

PBHs abundance : loss of predictability?

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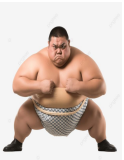


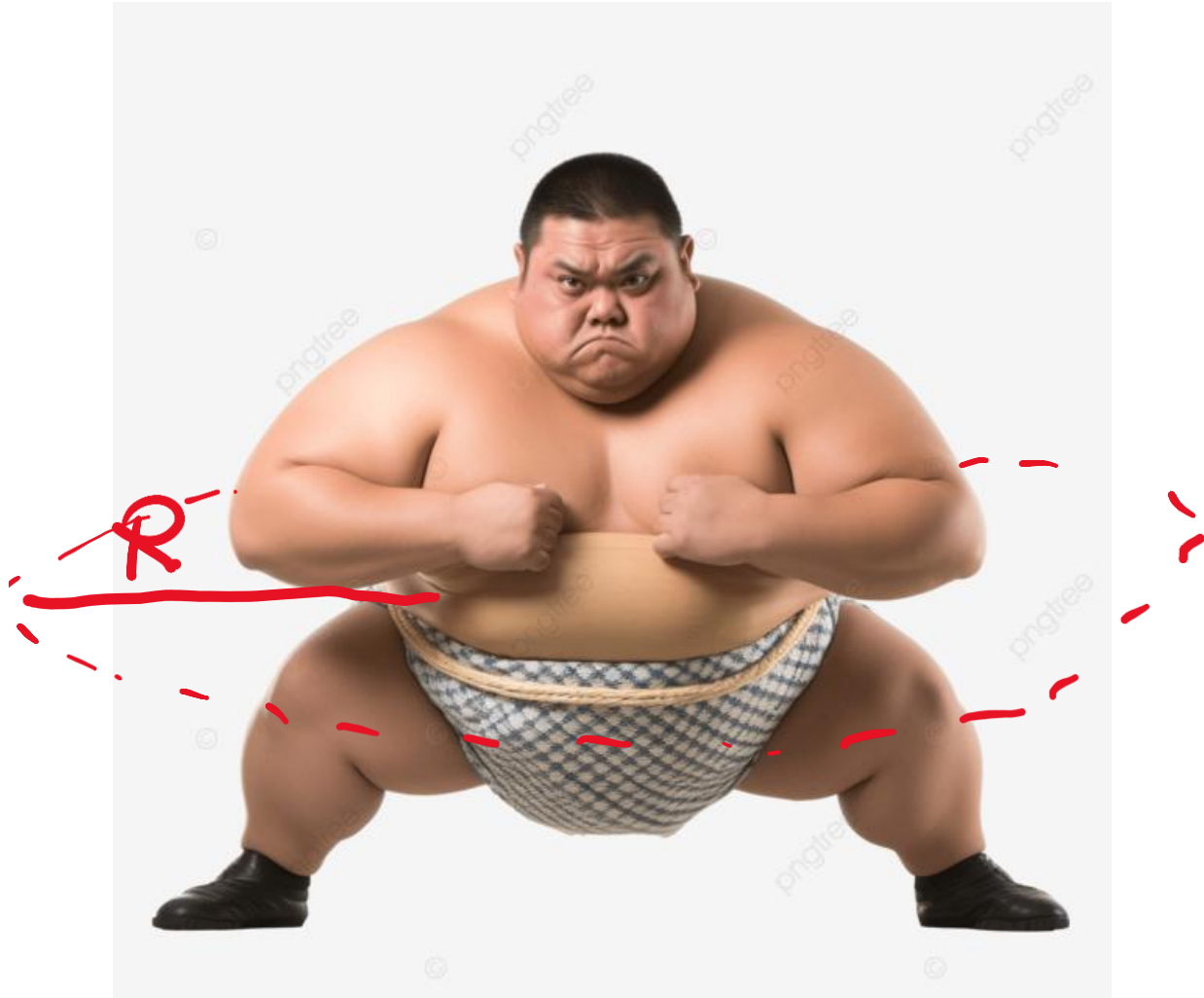
Why this is not a black hole?

