

Grobner Bases for polytopal affinoid algebras

Legrand Lucas

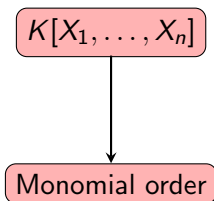
Joint work with M. Barkatou and T. Vaccon

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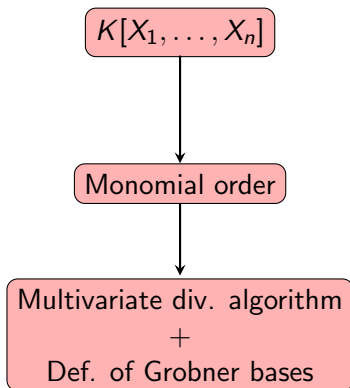
Outline: Grobner theory

$$K[X_1, \dots, X_n]$$

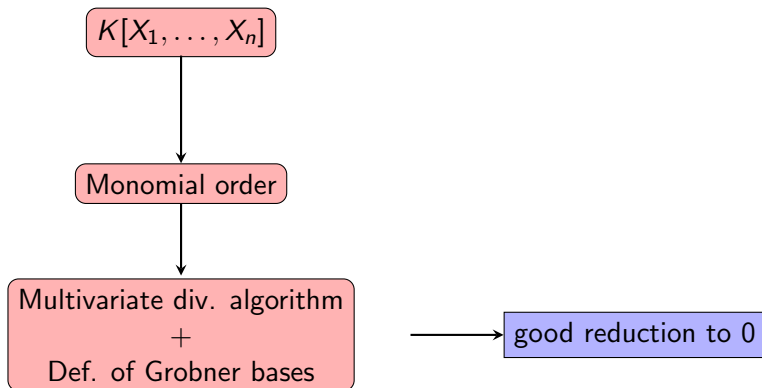
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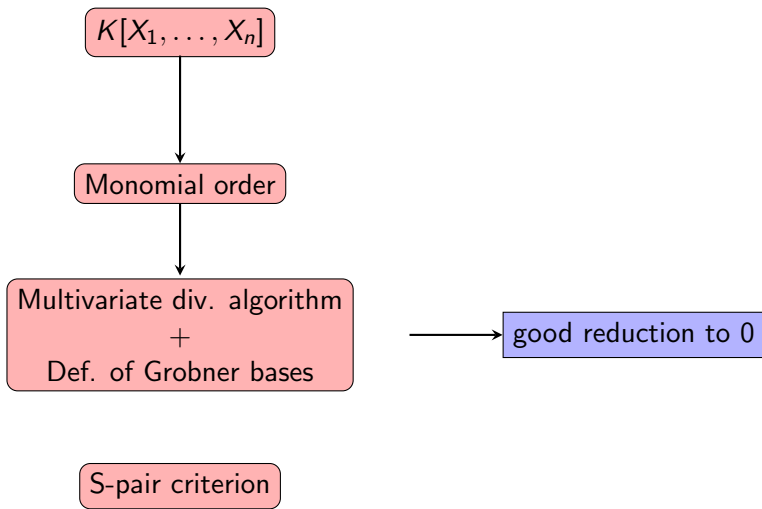
