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FISICA E STRONOMIA

# Transport properties from quantum kinetic theory

David Wagner

Conference on Chirality, Vorticity and Magnetic Field  
26.07.2024





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# Quantum kinetic theory: What is it, why does it always look so complicated, and why should I care? A short introduction to the method and a selection of applications

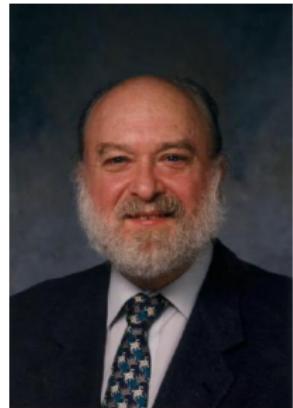
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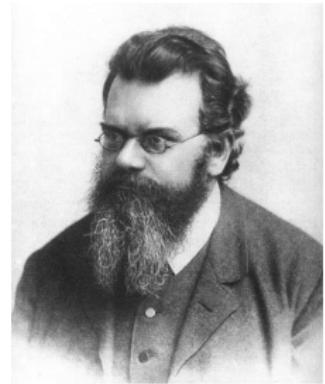


## Introduction to the framework

# What is quantum kinetic theory?



# What is quantum kinetic theory?



# What is quantum kinetic theory?

- **Nonequilibrium** statistical description of a **dilute** gas
  - ▶ **Nonequilibrium**: Describes process of thermalization selfconsistently, concept of temperature emerges from dynamics
  - ▶ **Statistical**: Quantity of interest: single-particle distribution function
  - ▶ **Dilute**: Main assumption: System consists of essentially pointlike free particles scattering via short-range interactions



# What is quantum kinetic theory?

Ihr solltet mein Papier lesen!

L. Boltzmann, Sitz.-Ber. Akad. Wiss. Wien (II) 66, 275–370 (1872)

english translation: The Kinetic Theory of Gases, 262–349 (2003)

- **Nonequilibrium** statistical description of a dilute gas
  - ▶ **Nonequilibrium:** Describes process of thermalization selfconsistently, concept of temperature emerges from dynamics
  - ▶ **Statistical:** Quantity of interest: single-particle distribution function
  - ▶ **Dilute:** Main assumption: System consists of essentially pointlike free particles scattering via short-range interactions



# Connection to macroscopic currents

- Kinetic theory is an effective microscopic description
  - ▶ Provides (infinitely) more information than macroscopic approaches, such as thermo- and hydrodynamics
  - ▶ Can be used to extract information about any macroscopic current of interest

## Kinetic representation of currents

$$N^\mu(t, \mathbf{x}) = \int dK k^\mu f(t, \mathbf{x}, \mathbf{k}),$$

$$T^{\mu\nu}(t, \mathbf{x}) = \int dK k^\mu k^\nu f(t, \mathbf{x}, \mathbf{k}),$$

$$S^\mu(t, \mathbf{x}) = - \int dK k^\mu f(t, \mathbf{x}, \mathbf{k}) [\ln f(t, \mathbf{x}, \mathbf{k}) - 1]$$

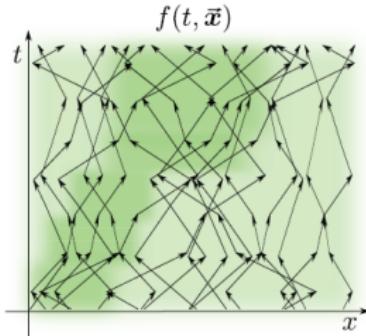
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$$dK := d^3k / [(2\pi\hbar)^3 k^0]$$

# Evolution of the distribution function

## Boltzmann equation (classical version)

$$\left( \frac{\partial}{\partial t} + \frac{\mathbf{k}}{m} \cdot \nabla + \mathbf{F} \cdot \nabla_{\mathbf{k}} \right) f(\mathbf{x}, \mathbf{k}) = C[f]$$



L. Rezzolla, O. Zanotti, 978-0-19-174650-5 (2013)

- Left-hand side: Advection through  $(\mathbf{x}, \mathbf{k})$ -phase space
- Right-hand side: Collision term
  - ▶ Depends on higher-order distribution functions, e.g.  $f_2(\{\mathbf{x}_1, \mathbf{k}_1\}; \{\mathbf{x}_2, \mathbf{k}_2\})$
  - ▶ Has to be truncated ( $\rightarrow$  BBGKY hierarchy)
  - ▶ Stoßzahlansatz: Replace  $f_2(\{\mathbf{x}_1, \mathbf{k}_1\}; \{\mathbf{x}_2, \mathbf{k}_2\}) \rightarrow f(\mathbf{x}_1, \mathbf{k}_1)f(\mathbf{x}_2, \mathbf{k}_2)$

## Collision term

$$C[f] = \frac{1}{2} \int dK_1 dK_2 dK' \mathcal{W} (f_1 f_2 - f f')$$

# Connecting to quantum theory

- How to translate these ideas to quantum mechanics?
  - Try to build on the conserved currents and find  $W(x, k, t)$  such that

$$\text{Tr} \left[ \hat{\rho}(\hat{\mathbf{x}}, \hat{\mathbf{p}}, t) \hat{A}(\hat{\mathbf{x}}, \hat{\mathbf{p}}) \right] = \int d^3x \int \frac{d^3k}{(2\pi\hbar)^3} A(\mathbf{x}, \mathbf{k}) W(\mathbf{x}, \mathbf{k}, t)$$

- Choice “closest” to classical kinetic theory: **Wigner function**

$$W(\mathbf{x}, \mathbf{k}, t) = \int d^3v e^{-\frac{i}{\hbar} \mathbf{k} \cdot \mathbf{v}} \left\langle \mathbf{x} + \frac{\mathbf{v}}{2} \middle| \hat{\rho} \middle| \mathbf{x} - \frac{\mathbf{v}}{2} \right\rangle$$