(typically)



$$\frac{\delta\delta}{s} \gg t!$$

$R \gg 2$   /   $2$



(Planck)

It is the mass within hoop of radius  $R_s$  that matter!

Hoop conjecture  
Thorne '75.



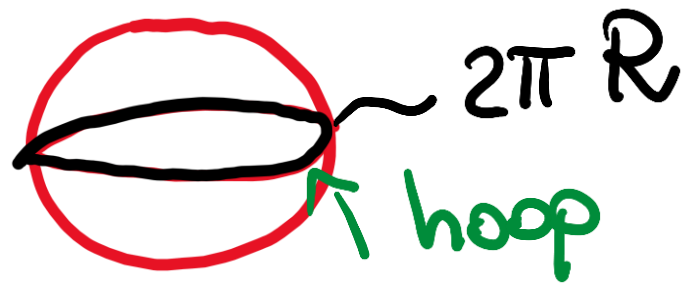
What is a hoop?

Suppose we have spherical symmetry

$$ds^2 = \dots \underbrace{g_{rr} dr^2}_{\text{Related to Volume}} + \underbrace{R^2(r,t) d\Omega^2}_{\text{Related to hoop and Area}}$$

Related  
to Volume

Related to hoop and Area



If the spacetime is isotropic

$R$  is a scalar

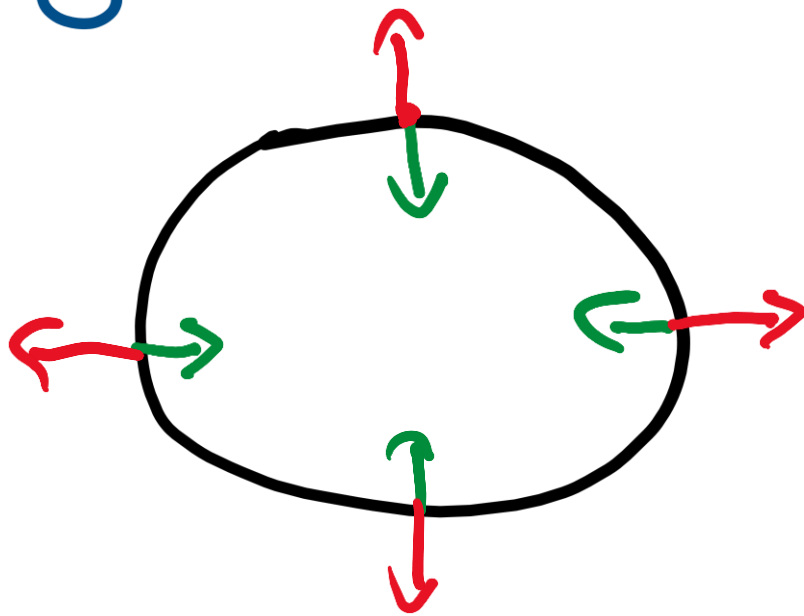
In asymptotically flat space

a BH inexorably forms iff

$$2 \frac{M}{R} \sim o(1)$$

Question:

How large should initially be  
the gravitational potential  $(\frac{2.57}{R}|_{t=t_i})$   
to eventually lead to BH?



— Pressure

— Gravity

PBH are formed in **expanding** space  
(FRW)



Universe expansion also fights against  
collapse!

In order to focus on local properties and use the hoop conjecture we could "filter out" the infinitely long modes due to the expansion

Define the compactification function

$$C = 2 \frac{[M - M_\infty]}{R} = 2 \frac{\delta M}{R}$$

$M_\infty$  is the mass generated by the homogeneous matter

Inflation quantum mechanically generates classically observable perturbations when these cross cosmological horizon

They are:

- 1) Statistically distributed
- 2) **Average**ly small
- 3) Have "large" wavelengths

1) Statistically distributed:

There is always a chance that large (collapsing) perturbations are formed

2) Averagely small:

@ formation PBH are rare

Because inglation is isotropic



~ spherical symmetry of isolated perturbations



Important:

Suppose PBHs are formed during radiation era

$$\rho_{\text{PBH}}/\rho_{\text{rad}} \sim \left(\frac{1}{a^3}\right) / \left(\frac{1}{a^4}\right) \sim a$$

PBH relative density grows:

- Initially they are sub-dominant
- @ equality ( $\rho_{\text{PBH}} \sim \rho_{\text{rad}}$ ) PBHs are Dark Matter!

