## Confluence for topological rewriting systems

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# FELIM - Functional Equations in Limoges 

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## I. INTRODUCTION

```
    Rewriting theory
Describes sequences of computations through oriented identities
a.k.a. rewrite rules
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In computer science
$\rightarrow$ Term rewriting
$\rightarrow \beta$-reduction in $\lambda$-calculus
Instances

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$\rightarrow$ Term rewriting
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Instances

In computer algebra
$\rightarrow$ Polynomial reduction
$\rightarrow$ Involutive divisions

## Rewriting theory

Describes sequences of computations through oriented identities a.k.a. rewrite rules


## Abstract Rewriting System

$\rightarrow A$ an underlying set
$\rightarrow \rightarrow$ a binary relation on $A$
We write $a \rightarrow b$ for $(a, b) \in \rightarrow$

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## Example

Multivariate division with respect to $R$ is confluent iff $R$ is a Gröbner basis

## Confluence "at the limit"

$\ln \mathbb{K}[[x, y, z]]$ with the inverse deglex order such that $z>y>x$ take

$$
R=\left\{\mathrm{z}-y, \quad \mathrm{z}-x, \quad \mathrm{y}-y^{2}, \quad \mathrm{x}-x^{2}\right\}
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The two branches will never have a common element Hence the system is not confluent

However with the $(x, y, z)$-adic topology both branches converge to 0

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$\rightarrow \rightarrow$ a binary relation on $X$

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## Topological rewriting relation

Write $x \oplus y$ if for every neighbourhood $U$ of $y$ there exists $z \in U$ s.t. $x \xrightarrow{*} z$


Note how $x \xrightarrow{*} y$ implies $x \rightarrow y$

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Standard basis $\Leftrightarrow$ topological confluence where standard bases are to formal power series as Gröbner bases are to polynomials

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## Infinitary confluence



Of interest in computer science: infinitary $\lambda / \Sigma$-terms

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In such a case, confluence, topological confluence and infinitary confluence are trivially equivalent.

For instance, if $\tau$ is the discrete topology, then $(X, \tau, \rightarrow)$ has discrete rewriting.

Counter-example of topological conflucence $\Rightarrow$ confluence
Consider again, in $\mathbb{K}[[x, y, z]]$

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$R$ is a standard basis because
$\rightarrow \mathrm{LM}(R)=\{\mathrm{x}, \mathrm{y}, \mathrm{z}\}$ and
$\rightarrow$ if $f \in I(R)$ then $f$ has no constant coefficient
Thus the system is topologically confluent


However we saw previously that it is not confluent

## Line with two origins

$$
X:=(\mathbb{R} \times\{ \pm 1\}) / \sim
$$

where $(x, 1) \sim(x,-1)$ if $x \neq 0$

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\forall n \in \mathbb{N}, \quad\left(\frac{1}{2^{n}}, 1\right) \rightarrow\left(\frac{1}{2^{n+1}}, 1\right)
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## Cyclic relation

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X:=[0,2] \subset \mathbb{R}
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\forall n, m \in \mathbb{N}, \quad(n, m) \rightarrow(n+1, m) \quad \text { and } \quad(n, m) \rightarrow(n, m+1)
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Note how $(n, m) \xrightarrow{*}\left(n^{\prime}, m^{\prime}\right)$ iff $n \leq n^{\prime}$ and $m \leq m^{\prime}$

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Theorem. [Chenavier, Cluzeau, ML, 2024]
Let $R$ be a set of formal power series and $<$ be a local monomial order that is compatible with the degree.

The rewriting system induced by $R$ and $<$ is topologically confluent if and only if it is infinitary confluent.

