



# Scattering, random phase and wave turbulence

Turbulent · e · s

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**Antoine Mouzard**

Joint work with Erwan Faou

DMA

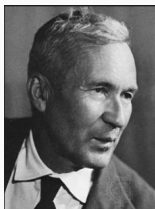
1. **Nonlinear waves and turbulence**
2. **Deterministic initial data and CR**
3. **Random phase and WKE**

# Nonlinear waves and turbulence

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# Kolmogorov 1903 – 1987

“I became interested in turbulent liquid and gas flows at the end of the thirties. From the very beginning it was clear that the theory of random functions of many variables (**random fields**), whose development only started at that time, must be the underlying mathematical technique. Moreover, I soon understood that there was little hope of developing a pure, closed theory, and because of the absence of such a theory the investigation must be based on hypotheses obtaining in processing experimental data.”

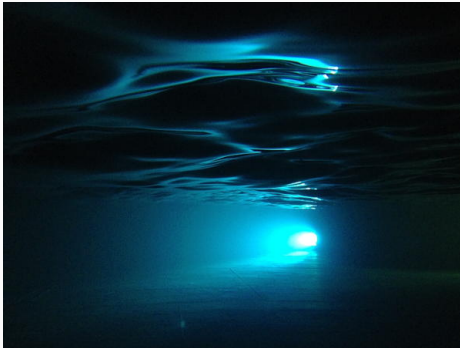


**FOUNDATIONS  
OF THE  
THEORY OF PROBABILITY**

**BY**

**A.N. KOLMOGOROV**

# Wave Turbulence (N. Mordant)



## Boltzmann's statistical description for waves

Boltzmann's kinetic theory : interaction of  $N \gg 1$  particles of size  $\varepsilon \ll 1$  in the scaling  $N\varepsilon^{d-1} \sim 1$

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$$\partial_t n = \mathcal{T}(n) \quad (\text{WKE})$$

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- Which interactions ?
- How to quantify the number of wave ?
- Randomness and statistical description ?
- Solution to the kinetic equation ?



Theory : turbulent cascade, KZ spectrum, large deviations ...

Experiments : shape of the boxes, dispersive relation, forcing ...

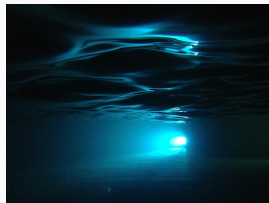
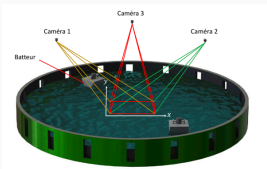
Numerics : simulations for understanding and predictions

# Physical ideas

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Experiments : shape of the boxes, dispersive relation, forcing ...

Numerics : simulations for understanding and predictions



# Mathematical ideas for cubic NLS

- Growth of  $H^s$  norm and **turbulent cascade**

$$\|u\|_{L^2(\mathbb{T}^d)}^2 = \sum_{K \in \mathbb{Z}} |u_K|^2 \quad \text{and} \quad \|u\|_{H^s(\mathbb{T}^d)}^2 = \sum_{K \in \mathbb{Z}} \langle K \rangle^s |u_K|^2$$

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- Wave Kinetic Equation for  $\eta_K := \mathbb{E}[|u_K|^2]$  with  $K \in \mathbb{Z}_L^d := \frac{1}{L}\mathbb{Z}^d$

$$i\partial_t \eta_k = \int_{\substack{k=k_1-k_2+k_3 \\ |k|^2=|k_1|^2-|k_2|^2+|k_3|^2}} n_k n_{k_1} n_{k_2} n_{k_3} \left( \frac{1}{n_k} - \frac{1}{n_{k_1}} + \frac{1}{n_{k_2}} - \frac{1}{n_{k_3}} \right)$$

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- Continuous Resonant equation by Faou, Germain and Hani

$$i\partial_t v = \lambda \sum_{\substack{K=K_1-K_2+K_3 \\ |K|^2=|K_1|^2-|K_2|^2+|K_3|^2}} v_{K_1} \overline{v_{K_2}} v_{K_3}$$

indexed by  $K_1, K_2, K_3 \in \mathbb{Z}_L^d$

# Random initial data

Statistical description for **random initial data**

- Random Phase (RP) :

$$\varphi(x) = \sum_{K \in \mathbb{Z}^d} \eta_K e^{i\theta_K} e^{iK \cdot x}$$

with  $(\theta_K)_{K \in \mathbb{Z}^d}$  i.i.d. uniform random variables on  $[0, 2\pi]$

- Random Phase and Amplitudes (RPA) :

$$\varphi(x) = \sum_{K \in \mathbb{Z}^d} \eta_K \xi_K e^{iK \cdot x}$$

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→ **Propagation of chaos** : correlations at  $t > 0$  ?

