

N -particle Hamiltonians

N -particle Hamiltonians: basic theory

The Mourre estimate

Scattering theory

Asymptotic velocity

Asymptotic completeness for short-range N -particle systems

Asymptotic completeness for long-range N -particle systems

Scattering Theory of Quantum N -particle systems

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N-particle Hamiltonians

- ▶ Consider a system of *N* **non-relativistic particles** in \mathbb{R}^{ν} .
- ▶ **configuration space**: $X = X_1 \times \cdots \times X_N$, $X_i = \mathbb{R}^{\nu}$, with $x = (x_1, \cdots, x_N)$,
- ▶ x_i , $D_i = i^{-1} \partial_{x_i}$ **position**, **momentum** of particle *i*,
 $D = (D_1, \cdots, D_N)$ $X^{\#}$ -valued selfadjoint operator.
- ▶ **Hilbert space**

$$\mathcal{H} = \otimes_{i=1}^N L^2(X_i) = L^2(X).$$
- ▶ **statistics of the particles** easily incorporated and will be forgotten.

N-particle Hamiltonians

- ▶ *N*-particle Hamiltonian:

$$H = \sum_{i=1}^N -\frac{1}{2m_i} \Delta_i + \sum_{i < j} v_{ij}(x_i - x_j),$$

m_i mass of particle i , $v_{ij} : \mathbb{R}^\nu \rightarrow \mathbb{R}$ interaction potential between particles i and j .

Collision planes

- ▶ Inside X subspaces where two or more particles collide are very important.
- ▶ If $1 \leq i \neq j \leq N$ we set

$$X_{(ij)} := \{x \in X : x_i = x_j\}.$$

- ▶ complete the set of collision subspaces by **intersections**.
- ▶ one obtains a family of subspaces X_a , indexed by the set \mathcal{A} of **partitions** of $\{1, \dots, N\}$.
- ▶ $a = \{C_1, \dots, C_k\}$, the C_i correspond to **clusters** of a .
- ▶ write $(ij) \leq a$ if x_i and x_j are in **the same cluster** of a and set

$$X_a = \{x \in X : x_i = x_j \text{ if } (ij) \leq a\}.$$

Collision planes

- ▶ set \mathcal{A} is equipped with an **order relation** defined by $a \leq b$ if $X_a \supset X_b$ (a is **finer** than b).
- ▶ **minimal partition** is $a_{\min} = \{\{1\}, \dots, \{N\}\}$
- ▶ **maximal partition** is $a_{\max} = \{\{1, \dots, N\}\}$.
- ▶ associated subspaces are

$$X_{a_{\min}} = X, \quad X_{a_{\max}} = \{x \in X : x_i = x_j \quad \forall i, j\}.$$

Separation of the center of mass

- ▶ H commutes with translations:

$$u(x_1, \dots, x_n) \mapsto u(x_1 - v, \dots, x_n - v), \quad v \in \mathbb{R}^d,$$

- ▶ equivalently H commutes with translations $e^{iy \cdot D_x}$ for $y \in X_{a_{\max}}$
- ▶ we can write \mathcal{H} and H as **direct integrals**:

$$\mathcal{H} = \int_{\mathbb{R}^{\nu^*}}^{\oplus} \mathcal{H}(p) dp, \quad H = \int_{\mathbb{R}^{\nu^*}} H(p) dp.$$

- ▶ explicit version of $\mathcal{H}(p)$ and $H(p)$: (in the old times, done with '**Jacobi coordinates**').
- ▶ **kinetic part** in H equal to $\frac{1}{2} D_x^2$ for $\xi \cdot \xi = \sum_{i=1}^N \frac{1}{m_i} \xi_i^2$, $\xi \in X^\#$.

Separation of the center of mass

- ▶ $\xi \cdot \xi$ dual (aka inverse) of $x \cdot x = \sum_{i=1}^N m_i x_i^2$.
- ▶ Set $X^{a_{\max}} = X_{a_{\max}}^\perp$, identify $X \sim X^{a_{\max}} \oplus X_{a_{\max}}$.
- ▶ we get

$$V(x) = \sum_{i=1}^N v_{ij}(x_i - x_j) =: V(x^{a_{\max}})$$

- ▶ $D_x^2 = (D_{X^{a_{\max}}})^2 + (D_{X_{a_{\max}}})^2$.
- ▶ we take $p = \xi_{a_{\max}}$:

$$\mathcal{H}(\xi_{a_{\max}}) = L^2(X^{a_{\max}}),$$

$$H(\xi_{a_{\max}}) = H^{a_{\max}} + \frac{1}{2}(\xi_{a_{\max}})^2$$

$$H^{a_{\max}} := \frac{1}{2}(D_{X^{a_{\max}}})^2 + V(x^{a_{\max}}).$$

Agmon Hamiltonians

- ▶ fix a finite dimensional **Euclidean space** X (automatically equipped with a Lebesgue measure).
- ▶ fix a family $\{X_a : a \in \mathcal{A}\}$ of subspaces of X **closed under intersections** and containing X .
- ▶ set $a \leq b$ if $X_a \supset X_b$, $a, b \in \mathcal{A}$.
so $X_{a_{\min}} = X$ and $X_{a_{\max}} = \bigcap_{a \in \mathcal{A}} X_a$.
- ▶ we can assume that $X_{a_{\max}} = \{0\}$. (If not separate the 'center of mass' as explained above).
- ▶ '**number of particles**': consider a **chain** $a_1 < \dots < a_k$ connecting $a_1 = a_{\min}$ to $a_k = a_{\max}$.
- ▶ **number of particles** is the maximal length of such chains. (reflects the **complexity** of the lattice of subspaces $\{X_a : a \in \mathcal{A}\}$).

Agmon Hamiltonians

- ▶ set

$$X^a := X_a^\perp, \quad x = x^a + x_a, \quad a \in \mathcal{A}.$$

- ▶ by duality we can similarly split

$$X^\# = X^{a\#} \oplus^\perp X_a^\#, \quad \xi = \xi^a + \xi_a.$$

- ▶ for $a \in \mathcal{A}$ we fix a real function $v^a : X \rightarrow \mathbb{R}$ such that

$$v^a(x) = v^a(x + y_a), \quad \forall y_a \in \mathcal{X}_a.$$

- ▶ a **Agmon Hamiltonian** is

$$H = \frac{1}{2} D_x^2 + \sum_{a \in \mathcal{A}} v^a, \quad \text{acting on } \mathcal{H} = L^2(X).$$

Agmon Hamiltonians

- ▶ for $a \in \mathcal{A}$ set

$$V^a(x) = \sum_{b \leq a} v^b(x), \text{ function on } X^a,$$

- ▶ and

$$H^a = \frac{1}{2}(D_x^a)^2 + V^a(x^a), \text{ acting on } \mathcal{H}^a = L^2(X^a).$$

Since $X = X^{a_{\max}}$ we have $H = H^{a_{\max}}$.

Agmon Hamiltonians

- ▶ we have

$$H = H_a + I_a,$$

$$H_a = H^a + \frac{1}{2}D_{x_a}^2, \quad I_a(x) = \sum_{b \neq a} v_b(x).$$

- ▶ H_a describes the **non interacting clusters** of a , whose centers of masses move freely.
- ▶ I_a is the **intercluster potential**.

Agmon Hamiltonians

Advantages of this framework:

- ▶ notational and conceptual simplification.
- ▶ easy to incorporate **particles of infinite masses** (very heavy nuclei):
- ▶ add to the above family the subspaces $\{x \in \mathbb{R}^{Nd} : x_j = 0\}$ and their intersections,
- ▶ one can consider also **multi-particle interactions**, for example with potential $v_{ijk}(x_i - x_j, x_j - x_k)$, for $v_{ijk} : \mathbb{R}^{2d} \rightarrow \mathbb{R}$, associated to the subspace $X_{(ijk)} = \{x \in X : x_i = x_j = x_k\}$.