3) Classically observable perturbations  
are at super horizon

This talk: we are going to challenge  
this----

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... let us now connect to inflation...

Poisson equation

$$\nabla^2 \Phi \sim \delta \mathcal{S} \quad \text{and} \quad \Phi \sim e^{2\mathcal{J}}$$

where  $ds^2 = \dots + e^{2\mathcal{J}} (dr^2 + r^2 d\Omega^2)$   
↑ curvature perturbations

$$C \sim \int \delta \mathcal{S} \sim \int \nabla^2 e^{2\mathcal{J}} = \frac{2}{3} [1 - (1 + r^2 \partial^2)^2]$$

This is exact! [Yoo et al '15]

In Fourier space

$$J \sim \int d\vec{k} e^{i\vec{k} \cdot \vec{x}} J_k(t)$$

$$\int_{\text{Ball}(r)} \nabla^2 J dV \equiv g_r(\vec{x}_0) r$$

Thus:  $C = g_r(\vec{x}_0) \left(1 - \frac{3}{8} g_r(\vec{x}_0)\right)$

If  $\zeta_k$  is Gaussian

$g_r(\vec{x}_0)$  is Multi-Gaussian

and

all the statistics of PBHs only

depends on  $\langle \zeta_k \zeta_{k'} \rangle \sim \delta(k-k') P(k)$

$P$  is the power spectrum

To form a BH  $g_r(\vec{x}_0) \sim O(1)$

$g$  is Gaussian with

$$\text{PDF}(g) \sim e^{-g^2/2\Sigma_g^2}$$

and  $\Sigma_g = \Sigma_g [P(k)]$

to get enough PBH  $P(k)$  is "large"!

$$[P(k) \sim 10^{-2}]$$

CMB requires  $\mathcal{P}(k) \sim 10^{-9}$  so  
how do we get to  $\sim 10^{-2}$ ?

If perturbation theory is NOT  
violated  $\mathcal{P}(k)$  is the variance of  
the linear  $\zeta$  (in  $\delta\mathcal{P}=0$  gauge)



If we linearize Einstein equations sourced by a scalar field (inflaton  $\phi$ ) we can recast linear perturbations into a gauge invariant [Mukhanov-Sasaki] variable