≠ dynamic at the equilibrium given by **invariant Gibbs measure**



## Wave Kinetic Equation and Deng/Hani

Solve the equation

$$i\partial_t u = \Delta u + \lambda |u|^2 u \quad (\text{NLS})$$

on the large torus  $\mathbb{T}_L^d$  with  $L \gg 1$  and  $\lambda \ll 1$  for  $d \geq 3$

→ random initial data of the form

$$u_L(x) = L^{-\frac{d}{2}} \sum_{K \in \mathbb{Z}_L^2} \eta(K) \xi_K e^{iK \cdot x}$$

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$v(t) = e^{-it\Delta} u(t)$  gives in frequency

$$i\partial_t v_K = \lambda \sum_{K=K_1-K_2+K_3} e^{i\omega t} v_{K_1} \overline{v_{K_2}} v_{K_3}$$

with  $K_1, K_2, K_3 \in \mathbb{Z}_L^2$  and  $\omega = |K|^2 + |K_2|^2 - |K_1|^2 - |K_3|^2$

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→ In the limit  $L \gg 1$  and  $\lambda \ll 1$ , **effective resonant system**

$$i\partial_t v_K = \lambda \sum_{\substack{K=K_1-K_2+K_3 \\ |K|^2=|K_1|^2-|K_2|^2+|K_3|^2}} v_{K_1} \overline{v_{K_2}} v_{K_3}$$

using normal form and number theory with  $\lambda L \ll 1$

## CR as the effect of exact resonances [FGH]

The trilinear discrete sum

$$\mathcal{T}_K^L(f, g, h) = \sum_{\substack{K=K_1-K_2+K_3 \\ |K|^2=|K_1|^2-|K_2|^2+|K_3|^2}} f_{K_1} g_{K_2} h_{K_3}$$

is of order

$$\frac{2}{\zeta(2)} L^2 \log(L) \int_{|K|^2=|k_1|^2-|k_2|^2+|k_3|^2} f_{k_1} g_{k_2} h_{k_3}$$

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The long time behavior of NLS in the limit  $\lambda \ll 1$  and  $L \gg 1$  with a scaling can be described by the **Continuous Resonance equation**

$$i\partial_t g = \mathcal{R}(g, g, g) \quad (\text{CR})$$

as an equation on the full space  $\mathbb{R}^2$

## **Deterministic initial data and CR**

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## New family of random initial data



$L \gg 1$  is the period of the oscillating function

$h \ll 1$  is the spatial truncation parameter

Observation of a **large number of waves** under the scaling  $hL \ll 1$

$$\varphi(x) = \frac{1}{(2\pi)^2} \sum_{K \in \mathbb{Z}_L^2} \eta_K e^{iK \cdot x} e^{-\frac{1}{2}h^2|x|^2} = e^{-\frac{1}{2}h^2|x|^2} F_L(x)$$

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**Can we observe wave turbulence in the limit ?**

# Nonlinear Schrödinger equation

The equation

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and in the **new variable**  $v(t) := e^{-it\Delta} u(t)$

$$i\partial_t v_k = \int_{k=\ell-m+j} e^{-it\Delta\omega_{k\ell m j}} v_\ell \overline{v_m} v_j =: R_k(t, v, v, v)$$

with the resonance relation

$$\Delta\omega_{k\ell m j} = |k|^2 - |\ell|^2 + |m|^2 - |j|^2$$

## Scattering and resonant manifold

Scattering is a linear behavior for large time of the solution

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using the co-area formula

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using the co-area formula thus related to

$$S_k(\xi) = \{(\ell, m, j) \in \mathbb{R}^3 ; k = \ell - m + j \quad \text{and} \quad \Delta\omega_{k\ell m j} = \xi\}$$