Pair potentials

- ▶ typical 2-body potentials decay near infinity and have a Coulomb type singularity at 0.
- ▶ **a natural assumption**: $v^a(x^a)(-\Delta^a + 1)^{-1}$ is compact on $L^2(X^a)$.
- ▶ note that $v^a(x^a)(-\Delta + 1)^{-1}$ **not compact** on $L^2(X)$ (unless $a = a_{\max}$). By **Kato-Rellich** we obtain:

Theorem

H with domain $H^2(X)$ is selfadjoint and bounded from below on $L^2(X)$.

- ▶ for standard *N*-body Hamiltonians with Coulomb interactions $v_{ij}(x) = \frac{q_i q_j}{|x|}$ and $d = 3$ first important result of Kato ('stability of matter of the first kind').

The HVZ theorem

- ▶ describes the **essential spectrum** of H (important result from the 60's, nowadays very easy to prove).
- ▶ important role played by the **thresholds**:
- ▶ the set of thresholds of a subsystem $a \in \mathcal{A}$ is

$$\mathcal{T}^a := \bigcup_{b < a} \sigma_{\text{pp}}(H^b).$$

- ▶ $\mathcal{T}^{a_{\max}}$ will be simply denoted by \mathcal{T} .
- ▶ set also $\Sigma^a := \inf(\mathcal{T}^a)$ and $\Sigma := \Sigma^{a_{\max}} = \inf(\mathcal{T})$.
- ▶ easy to show using trial functions (the 'variational argument') that $\inf \sigma(H^a) \leq \mathcal{T}^a$.

- ▶ by energy conservation, $\Sigma^a - \Sigma$ is the **minimal energy** needed to decompose the system into freely moving clusters of a .
- ▶ $-\Sigma$ is the minimal energy needed to fully decompose the system.

Note that $\sigma_{pp}(H_{\min}^a) = \{0\}$ hence $\Sigma \leq 0$.

Theorem

Let H be an N-particle Hamiltonian with $X_{a_{\max}} = \{0\}$. Then the essential spectrum of H is equal to

$$\sigma_{\text{ess}}(H) = [\Sigma, \infty[.$$

Partitions of unity

We split the configuration space X into regions describing different **cluster decompositions**.

- ▶ set

$$Z_a = X_a \setminus \bigcup_{b \not\leq a} X_b.$$

- ▶ $\{Z_a\}_{a \in \mathcal{A}}$ is a **partition** of X .
- ▶ thicken the Z_a into

$$Z_a^{\epsilon, \delta} = \{x \in X : |x^a| < \epsilon, |x^b| \geq \delta \text{ for } b \not\leq a\},$$

- ▶ construct a **partition of unity** $\{q_a\}_{a \in \mathcal{A}}$ such that

$$\begin{aligned} \text{supp } q_a &\subset Z_a^{\epsilon, \delta}, & \sum_{a \in \mathcal{A}} q_a(x) &= 1, \\ |\partial_x^\alpha q_a(x)| &\leq C_\alpha, & 0 \leq q_a(x) &\leq 1. \end{aligned}$$

Functional calculus formula

recall the celebrated **Hellfer-Sjöstrand formula**:

- ▶ let $\chi \in C_0^\infty(\mathbb{R})$. Then there exist a function $\tilde{\chi} \in C_0^\infty(\mathbb{C})$, called an **almost-analytic extension** of χ , such that



$$\tilde{\chi}|_{\mathbb{R}} = \chi, \quad \left| \frac{\partial \tilde{\chi}}{\partial \bar{z}}(z) \right| \leq C_N |\operatorname{Im} z|^N, \quad N \in \mathbb{N}.$$

- ▶ if H selfadjoint operator on a Hilbert space \mathcal{H} then

$$\chi(H) = \frac{i}{2\pi} \int_{\mathbb{C}} \frac{\partial \tilde{\chi}}{\partial \bar{z}}(z) (z - H)^{-1} dz \wedge d\bar{z}.$$

Proof of the HVZ theorem

- ▶ prove **by induction on k** that $\sigma_{\text{ess}}(H^a) = [\Sigma^a, +\infty[$ for all a with $\#a \leq k$.
- ▶ the hard part is \subset (\supset proved with **Weyl sequences**).
- ▶ assume that the theorem holds for all $a < a_{\text{max}}$ and consider $H = H^{a_{\text{max}}}$.
- ▶ choose $\chi \in C_0^\infty(\mathbb{R})$ with $\text{supp } \chi \subset]-\infty, \Sigma[$. Then

$$\chi(H) = \sum_{a \in \mathcal{A}} \chi(H) q_a\left(\frac{x}{R}\right), \quad R \gg 1.$$

- ▶ on support of q_a , the **interaction potential** I_a is $o(R^0)$, so we can replace $\chi(H)$ by $\chi(H_a)$ modulo a small error (use **HS formula**).

Proof of the HVZ theorem

- ▶ one gets

$$\chi(H) = \sum_{a \in \mathcal{A}} \chi(H_a) q_a\left(\frac{x}{R}\right) + o(R^0).$$

- ▶ since $H_a = H^a + \frac{1}{2}D_{x_a}^2$, $\chi(H_a) = 0$ for $a \neq a_{\max}$ because of support of χ ,
- ▶ $\chi(H) q_{a_{\max}}\left(\frac{x}{R}\right)$ compact for any χ , because $q_{a_{\max}}$ has compact support.
- ▶ Therefore $\chi(H)$ is compact (norm limit of compact operators).

The Mourre estimate

- ▶ study of the **nature of the essential spectrum** of H revolutionized in the 80's by the **Mourre method** (positivity of a commutator).
- ▶ let H, A be two selfadjoint operators on a Hilbert space \mathcal{H} .
- ▶ one requires that H is of class $C^1(A)$, ie $\mathbb{R} \ni t \mapsto e^{itA}(H + i)^{-1}e^{-itA}$ is **strongly C^1** .
- ▶ the commutator $[H, iA]$ makes then sense as a **bounded hermitian form** on $\text{Dom } H$.
- ▶ the **Mourre estimate** holds at $\lambda \in \mathbb{R}$ if there exists an open interval $\Delta \ni \lambda$, $c > 0$ and K **compact** such that:

$$\mathbb{1}_\Delta(H)[H, iA]\mathbb{1}_\Delta(H) \geq c\mathbb{1}_\Delta(H) + K. \quad (3.1)$$

- ▶ the **strict Mourre estimate** holds at λ if one can take $K = 0$.

The Mourre estimate

- ▶ assuming **higher regularity** of H w.r.t. e^{itA} one deduces from the strict Mourre estimate at λ **weighted estimates** on $(H - \lambda \mp i0)^{-1}$. (original motivation of the Mourre method).
- ▶ these resolvent estimates are **not necessary** for the time-dependent scattering theory that we will describe here.

The virial theorem

- ▶ the **virial theorem** states that

$$\mathbb{1}_{\{\lambda\}}(H)[H, iA]\mathbb{1}_{\{\lambda\}}(H) = 0.$$

- ▶ **formally obvious** by 'undoing' the commutator.
- ▶ **rigorous proof** requires a lot of care, since *A* is unbounded.
- ▶ first consequence: if the Mourre estimate holds at λ then the eigenvalues of *H* **cannot accumulate at λ** .
- ▶ second consequence: if the Mourre estimate holds at λ and $\lambda \notin \sigma_{pp}(H)$ then the **strict Mourre estimate** holds at λ .

Best constant in the Mourre estimate

- ▶ nice polishing of various arguments, due to **Amrein-Boutet de Monvel-Georgescu** .
- ▶ set $\rho(\lambda) =$ larger c such that

$$\mathbb{1}_{\Delta}(H)[H, iA]\mathbb{1}_{\Delta}(H) \geq c\mathbb{1}_{\Delta}(H).$$

- ▶ $\rho(\lambda)$ **best constant** in the strict Mourre estimate at λ .
- ▶ define $\tilde{\rho}(\lambda)$ similarly, adding a compact error term.