E. P. Wigner, Phys. Rev. 40, 749-760 (1932)

H.-W. Lee, Physics Reports 259, 147-211 (1995)

- Price to pay: Wigner function is not positive semidefinite
- Relativistic field-theoretical version (scalar field):

$$W(x, k) = \int d^4v e^{-\frac{i}{\hbar} k \cdot v} \left\langle \hat{\phi}^\dagger \left( x + \frac{v}{2} \right) \hat{\phi} \left( x - \frac{v}{2} \right) \right\rangle \equiv G^<(x, k)$$

# Equations of motion



$$G = \begin{pmatrix} G^{++} & G^{+-} \\ G^{-+} & G^{--} \end{pmatrix} = \begin{pmatrix} G^F & G^< \\ G^> & G^{\bar{F}} \end{pmatrix}$$

- Real-time QFT: Expectation values of operators can be represented as time evolution along a closed-time path
- EoM: (contour-ordered) Dyson-Schwinger equation

$$\begin{aligned} G_0^{-1} G^{AB}(x_1, x_2) &= -ic^{AB} \delta^{(4)}(x_1 - x_2) \\ &\quad + i \int d^4 x' \Sigma^{AC}(x_1, x') c_{CD} G^{DB}(x', x_2) \end{aligned}$$



- Wigner transform:  $-i\hbar\partial_1^\mu G^{AB}(x_1, x_2) \rightarrow \left(k^\mu - \frac{i\hbar}{2}\partial^\mu\right) G^{AB}(x, k)$

# Approximations

- $\hbar$ -gradient expansion: Assume that the two-point functions are sufficiently localized in central coordinate  $x = (x_1 + x_2)/2$ 
  - ▶ *Notion of a particle should make sense!*
- Allows to approximate memory integrals



$$\int d^4x' G(x_1, x') \Sigma(x', x_2) \longrightarrow G(x, k) \Sigma(x, k) - \frac{i\hbar}{2} \{G(x, k), \Sigma(x, k)\}_{\text{PB}}$$

## (Gradient-expanded) Kadanoff-Baym equations

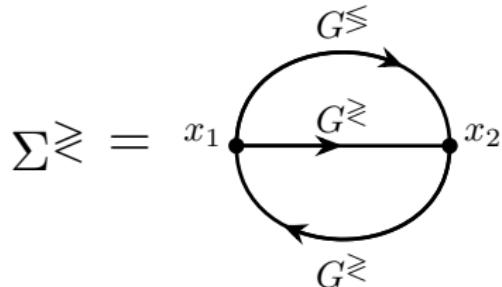
$$G_0^{-1} G^<(x, k) = \frac{i}{2} [\Sigma^>(x, k) G^<(x, k) - \Sigma^<(x, k) G^>(x, k)] \\ + \frac{\hbar}{4} \left[ \{\Sigma^>(x, k), G^<(x, k)\}_{\text{PB}} - \{\Sigma^<(x, k), G^>(x, k)\}_{\text{PB}} \right]$$

L. P. Kadanoff, G. Baym, ISBN 9780429493218 (1989)

$$\{f, g\}_{\text{PB}} := (\partial_\mu f)(\partial_k^\mu g) - (\partial_k^\mu f)(\partial_\mu g)$$

## Example: Scalar field

- Approximate self-energy to lowest nontrivial order
- Separate real and imaginary parts of KB equations



### Quantum kinetic equations (lowest order)

$$\begin{aligned} (k^2 - m^2) G^<(x, k) &= 0 \implies G^<(x, k) = 2\pi\hbar^2 \delta(k^2 - m^2) f(x, k), \\ k \cdot \partial f(x, k) &= \frac{1}{2} \int dK_1 dK_2 dK' (2\pi\hbar)^4 \delta^{(4)}(k_1 + k_2 - k - k') \\ &\quad \times \frac{|M|^2}{16} \left( f_1 f_2 \tilde{f} \tilde{f}' - \tilde{f}_1 \tilde{f}_2 f f' \right) \end{aligned}$$

“Ok, but why the complicated setup?”

# Including electromagnetic fields

- Standard definition of Wigner function is not gauge invariant due to fields at different positions
  - Remedy: Include gauge link

$$U(x_1, x_2) = \exp \left[ -\frac{i}{\hbar} (x_1 - x_2) \cdot \int_{-1/2}^{1/2} dt A(x_1 + x_2 + t(x_1 - x_2)) \right]$$

## Wigner function with EM fields

$$G^<(x, k) = \int d^4v e^{-\frac{i}{\hbar} k \cdot v} \left\langle \hat{\phi}^\dagger \left( x + \frac{v}{2} \right) U \left( x + \frac{v}{2}, x - \frac{v}{2} \right) \hat{\phi} \left( x - \frac{v}{2} \right) \right\rangle$$

- Main effect: Wigner representation of momentum **changes**
- $$-i\hbar \partial_1^\mu G^< \rightarrow \left\{ k^\mu - \frac{\hbar}{2} j_1(\Delta) F^{\mu\nu} \partial_{k,\nu} - \frac{i\hbar}{2} [\partial^\mu - j_0(\Delta) F^{\mu\nu} \partial_{k,\nu}] \right\} G^<(x, k)$$

D. Vasak, M. Gyulassy, H. T. Elze, Annals Phys. 173, 462-492 (1987)

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$$\Delta := (\hbar/2) \partial \cdot \partial_k$$

# Including electromagnetic fields

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## Wigner function with EM fields

$$G^<(x, k) = \int d^4v$$

Similar effects in curved spacetime

Y.-C. Liu, L.-L. Gao, K. Mamedov, X.-G. Huang, Phys. Rev. D 99, 085014 (2019)  
see also **S. Lin's talk, 22.07. 16:30**

