



# Open charm production asymmetries

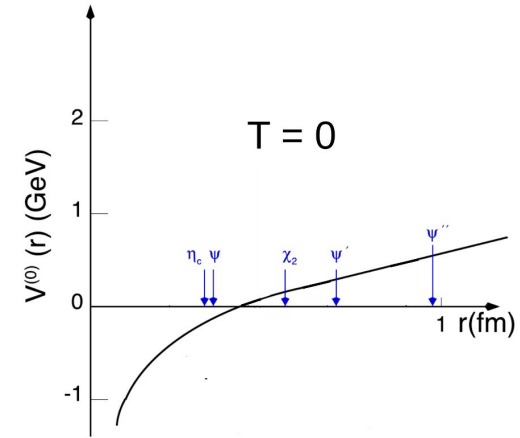
with LHCb in its fixed-target configuration



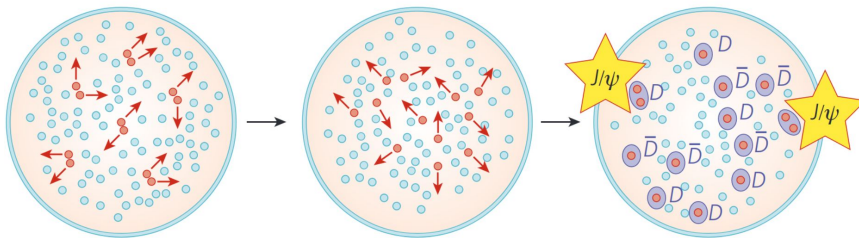
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- Search for **signatures of deconfinement** forms a key research area in heavy-ion physics.
- Heavy charmonia are model systems to study color charge interaction at  $T=0$  (vacuum) and finite temperature (in medium).
- Charmonium **suppression** historically proposed as a **probe of deconfinement** in heavy-ion collisions.



[EPJC 71:1534 \(2011\)](#)



[Nature 448, 302–309 \(2007\)](#)

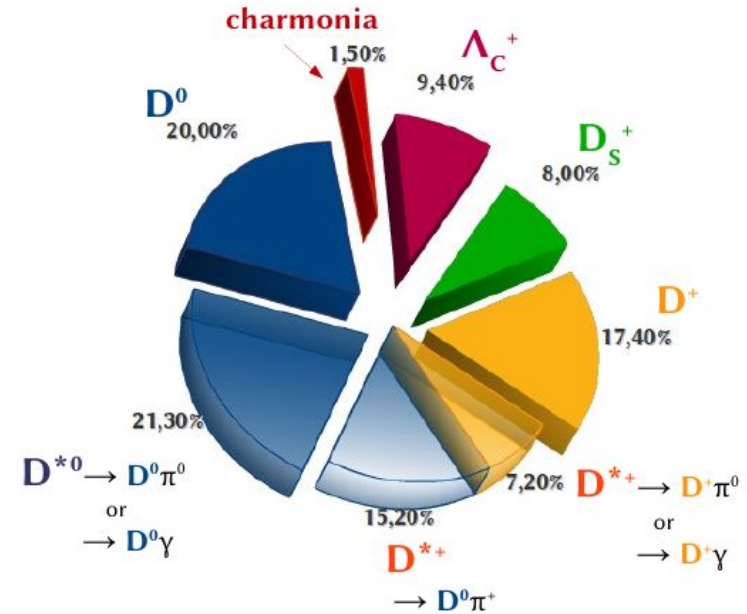
- Formation of charmonium from **unbound** heavy-quarks (**recombination**) is another sign of deconfinement.

# Total $c\bar{c}$ cross section as baseline for charmonia modification

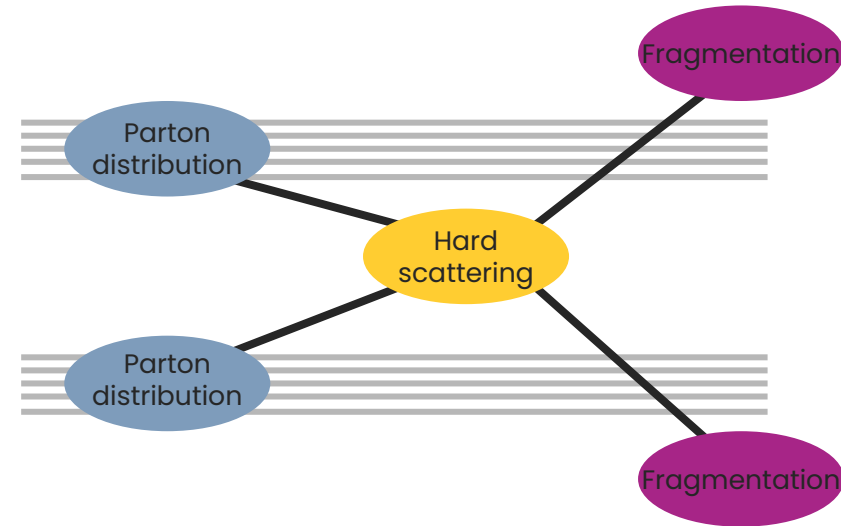
- Charm is conserved in QGP.
- Total  $c\bar{c}$  cross section emerges as a natural normalisation for charmonia modification.
- Large contributions from several mesons and baryons.
- Extensive measurements needed to deduce the sum, leading to the measurement of charm fragmentation fractions.

