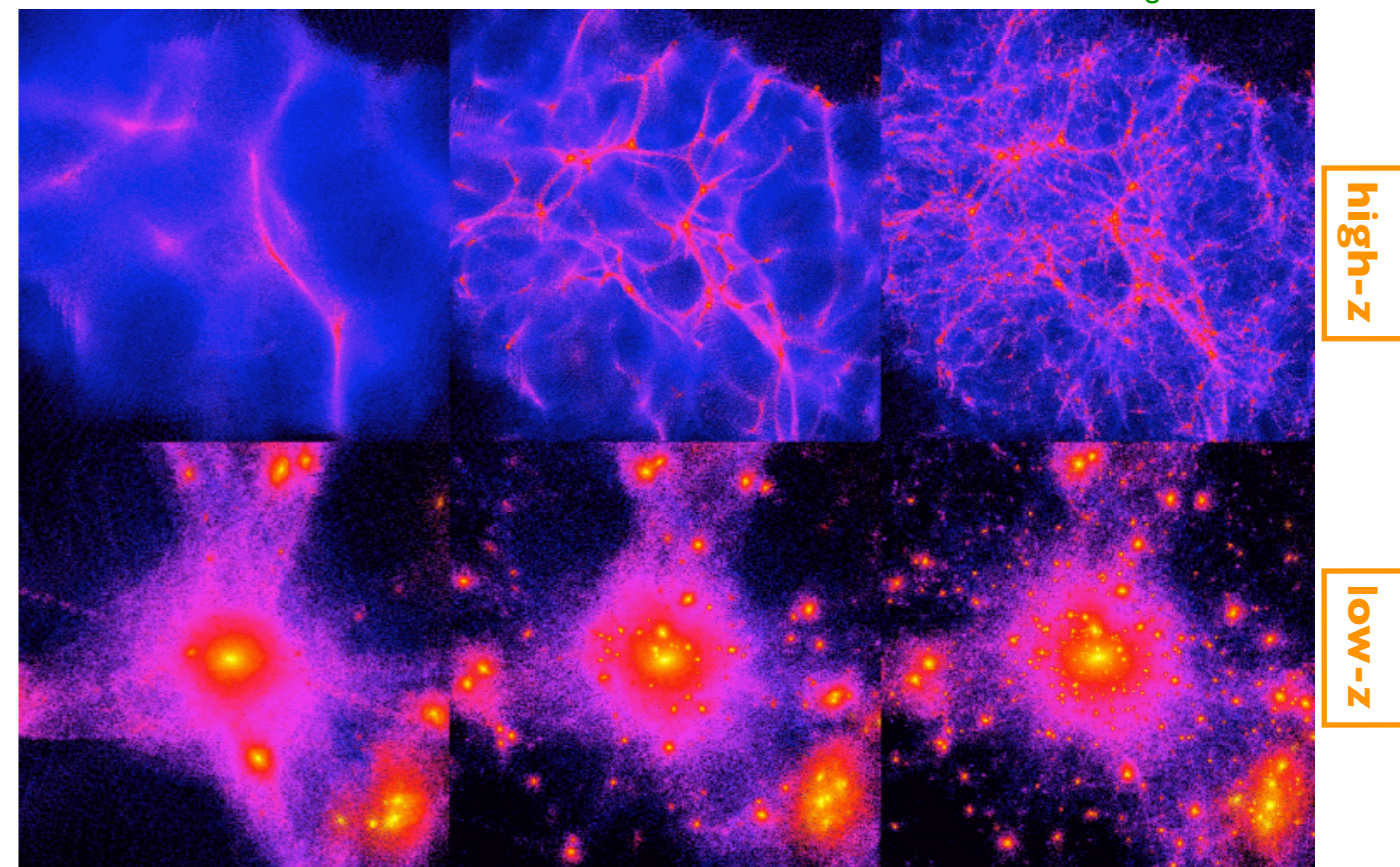
unstable

Fig: ITP Zurich

... but **neutrinos** were for some time considered to be very good candidates

→ Free streaming out of overdense regions removes too much power on small scales !

White, Frenk & Davis, ApJ '83



**Hot DM**

$m_{DM} \lesssim \text{keV}$

**Warm DM**

$m_{DM} \sim \text{keV}$

**Cold DM**

$m_{DM} \gtrsim \text{keV}$

Minimal  $v_s$  dark matter - 9



# Sterile neutrinos

Three generations of matter (fermions) spin 1/2

	I	II	III	
Mass →	2.4 MeV	1.27 GeV	171.2 GeV	0
Charge →	2/3	2/3	2/3	0
Name →	Left $u$ Right Up	Left $c$ Right Charm	Left $t$ Right Top	0 $g$ Gluon
Quarks	Left $d$ Right Down	Left $s$ Right Strange	Left $b$ Right Bottom	0 $\gamma$ Photon
	0 eV $\nu_e$ Left Electron Right Sterile Neutrino $N_1$	0 eV $\nu_\mu$ Left Muon Right Sterile Neutrino $N_2$	0 eV $\nu_\tau$ Left Tau Right Sterile Neutrino $N_3$	91.2 GeV $Z^0$ Weak force
	0.511 MeV $e$ Left Electron Right	105.7 MeV $\mu$ Left Muon Right	1.777 GeV $\tau$ Left Tau Right	80.4 GeV $W^\pm$ Weak force
Leptons				> 114 GeV $H$ Higgs boson
				Spin 0

- Right-handed neutrinos would
- 'complete the picture'
- be **singlets** under the SM gauge group
- but still interact through the **neutrino portal**

$$\mathcal{L} \supset y (i\sigma^2 H^*) LN$$

= *only possible renormalizable coupling between SM particles and (BSM) singlet fermions !*

Highly motivated from phenomenological point of view:

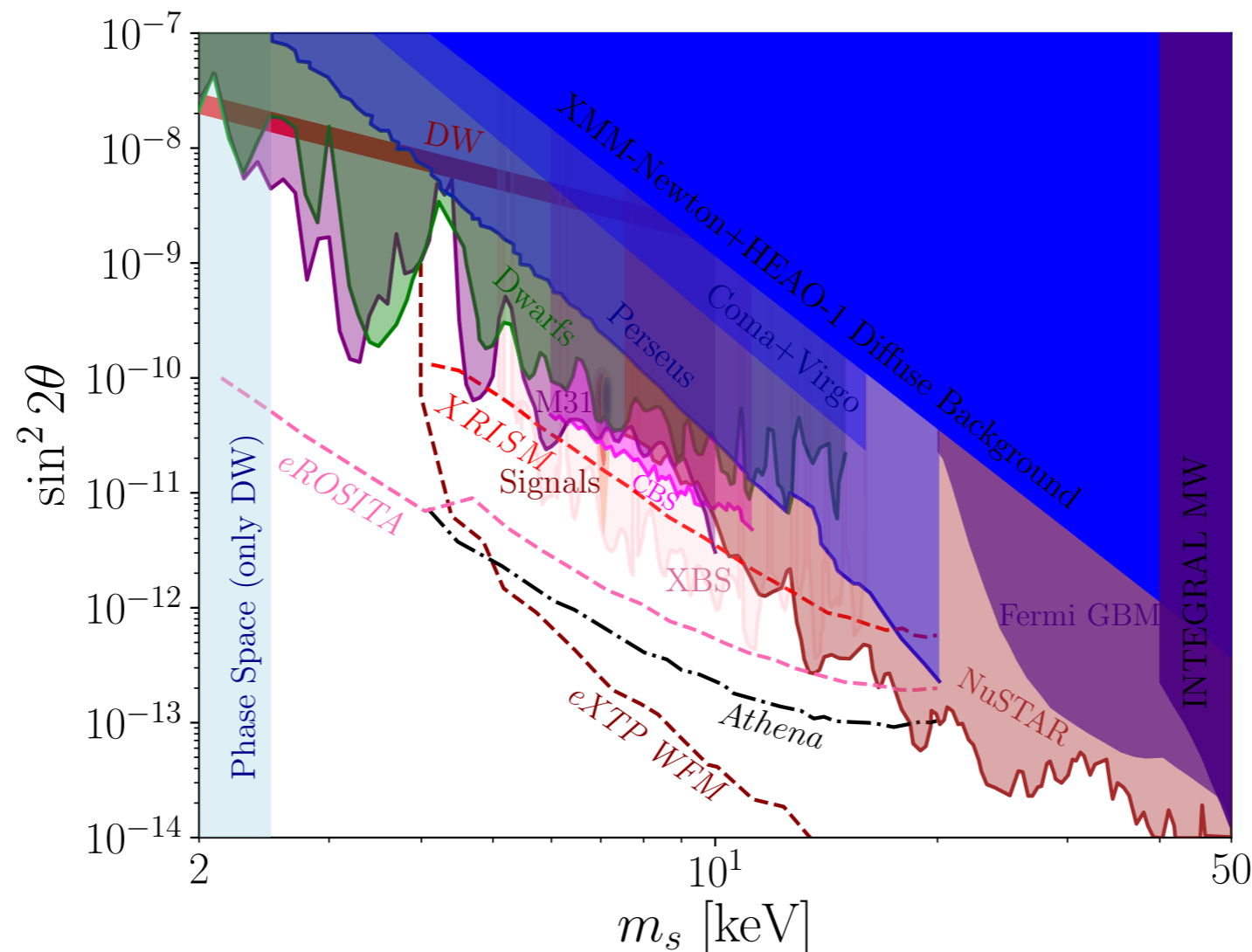
	$N$ mass	$\nu$ masses	eV $\nu$ anomalies	BAU	DM	$M_H$ stability	Direct search	experiment
GUT seesaw	10–16 10 GeV	Yes	No	Yes	No	No	No	—
EWSB	2-3 10 GeV	Yes	No	Yes	No	Yes	Yes	LHC
$\nu$ MSM	keV-GeV	Yes	No	Yes	Yes	Yes	Yes	a'la CHARM
$\nu$ scale	eV	Yes	Yes	No	No	Yes	Yes	a'la LSND