- Main

$$-i\hbar\partial_1^\mu G^<$$

(Classical) kinetic theory in Newton-Cartan geometry

P. Matus, R. Biswas, P. Surówka, F. Peña-Benítez, 2407.08805 (2024)

see also **P. Surówka's talk, today 14:30**

D. Vasak, M. Gy

$$G^<(x, k)$$

$$\Delta := (\hbar/2)\partial \cdot \partial_k$$

# Including spin

- Case of nonzero spin: Wigner function becomes **matrix-valued**
  - Additional components encode spin degrees of freedom

## Wigner function (spin 1/2)

$$G^< = \frac{1}{4} \left( \mathcal{F} + i\gamma_5 \mathcal{P} + \mathcal{V} + \gamma_5 \mathcal{A} + \frac{i}{4} [\gamma_\mu, \gamma_\nu] \mathcal{S}^{\mu\nu} \right)$$

- Underlying equations (Dirac, Proca, ...) can be solved perturbatively in  $\hbar$  expansion
  - Gradient contributions appear!
    - $\mathcal{V}^\mu \sim -\frac{\hbar}{2m} \partial_\nu \mathcal{S}^{\nu\mu}$ ,  $k_\mu \mathcal{S}^{\mu\nu} \sim -\frac{\hbar}{2} \partial^\nu \mathcal{F}$ ,  $\mathcal{P} \sim -\frac{\hbar}{4m^2} \epsilon^{\mu\nu\alpha\beta} k_\mu \partial_\nu \mathcal{S}_{\alpha\beta}$ , ...
  - Responsible for a lot of the interesting transport phenomena

H. T. Elze, M. Gyulassy, D. Vasak, Nucl. Phys. B 276, 706-728 (1986)

D. Vasak, M. Gyulassy, H. T. Elze, Annals Phys. 173, 462-492 (1987)

S. Mrowczynski, U. W. Heinz, Annals Phys. 229, 1-54 (1994)

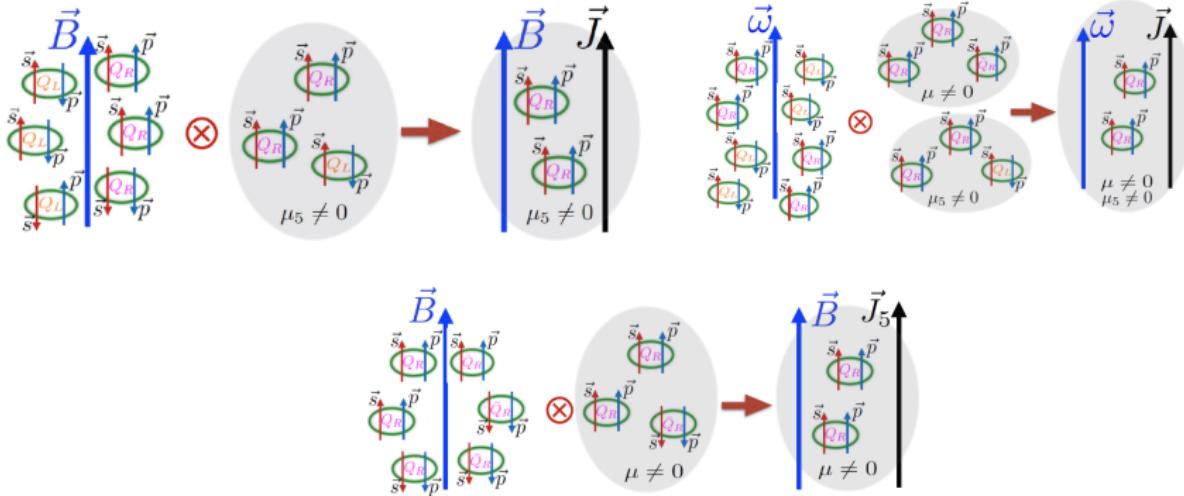
Y. A. Markov, M. A. Markova, Theor. Math. Phys. 108, 977-991 (1996)

Y. A. Markov, M. A. Markova, Theor. Math. Phys. 111, 601-612 (1997)

## Equilibrium: Chiral effects

Sessions dedicated to CME: 23.07.24, 11:00-13:00 & 14:30-16:00

# CME, CVE, & CSE from intuition



D. Kharzeev, J. Liao, S. Voloshin, G. Wang, Prog. Part. Nucl. Phys. 88, 1-28 (2016)

## • Intuition:

- ▶ CME: Imbalance in chirality induces current along  $\mathbf{B}$
- ▶ CVE: Imbalance in chirality& charge induces current along  $\boldsymbol{\omega}$
- ▶ CSE: Imbalance in charge induces axial current along  $\mathbf{B}$

# CME, CVE, & CSE from QKT

- Quantum kinetic theory: these effects arise naturally as gradient contributions at global equilibrium

- Assume global equilibrium,  $f_s^{(0)} := [\exp(k \cdot u/T - \mu_s/T) + 1]^{-1}$   
 $\mathcal{V}^\mu(x, k) \approx k^\mu \delta(k^2) (f_R^{(0)} + f_L^{(0)})$ ,  $\mathcal{A}^\mu(x, k) \approx k^\mu \delta(k^2) (f_R^{(0)} - f_L^{(0)})$

- Obtain gradient contributions

$$\frac{\hbar}{2} \epsilon^{\mu\nu\alpha\beta} \partial_\alpha \mathcal{A}_\beta = -k_{[\mu} \mathcal{V}_{\nu]} , \quad \frac{\hbar}{2} \epsilon^{\mu\nu\alpha\beta} \partial_\alpha \mathcal{V}_\beta = -k_{[\mu} \mathcal{A}_{\nu]}$$