Best constant in the Mourre estimate

virial theorem can be rephrased by stating that

- ▶ if $\lambda \in \sigma_{\text{pp}}(H)$ and $\tilde{\rho}(\lambda) > 0$, then $\rho(\lambda) = 0$.
- ▶ if $\lambda \notin \sigma_{\text{pp}}(H)$ then $\rho(\lambda) = \tilde{\rho}(\lambda)$.
- ▶ if $\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$, $H = H_1 \otimes \mathbb{1} + \mathbb{1} \otimes H_2$, $A = A_1 \otimes \mathbb{1} + \mathbb{1} \otimes A_2$, and H_i **bounded from below** then:

$$\rho(\lambda) = \inf_{\lambda_1 + \lambda_2 = \lambda} \rho_1(\lambda_1) + \rho_2(\lambda_2).$$

- ▶ (looks easy but rather tricky to prove).

Mourre estimate for N-body Hamiltonians

- ▶ set $A = \frac{1}{2}(x \cdot D_x + D_x \cdot x)$ (generator of dilations).
- ▶ the expression $x \nabla_x V$ is understood as $[V, iA]$ (allows to 'undo the commutator' if needed).
- ▶ stronger assumption:

$$(1 - \Delta^a)^{-1} x^a \nabla_{x^a} v^a (1 - \Delta^a)^{-1} \text{compact on } L^2(X^a), \quad a \in \mathcal{A}.$$

Theorem

For $\lambda \in [\Sigma, \infty[$, let $d(\lambda) := \inf\{\lambda - \tau \mid \tau \leq \lambda, \tau \in \mathcal{T}\}$. Then for any $\epsilon > 0$, $\lambda \in [\Sigma, \infty[$, there exists an open interval Δ containing λ and a compact operator K such that

$$\mathbb{1}_\Delta(H)[H, iA]\mathbb{1}_\Delta(H) \geq 2(d(\lambda) - \epsilon)\mathbb{1}_\Delta(H) + K. \quad (3.2)$$

Mourre estimate for N -body Hamiltonians

- ▶ \mathcal{T} is a **closed countable set** and $\sigma_{\text{pp}}(H)$ can accumulate only at \mathcal{T} .
- ▶ with terminology introduced above (3.2) means that $\tilde{\rho}(\lambda) \geq 2d(\lambda)$.
- ▶ Using trial functions one can show that $\tilde{\rho}(\lambda) = 2d(\lambda)$.

Idea of proof

- ▶ applying recursively the abstract theory to H^a for $a < a_{\max}$ gives that \mathcal{T} is a **closed countable set**.
- ▶ it suffices prove Mourre estimate by **induction on $\#a_{\max}$** . If $\#a_{\max} = 1$ then $H = \frac{1}{2}D_x^2$, $[H, iA] = D_x^2$.
- ▶ assume that Mourre estimate holds for all H^a with $a \neq a_{\max}$.
- ▶ use a partition of unity as before but with $\sum_{a \in \mathcal{A}} q_a^2(x) = 1$.
- ▶ controlling double commutator terms gives

$$\chi(H)[H, iA]\chi(H) = \sum_{a \in \mathcal{A}} q_a\left(\frac{x}{R}\right)\chi(H)[H, iA]\chi(H)q_a\left(\frac{x}{R}\right) + O(R^{-2}).$$

Idea of proof

- ▶ on support of q_a , one can replace H by H_a modulo small errors, so

$$\chi(H)[H, iA]\chi(H) = \sum_{a \in A} q_a\left(\frac{x}{R}\right)\chi(H_a)[H_a, iA]\chi(H_a)q_a\left(\frac{x}{R}\right) + o(R^0). \quad (3.3)$$

- ▶ write $L^2(X) = L^2(X^a) \otimes L^2(X_a)$ so $H_a = H^a \otimes \mathbb{1} + \mathbb{1} \otimes \frac{1}{2}D_{x_a}^2$,
 $A = A^a \otimes \mathbb{1} + \mathbb{1} \otimes A_a$.
- ▶ for $a \neq a_{\max}$ Mourre estimate for H^a gives
 $\rho^a(\lambda) \geq 2 \inf\{\lambda - \tau^a : \tau^a \in \mathcal{T}^a \cup \sigma_{pp}(H^a)\}$.
- ▶ since $[\frac{1}{2}D_{x_a}^2, iA_a] = D_{x_a}^2$, abstract result for tensor products gives:

$$\rho_a(\lambda) = \inf_{\lambda^a + \lambda_a = \lambda} \rho^a(\lambda^a) + 2|\lambda_a| \geq 2 \inf\{\lambda - \tau : \tau \in \mathcal{T}, \tau \leq \lambda\}.$$

Idea of proof

- ▶ for $\lambda \in \mathbb{R} \setminus \mathcal{T}$ for all $\epsilon > 0$ there exists $\chi \in C_0^\infty(\mathbb{R})$ with $\chi(\lambda) \neq 0$ such that for all $a < a_{\max}$:

$$\chi(H_a)[H_a, iA]\chi(H_a) \geq 2(d(\lambda) - \epsilon)\chi^2(H_a).$$

- ▶ winding back the partition of unity gives:

$$\chi(H)[H, iA]\chi(H) \geq 2(d(\lambda) - \epsilon)\chi^2(H) + K_1(R) + K_2(R) + o(R^0).$$



$$K_1(R) = q_{a_{\max}}\left(\frac{x}{R}\right)\chi(H^{a_{\max}})[H^{a_{\max}}, iA]\chi(H^{a_{\max}})q_{a_{\max}}\left(\frac{x}{R}\right),$$

$$K_2(R) = q_{a_{\max}}\left(\frac{x}{R}\right)\chi^2(H^{a_{\max}})q_{a_{\max}}\left(\frac{x}{R}\right),$$

are **compact** ($q_{a_{\max}}$ compactly supported).

- ▶ pick $R \gg 1$ to obtain Mourre estimate for H .

Wave operators

- ▶ consider first **1-particle case**:

$$H = \frac{1}{2}D_x^2 + V(x), \quad H_0 =: \frac{1}{2}D_x^2,$$

potential V tends to 0 at infinity.

- ▶ describe the **asymptotic behavior** when $t \rightarrow \pm\infty$ of $e^{-itH}u$ for $u \in \mathcal{H}_c(H)$.
- ▶ the case of **bound states** $u \in \mathcal{H}_{pp}(H)$ is obvious (superposition of oscillations).
- ▶ assume that

$$V(x) \in O(\langle x \rangle^{-\mu}), \quad \text{for } \mu > 0 \text{ when } x \rightarrow \infty.$$

- ▶ V is **short-range** if $\mu > 1$ **long-range** if $0 < \mu \leq 1$.
- ▶ **Coulomb potential** is long-range.

Wave operators

- ▶ time-dependent method starts with **wave operators**

$$\Omega^\pm := s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} e^{-itH_0}.$$

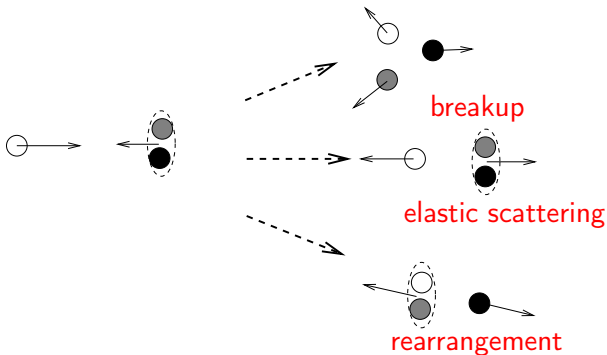
- ▶ proof of the existence of Ω^\pm easy in the short-range case for $N = 1$. (**Cook method**).
- ▶ **asymptotic completeness** is the statement that $\text{Ran}\Omega^\pm = \mathcal{H}_c(H)$.
- ▶ means that for any $u \in \mathcal{H}_c(H)$, there exists u^\pm such that

$$\lim_{t \rightarrow \pm\infty} e^{-itH} u - e^{-itH_0} u^\pm = 0.$$

- ▶ if asymptotic completeness holds asymptotic behavior of $e^{-itH} u$ for all $u \in L^2(X)$ is **completely understood**.