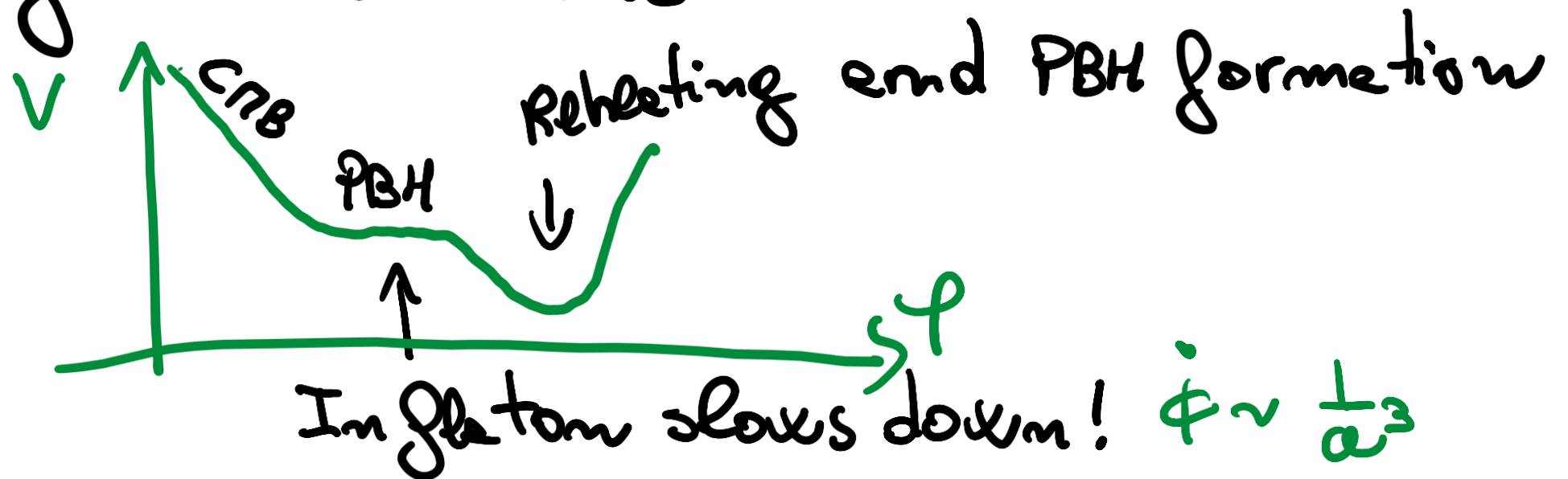
$$v = a \left[ \delta\phi + \frac{\dot{\phi}}{H} \zeta \right]$$

$v$  satisfies an undamped harmonic oscillator

@ super-horizon scales ( $k \ll aH$ )

$$\langle \zeta_k \zeta_{k'} \rangle \propto a^2 \quad \text{so}$$

$\langle \zeta_k \zeta_{k'} \rangle \propto \frac{H^2}{\dot{\phi}^2} \Rightarrow$  to increase  $P(k)$   
the inflaton must slow down



Yokoyama - Kristiansen challenge:

Is  $\mathcal{I}$  really nearly classical at super-horizon scales?

For a quantum field to behave classically  $[\psi, \psi] \sim 0$

However  $[\mathcal{I}, \mathcal{I}] \Big|_{\text{PBH}} \sim a^4$

So Yokoyama-Kristiano claimed that  $\mathcal{P}(k)$  should get large quantum corrections when considering interactions.

The previous discussion is however  
a bit quick...

The quantum variable is  $\sigma$   
by Wronskian condition

$$[\sigma, \dot{\sigma}] \sim i$$

$$\sigma = \delta\varphi + \frac{\dot{\varphi}}{H} \mathcal{I}$$

We can consider gauge  $\mathcal{I} = 0$  (specially flat)

there

$$[\delta\varphi, \delta\dot{\varphi}] \sim \frac{1}{a^2} !$$

thus  $\delta\varphi$  squeezes and all looks good,

$\delta\varphi$  is classical at super-horizon scales

Note that  $\delta\varphi|_{\gamma=0}$  is strictly speaking

the scalar mode!

Nevertheless, in the literature it has been shown that  $P(k)$  does get huge contribution from 1-loop in the case of a quick transition from  $\dot{\phi} \sim \text{const}$  (CMB case) to  $\dot{\phi} \sim \frac{1}{a^3}$  (PBHs)

Here we'll give you the reasons...

Consider an instantaneous transition  
 between  $\dot{\phi} \sim 0$  and  $\dot{\phi} \sim \frac{1}{a^3}$  at some  
 $t_*$ , at super-horizon scales

$$\delta \ddot{\phi} + \dots + \delta(t - t_*) \delta \phi = 0$$

Thus around  $t_*$   $\delta \dot{\phi}$  jumps

Sol. 
$$\delta \dot{\phi} = \underset{\substack{\uparrow \\ \text{cont.}}}{\delta \dot{\phi}} + \# \Theta(t - t_*) \delta \phi$$



Is there an issue for the quantization?