## Scattering for NLS

The nonlinear Schrödinger equation scatters for initial data in

$$\Sigma = \{\varphi(x) \in H^1(\mathbb{R}^2) ; |x|\varphi(x) \in L^2(\mathbb{R}^2)\}$$

Carles and Gallagher proved that the scattering operator is analytic in  $\Sigma$ , that is for initial data  $\varphi$  the solution satisfies

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with  $\|V^n\|_{\Sigma} \lesssim \|\varphi\|_{\Sigma}^{2n+1}$ ,  $V_k^1(t) = \int_0^t R_k(s, \varphi, \varphi, \varphi) ds$  and

$$\begin{aligned} V_k^2(t) &= 2 \int_0^t \int_0^s R_k(s, \varphi, \varphi, R(s', \varphi, \varphi, \varphi)) ds' ds \\ &\quad + \int_0^t \int_0^s R_k(s, \varphi, R(s', \varphi, \varphi, \varphi), \varphi) ds' ds \end{aligned}$$



## Back to our initial data

The function  $\varphi$  is a  $2\pi L$ -periodic function embedded in  $\Sigma$  by Gaussian truncation and

$$\|\varphi\|_{\Sigma} \lesssim \frac{L}{h^2}$$

thus for  $u(0) = \epsilon\varphi$  with  $\epsilon \ll \frac{L}{h^2}$ , we have

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Can we obtain a limit for

$$V^1(t, \varphi) = \int_0^t e^{-is\Delta} (|e^{is\Delta}\varphi|^2 e^{is\Delta}\varphi) ds$$

in a suitable timeframe depending on the parameters ?

## Coarse grained observables

In frequency, the initial data

$$\varphi_k = \frac{1}{2\pi h^2} \sum_{K \in \mathbb{Z}_L^2} \eta_K e^{-\frac{1}{2h^2}|k-K|^2} \xrightarrow{h \rightarrow 0} \sum_{K \in \mathbb{Z}_L^2} \eta_K \delta_0(k - K)$$

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hence we consider observable

$$\langle v \rangle_{K, \sigma} = \int_{\mathbb{R}^2} e^{-\frac{1}{2\sigma^2}|k-K|^2} \widehat{v}(k) dk$$

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$$\int_{\mathbb{R}^2} e^{-\frac{1}{2\sigma^2}|k-K|^2} \widehat{g}_{K_1,h}(k) dk \simeq e^{-\frac{1}{2\sigma^2}|K_1-K|^2} \simeq \mathbb{1}_{K=K_1}$$

hence

$$\langle \varphi \rangle_{K,\sigma} \simeq \eta_K$$

## Identifying the first order term

For  $t \ll \frac{1}{\epsilon^2}$ , we have

$$(e^{it\Delta} g_{K,\epsilon})(x) \simeq \frac{1}{(2\pi)^2} e^{-\frac{\epsilon^2}{2}|x|^2 + ix \cdot K - it|K|^2} = g_{K,\epsilon}(x) e^{-it|K|^2}$$

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$$\begin{aligned} \langle V^1 \rangle_{K,\sigma} &= \int_0^t \int_{\mathbb{R}^2} e^{-\frac{1}{2\sigma^2}|k-K|^2} \mathcal{F} \left( e^{-is\Delta} (|e^{is\Delta} \varphi|^2 e^{is\Delta} \varphi) \right) (k) dk ds \\ &\simeq \frac{1}{(2\pi)^4} \sum_{K=K_1-K_2+K_3} \eta_{K_1} \overline{\eta_{K_2}} \eta_{K_3} \int_0^t e^{-is(|K|^2 - |K_1|^2 + |K_2|^2 - |K_3|^2)} ds \end{aligned}$$

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thus

$$\langle v(t) \rangle_{K,\sigma} \simeq \varepsilon \varphi_K - i \frac{\epsilon^3}{(2\pi)^4} \sum_{K=K_1-K_2+K_3} \eta_{K_1} \overline{\eta_{K_2}} \eta_{K_3} \frac{1 - e^{-it\Delta\omega_{KK_1K_2K_3}}}{i\Delta\omega_{KK_1K_2K_3}}$$



