

(Non-)unitarity of massless higher spin fermions in de Sitter space

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Motivation to study field theory in de Sitter spacetime

- D -dimensional de Sitter spacetime (dS_D) \rightarrow maximally symmetric solution of vacuum Einstein equations

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R + \Lambda g_{\mu\nu} = 0$$

with positive cosmological constant $\Lambda = \frac{(D-2)(D-1)}{2\mathcal{R}^2}$

- \mathcal{R} is the 'de Sitter radius'
- Relevant to inflationary cosmology and late-time cosmology.

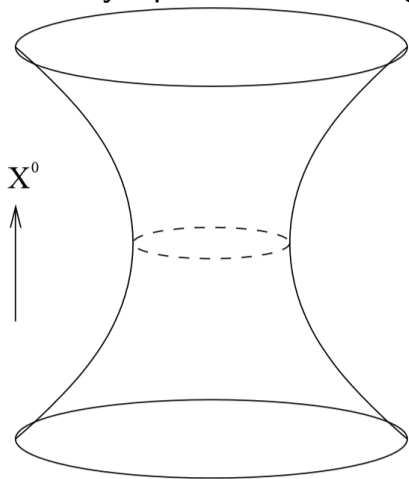
- dS_D can be represented as one-sheeted hyperboloid embedded in $\mathbb{R}^{D,1}$

$$-(X^0)^2 + (X^1)^2 + \dots + (X^D)^2 = \mathcal{R}^2$$

- Often work in units with $\mathcal{R} = 1$.
- We can draw dS_2 embedded in 3D Minkowski

2D dS manifold embedded in 3D Minkowski

(Figure taken from 'Les Houches Lectures on de Sitter Space' by Spradlin, Strominger and Volovich)



- Hyperboloid picture manifests the **isometry group** $SO(D, 1)$ (**dS group**)
- dS group has $D(D + 1)/2$ **generators** (max. number of Killing vectors).
- Let us denote the dS algebra as $spin(D, 1)$

- Following Wigner's classification in D -dimensional Minkowski spacetime, we expect:
Elementary particles in $dS_D \rightarrow$ UIR's of the de Sitter algebra $\text{spin}(D, 1)$.

- Study **realizations of UIRs of $\text{spin}(D, 1)$** on the classical solution space? \sim (i.e. one-particle Hilbert space from QFT viewpoint)
Which free theories are unitary?
- Known for the case of bosons with arbitrary spin [Higuchi's PhD Thesis and Basile, Bekaert, Boulanger].
- This question has **not** yet been fully **answered for higher-spin $s \geq 3/2$ fermions on dS_D** .

- In this talk:
 - introduce useful rep-theoretic machinery that will uncover new features of spin-3/2 fermions on dS_D and
 - **Main result:**

The representation of $spin(D, 1)$ ($D \geq 3$) corresponding to the massless spin-3/2 field in dS_D is **unitary only for $D = 4$.**

In dS_D , the Rarita-Schwinger equations are:

$$\not{\nabla}\psi_\mu = -M\psi_\mu, \quad \gamma^\mu\psi_\mu = \nabla^\mu\psi_\mu = 0.$$

Gauge invariance occurs for **imaginary M**

$$M^2 = -\frac{(D-2)^2}{4}.$$

For this M , the field ψ_μ is a gauge potential, and we call it **massless**.

- On-shell gauge-invariance manifests itself as:

$$\delta\psi_\mu = \left(\nabla_\mu \pm \frac{i}{2}\gamma_\mu \right) \epsilon$$

where $\not{\nabla}\epsilon = \mp i\frac{D}{2}\epsilon$. (Below choose $M = +i(D-2)/2$.)

Our method consists of three steps:

i) Write down explicitly the **mode solutions** ψ_μ . Choose to work in global coordinates

$$ds^2 = -dt^2 + \cosh^2 t d\Omega_{D-1}^2, \quad (S^{D-1} \text{ spatial slices})$$

ii) Determine the **action of spin($D, 1$) generators** (i.e. Killing vectors ξ) on solutions:

- Use spinorial Lie derivative $\mathbb{L}_\xi \psi_\mu$.

iii) **Definition of unitarity:** Do scalar products with the following properties exist?

- $(\mathbb{L}_\xi \psi, \psi') + (\psi, \mathbb{L}_\xi \psi') = 0$ (dS invariance / anti-hermitian generators)
- positive-definiteness of the norm.

Constructing massless spin-3/2 eigenmodes

- The first step is to construct the eigenmodes in global coordinates.
- $\psi_\mu(t, \boldsymbol{\theta}) = (\psi_t(t, \boldsymbol{\theta}), \psi_{\theta_{D-1}}(t, \boldsymbol{\theta}), \dots, \psi_{\theta_1}(t, \boldsymbol{\theta}))$.
- The eigenmodes can be constructed using **separation of variables** \sim (time dependence) \times (angular dependence).
- To be specific, express eigenmodes on dS_D in terms of (vector-)spinor spherical harmonics on S^{D-1} .