$$f(c \rightarrow H) = \sigma(H)/\sigma(c)$$

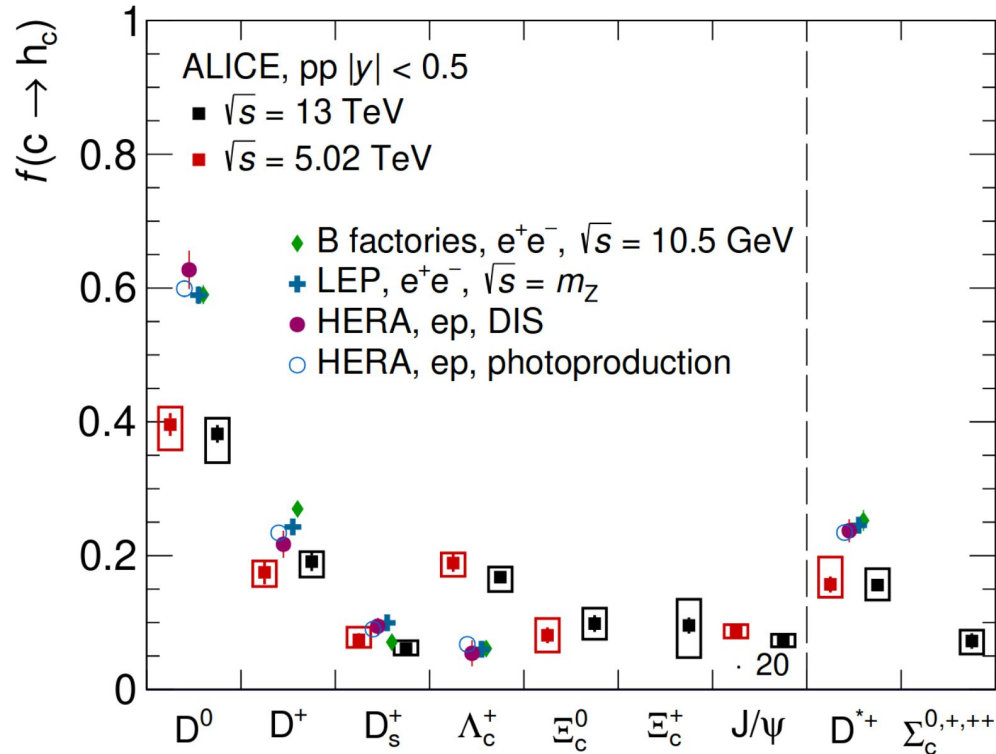
[ALICE-PHO-SKE-2015-004](#)



Charm fragmentation fractions from  $e^+e^-$  annihilation and lepton-nucleon DIS.



- Simplest assumption, **fragmentation universal**:
  - No energy dependence
  - No colliding system dependence ( $e^+e^-$ , pp, ep, ...)
  - No production process dependence (photoproduction, DIS, ...)
- Then, total  $c\bar{c}$  cross section at the LHC can be **extrapolated** from a single charm hadron measurement, typically  $D^0$ .



[JHEP 12 \(2023\) 086](#)

- Significant enhancement of charm baryon contribution to the  $c\bar{c}$  cross-section compared to  $e^+e^-$  and ep data.
- Additional contribution from charm baryons not measured until now.
- To be confirmed by other experiments.
- Need measurement of all ground state open charm hadrons.

## Mesons

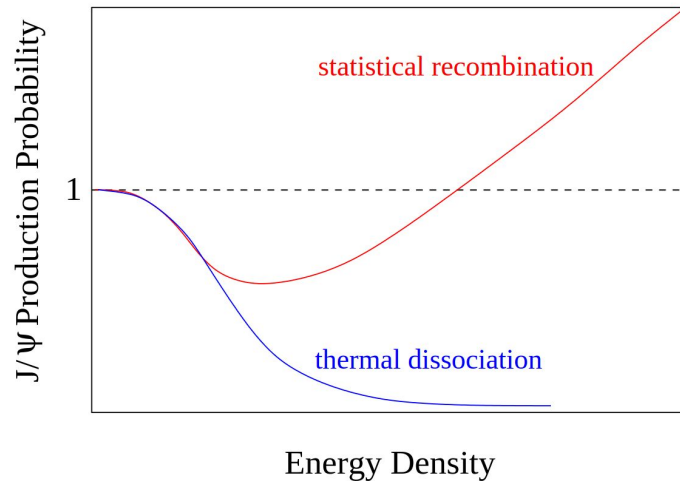
- $D^0 (c\bar{u})$ 
  - Straightforward hadronic 2 body decay (~4%).
  - $\tau \sim 120 \mu\text{m}$
- $D^+ (c\bar{d})$ 
  - Hadronic 3 body decay (~9%).
  - $\tau \sim 310 \mu\text{m}$
- $D_s^+ (c\bar{s})$ 
  - Hadronic 3 body decay (~5%).
  - $\tau \sim 150 \mu\text{m}$

## Baryons

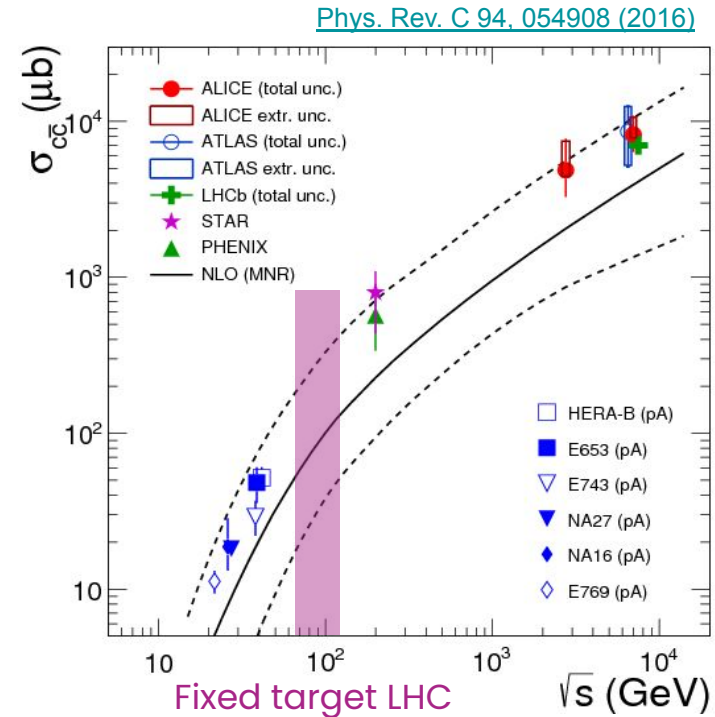
- $\Lambda_c^+ (udc)$ 
  - Hadronic 3 body decay in  $pK\pi$  (~6%).
  - $\tau \sim 60 \mu\text{m}$
- $\Xi_c^+ (usc)$ 
  - Decay via long lived strange baryons, Cabibbo-favored.
  - Hadronic 3 body decay in  $pK\pi$ , Cabibbo-suppressed (~.5% with 50% uncertainty).
  - $\tau \sim 130 \mu\text{m}$
- $\Xi_c^0 (dsc)$ 
  - Decay via long lived strange baryons.
  - Hadronic 4 body decay (~.5%)
  - $\tau \sim 50 \mu\text{m}$
- $\Omega_c^0 (ssc)$ 
  - No absolute branching fraction has been measured yet.
  - $\tau \sim 100 \mu\text{m}$