## Limit to the kinetic operator CR

For  $t \leq L$ , we have a convergent Riemann sum

$$\begin{aligned} & \lim_{L \rightarrow \infty} \frac{1}{L^4} \sum_{K=K_1-K_2+K_3} \eta_{K_1} \overline{\eta_{K_2}} \eta_{K_3} \frac{1 - e^{-it\Delta\omega_{KK_1K_2K_3}}}{i\Delta\omega_{KK_1K_2K_3}} \\ &= \int_{K=k_1-k_2+k_3} \eta(k_1) \overline{\eta(k_2)} \eta(k_3) \frac{1 - e^{-it\Delta\omega_{Kk_1k_2k_3}}}{i\Delta\omega_{Kk_1k_2k_3}} dk_1 dk_2 dk_3 \\ &= \int_{\substack{K=k_1-k_2+k_3 \\ \Delta\omega_{Kk_1k_2k_3}=0}} \eta(k_1) \overline{\eta(k_2)} \eta(k_3) dk_1 dk_2 dk_3 + o(t^{-1}) \end{aligned}$$

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→ open problem for  $L \leq t \ll L^2$ , this is number theory

## Limit to the kinetic operator CR

For  $t \leq L$ , we have a convergent Riemann sum

$$\begin{aligned} & \lim_{L \rightarrow \infty} \frac{1}{L^4} \sum_{K=K_1-K_2+K_3} \eta_{K_1} \overline{\eta_{K_2}} \eta_{K_3} \frac{1 - e^{-it\Delta\omega_{KK_1K_2K_3}}}{i\Delta\omega_{KK_1K_2K_3}} \\ &= \int_{K=k_1-k_2+k_3} \eta(k_1) \overline{\eta(k_2)} \eta(k_3) \frac{1 - e^{-it\Delta\omega_{Kk_1k_2k_3}}}{i\Delta\omega_{Kk_1k_2k_3}} dk_1 dk_2 dk_3 \\ &= \int_{\substack{K=k_1-k_2+k_3 \\ \Delta\omega_{Kk_1k_2k_3}=0}} \eta(k_1) \overline{\eta(k_2)} \eta(k_3) dk_1 dk_2 dk_3 + o(t^{-1}) \end{aligned}$$

→ open problem for  $L \leq t \ll L^2$ , this is number theory

$$\langle v(t) \rangle_{K,\sigma} \simeq \varepsilon \varphi_K - i \frac{\varepsilon^3 L^4}{(2\pi)^4} \mathcal{R}_K(\eta)$$

with  $\mathcal{R}$  from Faou, Germain and Hani

## Limit to the kinetic operator CR

For all time  $t \in \mathbb{R}$ , we have

$$\left| \sum_{\substack{K=K_1-K_2+K_3 \\ \Delta\omega_{KK_1K_2K_3} \neq 0}} \eta_{K_1} \overline{\eta_{K_2}} \eta_{K_3} \frac{1 - e^{-it\Delta\omega_{KK_1K_2K_3}}}{i\Delta\omega_{KK_1K_2K_3}} \right| \leq L^{4+\delta}$$

for any  $\delta > 0$

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for any  $\delta > 0$  while

$$\lim_{L \rightarrow \infty} \frac{\zeta(2)}{2tL^2 \log(L)} \sum_{\substack{K=K_1-K_2+K_3 \\ \Delta\omega_{KK_1K_2K_3} \neq 0}} \eta_{K_1} \overline{\eta_{K_2}} \eta_{K_3} \frac{1 - e^{-it\Delta\omega_{KK_1K_2K_3}}}{i\Delta\omega_{KK_1K_2K_3}} = \mathcal{R}_K(\eta)$$

as proved by Faou, Germain and Hani

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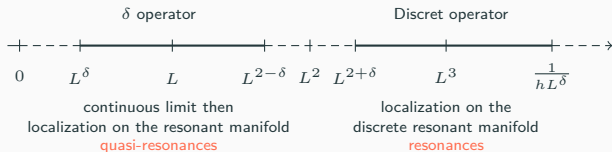
for any  $\delta > 0$  while