Gninenko, Gorbunov & Shaposhnikov, Adv. HEP '12

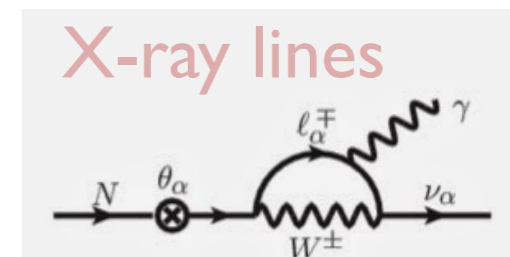
# Sterile neutrinos

- An **excellent**, well-motivated dark matter **candidate**
- Production by SM processes: oscillations** with active neutrinos, combined with CC and NC scatterings

Dodelson & Widrow, PRL '94




Abazajian+, 2203.7377



- Unfortunately, this scenario is **ruled out** by observations...

# Alternative production mechanisms

- An **excellent**, well-motivated dark matter **candidate**
  - warrants looking for alternative scenarios !
- Shi-Fuller mechanism Shi & Fuller, PRL '99
  - Introduce large lepton asymmetry  • origin ?
  - resonant oscillation leads to enhanced production
    - bounds from BBN
    - $\rightsquigarrow$  X-ray & Lyman- $\alpha$  limits still quite close
- Decay of some scalar Shaposhnikov & Tkachev, PLB '06  
Kusenko, PRL '06  
Petraki & Kusenko, PRD '08  
...
- Extended gauge sector Bezrukov, Hettmansperger & Lindner, PRD '10  
Kusenko, Takahashi & Yanagida, PLB '10  
...
- New (active) neutrino interactions De Gouvêa+, PRL '20  
Kelly+, PRD '20  
...

*Many options, but maybe not really 'minimal'...*

# Interacting sterile neutrinos

- What about **sterile neutrino self-interactions** ?
  - expect ~similar phenomenology for scalar and vector mediator...
- Let's add a **scalar**  $\phi$  that only couples to the **sterile** neutrinos

$$\mathcal{L} \supset \frac{y}{2} \phi \bar{\nu}_s \nu_s \quad \longrightarrow \quad \frac{y}{2} \phi [\sin^2 \theta \bar{\nu}_\alpha \nu_\alpha - \sin \theta \cos \theta (\bar{\nu}_\alpha \nu_s + \bar{\nu}_s \nu_\alpha) + \cos^2 \theta \bar{\nu}_s \nu_s]$$

- $m_\phi \sim 100 \text{ keV}, y \lesssim 10^{-8}$  Hansen & Vogl, PRL '17
  - Growth in sterile neutrino density due to thermalization of dark sector
  - viable for *a*) small window around 4 keV, or *b*) further lepton asymmetry
- $m_\phi > 1 \text{ GeV}, y \sim \mathcal{O}(1)$  Johns & Fuller, PRD '19
  - Induces sharp resonance in  $V_{\text{eff}}$ 
    - $\longrightarrow$  either no impact or runaway behaviour

- $10 \text{ keV} \gtrsim m_\phi > 2m_s, y \gtrsim 10^{-6}$

**This talk**

TB, Depta, Hufnagel, Kersten, Ruderman  
& Schmidt-Hoberg, PRD '23

see also Astros & Vogl, JHEP '24 for discussion of 'entire' scalar mass range

# Production — phase I

- $\mathcal{L} \supset \frac{y}{2} \overline{\nu_s^c} \phi \nu_s + \text{h.c.}, \quad m_\phi > 2m_s$

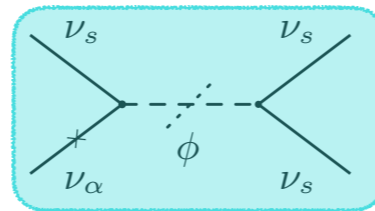
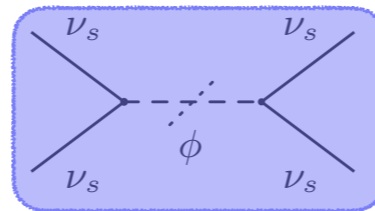
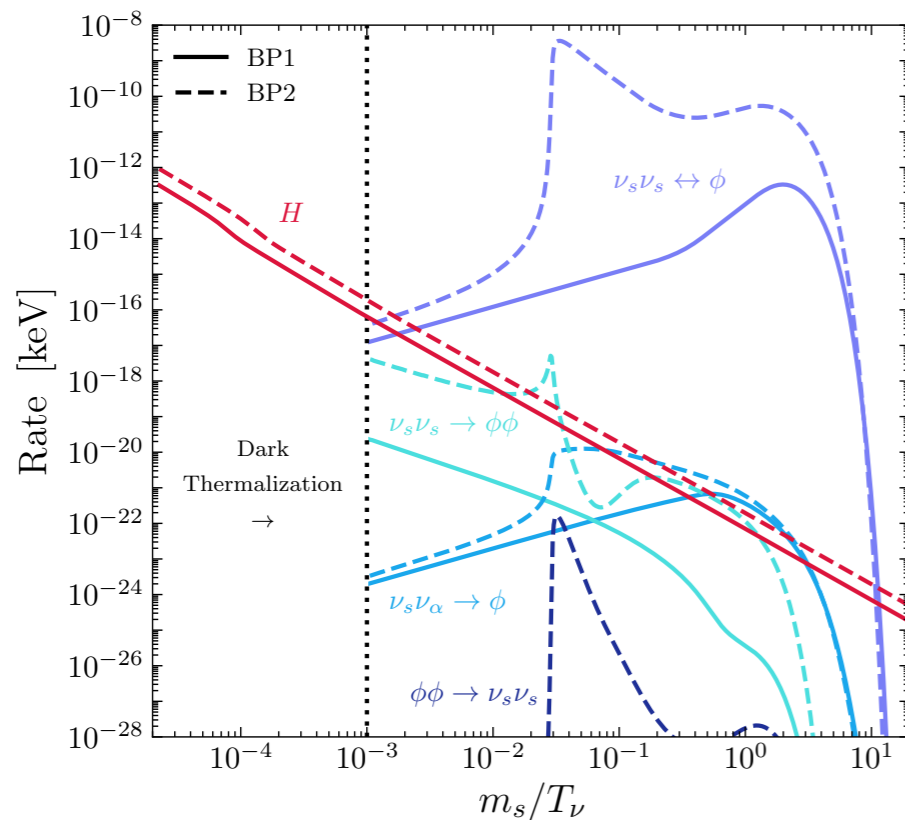
- Early times ( $\sim$ QCD PT): standard **DW** production

- Adopt resulting number & energy density as **initial condition**

from Asaka, Laine & Shaposhnikov, JHEP '15

- Soon afterwards: efficient **dark sector thermalization**

- $\nu_s, \phi$  follow **FD/BE distributions** with *large* (negative) chemical potentials