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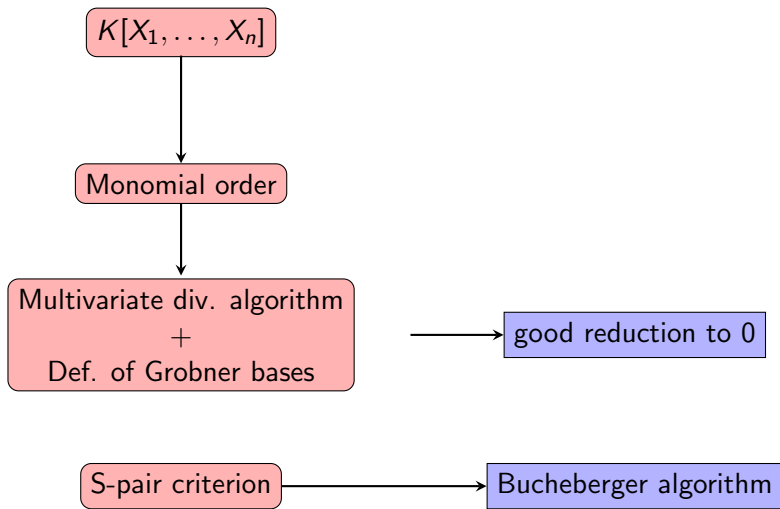
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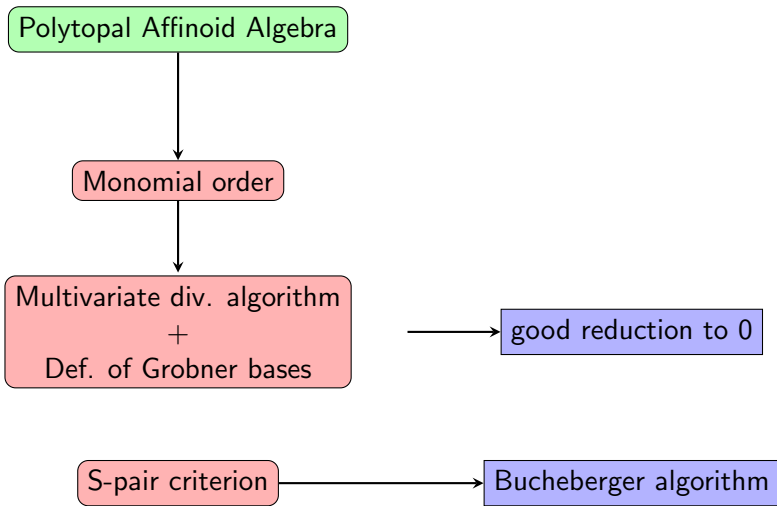
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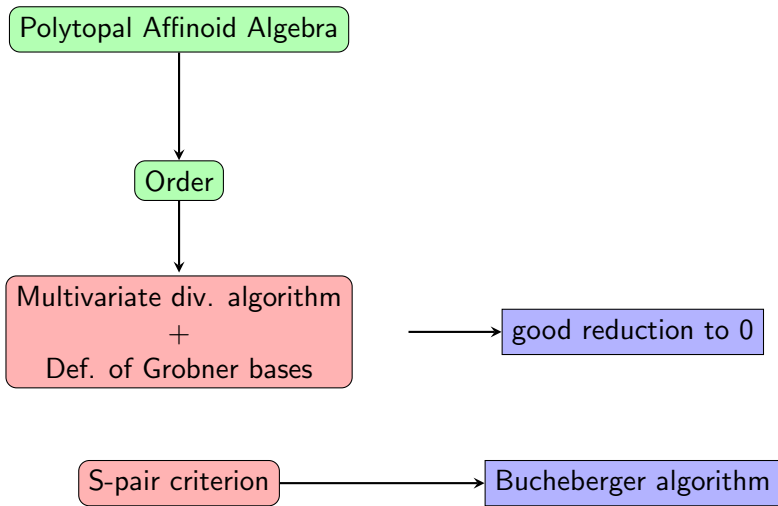
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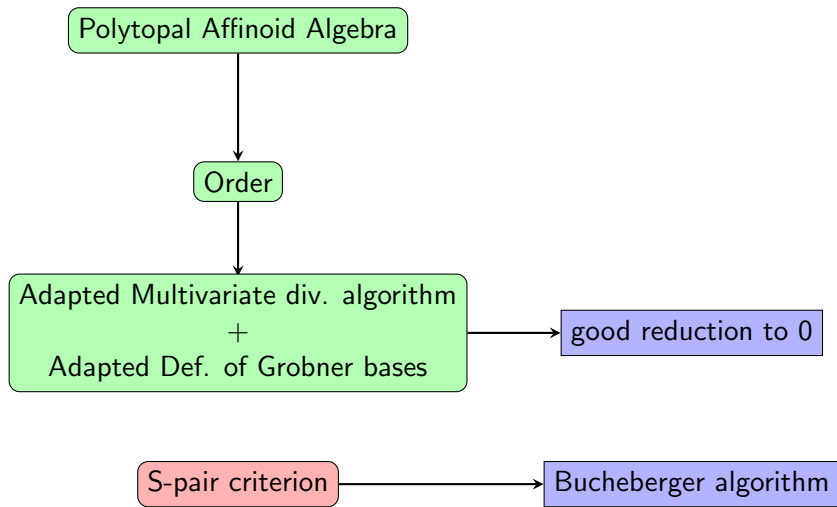
Outline: Grobner theory for polytopal affinoid algebras