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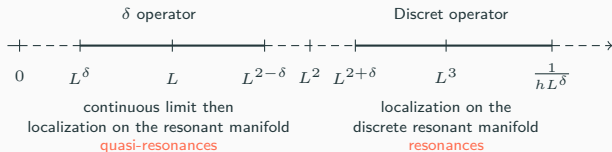
as proved by Faou, Germain and Hani thus

$$\langle v(t) \rangle_{K,\sigma} \simeq \varepsilon \varphi_K - i \frac{2t\epsilon^3 L^2 \log(L)}{\zeta(2)(2\pi)^4} \mathcal{R}_K(\eta)$$

# General picture



# General picture



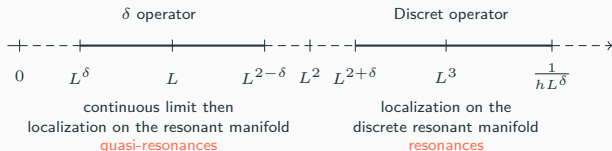
For  $L^\delta \leq t \leq L^{1-\delta}$ , we have

$$\langle v(t) \rangle_{K,\sigma} = \varepsilon \varphi_K - i \frac{\varepsilon^3 L^4}{(2\pi)^4} \mathcal{R}_K(\eta) + o(\varepsilon^3 L^4)$$





# General picture



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$$\langle v(t) \rangle_{K,\sigma} = \varepsilon \varphi_K - i \frac{\varepsilon^3 L^4}{(2\pi)^4} \mathcal{R}_K(\eta) + o(\varepsilon^3 L^4)$$

For  $L^{2+\delta} \leq t \leq \frac{1}{hL^\delta}$ , we have

$$\langle v(t) \rangle_{K,\sigma} = \varepsilon \varphi_K - i \frac{2t\varepsilon^3 L^2 \log(L)}{\zeta(2)(2\pi)^4} \mathcal{R}_K(\eta) + o(\varepsilon^3 t L^2 \log(L))$$

scaling :  $hL^4 \ll 1$  and  $hL \ll \sigma \leq h^{\frac{3}{4}}$

## Random phase and WKE

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## Randomization of the initial data

We consider

$$\varphi(x) = \frac{1}{(2\pi)^2} \sum_{K \in \mathbb{Z}_L^2} \eta_K e^{i\theta_K} e^{iK \cdot x} e^{-\frac{1}{2}h^2|x|^2} = e^{-\frac{1}{2}h^2|x|^2} F_L(x)$$

with  $(\theta_K)_{K \in \mathbb{Z}_L^2}$  i.i.d. uniform random variables on  $[0, 2\pi]$ , that is  
**Random Phase**

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$$\mathcal{T}_k(n) = \int_{\substack{k=k_1-k_2+k_3 \\ \Delta\omega_{kk_1k_2k_3}=0}} n(k)n(k_1)n(k_2)n(k_3) \left( \frac{1}{n(k)} - \frac{1}{n(k_1)} + \frac{1}{n(k_2)} - \frac{1}{n(k_3)} \right) dk_1 dk_2 dk_3$$

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Can we observe the **Wave Kinetic Equation** in the description of

$$\mathbb{E}[|\langle v(t) \rangle_{K,\sigma}|^2] ?$$

## Second order expansion

The expansion

$$\langle v(t) \rangle_{K,\sigma} = \varepsilon \varphi_K - i\varepsilon^3 V_K^1(t) - \varepsilon^5 V_K^2(t) + \mathcal{O}(\varepsilon^7)$$

gives

$$\mathbb{E}[|\langle v(t) \rangle_{K,\sigma}|^2] = \varepsilon^2 E_{K,\sigma}^0(t, \varphi) + \varepsilon^4 E_{K,\sigma}^1(t, \varphi) + \varepsilon^6 E_{K,\sigma}^2(t, \varphi) + \mathcal{O}(\varepsilon^8)$$

with

$$E_{K,\sigma}^0(t, \varphi) = \mathbb{E}[|\langle \varphi \rangle_{K,\sigma}|^2]$$

$$E_{K,\sigma}^1(t, \varphi) = 2\mathbb{E}[\operatorname{Re}(\langle v \rangle_{K,\sigma} \overline{\langle V^1 \rangle_{K,\sigma}})]$$

$$E_{K,\sigma}^2(t, \varphi) = \mathbb{E}[|\langle V^1 \rangle_{K,\sigma}|^2] + 2\mathbb{E}[\operatorname{Re}(\langle v \rangle_{K,\sigma} \overline{\langle V^2 \rangle_{K,\sigma}})]$$