# Exploring charm production with fixed-target LHC.

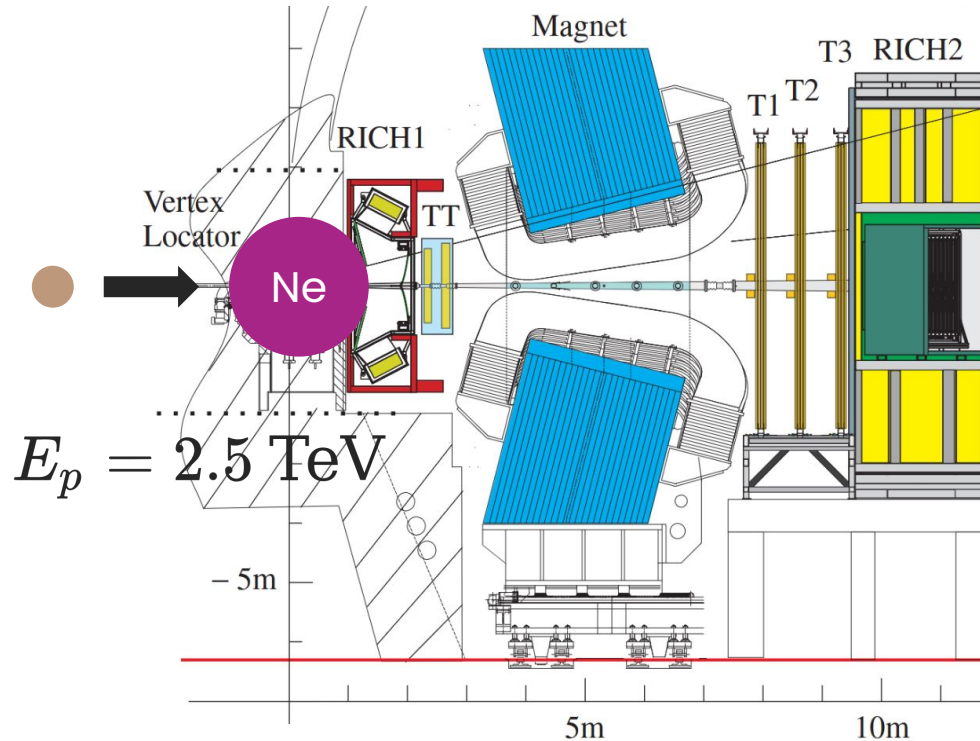
- Opportunity to test deconfinement at:
  - Lower initial energy density
  - Lower charm quark density
- Recombination of  $c\bar{c}$  into charmonia expected to be lower than at LHC energies.



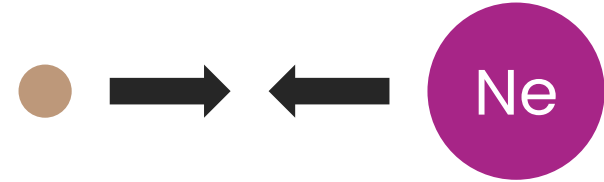
[Kluberg-Satz review, 2009](#)



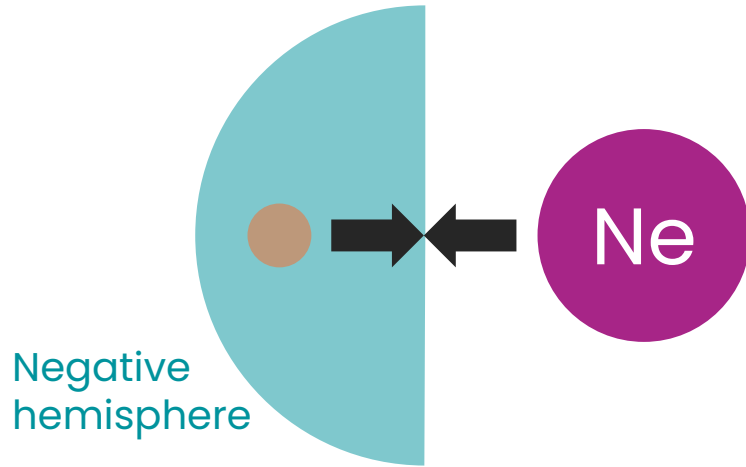




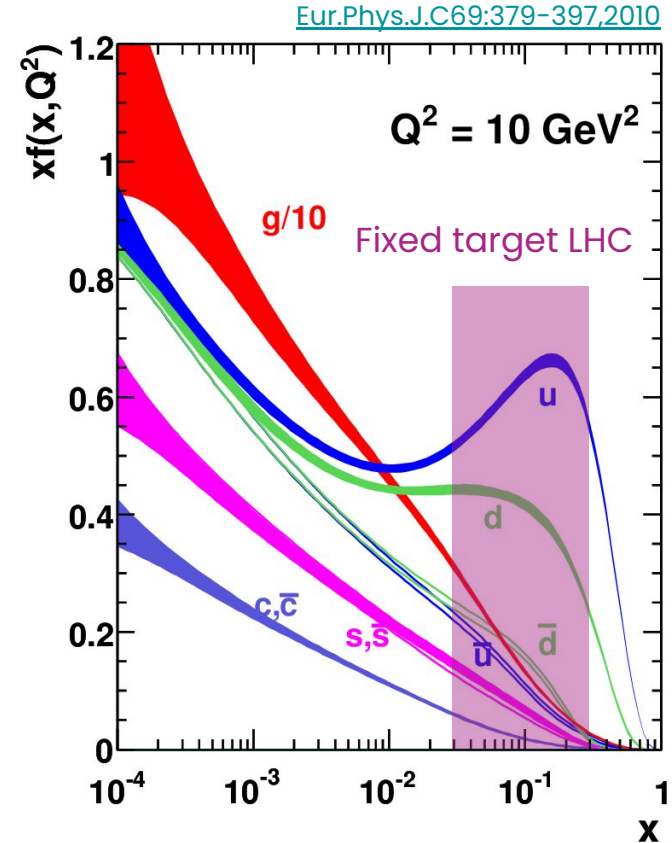
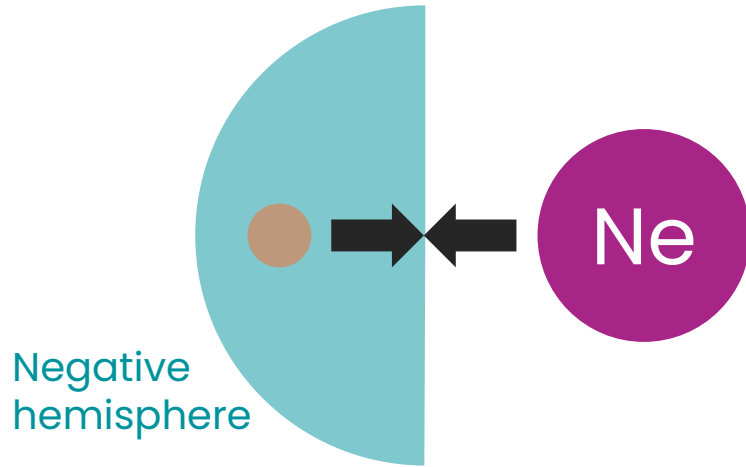
$$\sqrt{s_{NN}} = 69 \text{ GeV}$$