→ Use Boltzmann equations

$$\begin{aligned} \dot{n}_s + 3Hn_s &= C_{n_s} \\ \dot{n}_\phi + 3Hn_\phi &= C_{n_\phi} \\ \dot{\rho} + 3H(\rho + P) &= C_\rho, \end{aligned}$$

to solve for  $T_d(T), \mu_s(T), \mu_\phi(T)$

# Production — phase II

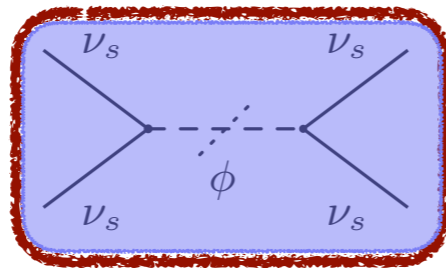
•  $\mathcal{L} \supset \frac{y}{2} \overline{\nu}_s^c \phi \nu_s + \text{h.c.}, m_\phi > 2m_s$

• Evolution after DW:

**solid:** benchmark point with large  $\theta$ , small  $y$

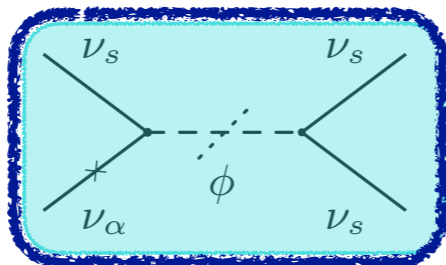
**dashed:** benchmark point with small  $\theta$ , large  $y$

• Thermalization in dark sector

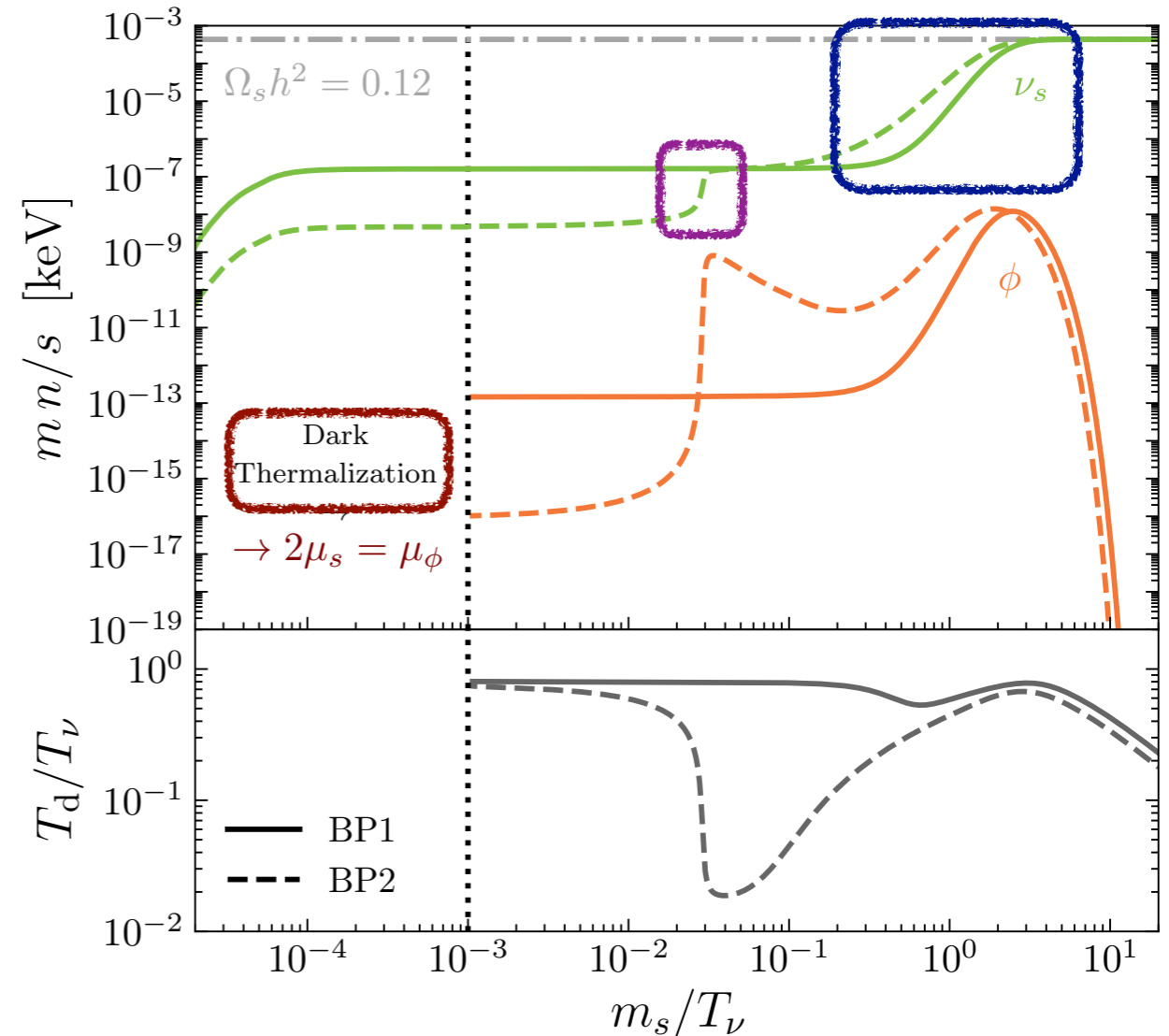
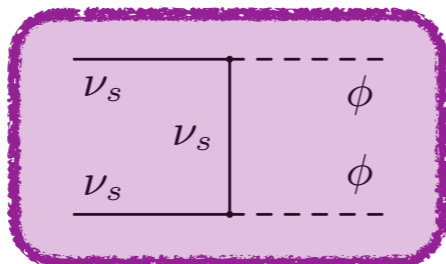


**NB:** This allows **exact** solution of Boltzmann equation!

• Exponential growth



• Reproductive freeze-in

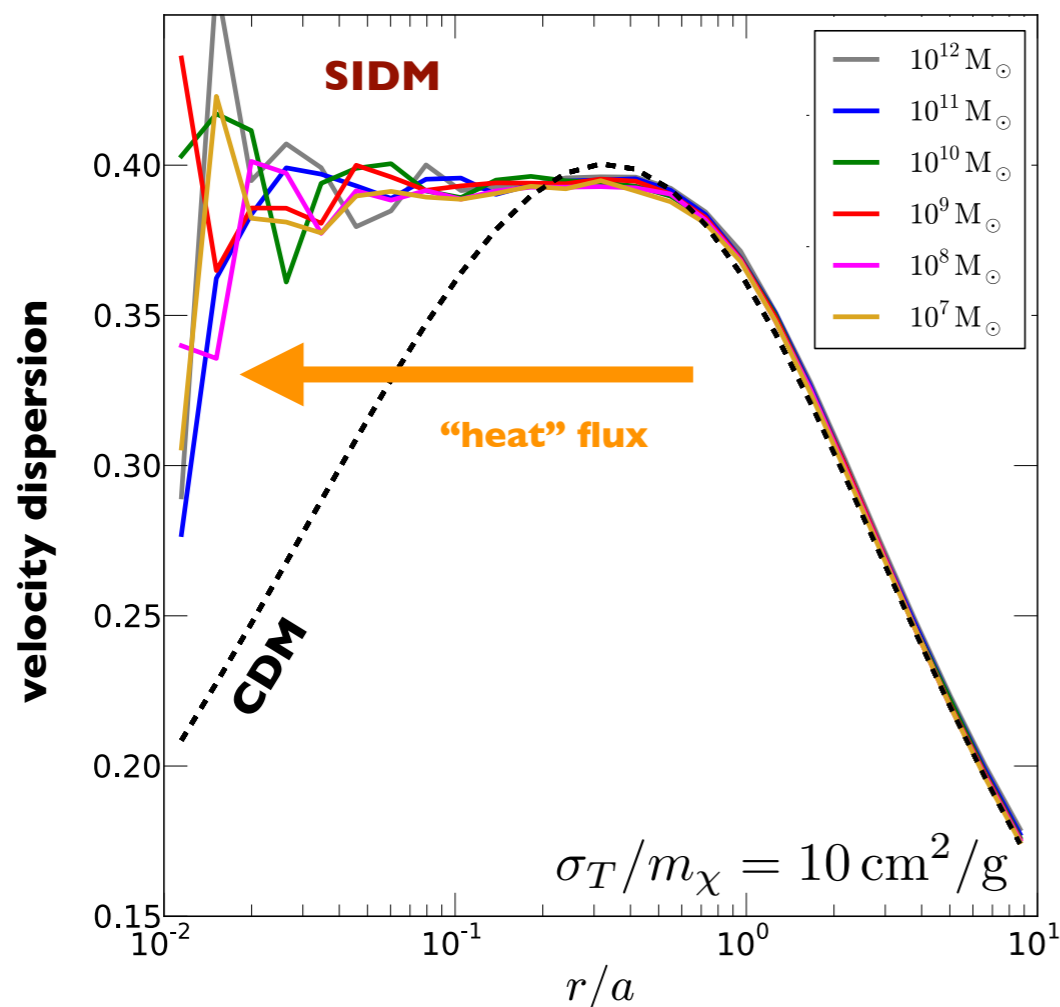
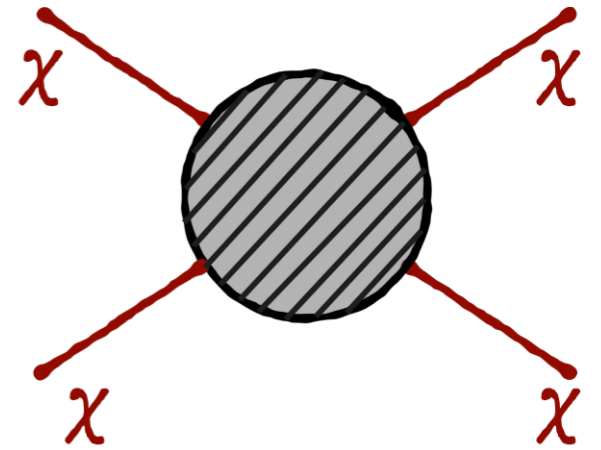