- Compute currents

$$J^\mu(x) = \int \frac{d^4k}{(2\pi\hbar)^4} \mathcal{V}^\mu(x, k) = n u^\mu + \xi \omega^\mu + \xi_B B^\mu ,$$

$$J_5^\mu(x) = \int \frac{d^4k}{(2\pi\hbar)^4} \mathcal{A}^\mu(x, k) = n_5 u^\mu + \xi_5 \omega^\mu + \xi_{B5} B^\mu$$

J.-H. Gao, Z.-T. Liang, S. Pu, Q. Wang, X.-N. Wang, Xin-Nian, Phys. Rev. Lett. 109, 232301 (2012)

N. Weickgenannt, X.-L. Sheng, E. Speranza, Q. Wang, D. H. Rischke, Phys. Rev. D 100, 056018 (2019)

Y. Hidaka, S. Pu, Q. Wang, D.-L. Yang, Prog. Part. Nucl. Phys., 127, 103989 (2022)

Z. Chen, S. Lin, Phys. Rev. D 105, 014015 (2022)

# CME, CVE, & CSE from QKT

- Quantum kinetic theory: these effects arise naturally as gradient contributions at global equilibrium

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- Compute currents

$J^\mu(x) = \int \frac{d^4k}{(2\pi\hbar)^4} \mathcal{V}^\mu(x, k)$	$= nu^\mu + \xi \omega^\mu + \xi_B B^\mu$	<b>CVE</b>	<b>CME</b>
$J_5^\mu(x) = \int \frac{d^4k}{(2\pi\hbar)^4} \mathcal{A}^\mu(x, k)$	$= n_5 u^\mu + \xi_5 \omega^\mu + \xi_{B5} B^\mu$	<b>ACVE</b>	<b>CSE</b>

J.-H. Gao, Z.-T. Liang, S. Pu, Q. Wang, X.-N. Wang, Xin-Nian, Phys. Rev. Lett. 109, 232301 (2012)

N. Weickgenannt, X.-L. Sheng, E. Speranza, Q. Wang, D. H. Rischke, Phys. Rev. D 100, 056018 (2019)

Y. Hidaka, S. Pu, Q. Wang, D.-L. Yang, Prog. Part. Nucl. Phys., 127, 103989 (2022)

Z. Chen, S. Lin, Phys. Rev. D 105, 014015 (2022)

# CME, CVE, & CSE from QKT

- Quantum contributions

1) Assume

$$\mathcal{V}^\mu(x, \kappa) \approx \kappa \sigma(\kappa) (J_R + J_L), \quad \mathcal{A}^\mu(x, \kappa) \approx \kappa \sigma(\kappa) (J_R - f_L^{(0)})$$

2) Obtain gradient con-

$$\frac{\hbar}{2} \epsilon^{\mu\nu\alpha\beta} \partial_\alpha$$

Anomalous Hall instability in chiral MHD:  
see **S. Wang's talk, today 15:00**

3) Compute currents

$$J^\mu(x) = \int d^4 k$$

Chiral effects in astrophysics

$$J_5^\mu(x) = \int$$

K. Kamada, N. Yamamoto, D.-L. Yang, Prog. Part. Nucl. Phys. 129, 104016 (2023)  
see also **D.-L. Yang's talk, 23.07. 14:30**

J.-H. Gao, Z.-T. Liang, S. Pu, Q. Wang, X.-N. Wang, XIN-NIAN, Phys. Rev. Lett. 109, 232501 (2012)

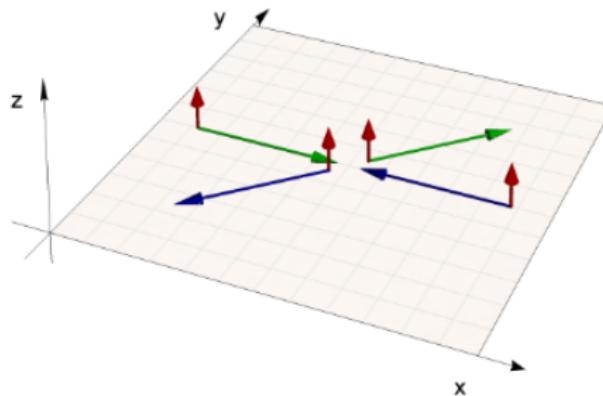
N. Weickgenannt, X.-L. Sheng, E. Speranza, Q. Wang, D. H. Rischke, Phys. Rev. D 100, 056018 (2019)

Y. Hidaka, S. Pu, Q. Wang, D.-L. Yang, Prog. Part. Nucl. Phys., 127, 103989 (2022)

Z. Chen, S. Lin, Phys. Rev. D 105, 014015 (2022)

Beyond equilibrium: Collisions

# Angular momentum and collisions

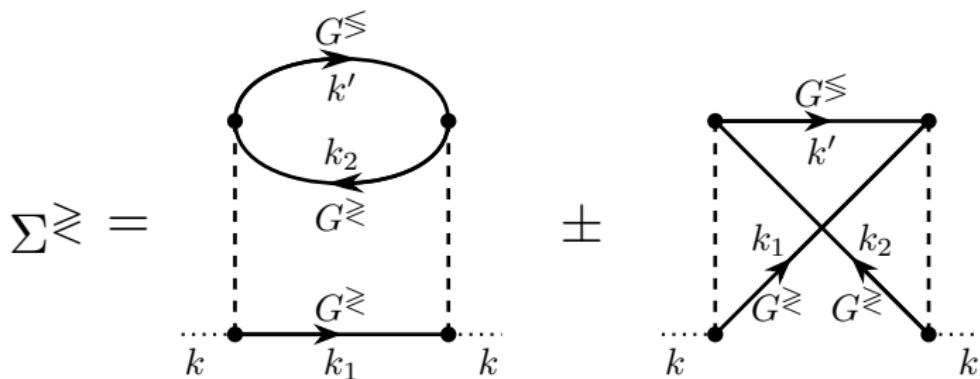


W. Florkowski, A. Kumar, R. Ryblewski, Prog. Part. Nucl. Phys. 108, 103709 (2019)

- Assume that collisions take place in a point
  - Total orbital angular momentum vanishes
  - Spin is conserved on its own
  - No exchange of spin and orbital angular momenta
- Collisions must be **nonlocal** for spin equilibration!