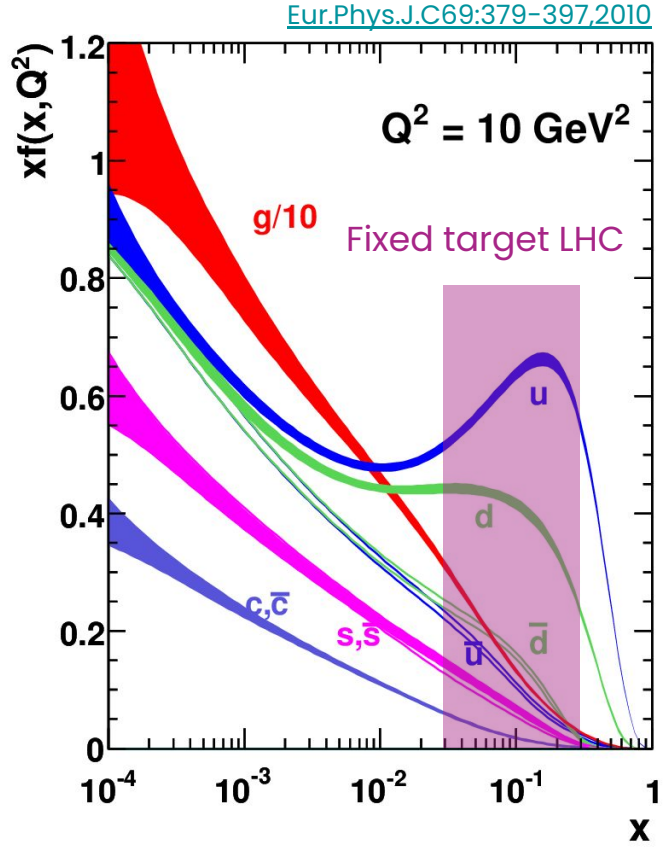
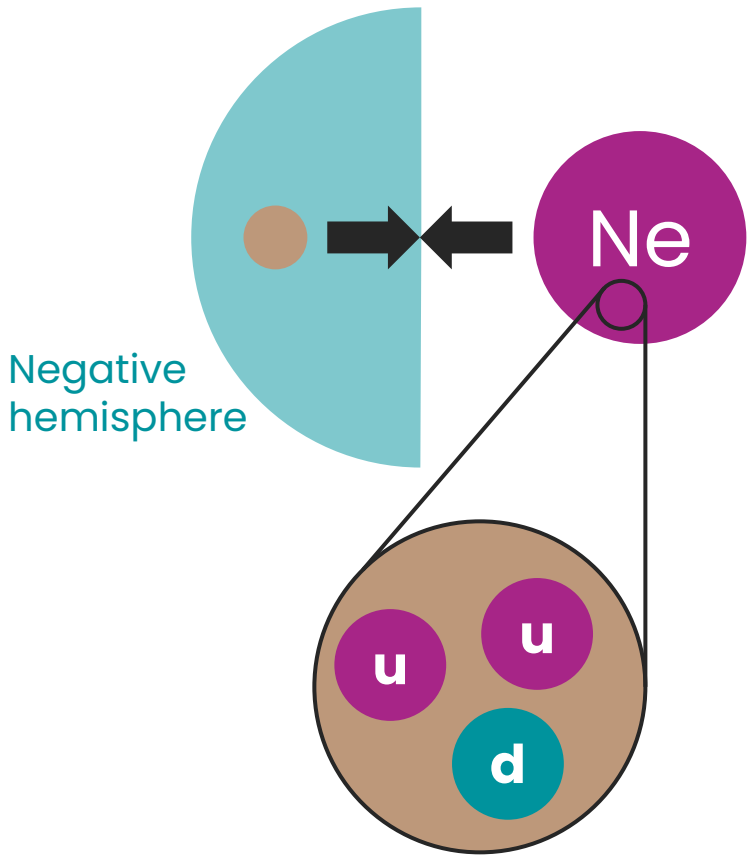
- Nucleon-nucleon center of mass boost of 4.29:
  - $y^* = y_{\text{lab}} - 4.29$
- LHCb forward **acceptance** becomes **backward** ( $-2.29 < y^* < 0$ ) with **fixed-target** configuration.
- Allows to probe **the valence region** of the **target nucleon** using charm.

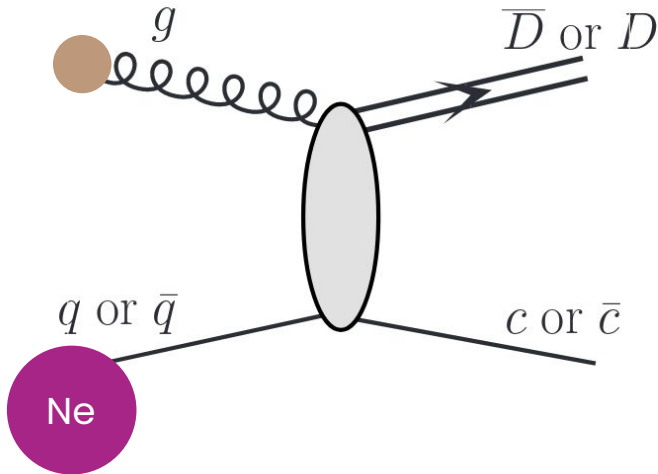


# Fixed-target kinematics



# Fixed-target kinematics

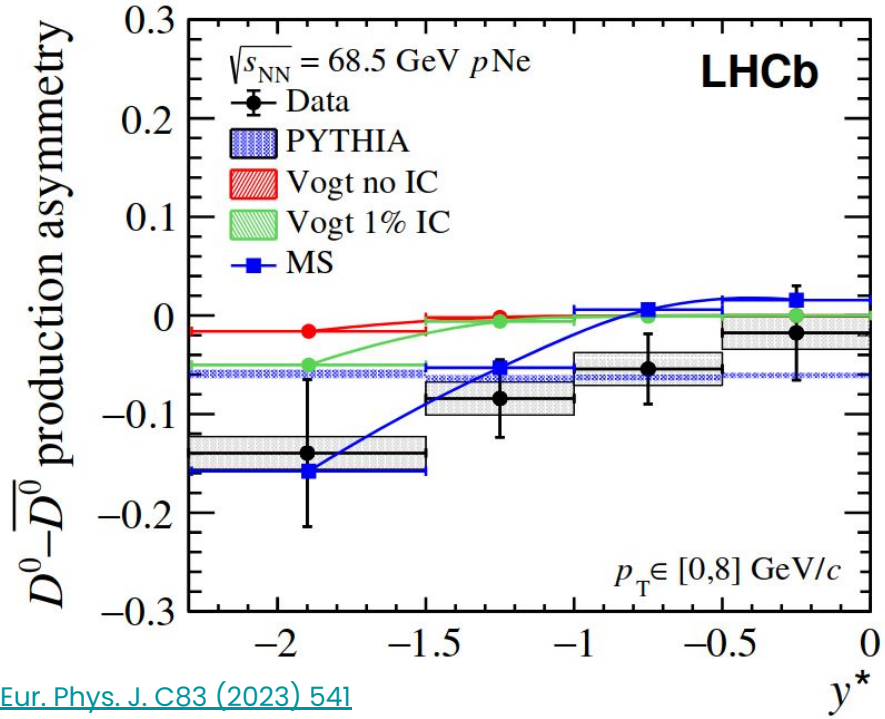




[Physics Letters B 835 \(2022\)](#)