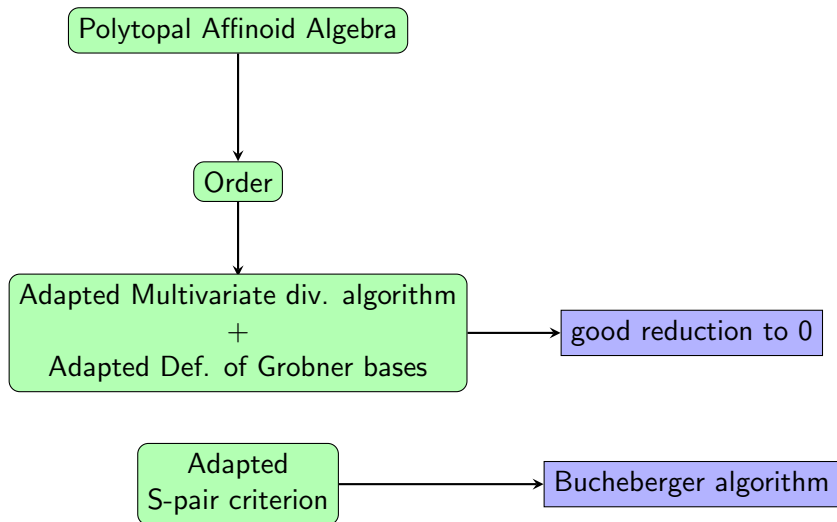
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Non-archimedean setting

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Notations :

- $n \in \mathbb{N}^*$
- $\mathbf{X} := (X_1, \dots, X_n)$
- $u \in \mathbb{Z}^n$, $\mathbf{X}^u := X_1^{u_1} \dots X_n^{u_n}$

Non-archimedean setting

A series $\sum_{i=0}^{+\infty} a_i$ is convergent if and only if $\text{val}(a_i) \rightarrow +\infty$.

Polytopal affinoid algebras

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$\text{val}_P(f)$ is the minimum valuation reached by f on $\text{val}^{-1}(P)$.

Motivations behind PAA

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	Tropicalisation
ideals in $K[X_1^{\pm 1}, \dots, X_n^{\pm 1}]$	Polyhedral complex in \mathbb{R}^n
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- Can we use Grobner bases for PAA to demonstrate the existence of "tropical bases" for ideals in $K\{\mathbf{X}; P\}$?

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Assume such an order exists. Take any $a \neq 0$. By (1), $0 < a$, and by (2) $-a < 0$, contradiction. □

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But we can use "generalized monomial order" as defined by Pauer-Unterkircher in:

PU:1999

Generalized monomial order

Put $T := \{\mathbf{X}^u, u \in \mathbb{Z}^n\}$.

Definition

A conic decomposition of T is a finite family $(T_i)_{i \in I}$ of finitely generated submonoids of T such that

- 1 for each i , the only invertible element in the monoid T_i is 1 and the group generated by T_i is T .
- 2 the union of all the T_i 's equal T

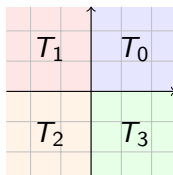
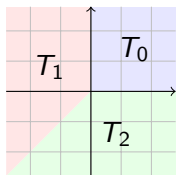


Figure: Conic decompositions for $n = 2$

Generalized monomial order

Definition

Let $(T_i)_{i \in I}$ be a conic decomposition of T . A generalized monomial order (or g.m.o) on T for the decomposition $(T_i)_{i \in I}$ is a total order $<$ on T such that

- ① $\forall t \in T, 1 \leq t$
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For $f \in K[\mathbf{X}^{\pm 1}], K\{\mathbf{X}; P\} \dots$ and $t \in T$, we generally have :

$$\text{lt}(tf) \neq t\text{lt}(f)$$

The compatibility condition implies ...