# Self-interacting DM (SIDM)

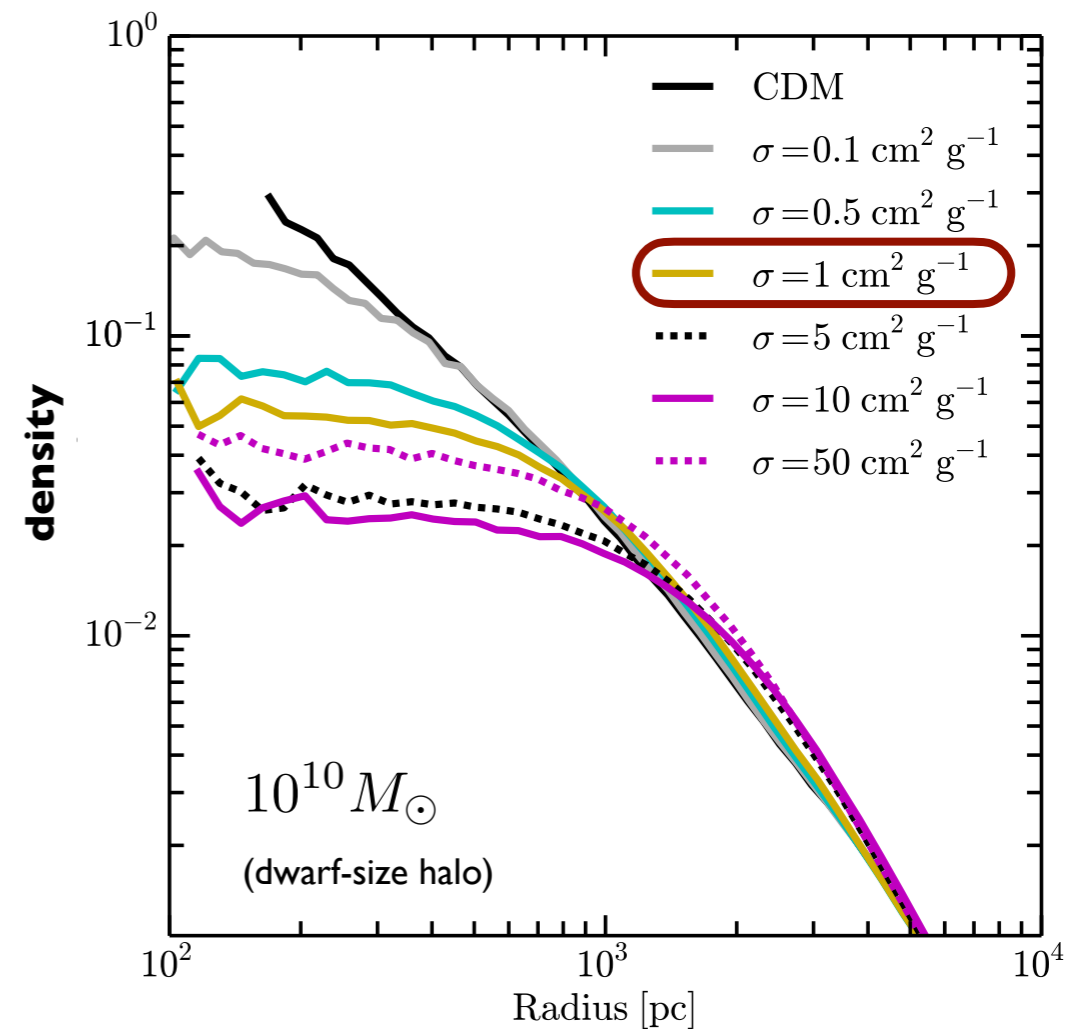
- DM-DM scatterings Spergel & Steinhardt, PRL '99

- do not affect linear perturbations (number densities too small)
- but isotropise DM distribution in inner parts of halo

→ core formation once  $\mathcal{O}(1)$  scatters per dynamical time



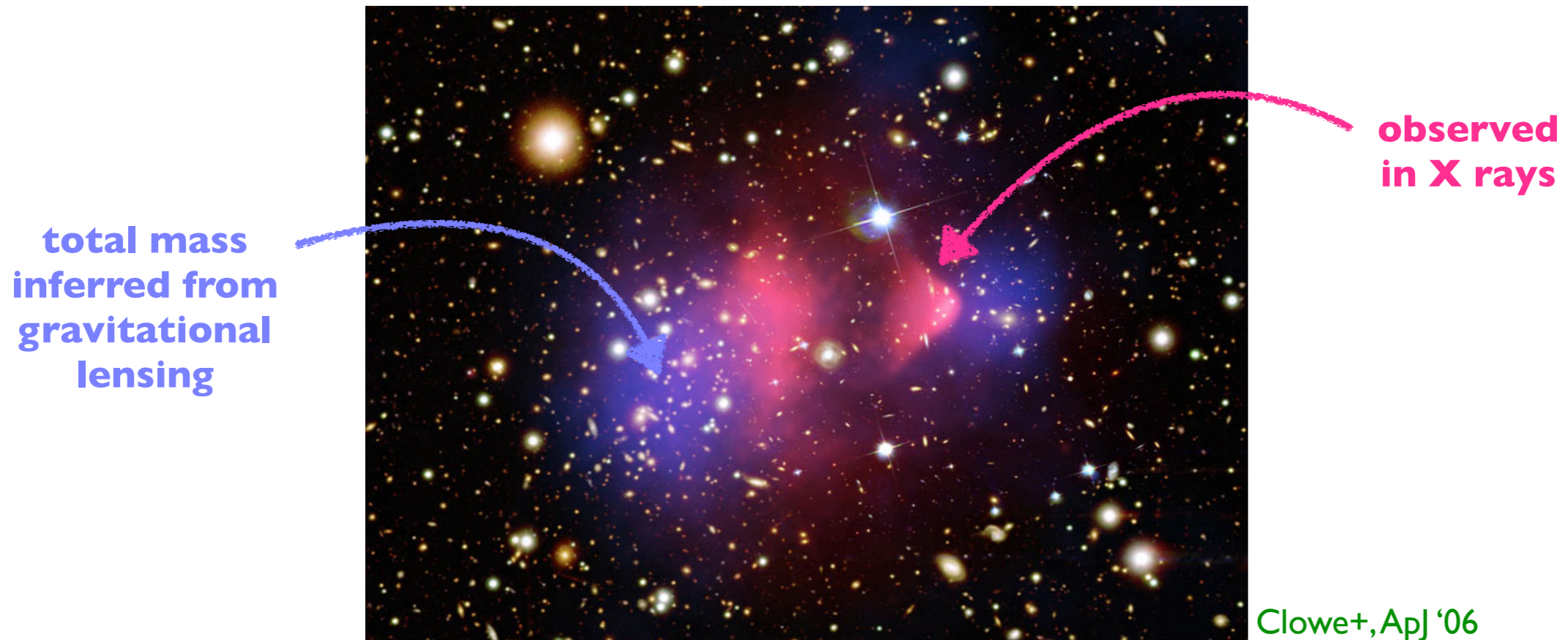
Vogelsberger, Zavala & Loeb, MNRAS '12



Elbert+, MNRAS '15

# Self-interacting DM (SIDM)

- Observed DM density profiles constrain  $\sigma_{\text{SIDM}}$  at galactic scales
- Larger scales: e.g. colliding galaxy clusters