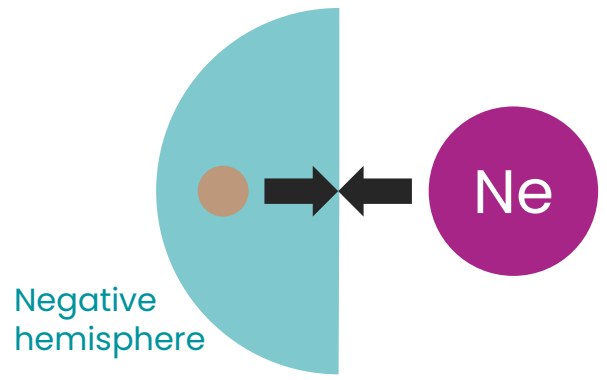
- **Charge production asymmetry** expected when a charm quark hadronizes with a valence quark of the target nucleon.
- As valence region of the target nucleon is dominated by u and d quarks, expect a **negative asymmetry increasing at backward rapidity**.
- Additional **fragmentation fraction non universality**.
- Need to measure rapidity dependence of all mesons and baryons.

$$A = \frac{N(c\bar{q}) - N(\bar{c}q)}{N(c\bar{q}) + N(\bar{c}q)}$$



[Eur. Phys. J. C83 \(2023\) 541](#)

- Open charm **charge asymmetry** observed in fixed-target  $p\text{Ne}$  at LHCb.
- Needs confirmation with other open charm hadrons and colliding systems.



Decay chains currently  
studied in pNe collisions

$$D^+ \rightarrow K^- \pi^+ \pi^+$$

$$D_s^+ \rightarrow K^+ K^- \pi^+$$

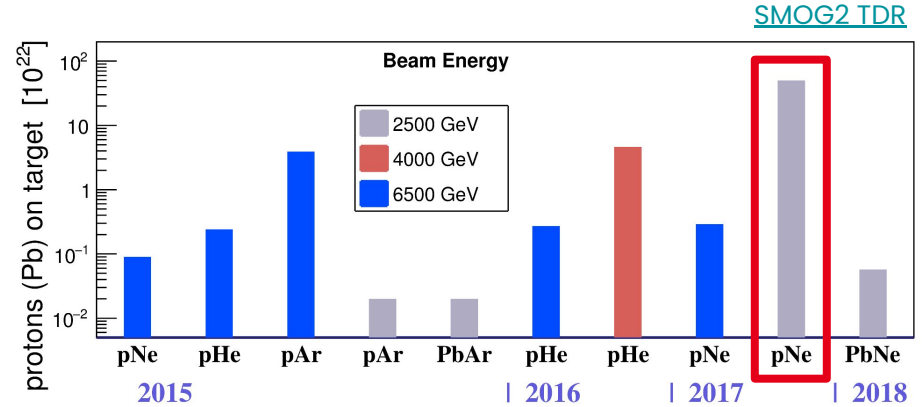
$$D^{*+} \rightarrow (D^0 \rightarrow K^- \pi^+) \pi^+$$

$$\Lambda_c^+ \rightarrow p K^- \pi^+$$

and charge conjugates

- $p\text{Ne}$  data taken with SMOG in 2017.
- 2.5 TeV proton beam.
- $\sqrt{s_{\text{NN}}} = 68.5 \text{ GeV}$
- Luminosity :  $L_{p\text{Ne}} = 21.7 \pm 1.4 \text{ nb}^{-1}$

	$y^*$ range	$p_{\text{T}}$ range
$D^{\pm}$	[-2.29, 0]	[0.6, 8] GeV
$D_s^{\pm}$		[1.1, 8] GeV
$D^{*\pm}$		[0, 8] GeV
$\Lambda_c^{\pm}$		

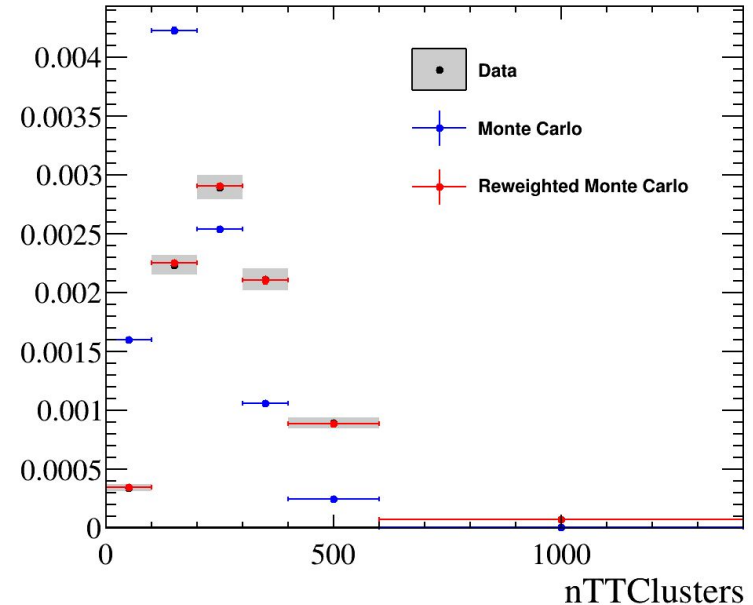


- **Ongoing analysis** for cross-section and asymmetry measurements.
- Limited low  $p_{\text{T}}$  reach for  $D^+$  and  $D_s^+$  due to cuts in software trigger.
- Lesson learned for the future, with high statistics coming **this year!**