"Wronskien"

$$\begin{aligned} \delta\varphi \delta\dot{\varphi}^* - \delta\varphi^* \delta\dot{\varphi} \Big|_{t \neq t^*} &= \delta\varphi (\delta\dot{\varphi}^* + \delta\varphi^* \partial) \\ - \delta\varphi^* \delta\dot{\varphi} &= \dots + \underbrace{(|\delta\varphi|^2 - |\delta\varphi|^2)}_0 \partial \end{aligned}$$

So the jump does not change  
Linear quantization!

What happens when we turn on interactions (e.g. cubic)?

For the modes functions:

$$\delta\varphi = \delta\varphi^{(1)} + \delta\varphi^{(2)} \dots$$

$$\delta\ddot{\varphi}^{(2)} + \dots + \# \delta(t-t_*) \left[ \delta\varphi^{(2)} + \alpha \delta\varphi^{(1)} \delta\dot{\varphi}^{(1)} \right] = 0$$

source

$$\delta\dot{\varphi}^{(2)} = \delta\dot{\varphi}^{(2)} + \# \Theta(t-t_*) (\delta\varphi^{(2)} + \alpha \delta\varphi^{(1)} \delta\dot{\varphi}^{(1)})$$

While  $\delta\psi$  is continuous across  $t^*$  we have seen that the derivatives are not.

Assume  $\delta\psi^{(2)} \ll \delta\psi^{(1)}$

$$\delta\dot{\psi}^{(2)} \sim \delta\bar{\dot{\psi}}^{(2)} + \# \text{ (i)} \delta\psi^{(1)} \delta\dot{\psi}^{(1)}$$

So, for the normalized "Wronskian"

$$\frac{\delta\psi \delta\dot{\psi}^* - \delta\psi^* \delta\dot{\psi}}{|\delta\psi|^2 H} \sim \dots + \# \frac{|\delta\psi^{(1)}|^2 \delta\dot{\psi}^{(1)}}{|\delta\psi|^2 H} + \alpha \delta\dot{\psi}^{(2)}$$

So if  $\delta \dot{\varphi}^{(2)} \sim \# \delta \dot{\varphi}^{(1)} \gg \delta \dot{\varphi}^{(0)}$

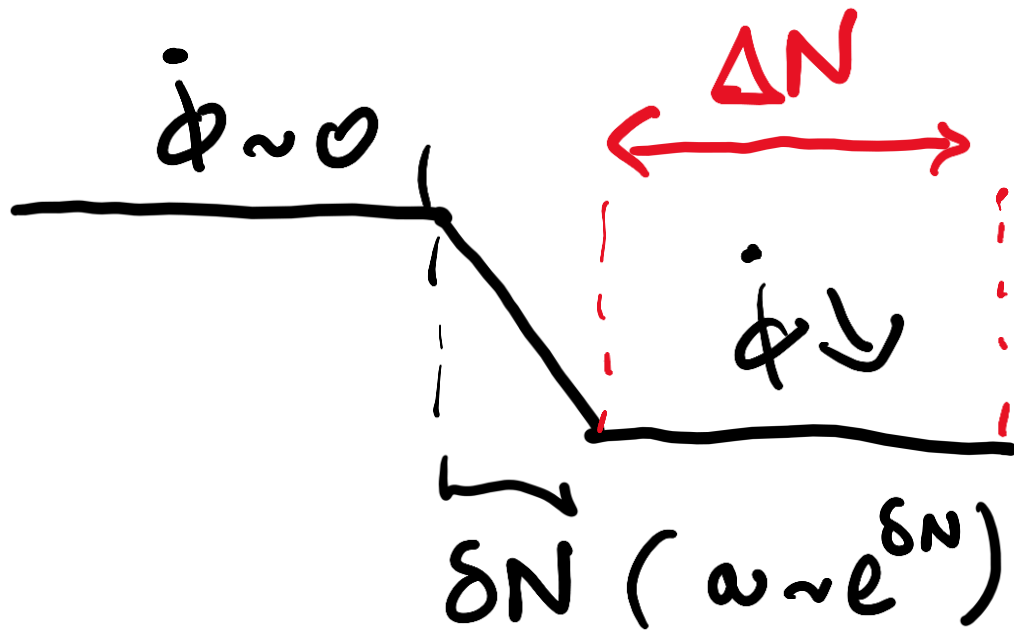
the Normalized Wronskian grows fast  
with the jump of  $\delta \dot{\varphi}^{(1)}$ !