Definition

For $i \in I$ define $T_i(f) := \{t \in T, \text{lm}(tf) \in T_i\}$.

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Take $i \in I, f \in K\{\mathbf{X}; P\}, u, v \in T_i(f)$.

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Take $i \in I$, $f \in K\{\mathbf{X}; P\}$, $u, v \in T_i(f)$.

Lemma

Write $\text{lm}(uf) = ut_u \in T_i$ and $\text{lm}(vf) = vt_v \in T_i$ for some monomials t_u, t_v of f . Then $t_u = t_v$.

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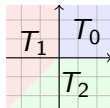
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Definition

For $t \in T_i(f)$, define $\text{lm}_i(f) := \text{lm}(tf)t^{-1}$.

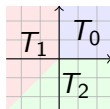
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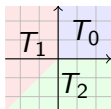
We say that $(x_1, y_1) \leq (x_2, y_2)$ if :

$$(-\min(0, x_1, y_1) \leq -\min(0, x_2, y_2)) \text{ or}$$

$$(-\min(x_1, y_1) = -\min(x_2, y_2) \text{ and } (x_1, y_1) \leq_{lex} (x_2, y_2))$$

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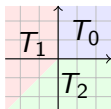
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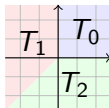
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- $xy^{-2} > x^{-1}y^{-2} > x^{-2}y^{-2} > y^2$,
- $\text{lm}_0(f) = \text{lm}_2(f) = \text{lm}(f) = xy^{-2}$
- $\text{lm}_1(f) = x^{-2}y^{-2}$

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$$2 = 2 = 2 < 6 = 6 < 8 \dots$$

Grobner basis

Fix a g.m.o \leq for a conic decomposition $(T_i)_{i \in I}$.

Let J be an ideal in $K\{\mathbf{X}; P\}$ and G be a finite subset of $J \setminus \{0\}$.

We always have the containment:

$$\{\text{lm}(f), f \in J\} \supset \bigcup_{g \in G} \{\text{lm}(tg), t \in T\} = \bigcup_{g \in G, i \in I} T_i(g) \text{lm}_i(g)$$

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Definition

We say that G is a Gröbner basis of J when:

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Multivariate division algorithm in $K\{\mathbf{X}; P\}$

input : $f, g_1, \dots, g_m \in K\{\mathbf{X}; P\}$

output: q_1, \dots, q_m, r

1 $q_1, \dots, q_m, r \leftarrow 0;$

2 **while** $f \neq 0$ **do**

3 **while** $\exists (i, j) \in I \times [1, m]$ such that $\text{lm} \left(\frac{\text{lm}(f)}{\text{lm}_i(g_j)} g_j \right) = \text{lm}(f)$ **do**

4 $t \leftarrow \frac{\text{lt}(f)}{\text{lt}_i(g_j)};$

5 $q_j \leftarrow q_j + t;$

6 $f \leftarrow f - tg_j;$

7 $r \leftarrow r + \text{lt}(f)$

8 $f \leftarrow f - \text{lt}(f);$

9 **return** $q_1, \dots, q_m, r \in K\{\mathbf{X}; P\}$

Multivariate division algorithm in $K\{\mathbf{X}; P\}$

input : $f, g_1, \dots, g_m \in K\{\mathbf{X}; P\}$

output: q_1, \dots, q_m, r

```
1  $q_1, \dots, q_m, r \leftarrow 0;$ 
2 while  $f \neq 0$  do
3   while  $\exists (i, j) \in I \times [1, m]$  such that  $\text{lm} \left( \frac{\text{lm}(f)}{\text{lm}_i(g_j)} g_j \right) = \text{lm}(f)$  do
4      $t \leftarrow \frac{\text{lt}(f)}{\text{lt}_i(g_j)};$ 
5      $q_j \leftarrow q_j + t;$ 
6      $f \leftarrow f - t g_j;$ 
7    $r \leftarrow r + \text{lt}(f)$ 
8    $f \leftarrow f - \text{lt}(f);$ 
9 return  $q_1, \dots, q_m, r \in K\{\mathbf{X}; P\}$ 
```