Constructing the gravitino eigenmodes on dS_D - even $D \geq 4$ - physical modes

Case 1: even $D \geq 4$.

Apply **separation of variables**.

Constructing physical modes: Vanishing t -component $\psi_t(t, \theta) = 0$. Separate variables for non-zero components as:

$$\psi_j^{(phys; -\ell, \underline{m})}(t, \theta) = \begin{pmatrix} \phi_\ell(t) \tilde{\psi}_{(-)j}^{(\ell, \underline{m})}(\theta) \\ -i \psi_\ell(t) \tilde{\psi}_{(-)j}^{(\ell, \underline{m})}(\theta) \end{pmatrix},$$

$$\psi_j^{(phys; +\ell, \underline{m})}(t, \theta) = \begin{pmatrix} i \psi_\ell(t) \tilde{\psi}_{(+)j}^{(\ell, \underline{m})}(\theta) \\ -\phi_\ell(t) \tilde{\psi}_{(+)j}^{(\ell, \underline{m})}(\theta) \end{pmatrix},$$

where $\tilde{\psi}_{(-)j}^{(\ell, \underline{m})}, \tilde{\psi}_{(+)j}^{(\ell, \underline{m})}$ are spherical harmonics on S^{D-1} .

Constructing the gravitino eigenmodes on dS_D - even $D \geq 4$ - physical modes

From a representation-theoretic viewpoint: we study $\text{spin}(D, 1)$ representations in the decomposition $\text{spin}(D, 1) \supset \text{spin}(D)$. The $\text{spin}(D)$ content of the representation is understood from the vector-spinor spherical harmonics:

- Two inequivalent vec-spin $\text{spin}(D)$ irreps for even D
- 1) $\tilde{\nabla} \tilde{\psi}_{(+j)}^{(\ell, m)}(\theta) = +i \left(\ell + \frac{D-1}{2} \right) \tilde{\psi}_{(+j)}^{(\ell, m)}$ with highest weight $\vec{f}^+ = \left(\ell + \frac{1}{2}, \frac{3}{2}, \frac{1}{2}, \dots, \frac{1}{2}, +\frac{1}{2} \right)$
- 2) $\tilde{\nabla} \tilde{\psi}_{(-j)}^{(\ell, m)}(\theta) = -i \left(\ell + \frac{D-1}{2} \right) \tilde{\psi}_{(-j)}^{(\ell, m)}$ with highest weight $\vec{f}^- = \left(\ell + \frac{1}{2}, \frac{3}{2}, \frac{1}{2}, \dots, \frac{1}{2}, -\frac{1}{2} \right), \ell = 1, 2, \dots$

Now, we will study the transformation properties under $spin(D, 1)$:

✓ i) Write down explicitly the **gravitino eigenmodes**.

→ ii) Study their **transformation properties** under $spin(D, 1)$ using the **spinorial Lie derivative** (i.e. action of $spin(D, 1)$ generators on eigenmodes)

$$\mathbb{L}_\xi \psi_\mu = \xi^\nu \nabla_\nu \psi_\mu + \xi^\nu \nabla_\mu \psi_\nu + \frac{1}{4} \nabla_\kappa \xi_\lambda \gamma^\kappa \gamma^\lambda \psi_\mu.$$

- Focus on transformation generated by **the boost Killing vector**

$$\xi^\mu \partial_\mu = \cos \theta_{D-1} \frac{\partial}{\partial t} - \tanh t \sin \theta_{D-1} \frac{\partial}{\partial \theta_{D-1}}.$$

- the dS algebra $spin(D, 1)$ is **generated by ξ^μ and the $spin(D)$ Killing vectors** \rightarrow [Boost , Rotation] = Boost'.

After a (very) long calculation, we find:

dS boost on physical modes:

$$\mathbb{L}_\xi \psi_\mu^{(phys; \sigma \underline{\ell}, \underline{m})} = - \underbrace{iM}_{\uparrow real} (D - 4) c_{\underline{\ell}, \underline{m}} \psi_\mu^{(phys; -\sigma \underline{\ell}, \underline{m})} + \dots$$

where $iM = -(D - 2)/2$ and $c_{\underline{\ell}, \underline{m}} \in \mathbb{R} \setminus \{0\}$.

The terms in ‘...’ contain eigenmodes that must always be orthogonal to $\psi_\mu^{(phys; \pm \underline{\ell}, \underline{m})}$ with respect to any dS invariant scalar product (because of different $\text{spin}(D)$ content)

What can we learn from this expression?