Quantum corrections are large!

But also classical perturbation theory  
is invalid!

Suppose



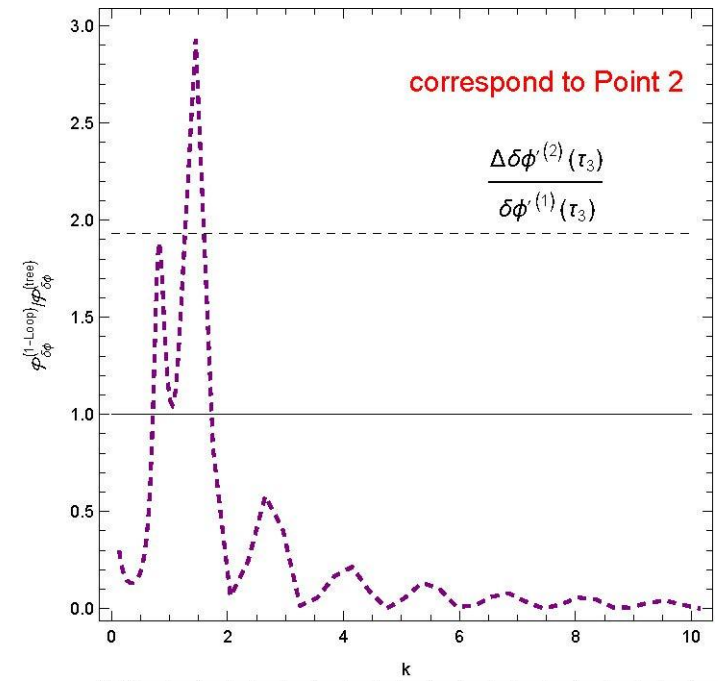
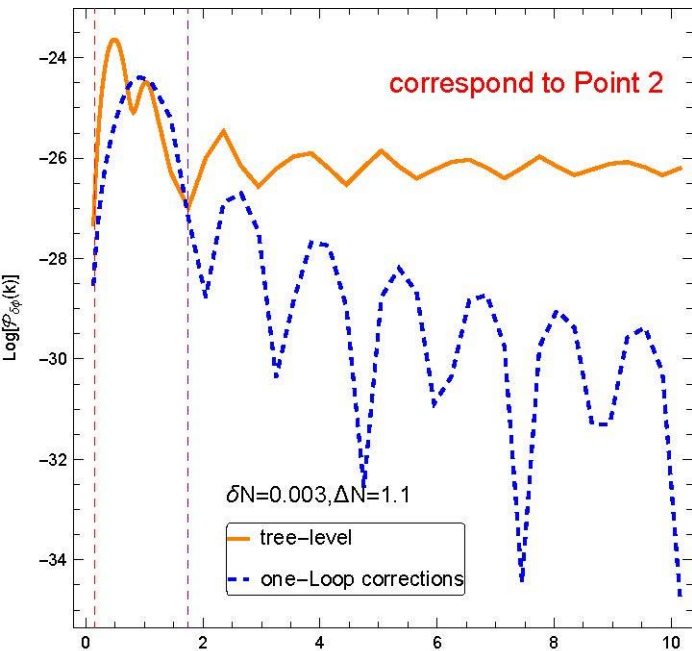
We found