# Collisions from QKT

$$k \cdot \partial G^<(x, k) = \frac{1}{2} [\Sigma^<(x, k)G^>(x, k) - \Sigma^<(x, k)G^<(x, k)]$$



- Collisions determined by self-energies
- Crucial quantum enhancement: *All internal lines have to be evaluated to order  $\mathcal{O}(\hbar)$ !*  
→ Introduces gradient corrections inside the collision integral!

# Collisions from QKT

DW, NW, DHR, Phys.Rev.D 106 (2022) 11, 116021

## Boltzmann equation with collisions

$$f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) = \delta(k^2 - m^2) f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) := \frac{1}{2} [\mathcal{F}(\textcolor{red}{x}, \textcolor{blue}{k}) - \textcolor{green}{s} \cdot \mathcal{A}(\textcolor{red}{x}, \textcolor{blue}{k})]$$

$$\begin{aligned} k \cdot \partial f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) &= \frac{1}{2} \int d\Gamma_1 d\Gamma_2 d\Gamma' d\bar{S}(k) \delta^{(4)}(k_1 + k_2 - k - k') \mathcal{W} \\ &\quad \times [f(\textcolor{red}{x} + \Delta_1 - \Delta, \textcolor{blue}{k}_1, \textcolor{green}{s}_1) f(\textcolor{red}{x} + \Delta_2 - \Delta, \textcolor{blue}{k}_2, \textcolor{green}{s}_2) \\ &\quad - f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) f(\textcolor{red}{x} + \Delta' - \Delta, \textcolor{blue}{k}', \textcolor{green}{s}')] \end{aligned}$$

$$d\Gamma := 2d^4 k \delta(k^2 - m^2) dS(k)$$

# Collisions from QKT

DW, NW, DHR, Phys.Rev.D 106 (2022) 11, 116021

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- Contributions inside the collision term have gradient corrections

$$f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) + \Delta^\mu \partial_\mu f(\textcolor{red}{x}, \textcolor{blue}{k}, \textcolor{green}{s}) \approx f(\textcolor{red}{x} + \Delta, \textcolor{blue}{k}, \textcolor{green}{s})$$

- A (momentum- and spin-dependent) **spacetime shift**  $\Delta^\mu$  enters  
→ Particles do not scatter at the same spacetime point!
- This enables a conversion of orbital and spin angular momenta

$$d\Gamma := 2d^4k \delta(k^2 - m^2) dS(k)$$

# Collisions from QKT

## More on collisional QKT:

- S. Mrowczynski, U. W. Heinz, Annals Phys. 229, 1-54 (1994)  
K. Morawetz, P. Lipavsky, V. Spicka, N.-H. Kwong, Phys. Rev. C 59, 3052–3059 (1999)  
D.-L. Yang, K. Hattori, Y. Hidaka, Yoshimasa JHEP 07, 070 (2020)  
K. Hattori, Y. Hidaka, N. Yamamoto, D.-L. Yang, JHEP 02, 001 (2021)  
N. Weickgenannt, E. Speranza, X.-L. Sheng, Q. Wang, D. H. Rischke, Phys. Rev. D 104, 016022 (2021)  
X.-L. Sheng, N. Weickgenannt, E. Speranza, D. H. Rischke, Q. Wang, Phys. Rev. D 104, 016029 (2021)  
J. Hu, 2110.12339 (2021)  
S. Lin, Phys. Rev. D 105, 076017 (2022)  
S. Fang, S. Pu, D.-L. Yang, Phys. Rev. D 106, 016002 (2022)  
Y. Hidaka, S. Pu, Q. Wang, D.-L. Yang, Prog. Part. Nucl. Phys. 127, 103989 (2022)  
DW, N. Weickgenannt, D. H. Rischke, Phys. Rev. D 106, 116021 (2022)  
X.-L. Sheng, Q. Wang, D. H. Rischke, Phys. Rev. D 106, L111901 (2022)  
DW, N. Weickgenannt, E. Speranza, Phys. Rev. D 108, 116017 (2023)  
N. Yamamoto, D.-L. Yang, Phys. Rev. D 109, 056010 (2024)

- A (momentum- and spin-dependent) **spacetime shift**  $\Delta^\mu$  enters  
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# Back to equilibrium

- Local-equilibrium distribution function makes local collision term vanish (without spacetime shifts  $\Delta^\mu$ )
- Has to depend on the **collisional invariants**
  - Charge, four-momentum and total angular momentum

# Back to equilibrium

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- Has to depend on the **collisional invariants**
  - Charge, four-momentum and total angular momentum

## Local-equilibrium distribution function

$$f_{\text{eq}}(x, k, \mathfrak{s}) = \exp \left( \alpha_0 - \beta_0 E_{\mathbf{k}} + \frac{\hbar}{2} \Omega_{0,\mu\nu} \Sigma_{\mathfrak{s}}^{\mu\nu} \right)$$

- Necessary conditions on Lagrange multipliers for a vanishing **nonlocal** collision term:  $\partial^\mu \alpha_0 = 0$ ,  $\partial^{(\mu} (\beta_0 u^{\nu)}) = 0$ ,  $\Omega_0^{\mu\nu} = -\frac{1}{2} \partial^{[\mu} (\beta_0 u^{\nu}])$
- Same conditions as for **global** equilibrium, where  $k \cdot \partial f_{\text{eq}} = 0$