Wave operators in the N -body case

- ▶ In the N -particle case other scattering scenarios are possible:
freely moving stable clusters of particles can form.



- ▶ Several wave operators needed to exhaust all the possibilities.



$$\Omega_a^\pm := s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} e^{-itH_a} \mathbb{1}_{\text{pp}}(H_a).$$

note that $\Omega_{a_{\max}}^\pm = \mathbb{1}_{\text{pp}}(H_{a_{\max}})$.

- ▶ existence of Ω_a^\pm is easy in the short-range case by the Cook method.

- ▶ easy to see that for $a \neq b$ $\text{Ran}\Omega_a^\pm$ and $\text{Ran}\Omega_b^\pm$ are **mutually orthogonal**.
- ▶ **asymptotic completeness** is the statement that:

$$\bigoplus_{a \in \mathcal{A}} \text{Ran}\Omega_a^\pm = L^2(X).$$

- ▶ **much more difficult !**
- ▶ **additional difficulty in the long-range case:** free motion of the center of masses has to be modified (already present in the 1-particle case). One needs **modified wave operators**.

Historical sketch of *N*-particle asymptotic completeness

- ▶ brief sketch of the *N*-body asymptotic completeness via time-dependent methods:
- ▶ **Enss 1978** 2-particles, **1986-1989** 3-particle short and long range.
- ▶ **Sigal-Soffer 1987**, elegant proof by **Graf 1990** *N*-particle short-range.
- ▶ **Derezinski 1993**, **Zielinski 1994**, **Sigal-Soffer 1994** *N*-particle long-range.
- ▶ **Gérard 1993**, **Skibsted 2003** 3-particle long range decay $\mu > \frac{1}{2}$
- ▶ **Yafaev 1996** counterexample for 3-particle $0 < \mu < \frac{1}{2}$.

Unitary dynamics

- ▶ one needs often to consider also **time-dependent Hamiltonians**.
- ▶ **unitary dynamics**: strongly continuous map

$\mathbb{R} \times \mathbb{R} \ni (t, s) \mapsto U(t, s) \in B(\mathcal{H})$ with

$U(t, s)$ **unitary**, $U(s, s) = \mathbb{1}$, $U(t, u)U(u, s) = U(t, s)$, $\forall t, u, s \in \mathbb{R}$.

- ▶ what is the **generator $H(t)$** of $U(t, s)$?
- ▶ one can require that for B some strictly positive operator

$$\partial_s U(t, s) B^{-1} = i U(t, s) H(s) B^{-1}, \quad \text{Dom } B \subset \text{Dom } H(s),$$

hence

$$\partial_t B^{-1} U(t, s) = -i B^{-1} H(t) U(t, s).$$

- ▶ choose the reference initial time $s = 0$ and set $U(t) := U(t, 0)$.

Heisenberg derivatives

- ▶ fundamental rule: do not consider evolution of **states** (too complicated) but of **observables**.
- ▶ replace **Schroedinger equation** by **Heisenberg equation**.
- ▶ if $U_i(t, s)$, $i = 1, 2$ are two unitary dynamics with generators $H_i(t)$, set

$${}_2D_1\Phi(t) = \partial_t\Phi(t) + i(H_2(t)\Phi(t) - \Phi(t)H_1(t)),$$

for $\Phi : \mathbb{R} \rightarrow B(\mathcal{H})$ of class C^1 . If $H_1(t) = H_2(t) = H(t)$, denote ${}_2D_1$ simply by **D**.

Cook method

- ▶ **Cook method** is the simplest and oldest method to show existence of limits like wave operators.
- ▶ based on L^1 in time arguments.
- ▶ simplest version: if $U_i(t, s)$ are generated by $H_i(t)$ and $H_2(t) = H_1(t) + V(t)$ with $\|V(t)\|_{B(\mathcal{H})} \in L^1(\mathbb{R})$, then

$$\text{s-} \lim_{t \rightarrow \pm\infty} U_2(0, t)U_1(t, 0) \text{ exists.}$$

proof obvious (time derivative is integrable in norm).

- ▶ sufficient to show **existence** of wave operators, not for **completeness**.
- ▶ does not take advantage of the Hilbert space structure, (works on Banach spaces).

Propagation estimates

- ▶ better to rely on more symmetric L^2 in time estimates.
- ▶ assume $\mathbb{R} \ni t \mapsto \Phi(t) \in B(\mathcal{H})$ uniformly bounded and there exist $C_0 > 0$ and operator valued functions $B(t)$ and $B_i(t)$, $i = 1, \dots, n$, such that

$$D\Phi(t) \geq C_0 B^*(t)B(t) - \sum_{i=1}^n B_i^*(t)B_i(t),$$

$$\int_1^\infty \|B_i(t)U(t)\phi\|^2 dt \leq C_i \|\phi\|^2, \quad i = 1, \dots, n.$$

Then there exists C such that

$$\int_1^\infty \|B(t)U(t)\phi\|^2 dt \leq C \|\phi\|^2. \quad (4.1)$$

Existence of limits

- assume that $\mathbb{R} \ni t \mapsto \Phi(t) \in B(\mathcal{H})$ is **uniformly bounded** and

$$|(\psi_2 | \mathcal{D}_1 \Phi(t) \psi_1)| \leq \sum_{i=1}^n \|B_{2i}(t)\psi_2\| \|B_{1i}(t)\psi_1\|, \text{ with}$$

$$\int_1^{\infty} \|B_{2i}(t)U_2(t)\phi\|^2 dt \leq C\|\phi\|^2, \quad \phi \in \mathcal{H}, \quad i = 1, \dots, n,$$

$$\int_1^{\infty} \|B_{1i}(t)U_1(t)\phi\|^2 dt \leq C\|\phi\|^2, \quad \phi \in \mathcal{H}, \quad i = 1, \dots, n.$$

- then the limit

$$s\text{-}\lim_{t \rightarrow +\infty} U_2^*(t)\Phi(t)U_1(t) \text{ exists.}$$

What is a selfadjoint operator ?

- ▶ provocative but meaningful definition:
- ▶ a (possibly non densely defined) **selfadjoint operator** on \mathcal{H} is a **continuous *-morphism** $\gamma : C_\infty(\mathbb{R}) \mapsto B(\mathcal{H})$.
- ▶ a **densely defined selfadjoint operator** on \mathcal{H} is a continuous *-morphism $\gamma : C_\infty(\mathbb{R}) \mapsto B(\mathcal{H})$ such that $s\text{-}\lim_{R \rightarrow +\infty} \gamma(\chi_R) = \mathbb{1}$ for $\chi_R(\lambda) = \chi(R^{-1}\lambda)$ with $\chi \in C_\infty(\mathbb{R})$ and $\chi(0) = \mathbb{1}$.
- ▶ there is a **unique selfadjoint operator** H such that $\gamma(\chi) = \chi(H)$ for all $\chi \in C_\infty(\mathbb{R})$. γ uniquely extends to $B(\mathbb{R})$ (space of **bounded Borel functions**), using the **monotone class theorem**.
- ▶ replacing \mathbb{R} by \mathbb{R}^n one obtains the definition of a **commuting family** (H_1, \dots, H_n) of selfadjoint operators.