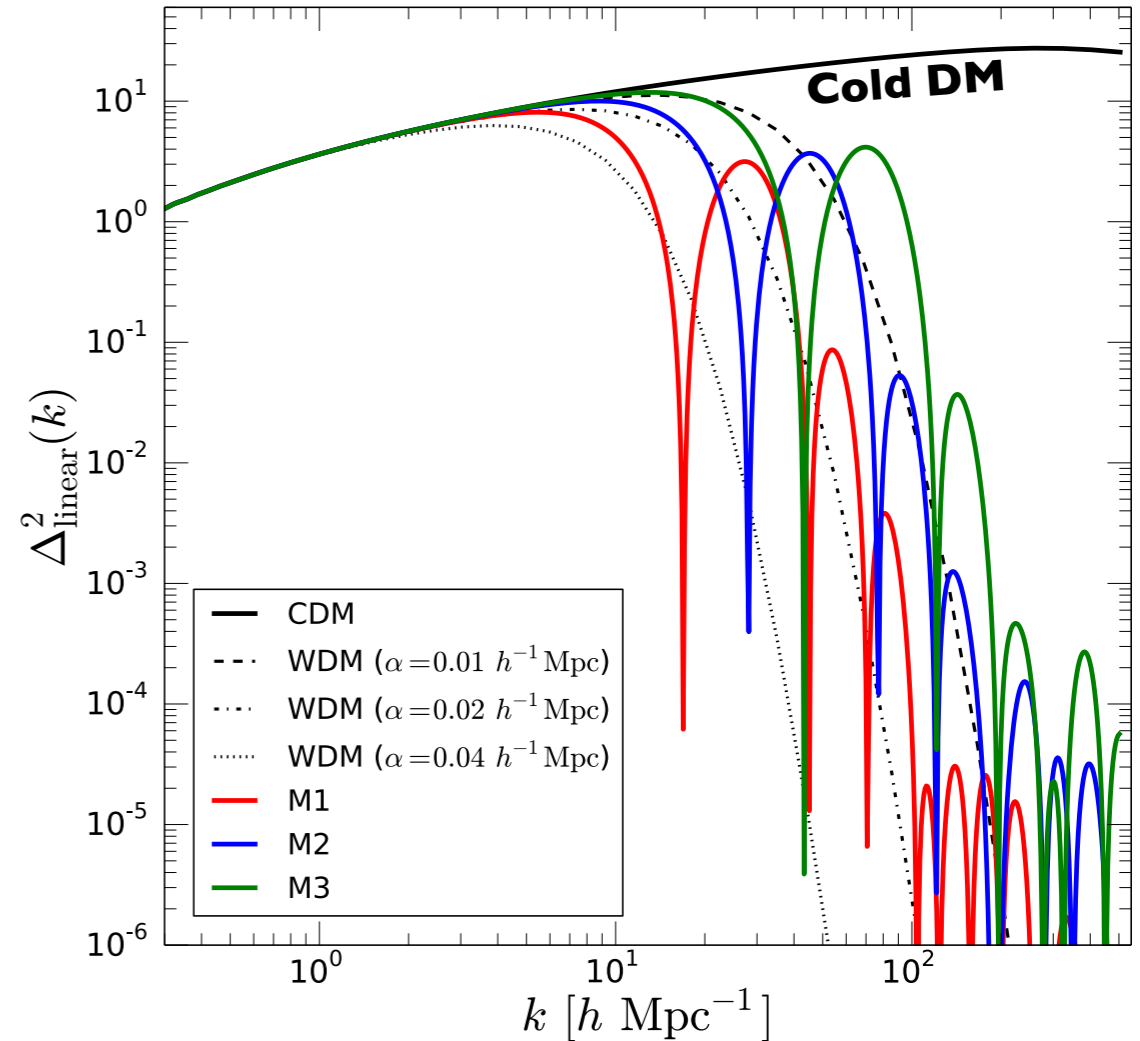
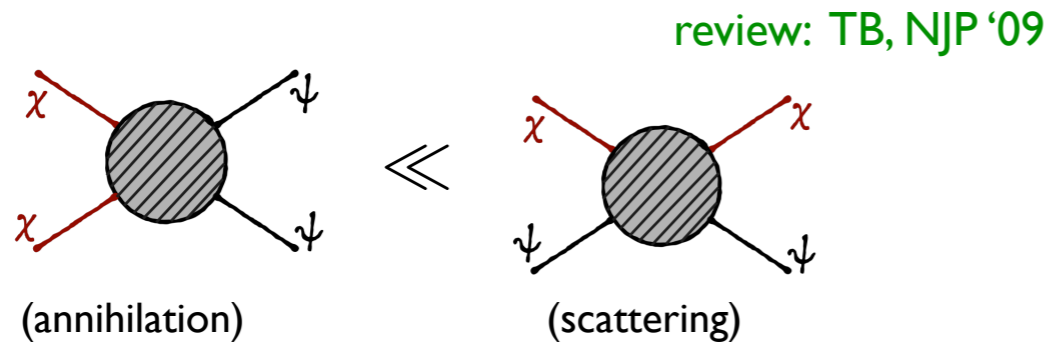
- Various individual constraints. Largely agreed-upon value:

review: Tulin & Yu, PR '18

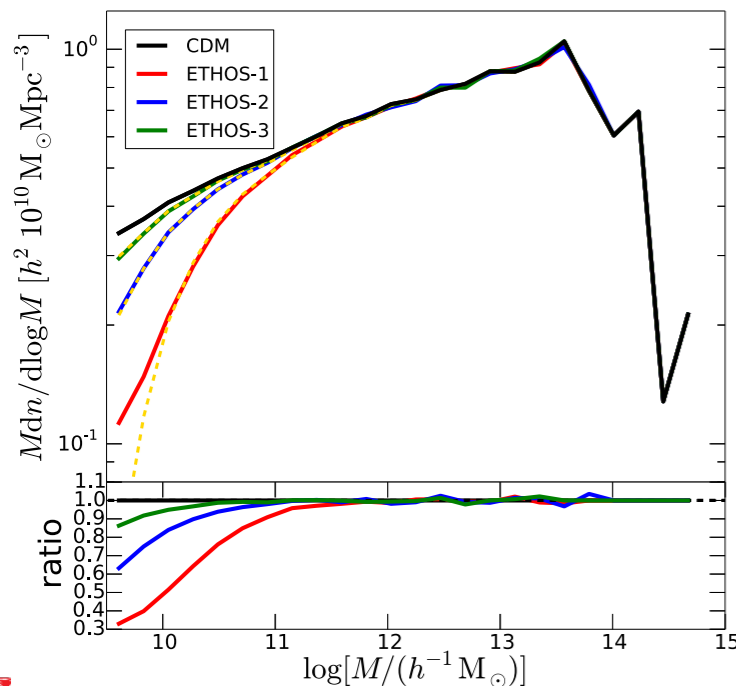
$$\sigma_T / m_s \lesssim 1 \text{ cm}^2 / \text{g}$$

# Suppressing power at small scales

- The (linear) CDM spectrum of matter density perturbations can be suppressed by
  - free-streaming of **warm DM** [dashed]
  - (late) kinetic decoupling of **cold DM** [solid]



Vogelsberger+, MNRAS '16



- Both effects turn out to produce almost identical shapes in **non-linear spectrum** (halo mass function)