---

$$\Sigma_{\mathfrak{s}}^{\mu\nu} := -\frac{1}{m} \epsilon^{\mu\nu\alpha\beta} k_\alpha \mathfrak{s}_\beta, \quad E_{\mathbf{k}} := k \cdot u$$

# Back to equilibrium

- Alternative way of introducing  $\Omega_0^{\mu\nu}$ : Statistical operator on term  
Then explicitly pseudogauge dependent!  
see also **M. Buzzegoli's talk, 23.07. 17:30**
- → Charge, four-momentum and total angular momentum

## Local-equilibrium distribution function

$$f_{\text{eq}}(x, k, \mathfrak{s}) = \exp \left( \alpha_0 - \beta_0 E_{\mathbf{k}} + \frac{\hbar}{2} \Omega_{0,\mu\nu} \Sigma_{\mathfrak{s}}^{\mu\nu} \right)$$

- Necessary conditions on Lagrange multipliers for a vanishing **nonlocal** collision term:  $\partial^\mu \alpha_0 = 0$ ,  $\partial^{(\mu} (\beta_0 u^{\nu)}) = 0$ ,  $\Omega_0^{\mu\nu} = -\frac{1}{2} \partial^{[\mu} (\beta_0 u^{\nu}] )$
- Same conditions as for **global** equilibrium, where  $k \cdot \partial f_{\text{eq}} = 0$

---

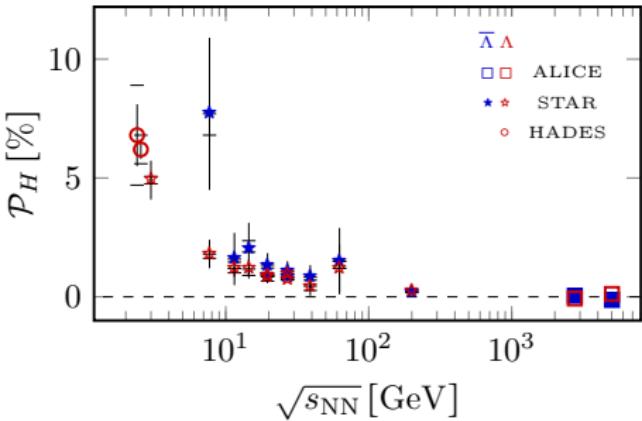
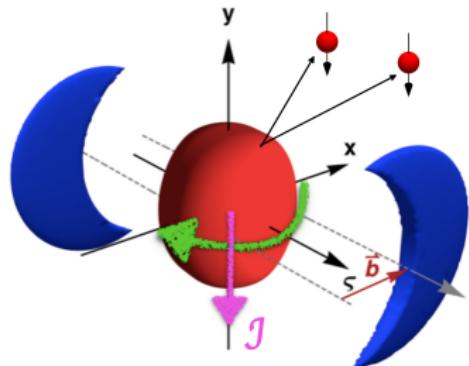
$$\Sigma_{\mathfrak{s}}^{\mu\nu} := -\frac{1}{m} \epsilon^{\mu\nu\alpha\beta} k_\alpha \mathfrak{s}_\beta, \quad E_{\mathbf{k}} := k \cdot u$$

## Polarization & spin hydrodynamics

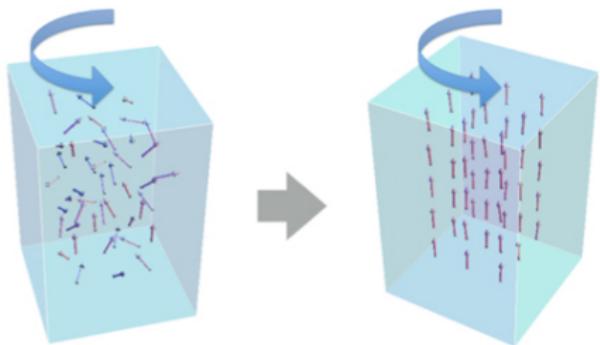
Sessions dedicated to polarization: 22.07.24, 11:00-13:00  
24.07.24, 14:30-16:00  
26.07.24, 11:00-13:00

Sessions dedicated to hydrodynamics: 23.07.24, 16:30-18:00  
25.07.24, 14:30-16:00  
26.07.24, 14:30-16:00

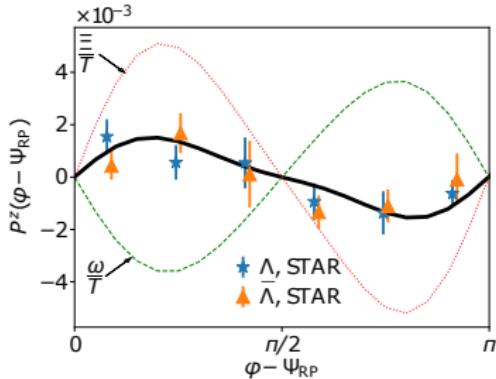
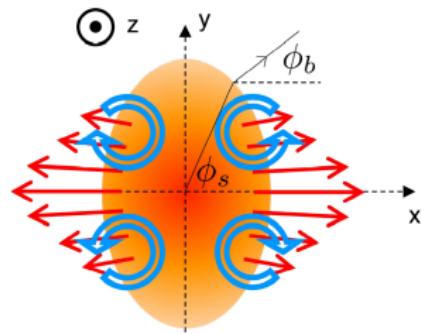
# Global $\Lambda$ -Polarization



- “Global”: Integrated polarization along the direction of orbital angular momentum
- Can be explained by assuming spins in equilibrium
- “Polarization through rotation”
  - Analogous to Barnett effect