- ▶ Jan Dereziński invented the notion of **asymptotic velocity**.
- ▶ gives a bird's eye view of scattering theory and asymptotic completeness.
- ▶ a crucial tool in his proof of **completeness for long-range potentials**.
- ▶ assume that $\partial_{x^a}^\alpha v^a(x^a) \in O(\langle x^a \rangle^{-\mu})$, $\mu > 0, |\alpha| \leq 1$.
- ▶ then

$$s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} \chi\left(\frac{x}{t}\right) e^{-itH} =: \gamma^\pm(\chi) \text{ exist, } \chi \in C_\infty(X).$$

- ▶ $\gamma^\pm(\chi) = \chi(P^\pm)$, **P^\pm future/past asymptotic velocity**.
- ▶ **P^\pm commute** with H .

Interpretation: $x(t) = e^{itH} x e^{-itH}$ is the position at time t , $\frac{x(t)}{t}$ the **average velocity** at time t , P^\pm their limits when $t \rightarrow \pm\infty$.

Properties of the asymptotic velocity

- ▶ Recall that for

$$Z_a = X_a \setminus \bigcup_{b \not\leq a} X_b,$$

the $\{Z_a\}_{a \in \mathcal{A}}$ are a **partition of unity**.

- ▶ therefore we have

$$\mathbb{1} = \sum_{a \in \mathcal{A}} \mathbb{1}_{Z_a}(P^\pm).$$

- ▶ $u = \mathbb{1}_{Z_a}(P^\pm)u$ then $e^{-itH}u$ for $t \rightarrow \pm\infty$ is decomposed into **independent clusters of a** , whose size is $o(t)$.

Properties of the asymptotic velocity

- ▶ in particular for $a = a_{\max}$, $Z_{a_{\max}} = \{0\}$ and if $u \in \text{Ran} \mathbb{1}_{\{0\}}(P^\pm)$ then

$$\text{s-} \lim_{t \rightarrow \pm\infty} \mathbb{1}_{[\delta, +\infty)}\left(\frac{|x|}{t}\right) e^{-H} u = 0, \quad \forall \delta > 0,$$

ie $x(t)$ is of size $o(t)$, u is an 'almost bound state'.

- ▶ the Mourre estimate implies the following fundamental result:

$$\mathbb{1}_{\{0\}}(P^\pm) = \mathbb{1}_{\text{pp}}(H),$$

ie almost bound states are necessarily bound states.

- ▶ not true in the classical case !

Joint energy-velocity spectrum

- ▶ since $[H, P^\pm] = 0$ on can study the joint **energy-velocity spectrum**.
- ▶ it gives a first 'spectral' understanding of scattering theory.

Theorem

The joint energy-velocity spectrum is

$$\sigma(H, P^\pm) = \bigcup_{a \in \mathcal{A}} \{(\xi_a, \tau + \frac{1}{2}\xi_a^2) : \xi_a \in X_a, \tau \in \sigma_{pp}(H^a)\}.$$

N -particle Hamiltonians

N -particle Hamiltonians: basic theory

The Mourre estimate

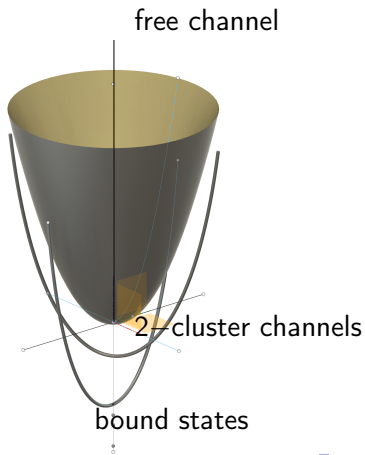
Scattering theory

Asymptotic velocity

Asymptotic completeness for short-range N -particle systems

Asymptotic completeness for long-range N -particle systems

Joint energy-velocity spectrum



Energy-momentum spectrum in relativistic QFT

- ▶ similar result in **relativistic QFT**.
- ▶ if the theory is invariant under the Poincaré group, one can study the **energy-momentum spectrum** (space-time translations).
- ▶ typical spectrum is shown in the next slide. Parabolas are replaced by hyperbolas (and Galilei group by Lorentz group).

N -particle Hamiltonians

N -particle Hamiltonians: basic theory

The Mourre estimate

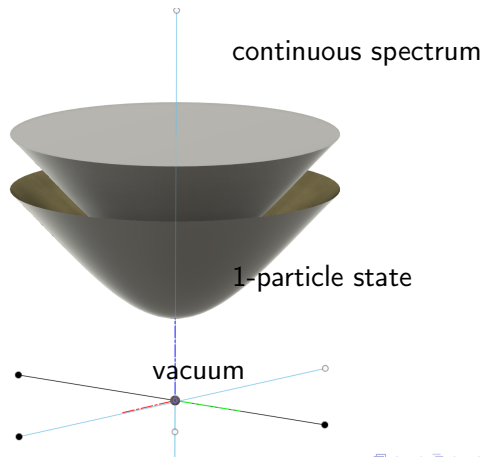
Scattering theory

Asymptotic velocity

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Asymptotic completeness for long-range N -particle systems

Energy-momentum spectrum in relativistic QFT



Asymptotic absolute continuity

- ▶ one can ask about the nature of the **spectral measure** of P^\pm .
- ▶ a result in this direction is the following (called **asymptotic absolute continuity**):
- ▶ assume that $\nabla_{x^a}^\alpha v^a \in O(\langle x^a \rangle^{-|\alpha|-\mu})$ for $|\alpha| \leq 1$ and $\mu > \frac{1}{2}$.
- ▶ then if $a \in \mathcal{A}$ and $\theta \subset Z_a$ is of measure zero on X_a one has

$$\mathbb{1}_\theta(P^\pm) = 0.$$

Large velocity estimates

- ▶ the Heisenberg derivative of x is D_x , controlled by H .
- ▶ if **total energy is bounded**, the position x cannot grow faster than Ct .
- ▶ (**not true** for the N -body problem of Celestial Mechanics !)
- ▶ Let $\chi \in C_0^\infty(\mathbb{R})$. Then there exists $\theta > 0$ such that

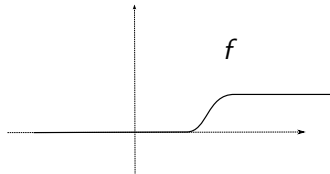
$$1) \int_1^{+\infty} \|\mathbb{1}_{[\theta, \theta']}\left(\frac{|x|}{t}\right) \chi(H) e^{-itH} u\|^2 \frac{dt}{t} \leq C \|u\|^2.$$

Moreover

$$2) \lim_{t \rightarrow \pm\infty} \mathbb{1}_{[\theta, +\infty]}\left(\frac{|x|}{t}\right) \chi(H) e^{-itH} u = 0.$$

- ▶ statement 2) means exactly that P^\pm is **densely defined**.

- ▶ **proof of 1):** take $\Phi(t) = \chi(H)F(\frac{x}{t})\chi(H)$,
- ▶ $D\Phi(t) = \frac{1}{2}\chi(H)(D_x - \frac{x}{t}) \cdot \nabla F(\frac{x}{t}) + \text{h.c.})\chi(H)$.
- ▶ take $F(x) = f(|x|)$, with $f' = \mathbb{1}_{[\theta, \theta']}$.



- ▶ since $D\chi(H)$ is bounded we get that

$$D\Phi(t) \leq -\frac{C}{t}\chi(H)\mathbb{1}_{[\theta, \theta']}(\frac{|x|}{t})\chi(H)$$

negative Heisenberg derivative.

- ▶ **proof of 2):** replace $\Phi(t)$ by $\Phi_R(t) = \chi(H)F(\frac{x}{Rt})\chi(H)$, for $R \gg 1$.
- ▶ we want to show that $s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH}\Phi_1(t)e^{-itH} = 0$.
- ▶ $D\Phi_R(t)$ is controlled by terms under the integral in 1) so
- ▶ $s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH}\Phi_R(t)e^{-itH}$ exists.