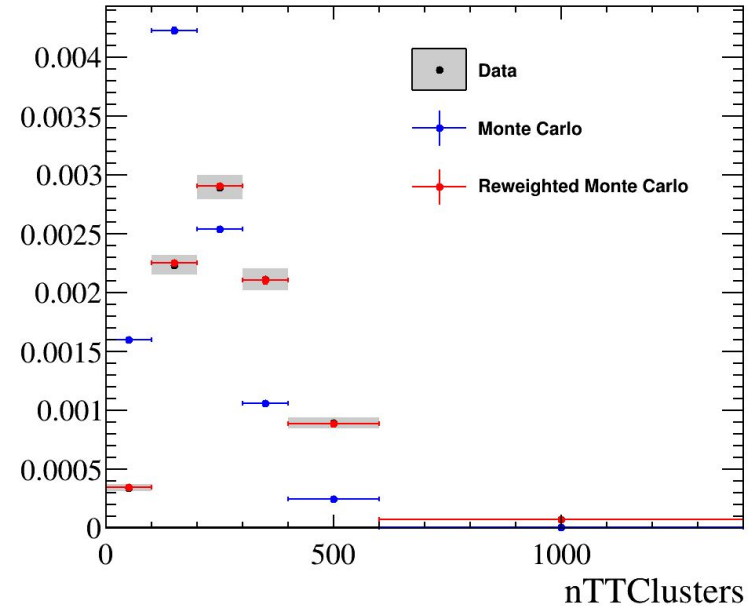


# Monte Carlo reweighting

- Before efficiency computations, **Monte-Carlo reweighting** is needed.
- Binned 4 x 1D (**transverse momentum, rapidity, longitudinal PV position, multiplicity**) reweighting performed.



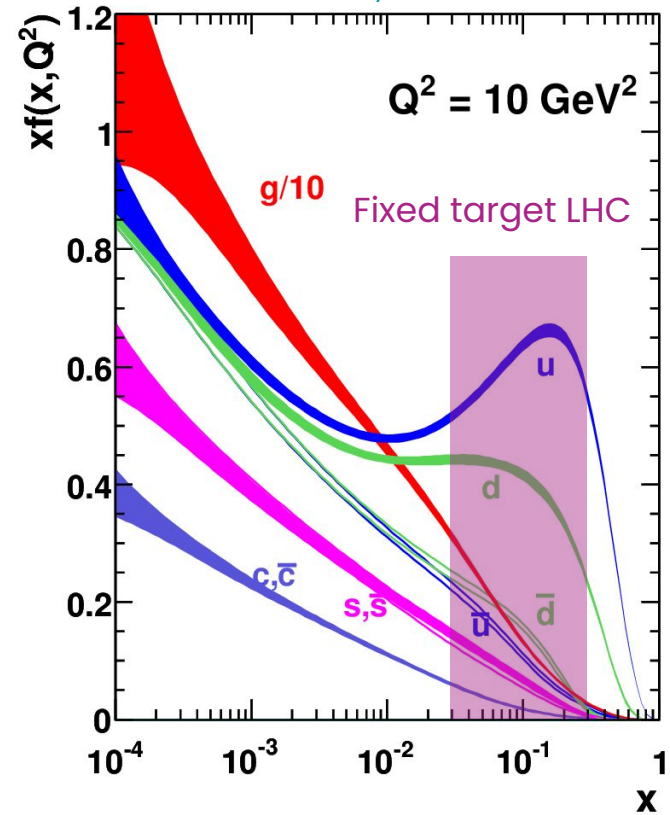
- Before efficiency computations, **Monte-Carlo reweighting** is needed.
- Binned 4 x 1D (**transverse momentum, rapidity, longitudinal PV position, multiplicity**) reweighting performed.
- High impact of reweighting on efficiency values.
- **Independent** reweighting and efficiency computing **between charge conjugates**.



- No strong hint of asymmetry, as expected.
- No strange valence quarks.
- More data needed to formally exclude asymmetry.
- Missing systematic uncertainty from reweighting.

# $D_s^\pm$ production asymmetry

[Eur.Phys.J.C69:379-397,2010](#)



- **Negative asymmetry** increasing at backward rapidity.
- **Compatible trend** with  $D^0$  asymmetry and hadronization with a quark from the **target valence region**.
- However, **more data is needed** to confirm this trend.

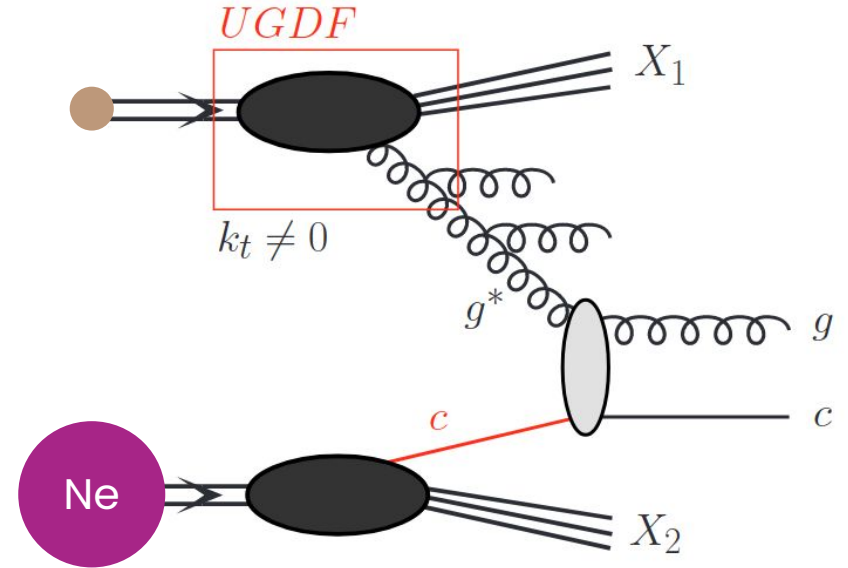
- **Negative asymmetry** increasing at backward rapidity.
- **Compatible trend** with  $D^0$  asymmetry and hadronization with a quark from the **target valence region**.
- However, **more data is needed** to confirm this trend.

- Total charm production arises as the natural normalisation for charmonium modification in QGP studies.
- Charm fragmentation universality questioned.
- Need measurement of all ground state open charm hadrons.
- At fixed target energy, hint of further charm hadronization universality breaking by hadronization with target valence quarks.
- Ongoing analysis with charged open charm mesons and baryons, with promising preliminary results.
- Rich SMOG2 charm program will allow to explore hadronization in numerous colliding systems.