➔ straight-forward to recast standard WDM limits

# The Lyman- $\alpha$ forest

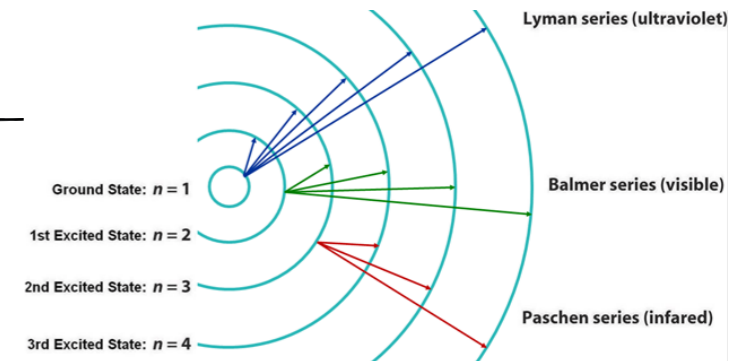


Fig.: Daniel Reichart

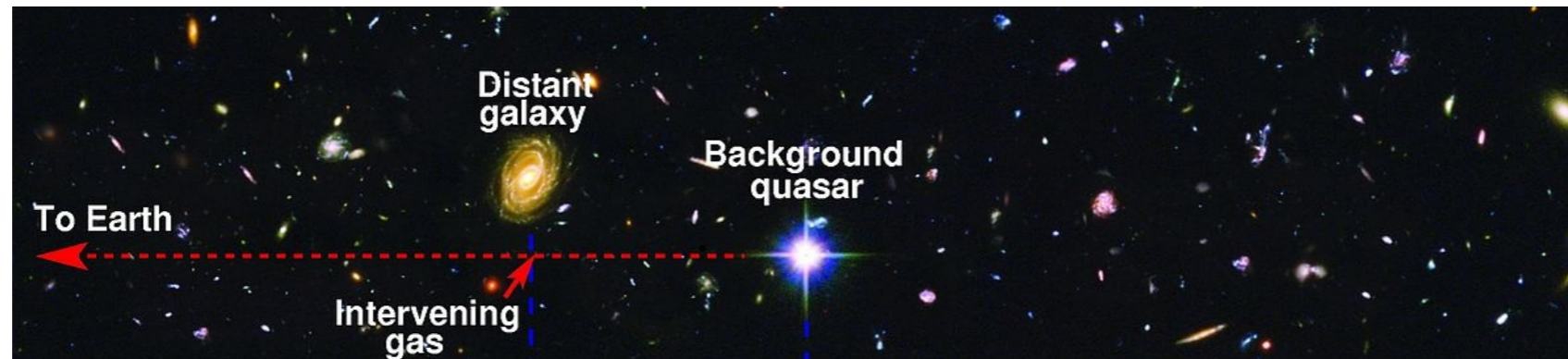
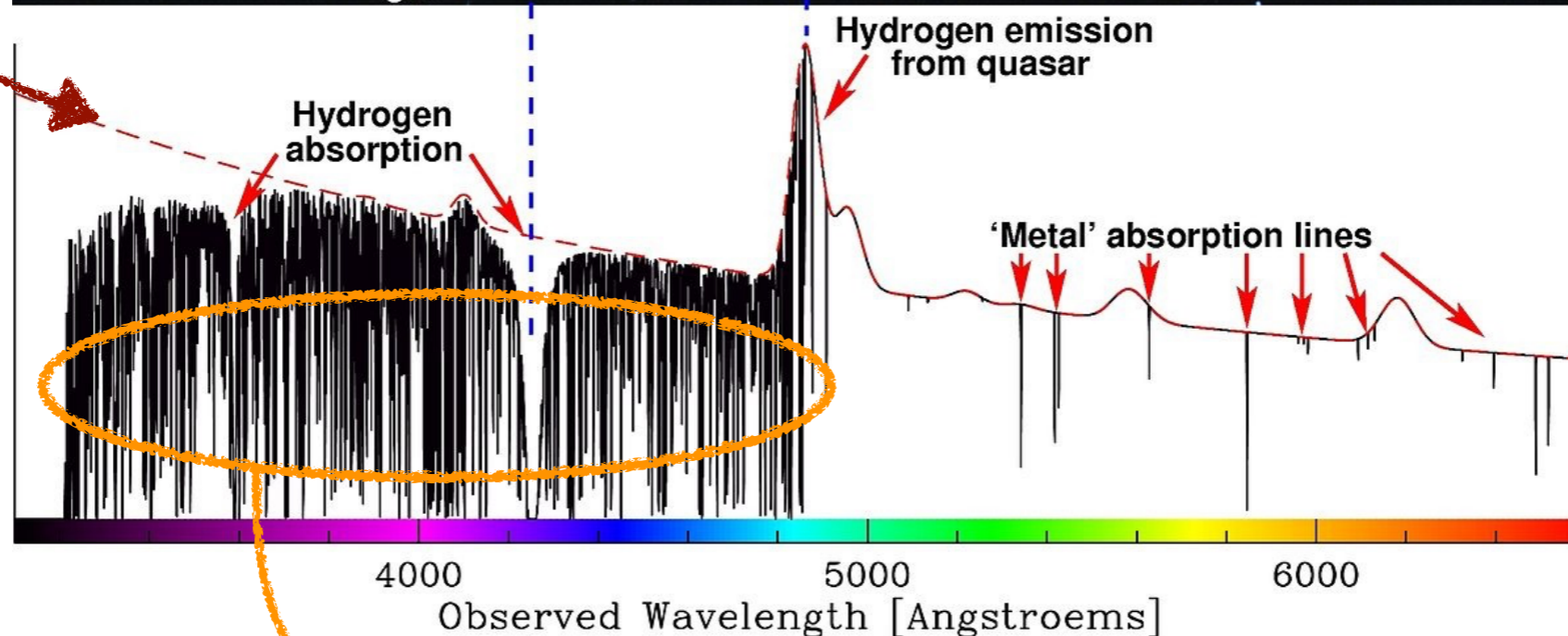


Fig.: Michael Murphy

(assumed) quasar spectrum without absorption



**Absorption strength  $\propto$  density of matter (hydrogen) clump**

➔ This gives the currently strongest limit on a possible small-scale cutoff of the spectrum of matter density perturbations

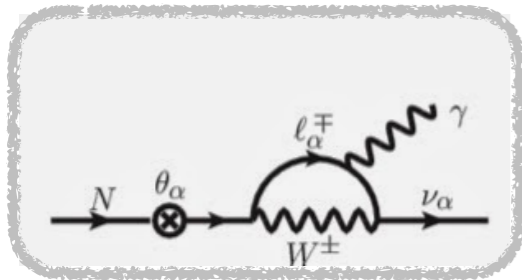
# Sterile neutrinos... revived !

- Correct relic density possible for much smaller mixing angles

- $\Omega_{\nu_s} h^2 = 0.12$  by choosing Yukawa coupling

- Observational constraints

- (Standard) X-ray lines



- $\nu_s$  self-interactions

$$\sigma_T / m_s \lesssim 1 \text{ cm}^2 / \text{g} \quad \text{cf. Tulin \& Yu, PR '18}$$

maybe 0.1 possible... (?)