For even $D > 4$:

- Under a dS boost, modes with $\sigma = +$ (i.e. $\text{spin}(D)$ content \vec{f}^+) always mix with eigenmodes with $\sigma = -$ (i.e. $\text{spin}(D)$ content \vec{f}^-).

For $D = 4$: The rep. is reducible

- The modes with $\sigma = +$ (**positive helicity**) and the ones with $\sigma = -$ (**negative helicity**) **do not mix under the dS boost**.
- The two sets $\{\psi_\mu^{(phys; -\ell, \underline{m})}\}$ and $\{\psi_\mu^{(phys; +\ell, \underline{m})}\}$ **separately form irreps. of $\text{spin}(4, 1)$.**

Now, we have to **check unitarity**.

✓ i) Write down explicitly the **gravitino eigenmodes**.

✓ ii) Study their **transformation properties** under $spin(D, 1)$.

→ **iii) Unitarity**: Does a scalar product with the following properties exist?

- $(\mathbb{L}_\xi \psi^{(1)}, \psi^{(2)}) + (\psi^{(1)}, \mathbb{L}_\xi \psi^{(2)}) = 0$ (**dS invariance**)
- **positive-definiteness of the norm**.

Check (non-)unitarity - even $D \geq 4$

- Suppose that $(\psi^{(1)}, \psi^{(2)})$ is a **dS invariant scalar product** for the gravitino eigenmodes.
- dS invariance means $(\mathbb{L}_\xi \psi^{(1)}, \psi^{(2)}) + (\psi^{(1)}, \mathbb{L}_\xi \psi^{(2)}) = 0$ for any two modes and any Killing vector ξ .
- Let us apply our transformation formulae for the dS boost.

Check (non-)unitarity - even $D \geq 4$

- Requiring dS invariance of $(\psi^{(\text{phys}; +\ell, \underline{m})}, \psi^{(\text{phys}; -\ell, \underline{m})})$:

$$\begin{aligned} & (\mathbb{L}_\xi \psi^{(\text{phys}; +\ell, \underline{m})}, \psi^{(\text{phys}; -\ell, \underline{m})}) \\ & \quad + (\psi^{(\text{phys}; +\ell, \underline{m})}, \mathbb{L}_\xi \psi^{(\text{phys}; -\ell, \underline{m})}) \stackrel{!}{=} 0 \end{aligned}$$

Use:

$$\mathbb{L}_\xi \psi_\mu^{(\text{phys}; \pm\ell, \underline{m})} = -i M (D - 4) c_{\ell, \underline{m}} \psi_\mu^{(\text{phys}; \mp\ell, \underline{m})} + \dots$$

- We find

$$(D - 4) \times \left(\begin{aligned} &(\psi^{(\mathbf{phys}; -\ell, \underline{m})}, \psi^{(\mathbf{phys}; -\ell, \underline{m})}) \\ &+ (\psi^{(\mathbf{phys}; +\ell, \underline{m})}, \psi^{(\mathbf{phys}; +\ell, \underline{m})}) \end{aligned} \right) \stackrel{!}{=} 0$$

⇒ Negative norms are unavoidable unless $D = 4!$

Conclusion for even $D \geq 4$

- We conclude that for even $D > 4$ the gravitino field theory is not unitary.
- For $D = 4$, the gravitino field is unitary.

- Let us discuss briefly the case with odd $D \geq 3$.

- Follow the same steps as in the case with D even:
 - i) Find **gravitino eigenmodes** (separation of variables).
 - ii) Study their **transformation properties** under $spin(D, 1)$.
 - iii) Check **(non-)unitarity** (i.e. existence of a **positive-definite and dS invariant scalar product.**)

- there is no dS invariant scalar product that is not identically zero for the gravitino modes \Rightarrow non-unitary rep.

Thus, we arrive at our main result:

The gravitino field theory on dS_D ($D \geq 3$) is not unitary unless $D = 4$.

- The dimensionality $D = 4$ that plays a special role coincides with the dimensionality of our physical Universe.
- This feature of dS field theory (i.e. dimension decides unitarity) does not appear in Minkowski nor in Anti-de Sitter spacetimes.

- The results presented in this talk can be also obtained by studying the classification of the UIR's of $spin(D, 1)$ (see my JHEP paper [https://doi.org/10.1007/JHEP05\(2023\)015](https://doi.org/10.1007/JHEP05(2023)015).)
- The classification of the UIR's of $spin(D, 1)$ suggests that the **main result extends to all strictly/partially massless fermionic reps. with arbitrary spin $s \geq 3/2$.**
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- Canonical quantization of the spin-3/2 theory on dS_4 and study UIRs in the QFT Hilbert space (with Anninos, Fukelman, Silva, and Sempe)

Thank you!

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