## Identifying the second order term

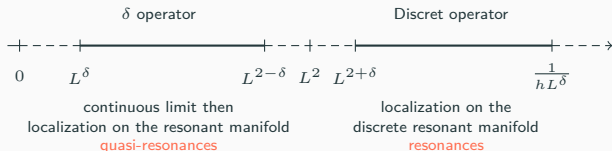
With the same type of computations, we get

$$\begin{aligned} \langle V^2(t) \rangle_{K,\sigma} &\simeq 2 \sum_{\substack{K=K_1-K_2+K_3 \\ K_1=K_4-K_5+K_6}} \eta_{K_4} \overline{\eta_{K_5}} \eta_{K_6} \overline{\eta_{K_2}} \eta_{K_3} \\ &\quad \times \int_0^t \int_0^s e^{is\Delta\omega_{K_1K_2K_3}} e^{-is'\Delta\omega_{K_1K_4K_5K_6}} ds' ds \\ &+ \sum_{\substack{K=K_1-K_2+K_3 \\ K_2=K_4-K_5+K_6}} \eta_{K_1} \overline{\eta_{K_4}} \eta_{K_5} \overline{\eta_{K_6}} \eta_{K_3} \\ &\quad \times \int_0^t \int_0^s e^{is\Delta\omega_{K_1K_2K_3}} e^{-is'\Delta\omega_{K_2K_4K_5K_6}} ds' ds \end{aligned}$$

which gives the three missing terms to get from CR to WKE



# General picture



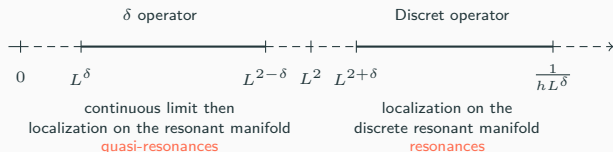
For  $L^\delta \leq t \leq L^{2-\delta}$ , we have

$$\mathbb{E}[|\langle v(t) \rangle_{K,\sigma}|^2] = \varepsilon^2 |\varphi_K|^2 + \frac{t\varepsilon^6 L^4}{(2\pi)^8} \mathcal{T}_K(\eta) + o(t\varepsilon^6 L^4)$$

For  $L^{2+\delta} \leq t \leq \frac{1}{hL^\delta}$ , we have

$$\mathbb{E}[|\langle v(t) \rangle_{K,\sigma}|^2] = \varepsilon^2 |\varphi_K|^2 + \frac{2t^2 \varepsilon^6 L^2 \log(L)}{\zeta(2)(2\pi)^8} \mathcal{T}_K(\eta) + o(t^2 \varepsilon^6 t L^2 \log(L))$$

# General picture



For  $L^\delta \leq t \leq L^{2-\delta}$ , we have

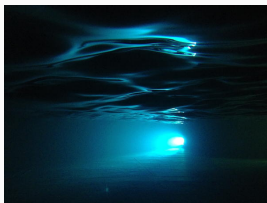
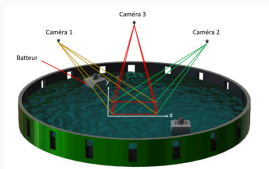
$$\mathbb{E}[|\langle v(t) \rangle_{K,\sigma}|^2] = \varepsilon^2 |\varphi_K|^2 + \frac{t\varepsilon^6 L^4}{(2\pi)^8} \mathcal{T}_K(\eta) + o(t\varepsilon^6 L^4)$$

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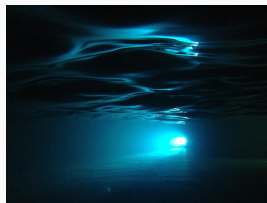
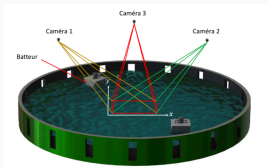
and  $\mathbb{E}\left[\langle v(t) \rangle_{K,\sigma} \overline{\langle v(t) \rangle_{K',\sigma}}\right] \simeq 0$  for  $K \neq K'$  (propagation of chaos)

# What's next ?



- Forcing and dissipation (with Erwan Faou)
- Numeric simulations (with Quentin Chauleur)
- Other equations
- Law of the solution

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**Thanks for you attention !**