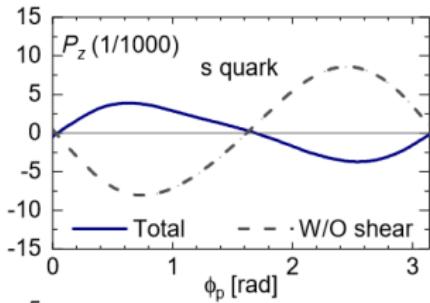


# Local $\Lambda$ -Polarization



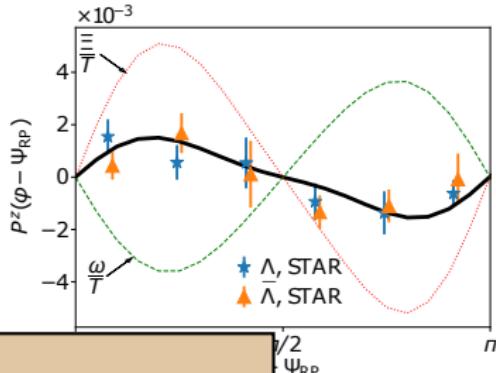
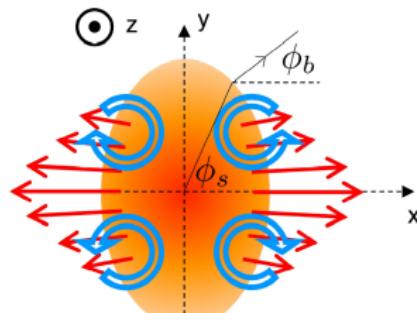
F. Becattini, M. Buzzegoli, G. Inghirami, I. Karpenko, A. Palermo,  
PRL 127, 272302 (2021)

- “Local”: Angle-dependent polarization along beam-direction
- Could only be explained recently by incorporating shear effects
- Simple picture of equilibrated spins not complete



B. Fu, S. Y. F. Liu, L. Pang, H. Song, Y. Yin, Phys. Rev. Lett. 127, 142301 (2021)

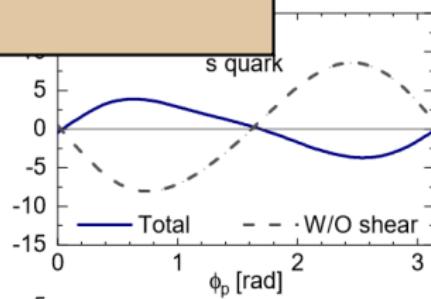
# Local $\Lambda$ -Polarization



Many great talks at this conference

- “Local” polarization in beam-direction
- Could only be explained recently by incorporating shear effects
- Simple picture of equilibrated spins not complete

M. I. Karpenko, A. Palermo,



B. Fu, S. Y. F. Liu, L. Pang, H. Song, Y. Yin, Phys. Rev. Lett. 127, 142301 (2021)

# Open questions

## Pauli-Lubanski vector in (global) equilibrium

$$S_{\varpi}^{\mu} = -\epsilon^{\mu\nu\alpha\beta} k_{\nu} \frac{\int d\Sigma_{\lambda} k^{\lambda} f_0 (1 - f_0) \varpi_{\alpha\beta}}{8m \int d\Sigma_{\lambda} k^{\lambda} f_0}$$

- Traditional approaches to computing the polarization
  - ▶ assume equilibrated spin degrees of freedom
  - ▶ neglect dissipative quantities

$$\varpi_{\mu\nu} := \frac{1}{2} [\partial_{\mu} (u_{\nu}/T) - \partial_{\nu} (u_{\mu}/T)]$$

# Open questions

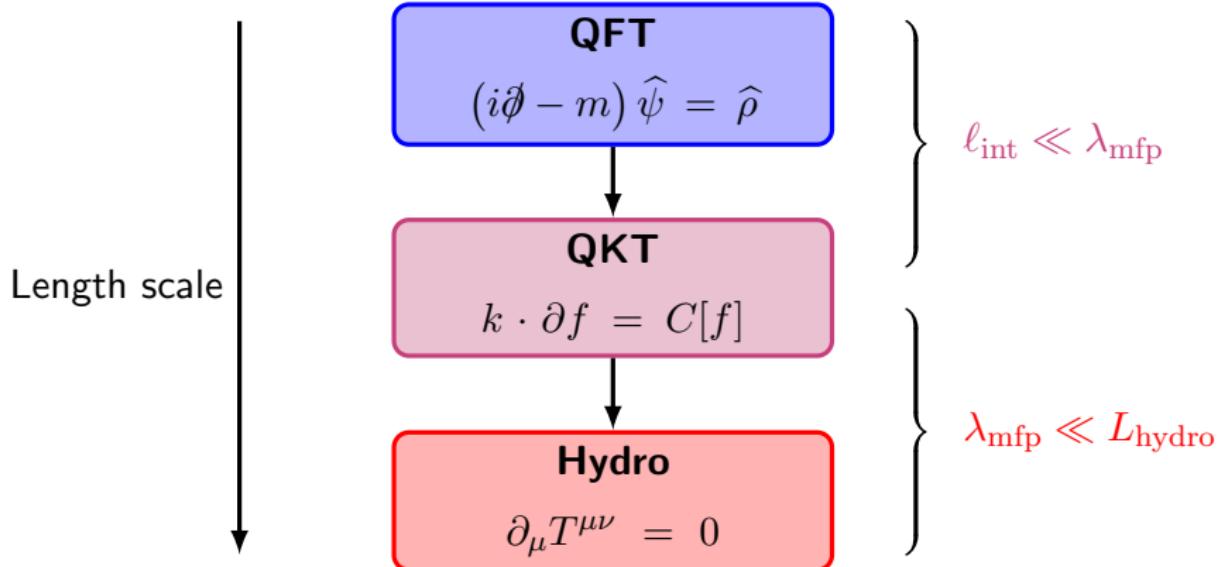
## Pauli-Lubanski vector in (global) equilibrium

$$S_{\varpi}^{\mu} = -\epsilon^{\mu\nu\alpha\beta} k_{\nu} \frac{\int d\Sigma_{\lambda} k^{\lambda} f_0 (1 - f_0) \varpi_{\alpha\beta}}{8m \int d\Sigma_{\lambda} k^{\lambda} f_0}$$