• $f = \sum q_j g_j + r$

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4      $t \leftarrow \frac{\text{lt}(f)}{\text{lt}_i(g_j)};$ 
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- $f = \sum q_j g_j + r$
- for all monomial t in r , $t \notin \bigcup_{i \in I, g \in G} T_i(g) \text{Im}_i(g)$

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Multivariate division algorithm in $K\{\mathbf{X}; P\}$

input : $f, g_1, \dots, g_m \in K\{\mathbf{X}; P\}$

output: q_1, \dots, q_m, r

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2 while  $f \neq 0$  do
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S-pairs: definition

Take $i \in I, f, g \in K\{\mathbf{X}; P\}$.

Lemma

The T_i -module

$$\text{Im}_i(f)T_i(f) \cap \text{Im}_i(g)T_i(g)$$

is finitely generated.

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Let $U(i, f, g)$ be a finite system of generator and $v \in U(i, f, g)$.

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Let $U(i, f, g)$ be a finite system of generator and $v \in U(i, f, g)$.

Definition (S-pair)

$$S(i, f, g, v) := \text{lc}_i(g) \frac{v}{\text{Im}_i(f)} f - \text{lc}_i(f) \frac{v}{\text{Im}_i(g)} g.$$

S-pairs criterion

Let $h_1, \dots, h_m \in K\{\mathbf{X}; P\}$ and $i \in I$. For $1 \leq j \leq m-1$, let $U(j, h_j, h_{j+1})$ be a finite system of generators. Suppose that there are terms $\{t_1, \dots, t_m\}$, $u \in T_i$ and $c \in \text{val}(K^\times)$ such that

- for all $j \in \{1, \dots, m\}$, $\text{lt}(t_j h_j) = c_j u$ with $\text{val}(c_j) = c$
- $\text{lt}(\sum_{i=1}^m t_j h_j) < c_1 u$.

Then there are elements $d_j \in K$, $v_j \in U(i, h_j, h_{j+1})$ for $1 \leq j \leq m-1$ and $t'_m \in K\{\mathbf{X}; P\}$ such that:

- ① $\sum_{j=1}^m t_j h_j = \sum_{j=1}^{m-1} d_j \frac{u}{v_j} S(i, h_j, h_{j+1}, v_j) + t'_m h_m$.
- ② $\text{val}_P(t'_m h_m) > \text{val}_P(uc_1)$.
- ③ $\frac{u}{v_j} \in T_i$ for all $j < m$.
- ④ For all $j < m$, $\text{val}(d_j |c_i(h_j)|c_i(h_{j+1})) \geq c$.

Buchberger algorithm in $K\{\mathbf{X}; P\}$

input : $J = (h_1, \dots, h_m)$ an ideal of $K\{\mathbf{X}; P\}$

output: a Gröbner basis of J

```
1  $H \leftarrow \{h_1, \dots, h_m\}; B \leftarrow \{(h_i, h_j), 1 \leq i < j \leq m\}$ 
2 while  $B \neq \emptyset$  do
3    $(f, g) \leftarrow$  element of  $B; B \leftarrow B \setminus \{(f, g)\};$ 
4   for  $i \in I$  do
5      $U(i, f, g) \leftarrow$  finite set of generators of
6        $\text{Im}_i(f)T_i(f) \cap \text{Im}_i(g)T_i(g);$ 
7     for  $v \in U(i, f, g)$  do
8        $\rightarrow, r \leftarrow$  division $(S(i, f, g, v), H);$ 
9       if  $r \neq 0$  then
10         $B \leftarrow B \cup \{(h, r), h \in H\};$ 
11         $H \leftarrow H \cup \{r\}$ 
12 return  $H$ 
```

Example

- $T_0 := \{X^k, k \in \mathbb{N}^n\}$
- for $1 \leq j \leq n$ let T_j be the monoid generated by $\{X_1^{-1} \dots X_n^{-1}\} \cup \{X_1, \dots, \hat{X}_j, \dots, X_n\}$

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Theorem

For all $f, g \in K\{\mathbf{X}; P\}$ and $i \in I$, $U(i, f, g)$ is monogenous as a T_i -module.