- ▶ keeping track of R in computation of $D\Phi_R(t)$ one obtains that

$$s\text{-}\lim_{R \rightarrow +\infty} s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} \Phi_R(t) \chi(H) e^{-itH} = 0.$$

- ▶ $\Phi_R(t) - \Phi_1(t)$ supported in $\theta \leq \frac{|x|}{t} \leq \theta'$, so
- ▶ $\int_1^{+\infty} \|(\Phi_R(t) - \Phi_1(t)) e^{-itH} \chi(H) u\|^2 \frac{dt}{t} < \infty$, hence
- ▶ $s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} (\Phi_R(t) - \Phi_1(t)) \chi(H) e^{-itH} = 0$.
- ▶ taking $R \rightarrow +\infty$ gives $s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} \Phi_1(t) \chi(H) e^{-itH} = 0$.

Phase-space propagation estimates: 1-particle case

- ▶ **free case** ($V = 0$): let $R(x) = \frac{1}{2}x^2$ and $\Phi(t) = \frac{1}{2}(D_x - \frac{x}{t}) \cdot \nabla R(\frac{x}{t}) + \text{h.c.}) + R(\frac{x}{t})$.

- ▶ then

$$D\Phi(t) = \partial_t \Phi(t) + [\frac{1}{2}D_x^2, i\Phi(t)] = \frac{1}{t} \|D_x - \frac{x}{t}\|^2 \geq 0.$$

- ▶ **problem**: $\Phi(t)$ is not bounded.
- ▶ **solution**: replace $\Phi(t)$ by $\chi(H)F(\frac{x}{t})\Phi(t)F(\frac{x}{t})\chi(H)$, F supported in $|x| \leq R$, $R \gg 1$.
- ▶ extra terms coming from $DF(\frac{x}{t})$ are controlled by **large velocity estimates**.

Phase-space propagation estimates: 1-particle case

- ▶ assume now that $V(x) \in O(\langle x \rangle^{-\mu})$, $\mu > 0$.
- ▶ extra term in $D\Phi(t)$ is $-\nabla R(\frac{x}{t}) \cdot \nabla_x V(x)$, not controlled.
- ▶ **solution**: modify $R(x)$ such that $\nabla_x R(x) = 0$ in $|x| \leq \epsilon$, keeping $\nabla_x^2 R(x) \geq 0$.
- ▶ for example take $R(x) = \max(\frac{1}{2}\epsilon^2, \frac{1}{2}x^2)$ (convex !).
- ▶ if necessary **smooth out** R by convolution w.r.t. ϵ .
- ▶ then if $\nabla_x V(x) \in O(\langle x \rangle^{-1-\mu})$, extra term is $O(t^{-1-\mu})$, **integrable in norm**.
- ▶ We obtain $\int_1^{+\infty} \|\mathbb{1}_{[\theta, \omega']}\left(\frac{x}{t}\right)(D_x - \frac{x}{t})e^{-itH}u\|^2 \frac{dt}{t} \leq C\|u\|^2$.
- ▶ example of a **phase space propagation estimate**.

the Graf function

- ▶ Graf ingenious construction: modify $R(x)$ in the N -body case: modify $R(x) = \frac{1}{2}x^2$ so that
- ▶ 1) $R(x)$ depends only on x_a near Z_a .
- ▶ 2) $\nabla_x^2 R(x) \geq \pi_a$ near Z_a , where $\pi_a : X \rightarrow X_a$ orthogonal projection.
- ▶ one chooses

$$R^\rho(x) = \frac{1}{2} \max_{a \in A} \{x_a^2 + \rho_a\}, \quad \rho = (\rho_a)_{a \in A}.$$

R^ρ satisfies 1) and 2) for ρ in some open set.

- ▶ smooth out R^ρ w.r.t. ρ :

$$R(x) = \int R^\rho(x) f(\rho) d\rho, \quad \text{for } \int f(\rho) d\rho = 1,$$

Phase-space propagation estimates: *N*-particle case

- ▶ properties 1) 2) still satisfied.
- ▶ recall that $Z_a^{\epsilon, \delta} \subset X$ defined by

$$|x^a| \leq \epsilon, |x^b| \geq \delta \quad \forall b \not\leq a.$$

- ▶ if $\frac{x}{t} \in Z_a^{\epsilon, \delta}$, then clusters of *a* have distance at least $\delta|t|$ and size $\epsilon|t|$.
- ▶ let $\chi \in C_0^\infty(\mathbb{R})$, $F \in C_0^\infty(X)$. Then

$$\int_1^{+\infty} \|\chi(H)F\left(\frac{x}{t}\right)\mathbb{1}_{Z_a^{\epsilon, \delta}}\left(\frac{x}{t}\right)(D_{x_a} - \frac{x_a}{t})e^{-itH}u\|^2 \frac{dt}{t} \leq C\|u\|^2.$$

Phase-space propagation estimates: *N*-particle case

- ▶ propagation observable:

$$\Phi(t) = \frac{1}{2}((D_x - \frac{x}{t}) \cdot \nabla R(\frac{x}{t}) + \text{h.c.}) + R(\frac{x}{t}).$$

- ▶ add energy cutoffs $\chi(H)$ and large distance cutoffs $F(\frac{x}{t})$.
- ▶ $D\Phi(t) = \partial_t \Phi(t) + [\frac{1}{2}D_x^2, i\Phi(t)] + [V(x), i\Phi(t)]$.
- ▶ first two terms can be computed exactly as

$$\frac{1}{t}(D_x - \frac{x}{t}) \cdot \nabla^2 R(\frac{x}{t})(D_x - \frac{x}{t}) \geq c \sum_{a \in \mathcal{A}} \mathbb{1}_{Z_a}(\frac{x}{t})(D_{x_a} - \frac{x_a}{t})^2.$$

- ▶ for $\frac{x}{t} \in Z_a^{\epsilon, \delta}$ split the second term as

$$-\nabla_{x^a} V^a(x^a) \cdot \nabla_{x^a} R(\frac{x}{t}) - \nabla_x I_a(x) \cdot \nabla_x R(\frac{x}{t})$$

- ▶ first term is 0, because near Z_a , $\nabla_x R$ depends only on x_a .
- ▶ second term is $O(t^{-1-\mu})$ so **integrable in norm.**

Asymptotic velocity

- ▶ goal: prove that for $F \in C_0^\infty(X)$ (dense in $C_\infty(X)$):

$$s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} F\left(\frac{x}{t}\right) e^{-itH} \text{ exists.}$$

- ▶ we can take F in a C^0 dense subspace of $C_0^\infty(X)$: good choice: $F(x)$ depends **only on x_a near X_a** .
- ▶ set

$$\Phi(t) = F\left(\frac{x}{t}\right) + \nabla F\left(\frac{x}{t}\right) \cdot \left(D_x - \frac{x}{t}\right).$$

$$D\Phi(t) = \left(D - \frac{x}{t}\right) \cdot \nabla^2 F\left(\frac{x}{t}\right) \left(D_x - \frac{x}{t}\right) - \nabla F\left(\frac{x}{t}\right) \cdot \nabla V(x).$$

- 1) first term is integrable along the evolution by phase space propagation estimates.
- 2) second term is $O(t^{-1-\mu})$ in norm.

Asymptotic velocity

- ▶ therefore

$$s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} \left(F\left(\frac{x}{t}\right) + \nabla F\left(\frac{x}{t}\right) \cdot \left(D_x - \frac{x}{t}\right) \right) e^{-itH} \text{ exists.}$$

- ▶ next show (by computing its Heisenberg derivative) that

$$s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} \left(\nabla F\left(\frac{x}{t}\right) \cdot \left(D_x - \frac{x}{t}\right) \right) e^{-itH} \text{ exists.}$$

- ▶ this observable is integrable along the evolution hence the **limit has to be 0**.
- ▶ therefore $s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} F\left(\frac{x}{t}\right) e^{-itH} = F(P^\pm)$ exists.
- ▶ the fact that $[H, P^\pm] = 0$ is a general property (valid for all asymptotic observables).