$$\frac{\delta \dot{\varphi}(\varphi)}{\delta \varphi(\varphi)}$$

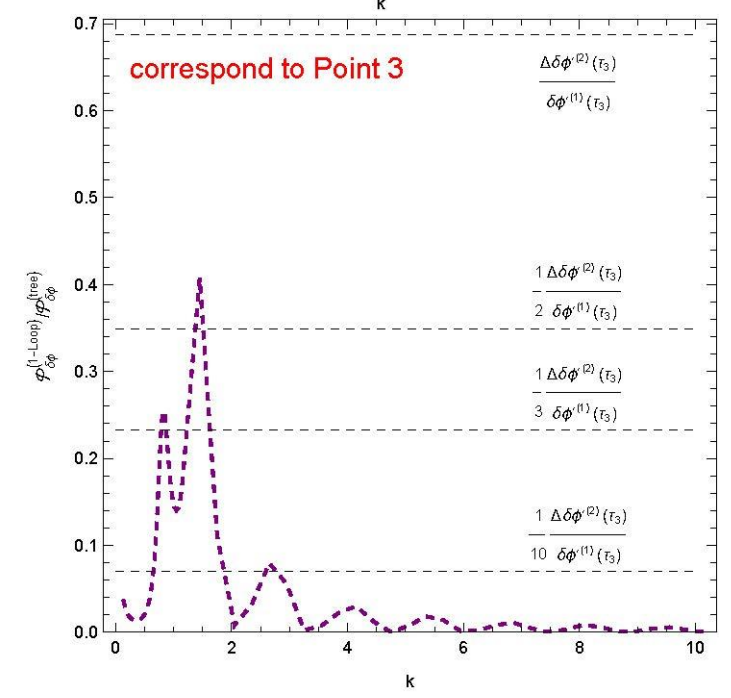
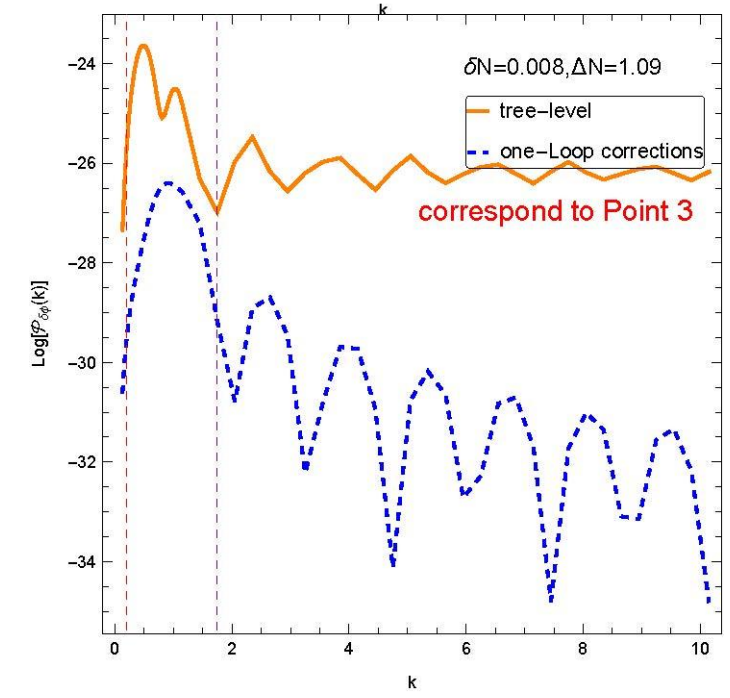
$$\sim \frac{1}{\delta N} \sqrt{\frac{\delta \Delta N}{\varphi_0}} e^{\delta \Delta N}$$

↑  
CMB

Let us then calculate loop corrections.....



Perturbation theory broken!  
 $\Downarrow$   
 $P(k)$  makes no sense!



Perturbation theory not broken  
 $P(k)^{tree}$  is stable!

## Conclusions:

- To have enough PBHs for DM we need to exponentially grow the power spectrum from its CMB value
- If the transition from the CMB scales to the exponential growing is too fast perturbation theory (classical and therefore quantum) is broken.

## Sub-conclusion:

Inflationary scenarios never sharply change from attractor (CMB) to non-attractor (PBHs) regimes

⇒ Potentials are smooth and classical perturbation theory is NEVER broken

⇒ PBH abundance is predictable



Morality:

Be careful to draw conclusions  
from over-simplified (academic)  
cases.

Never the less :

Enjoy playing with your  
toys!

Thanks for listening ~