# Backup

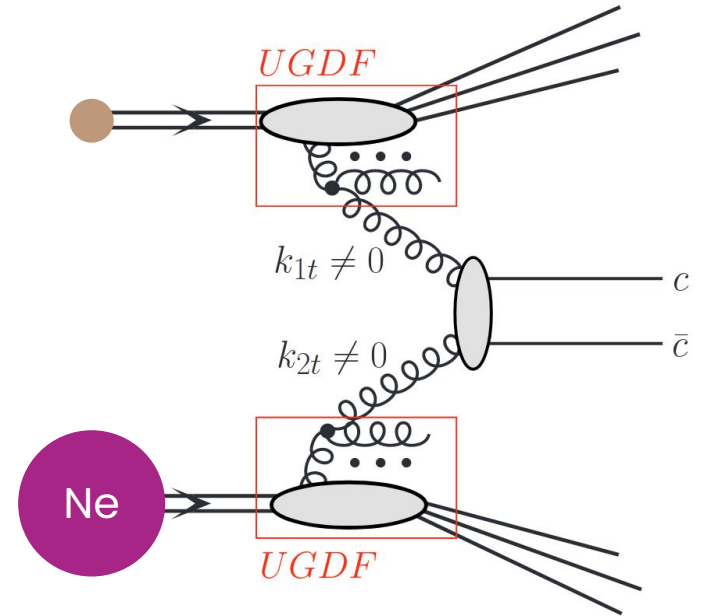


- **Knock-off** of a **charm** quark from the **target** nucleon.
- Expected to **enhance** the D-meson cross-section at **backward rapidity**.
- However effect remains small, at the **percent level**.



[Physics Letters B 835 \(2022\)](#)

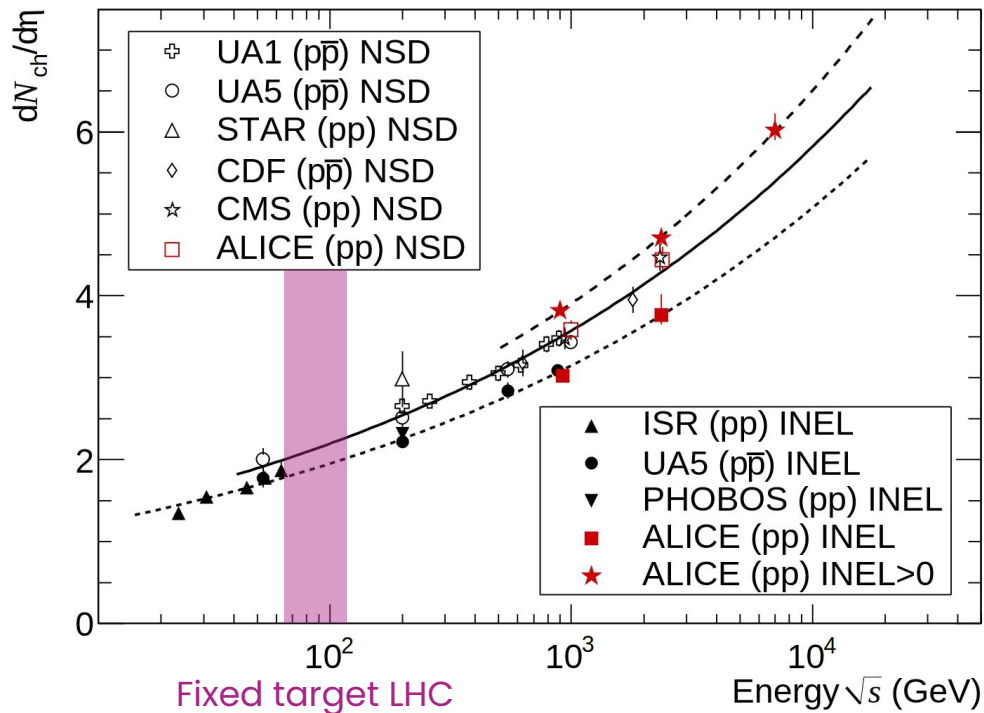
- **Backward** D-meson production models are still **not completely understood**.
- **Fixed-target LHCb** allows to directly probe this kinematic region.
- **Leading contribution** from “standard” QCD **gluon-gluon fusion** process.



[Physics Letters B 835 \(2022\)](#)

# Multiplicity vs energy

[Eur. Phys. J. C 68 \(2010\) 345-354](#)

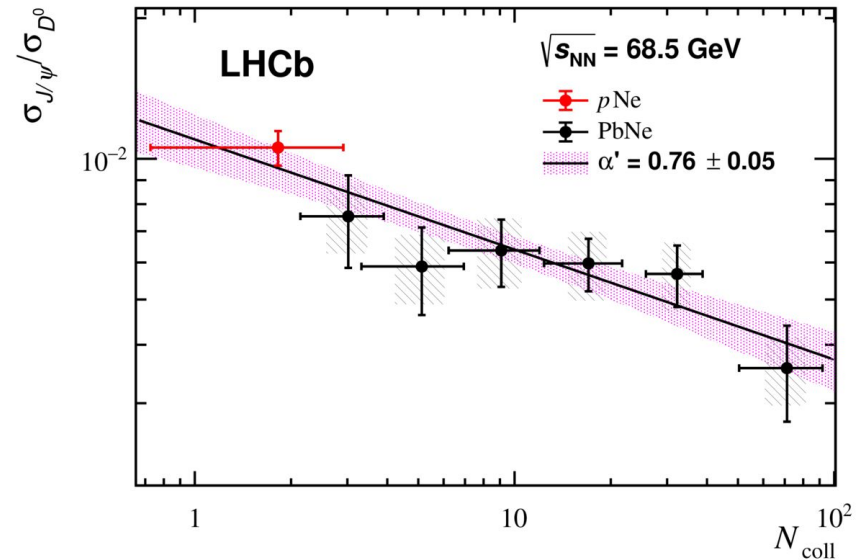


- Energy density can roughly be estimated from charged particle density.
- ~3 times lower energy density expected at LHC fixed-target compared to collider mode.

# $D^0$ as proxy for total $c\bar{c}$ cross section

- $J/\psi$  over  $D^0$  ratio measured in both fixed-target  $p$ Ne and PbNe.
- PbNe data splitted in several centrality bins and matched to the number of binary nucleon-nucleon collisions ( $N_{\text{coll}}$ ).
- Assume  $\sigma_{J/\psi}$  scaling in  $\langle N_{\text{coll}} \rangle^{\alpha'}$ .
- $D^0$  used as proxy for total  $c\bar{c}$  cross-section:  $\sigma_{D^0}$  scaling in  $\langle N_{\text{coll}} \rangle$ .
- However, **universality breaking** of charm fragmentation can affect the usage of  $D^0$  as a proxy for total  $c\bar{c}$  cross-section.

[Eur. Phys. J. C83 \(2023\) 658](#)



# Triggers, stripping and data quality

- proton-proton collisions at  $\sqrt{s} = 5$  TeV in parallel to the  $p$ Ne data taking.
- **Ghost contamination** from debunched protons.
- **Data quality cuts** from LHCb-INT-2020-012.