Minimal velocity estimate

- ▶ construction of asymptotic velocity not sufficient to prove asymptotic completeness, even in the short-range case.
- ▶ one needs the additional **spectral information**:
 $\mathbb{1}_{\{0\}} P^\pm = \mathbb{1}_{pp}(H)$.
 (almost bound states are bound states).
- ▶ this will follow from a **minimal velocity estimate** due to Graf:
 let $\chi \in C_0^\infty(\mathbb{R})$ with $\text{supp } \chi \cap \mathcal{T} \cup \sigma_{pp}(H) = \emptyset$.
- ▶ then there exists $\epsilon_0 > 0$ such that

$$\int_1^{+\infty} \left\| \mathbb{1}_{[0, \epsilon]} \left(\frac{x}{t} \right) \chi(H) e^{itH} u \right\|^2 \frac{dt}{t} \leq C \|u\|^2.$$

Minimal velocity estimate

- ▶ set $M(t) = J(\frac{x}{t}) + \frac{1}{2}((D_x - \frac{x}{t}) \cdot \nabla J(\frac{x}{t}) + \text{h.c.})$, J depends only on x_a near Z_a , $J(x) = 1$ in $|x| \leq \epsilon$.

- ▶ take

$$\Phi(t) = \chi(H)M(t)\chi(H)\frac{A}{t}\chi(H)M(t)\chi(H).$$

- ▶ when computing $D\Phi(t)$, terms coming from $DM(t)$ will be controlled.
- ▶ one has $D\frac{A}{t} = -\frac{A}{t^2} + \frac{[H, iA]}{t}$.
- ▶ because of the Mourre estimate $\chi(H)[H, iA]\chi(H) \geq c\chi^2(H)$.
- ▶ choosing $\epsilon \ll 1$ we can ensure that $M(t)\chi(H)\frac{A}{t}\chi(H)M(t) \leq \frac{\epsilon}{2}M(t)\chi(H)\chi(H)M(t)$.

Minimal velocity estimate

- ▶ we obtain $D\Phi(t) \geq \frac{\epsilon}{2}\chi(H)M^2(t)\chi(H)$ modulo already controlled errors. This proves minimal velocity estimate.
- ▶ since we know that $s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH}\chi(H)\mathbb{1}_{[0,\epsilon]}(\frac{x}{t})\chi(H)e^{-itH}$ exists, we obtain that



$$s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH}\chi(H)\mathbb{1}_{[0,\epsilon]}(\frac{x}{t})\chi(H)e^{-itH} = 0.$$

- ▶ by an easy density argument, this shows that

$$\mathbb{1}_{\{0\}}(P^\pm) = \mathbb{1}_{\text{pp}}(H).$$

Asymptotic completeness for short-range *N*-particle systems

- ▶ **existence and completeness of short-range wave operators** follows very easily from properties of asymptotic velocity.
- ▶ it can be neatly formulated as follows: assume that $v^a(x^a) \in O(\langle x^a \rangle)^{-\mu}$ for $\mu > 1$. Then
- ▶ the limits

$$1) \ s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} e^{-itH_a} \mathbb{1}_{\text{pp}}(H^a) =: \Omega_{\text{sr},a}^{\pm} \text{ exist.}$$

- ▶ the limits

$$2) \ s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH_a} e^{-itH} \mathbb{1}_{Z_a}(P^{\pm}) = \Omega_{\text{sr},a}^{\pm*} \text{ exist.}$$

- ▶ $\Omega_{\text{sr},a}^{\pm}$ are **partial isometries** with

$$\text{Dom } \Omega_{\text{sr},a}^{\pm} = \text{Ran } \mathbb{1}_{\text{pp}}(H^a), \quad \text{Ran } \Omega_{\text{sr},a}^{\pm} = \text{Ran } \mathbb{1}_{Z_a}(P^{\pm}).$$

Asymptotic completeness for short-range N-particle systems

- ▶ the proofs of 1) and 2) are similar: denoting by $P_{(a)}^\pm$, $P^{\pm(a)}$ the asymptotic velocities for H_a , H^a we get

$$P_{(a)}^\pm = (D_{x_a}, P^{\pm(a)}).$$

- ▶ therefore using Mourre estimate for H^a , we get

$$\mathbb{1}_{\text{pp}}(H^a) = \mathbb{1}_{Z_a}(P_{(a)}^\pm).$$

- ▶ proof of 2): let $u \in \text{Ran} \mathbb{1}_{Z_a}(P^\pm)$. By density we can assume that $u = F(P^\pm)u = \chi(H)u$ for F supported near Z_a , $\chi \in C_0^\infty(\mathbb{R})$.
- ▶ so it suffices to prove the existence of

$$\lim_{t \rightarrow \pm\infty} \chi(H_a) F\left(\frac{x}{t}\right) \chi(H) e^{itH_a} F\left(\frac{x}{t}\right) e^{-itH} u.$$

Asymptotic completeness for short-range *N*-particle systems

- ▶ we compute **asymmetric Heisenberg derivative** for $H_2 = H_a$, $H_1 = H$:

$$2D_1\chi(H_a)M(t)\chi(H),$$

- ▶ for $M(t) = (F(\frac{x}{t}) - \frac{1}{2}((D_x - \frac{x}{t}) \cdot \nabla F(\frac{x}{t}) + \text{h.c.}))$.
- ▶ it equals

$$\chi(H_a)DM(t)\chi(H) + \chi(H_a)iI_a(x)M(t)\chi(H).$$

- ▶ first term is integrable along the evolution (use phase space propagation estimates for H and H_a).
- ▶ second term is $O(t^{-\mu})$ in norm so integrable by **short-range** condition.

Asymptotic completeness for short-range *N*-particle systems

- ▶ To complete the proof of asymptotic completeness, use:



$$\mathbb{1} = \sum_{a \in \mathcal{A}} \mathbb{1}_{Z_a}(P^\pm), \text{ (spectral theorem !)}$$

- ▶ and $Z_{a_{\max}} = \{0\}$, $\mathbb{1}_{\{0\}}(P^\pm) = \mathbb{1}_{\text{pp}}(H)$.

- ▶ therefore

$$\bigoplus_{a \in \mathcal{A}} \text{Ran} \Omega_{\text{sr}, a}^\pm = L^2(X).$$

Modified dynamics 1-particle case

- ▶ consider 1-particle Hamiltonian: $H = \frac{1}{2}D_x^2 + V(x)$,
 $\partial_x^\alpha V(x) \in O(\langle x \rangle)^{-\mu-|\alpha|}$, $\mu > 0$.
- ▶ the short-range wave operators

$$s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} e^{-it\frac{1}{2}D_x^2} \text{ do not exist !}$$

- ▶ the 'long-range tail' of the potential **cannot be forgotten**.
- ▶ **purely classical problem**, can be completely understood in classical mechanics.
- ▶ one needs to **modify** the free dynamics: various equivalent ways to do it.

Modified dynamics 1-particle case

- ▶ time-dependent modifiers: choose a solution of the **Hamilton-Jacobi equation**:

$$\partial_t S(t, \xi) = \frac{1}{2} \xi^2 + V_t(\nabla_\xi S(t, \xi)),$$

- ▶ $V_t(x)$ time-dependent potential, equal to $V(x)$ in $|x| \geq \epsilon|t|$.
- ▶ boundary condition for $S(t, \xi)$ is $S(t, \xi) = \frac{1}{2} t \xi^2 + O(t^{1-\mu})$, when $t \rightarrow \pm\infty$.
- ▶ not unique, no canonical choice.