- Lyman- $\alpha$

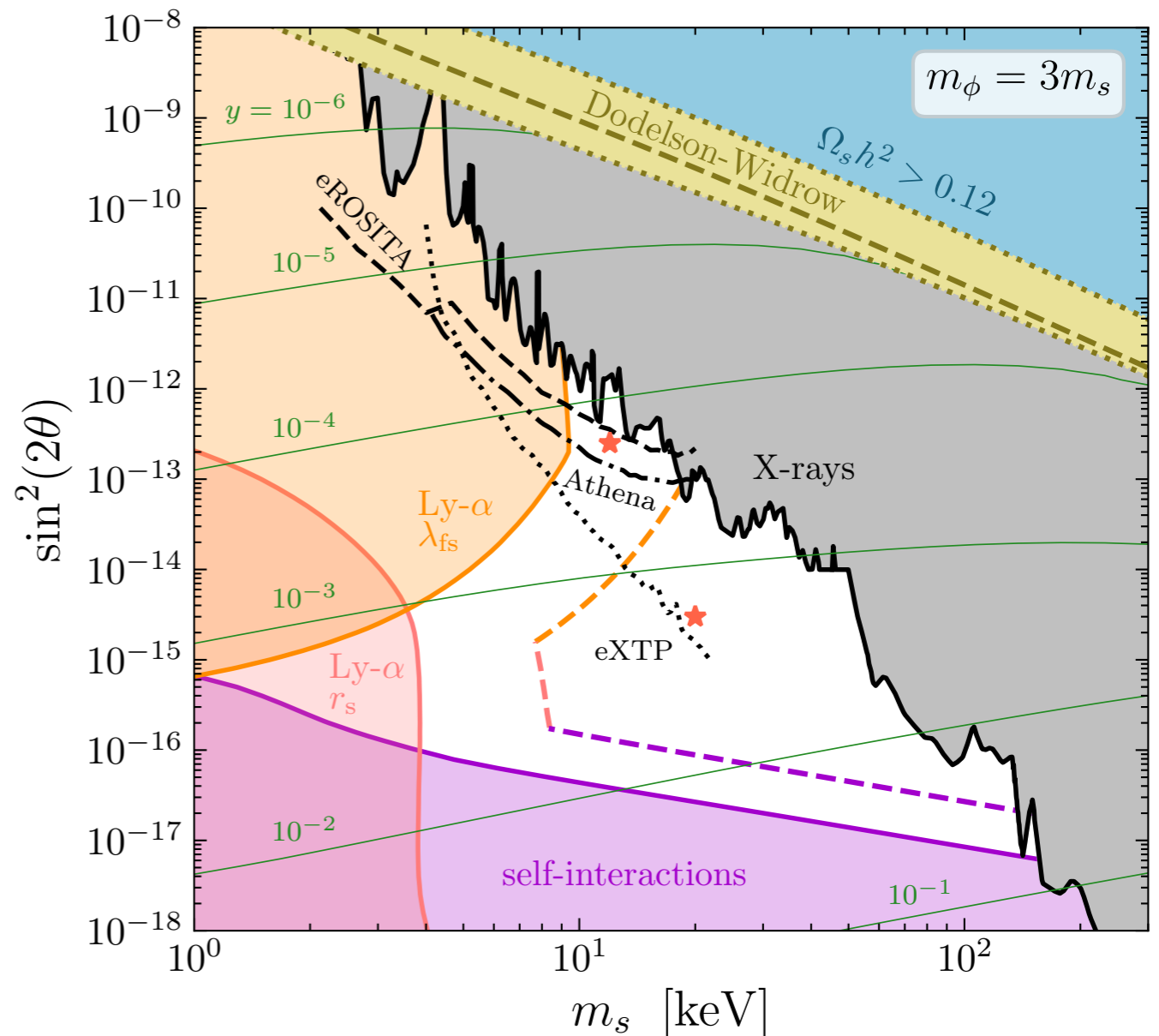
recast  $m_{\text{WDM}} > 1.9 \text{ keV}$  to  
Garzilli+, MNRAS '21

$$\lambda_{\text{FS}} < 0.24 \text{ Mpc}$$

$$r_s < 0.36 \text{ Mpc}$$

maybe  $m_{\text{WDM}} > 5.3 \text{ keV}$  possible... (?)

Palanque-Delabrouille+, JCAP '20



# Vector mediator

ongoing work with  
Anton Brekke



- Maybe more appealing option: charge  $\nu_s$  under **dark  $U(1)$**

$$\mathcal{L} \supset \frac{y}{2} \bar{\nu}_s^c \phi \nu_s + \text{h.c.} \quad \longrightarrow \quad \mathcal{L} \supset g_X X_\mu \bar{\nu}_s \gamma^\mu \nu_s$$

- **anomaly cancellation** requires another  $\nu_s$  with opposite charge (can be heavy  $\rightsquigarrow$  decouples)

- NB: this is ‘really’ an **axial vector** coupling of the Majorana field

$$\nu_M \equiv \nu_s + \nu_s^c = \nu_M^c \quad \longrightarrow \quad X_\mu \bar{\nu}_s \gamma^\mu \nu_s = \frac{1}{2} X_\mu \bar{\nu}_M \gamma^\mu \gamma^5 \nu_M$$

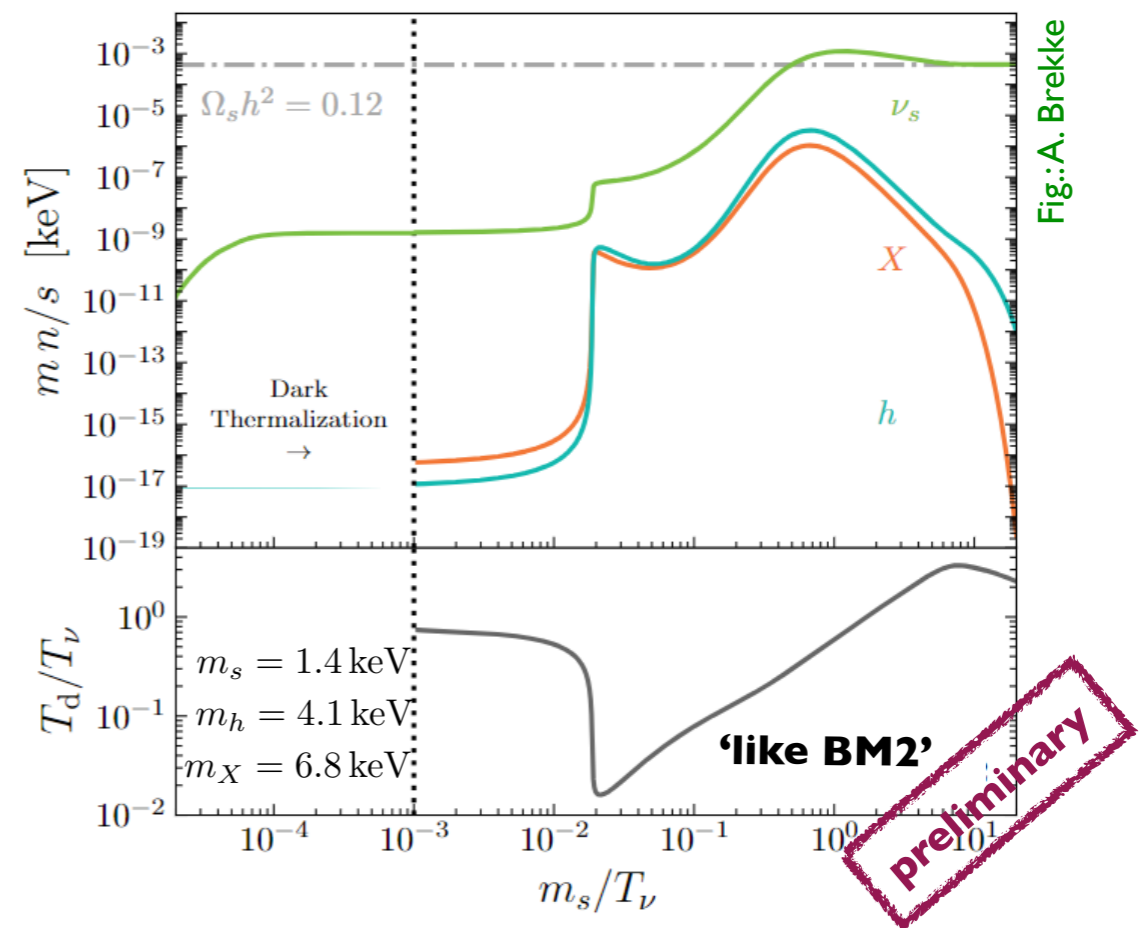
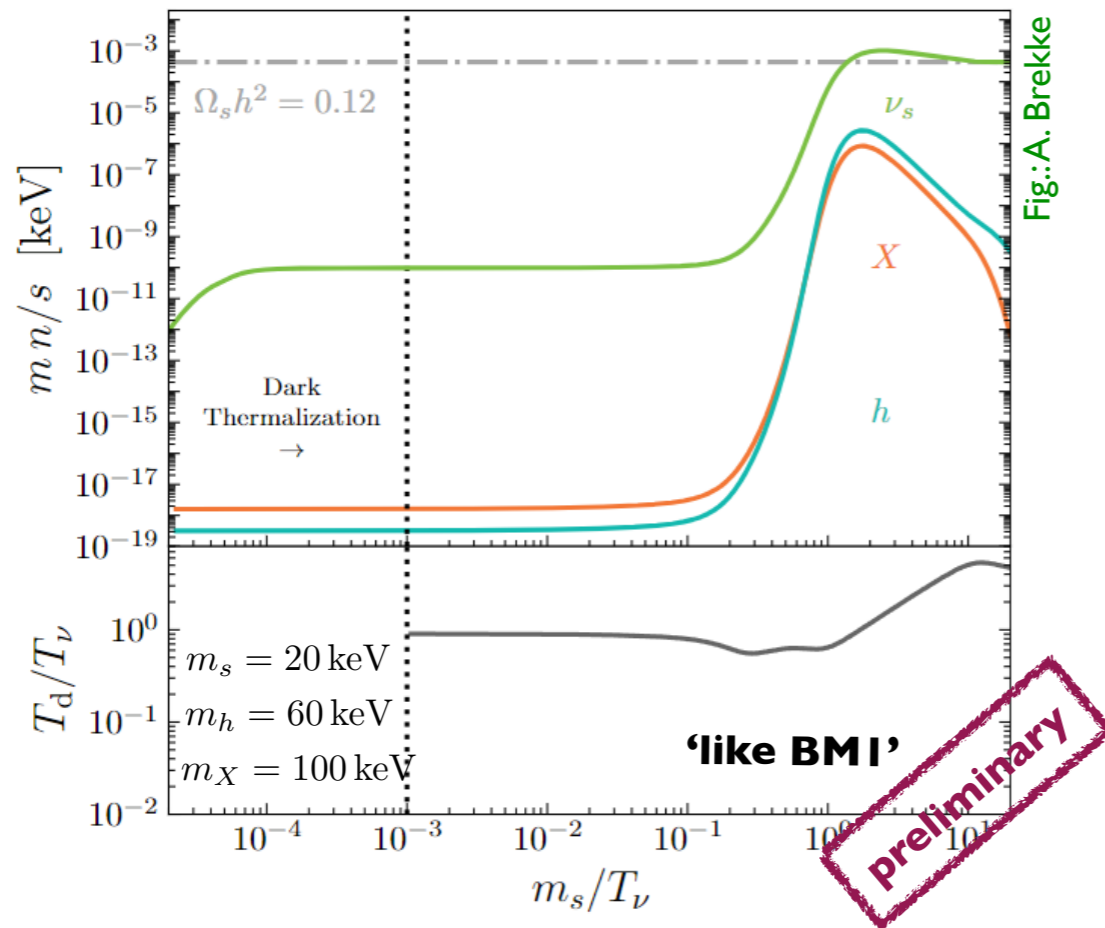
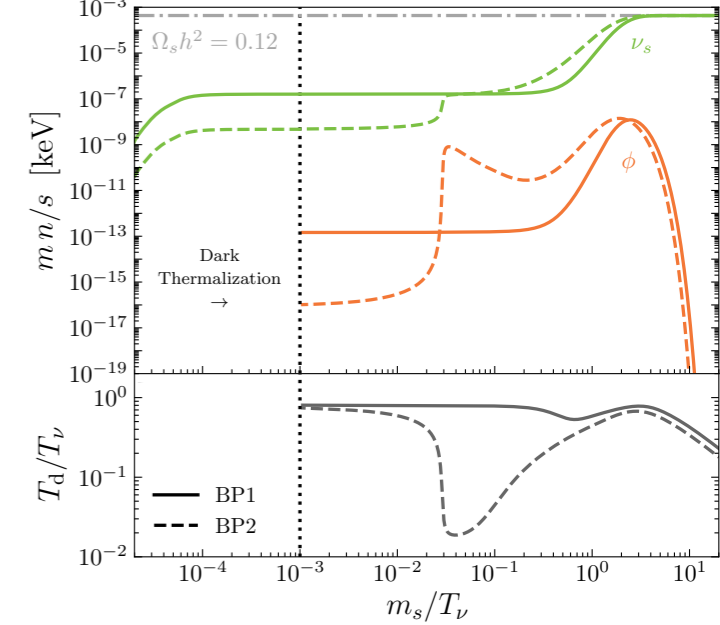
- Dark photon acquires a mass through **SSB**