Global Event Cuts
$PVz \in [-200; -100] \cup [+100; +150]$ mm
$nPV \geq 1, PUHits < 5, BCType = 1, PVntracks > 4$

$D^\pm$	Hlt1	Hlt1SMOGSingleTrackDecision_TOS
	Hlt2	Hlt2SMOGDpm2KPiPiDecision_TOS
	Stripping	StrippingHeavylonOpenCharmDp2KHHLineDecision
$D_s^\pm$	Hlt1	Hlt1SMOGSingleTrackDecision_TOS
	Hlt2	Hlt2SMOGDs2KKPiDecision_TOS
	Stripping	StrippingHeavylonOpenCharmDs2KKHLineDecision

## Stripping

Combination cuts
$\text{MAXCHILD}(p_T) > 1 \text{ GeV}$ $\text{MAXCHILD}(\text{IP}_{\chi^2}^{\text{PV}}) > 9$ $\text{DOCA} < 2 \text{ mm}$ At least 2 children with $\text{IP}_{\chi^2}^{\text{PV}} > 4$ At least 2 children with $p_T > 400 \text{ MeV}$

Children cuts		
$K^\pm$	$\text{DLL}_K > 5$	$\text{track } \chi^2/\text{ndof} < 5$ $\text{IP}_{\chi^2}^{\text{PV}} > 2$ $p_T > 200 \text{ MeV}$ $p > 3 \text{ GeV}$
$\Pi^\pm$	$\text{DLL}_K < 5$	

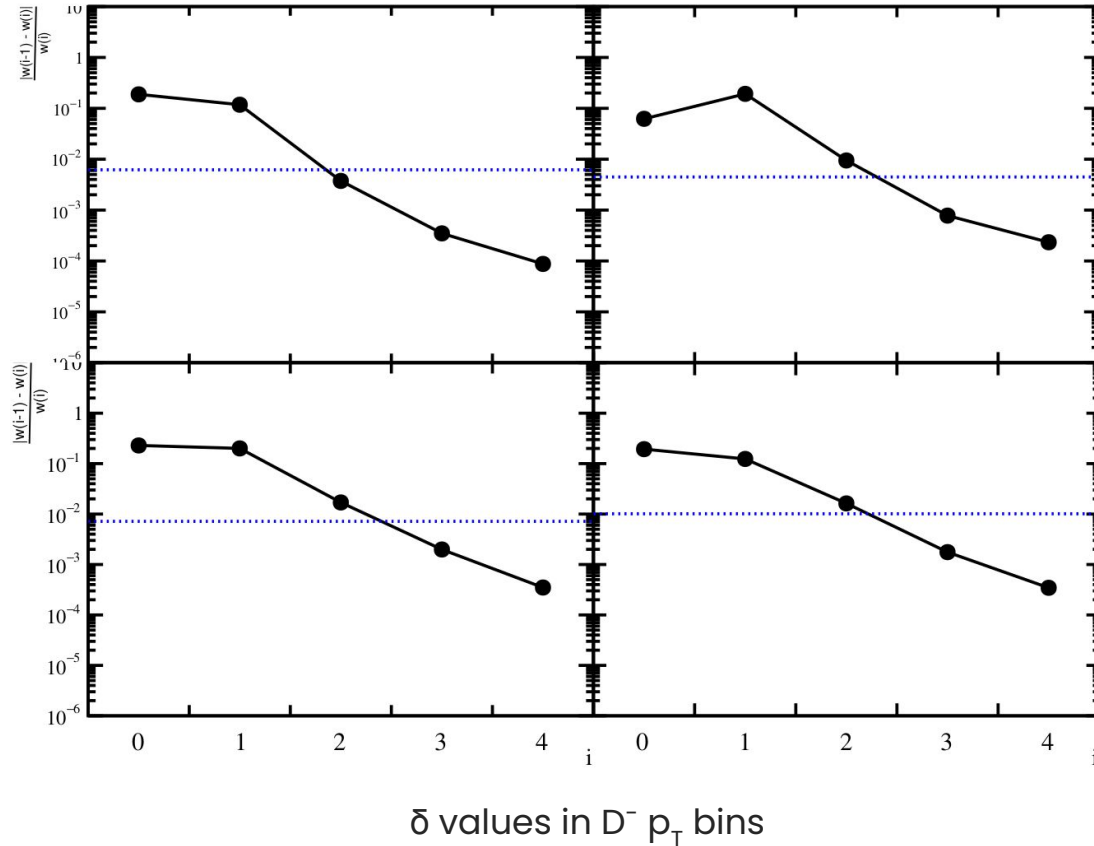
Parent cuts
$\text{Vertex } \chi^2/\text{ndof} < 25$

## Additional cuts

Children cuts			
	Acceptance	Kinematics	PID
$K^\pm$	$2 < \eta < 4.5$	$p_T > 200 \text{ MeV}$	$\text{DLL}_K > 5$
$\Pi^\pm$			$p > 3 \text{ GeV}$

Parent cuts	
$D^\pm$	$\text{IP}_{\chi^2}^{\text{PV}} < 15$ $\text{Vertex } \chi^2 < 22$ $\text{DIRA} > 0.999$ $\tau > 0.5 \text{ ps}$
$D_s^\pm$	

- Binned 4x1D ( $p_T$ ,  $y$ , PVZ, multiplicity) reweighting performed.
- Iterative process used:
  - Weights computed in each variable distribution by comparing data to reconstructed Monte Carlo.
  - Efficiency distributions computed with weighted Monte Carlo ( $w_{\text{tot}} = w_{p_T} \cdot w_y \cdot w_{\text{PVZ}} \cdot w_{\text{mult}}$ ). Reweighting is done at the candidate level.
  - New weights computed by comparing efficiency corrected data to non-weighted generated Monte Carlo.
  - New efficiencies computed the same way as before.



- Convergence studied by comparing the weights values in each bins for two subsequent iterations :

$$\delta = \frac{|w(i) - w(i-1)|}{w(i)}$$

- Convergence criteria:  $\delta$  negligible compared to statistical uncertainty.
- Blue dotted line:  $\sigma_{\text{stat}}/10$
- Satisfactory convergence obtained after 5 iterations.



- Uncertainties considered fully correlated between charges, thus **cancelling out**:
  - Tracking
  - PID
  - Truth matching
  - Luminosity
  - Neon purity
  - Branching ratio
- Leaving the following uncertainties as uncorrelated:
  - Statistical uncertainty
  - Signal extraction
  - Reweighting
  - MC statistics