Modified dynamics 1-particle case

- ▶ one introduces the **modified wave operators**:

$$\Omega_{\text{lr}}^{\pm} := s\text{-}\lim_{t \rightarrow \pm\infty} e^{itH} e^{iS(t, D_x)}.$$

- ▶ Completeness of wave operators is as before statement that:

$$\text{Ran}\Omega_{\text{lr}}^{\pm} = \mathbb{1}_c(H)L^2(X).$$

- ▶ existence easy to prove (**stationary phase** arguments).
- ▶ completeness more difficult: nice time-dependent proof by Sigal

Modified dynamics 1-particle case

- ▶ first step: replace $V(x)$ by $V_t(x)$ as above, satisfying $\partial_x^\alpha V_t(x) \in O(t^{-\mu-|\alpha|})$ (use minimal velocity estimates).
- ▶ let $U(t, s)$ **unitary dynamics** generated by $H(t) = \frac{1}{2}D_x^2 + V_t(x)$.
- ▶ using asymptotic velocity one shows that

$$s\text{-}\lim_{t \rightarrow \pm\infty} U(0, t)e^{-itH}\mathbb{1}_c(H) \text{ exist.}$$

- ▶ it remains to show that

$$s\text{-}\lim_{t \rightarrow \pm\infty} e^{iS(t, D_x)}U(t, 0) \text{ exists.}$$

Modified dynamics 1-particle case

- ▶ compute **Heisenberg derivative** w.r.t. $H(t)$:

$$\begin{aligned}
 & D(x - \nabla_{\xi} S(t, D_x)) \\
 = & D_x + \nabla_x V_t(x) \nabla_{\xi}^2 S(t, D_x) - \partial_t \nabla_{\xi} S(t, D_x) \\
 = & (\nabla_x V_t(x) - \nabla_x V_t(\nabla_{\xi} S(t, D_x))) \nabla_{\xi}^2 S(t, D_x) \\
 = & \nabla_x^2 V_t(x) (x - (\nabla_{\xi} S(t, D_x))) \nabla_{\xi}^2 S(t, D_x) \\
 = & O(t^{-1-\mu}) (x - \nabla_{\xi} S(t, D_x)).
 \end{aligned}$$

- ▶ **Gronwall's inequality** then gives Sigal's estimate:

$$\|(x - \nabla_{\xi} S(t, D_x)) U(t, 0) \langle x \rangle^{-1}\| \in O(1).$$

- ▶ show that $s\text{-}\lim_{t \rightarrow \pm\infty} e^{iS(t, D_x)} U(t, 0)$ exists by naive Cook method:
- ▶ show that $(\partial_t S(t, D_x) - H(t))U(t, 0)u$ integrable in norm.
- ▶ we need to show

$$\|(V_t(\nabla_\xi S(t, D_x)) - V_t(x))U(t, 0)u\| \in L^1(dt).$$

- ▶ pdo calculus gives $V_t(\nabla_\xi S(t, D_x)) - V_t(x) = O(t^{-1-\mu})(x - \nabla_\xi S(t, D_x)) + O(t^{-1-\mu})$.
- ▶ this is in $L^1(dt)$ for $u \in \text{Dom}\langle x \rangle$ by Sigal's estimate.

Long-range N -particle case

- ▶ let $u \in \text{Ran} \mathbb{1}_{Z_a}(P^\pm)$. Then:
- ▶ **size of the clusters** of a is $o(t)$, distance between clusters of a greater than $C|t|$.
- ▶ We can replace $I_a(x)$ by $I_{a,t}(x)$, with $\partial_x I_{a,t}(x) \in O(t^{-|\alpha|-\mu})$, $I_{a,t}(x) = I_a(x)$ near Z_a .
- ▶ the usual argument gives the existence of

$$\Omega_{a,\text{sep}}^\pm = s\text{-}\lim_{t \rightarrow \pm\infty} U_a(0, t) e^{-itH} \text{Ran} \mathbb{1}_{Z_a}(P^\pm),$$

- ▶ where $U_a(t, x)$ unitary dynamics generated by $H_a(t) = \frac{1}{2} D_{x_a}^2 + H^a + I_{a,t}(x)$.
- ▶ main problem: $U_a(t, 0)$ **still couples** motion in X^a and in X_a .
- ▶ one would like to **replace** $I_{a,t}(x)$ by $I_{a,t}(0, x_a)$ ie set $x^a = 0$.

Bound on the size of the clusters

- ▶ by Taylor's formula $I_{a,t}(x) - I_{a,t}(0, x_a) \in O(t^{-1-\mu})|x^a|$.
- ▶ so the key is to estimate the **size of the clusters** of a when $u \in \text{Ran} \mathbb{1}_{Z_a}(P^\pm)$, ie replace the $o(t)$ estimate by $O(t^\delta)$ for some $0 < \delta < 1$.
- ▶ Jan Dereziński managed to prove that if $u \in \text{Ran} \mathbb{1}_{Z_a}(P^\pm)$ then

$$\lim_{t \rightarrow \pm\infty} \mathbb{1}_{[\theta, +\infty)}\left(\frac{|x^a|}{t^\delta}\right) e^{-itH} u = 0,$$

- ▶ for $\delta = 2(2 + \mu)^{-1}$. Proof uses the function $r(x) = (2R(x))^{1/2}$ (modification of $|x|$).

Long-range N-particle case

- ▶ if $2(2 + \mu)^{-1} < \mu$ ie $\mu > \sqrt{3} - 1$, then one can replace $I_{a,t}(x)$ by $I_{a,t}(0, x_a)$ on the evolution of such states.
- ▶ choose a solution of Hamilton-Jacobi equation:

$$\partial_t S_a(t, \xi_a) = \frac{1}{2} \xi_a^2 + I_{a,t}(0, \nabla_{\xi_a} S(t, \xi_a)),$$

$$S_a(t, \xi_a) = \frac{1}{2} \xi_a^2 + O(t^{1-\mu}).$$

Long-range N -particle case

- ▶ one obtains the following theorem
- ▶ assume that $\nabla_x^\alpha v^a(x^a) \in O(\langle x^a \rangle)^{-|\alpha|-\mu}$ for $\mu > \sqrt{3} - 1$.
Then the limits

$$1) \quad \Omega_{\text{lr},a}^\pm = s\text{-}\lim_{t \rightarrow \pm\infty} e^{iH} e^{-iS_a(t, D_{x_a}) - itH^a} \mathbb{1}_{\text{pp}}(H^a)$$

$$2) \quad \Omega_{\text{lr},a}^{\pm*} = s\text{-}\lim_{t \rightarrow \pm\infty} e^{iS_a(t, D_{x_a}) + itH^a} e^{-itH} \mathbb{1}_{Z_a}(P^\pm)$$

exist.

- ▶ $\Omega_{\text{lr},a}^\pm$ are **partial isometries** with

$$\text{Dom } \Omega_{\text{lr},a}^\pm = \text{Ran } \mathbb{1}_{\text{pp}}(H^a), \quad \text{Ran } \Omega_{\text{lr},a}^\pm = \text{Ran } \mathbb{1}_{Z_a}(P^\pm).$$

Long-range *N*-particle case

- ▶ the wave operators are complete

$$\bigoplus_{a \in \mathcal{A}} \text{Ran} \Omega_{\text{sr},a}^{\pm} = L^2(X).$$

One has

$$\Omega_{\text{lr},a}^{\pm*} P^{\pm} \mathbb{1}_{Z_a}(P^{\pm}) = D_{x_a} \Omega_{\text{lr},a}^{\pm*} \mathbb{1}_{Z_a}(P^{\pm}).$$

N -particle Hamiltonians

N -particle Hamiltonians: basic theory

The Mourre estimate

Scattering theory

Asymptotic velocity

Asymptotic completeness for short-range N -particle systems

Asymptotic completeness for long-range N -particle systems

Thank your for your attention !