$$\mathcal{L} \supset |D\Phi|^2 - V(|\Phi|) \quad \xrightarrow{|\langle \Phi \rangle| = v/\sqrt{2}} \quad \mathcal{L} \supset \frac{1}{2} g_X^2 Q_\Phi'^2 v^2 X_\mu X^\mu$$

- NB: mass through Stueckelberg mechanism leads to **unitarity** violation for  $\bar{\nu}_s \nu_s \rightarrow X_L X_L$
- *Must* also include **dark higgs  $h$**  in analysis...

# Abundance evolution

- More dark sector states imply **more phenomenological options**
- Significant proliferation of processes (+ diagrams)

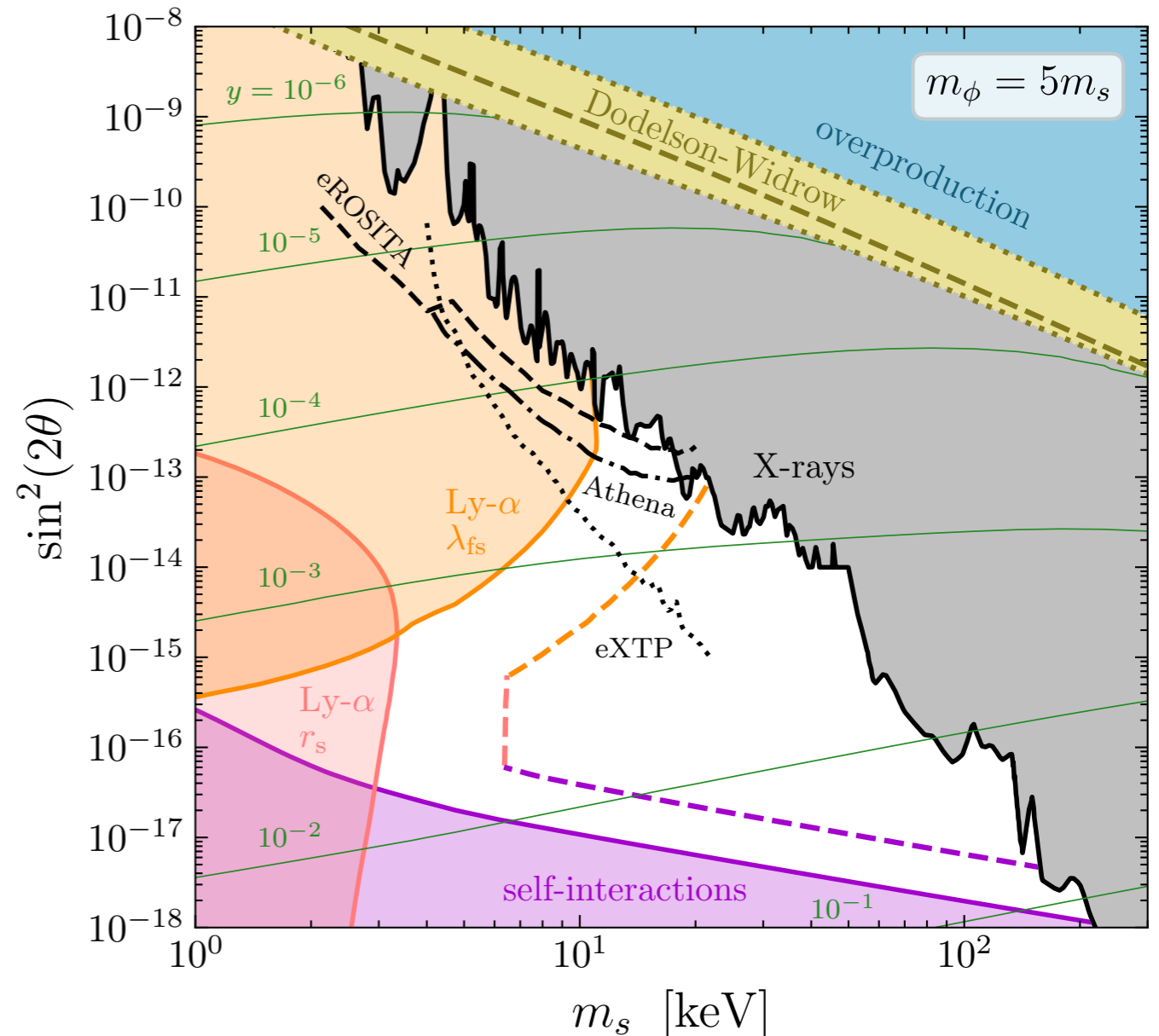


- Most striking difference at late times

- $\bar{\nu}_s \nu_s \rightarrow hh, XX$  at  $T_\nu \sim m_\nu$  can noticeably **reduce the  $\nu_s$  abundance**
- Subsequent annihilation of  $h, X$  **raise the dark sector temperature to  $T_\nu > T$**

# Conclusions

- Sterile neutrino DM **excluded** in simplest form
  - ‘despite’ excellent theory motivation
- A new *minimal* scenario revives this idea
  - Adding only **one scalar d.o.f.** with  $m_\phi \gtrsim 2m_s$
  - Significant **new parameter space**
  - Bounded from above *and* below
  - Much of it in **observational reach**
- **Alternative** model
  - **dark photon** mediator
  - new phenomenological avenues
  - work in progress...



*Thanks for your attention!*