## II. EQUIVALENCE OF CONFLUENCES




## Metric

$$
\begin{aligned}
& f, g \in \mathbb{K}\left[\left[x_{1}, \cdots, x_{n}\right]\right] \\
& \delta(f, g):=\frac{1}{2^{\operatorname{val}(f-g)}}
\end{aligned}
$$

> Valuation
> $\operatorname{val}\left(x y^{2} z^{2}+z^{3}+y\right)=1$ $\operatorname{val}\left(x^{2} y z+x y^{2} z\right)=4$

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## Example of a convergent sequence

In $\mathbb{K}[[x, y, z]]$ the sequence $\left(f_{n}\right)$ of powers of a variable (say $x$ ) converges:
$\lim _{n \rightarrow \infty} f_{n}=0$ because val $\left(x^{n}-0\right) \underset{n \rightarrow \infty}{\longrightarrow} \infty$

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Hence in the example of the introduction:


## Monomial orders

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$\rightarrow$ Global if 1 is minimal $\rightarrow$ Gröbner bases
$\rightarrow$ Local if 1 is maximal $\rightarrow$ Standard bases
$\rightarrow$ Compatible with the degree if the degree function on monomials is non-increasing (resp. non-decreasing) for a local (resp. global) order
Consequence: if $<$ is a local order compatible with the degree then

$$
\operatorname{val}(f)=\operatorname{deg}(\mathrm{LM}(f))
$$

Ideals of formal power series are topologically closed
$\rightarrow \mathbb{K}\left[\left[x_{1}, \cdots, x_{n}\right]\right]$ : local noetherian topological ring with respect to the ( $x_{1}, \cdots, x_{n}$ )-adic topology. Therefore a Zariski ring [Samuel, Zariski, 1975]

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$\rightarrow \mathbb{K}\left[\left[x_{1}, \cdots, x_{n}\right]\right]$ : local noetherian topological ring with respect to the ( $x_{1}, \cdots, x_{n}$ )-adic topology. Therefore a Zariski ring [Samuel, Zariski, 1975]
$\rightarrow$ Constructive proof providing a cofactor representation of a formal power series in the topological closure of the ideal [Chenavier, Cluzeau, ML, 2024]

Proposition. For all $f, g \in \mathbb{K}\left[\left[x_{1}, \cdots, x_{n}\right]\right]$, if $f \circlearrowleft g$ then $f-g \in I$

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Proof. $f \bigoplus g$ implies the existence of a sequence $f_{k} \in \mathbb{K}\left[\left[x_{1}, \cdots, x_{n}\right]\right]$ such that $f \xrightarrow{*} f_{k}$ and $\delta\left(f_{k}, g\right)<2^{-k}$ so that $\lim _{k \rightarrow \infty} f_{k}=g$

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By the same reasoning as polynomial reduction, $f \xrightarrow{*} f_{k}$ implies $f-f_{k} \in I$ thus at the limit we obtain $\lim _{k \rightarrow \infty}\left(f-f_{k}\right)=f-g \in \bar{I}$

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But $I$ is topologically closed, hence $f-g \in I$

Theorem. [Chenavier, Cluzeau, ML, 2024]
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The rewriting system induced by $R$ and $<$ is topologically confluent if and only if it is infinitary confluent.

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Strategy: Given


Close the diagram
$\rightarrow$ Fix $R$ a non-empty set of non-zero formal power series
$\rightarrow$ Fix $<$ a local monomial order compatible with the degree
$\rightarrow$ Write $\rightarrow$ the one-step rewriting relation induced by $R$ and $<$
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Let $f, g, h \in \mathbb{K}\left[\left[x_{1}, \cdots, x_{n}\right]\right]$ such that:


## Goal

Construct inductively two rewriting sequences starting from $g$ and $h$ respectively that will be proven to be Cauchy

It will turn out that the limits are then equal and hence give a common topological successor to $g$ and $h$
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$\rightarrow$ Rewrite LM $\left(g_{k}-h_{k}\right)$


## Facts

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So $\lim _{k \rightarrow \infty} g_{k}=\lim _{k \rightarrow \infty} h_{k}=: \ell$


Which shows that $\rightarrow$ is infinitary confluent

## III. CONCLUSION AND PERSPECTIVES

## Conclusion and perspectives

Summary of presented notions and results:
$\triangleright$ we introduced different confluence properties for topological rewriting systems
$\triangleright$ we provided counter-examples for converse strength implications
$\triangleright$ thanks to the topological closure of ideals of formal power series topological confluence equivalent to infinitary confluence

Further works:
$\triangleright$ study abstract properties of topological rewriting systems (e.g. C-R property, Newman's Lemma, etc ...)
$\triangleright$ show that the topological rewriting relation induces convergent rewriting chains in the context of formal power series
$\triangleright$ applications to formal analysis of PDEs

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