- Traditional approaches to computing the polarization
    - ▶ assume equilibrated spin degrees of freedom
    - ▶ neglect dissipative quantities
  - Not clear so far:
    - (I) How fast do spin degrees of freedom equilibrate?
    - (II) How do dissipative effects influence polarization?
- Can be answered through spin hydrodynamics from quantum kinetic theory

$$\varpi_{\mu\nu} := \frac{1}{2} [\partial_{\mu} (u_{\nu}/T) - \partial_{\nu} (u_{\mu}/T)]$$

# Spin hydrodynamics



# Comparison: Nuclear magnetic resonance (NMR)

- NMR: Large constant  $B$ -field in  $z$ -direction and short-lived alternating field in  $x, y$ -plane
- Identify materials by relaxation times  $T_1, T_2$



[https://en.wikipedia.org/wiki/Bloch\\_equations](https://en.wikipedia.org/wiki/Bloch_equations)

## Bloch equations

$$\begin{aligned}T_2 \dot{M}_{x,y} + M_{x,y} &= \mu_2 (\mathbf{M} \times \mathbf{B})_{x,y}, \\T_1 \dot{M}_z + M_z &= \mu_1 (\mathbf{M} \times \mathbf{B})_z + M_0.\end{aligned}$$

$$\mu_1 := T_1 \frac{gq}{2m}, \quad \mu_2 := T_2 \frac{gq}{2m}$$

# Spin waves in a fluid at rest

## Conservation equations

$$\partial_\mu T^{(\mu\nu)} = 0 + \mathcal{O}(\hbar^2) ,$$

$$\partial_\lambda S^{\lambda\mu\nu} = \frac{1}{\hbar} T^{[\nu\mu]} + \mathcal{O}(\hbar^2) .$$

- No backreaction of spin on fluid evolution, fluid profile serves as input for spin potential

## Equations of motion for the spin potential

$$\tau_\kappa \dot{\kappa}^{\langle\mu\rangle} + \kappa^\mu = \mu_\kappa \epsilon^{\mu\nu\alpha\beta} u_\nu \nabla_\alpha \omega_\beta ,$$

$$\tau_\omega \dot{\omega}^{\langle\mu\rangle} + \omega^\mu = -\mu_\omega \epsilon^{\mu\nu\alpha\beta} u_\nu \nabla_\alpha \kappa_\beta .$$

- Relaxation times  $\tau_\kappa$ ,  $\tau_\omega$  determined by **nonlocal** collisions

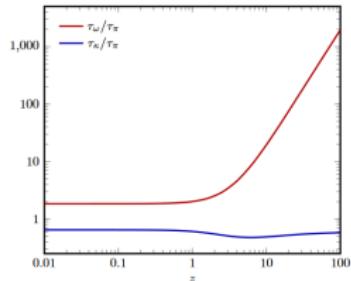
V. E. Ambrus, R. Ryblewski, R. Singh, Phys. Rev. D 106, 014018(2022)

J. Hu, Z. Xu, Phys. Rev. D 107, 016010 (2023)

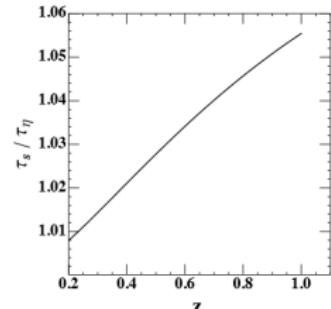
DW, M. Shokri, D. H. Rischke, 2405.00533 (2024)

# Spin relaxation timescales

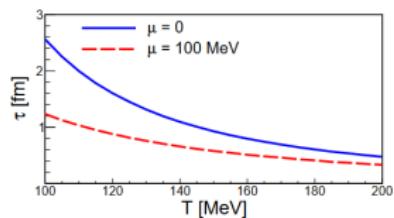
- Relaxation time depends on interaction model, can potentially get large
  - Spin relaxation can be a slow process, dynamics cannot be neglected!



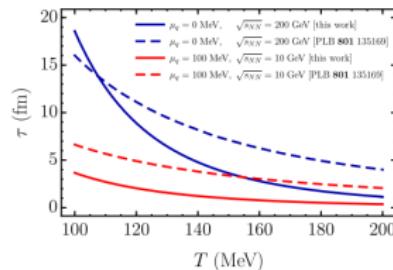
DW, M. Shokri, D. H. Rischke, 2405.00533 (2024)



J. Hu, Phys. Rev. D 105, 096021 (2022)



A. Ayala et al, Phys. Rev. D 109, 074018 (2024)



A. Ayala, D. de la Cruz, L. A. Hernández, J. Salinas, Phys. Rev. D 102, 056019 (2020)

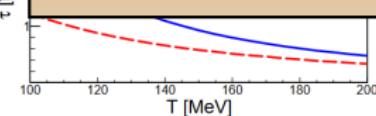
# Spin relaxation timescales

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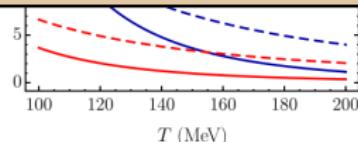
## More on spin relaxation:

DW, M [fm]

Y. Hidaka, M. Hongo, M. A. Stephanov, H.-U. Yee, Phys. Rev. C 109, 054909 (2024)  
M. Hongo, X.-G. Huang, M. Kaminski, M. Stephanov, H.-U. Yee, JHEP 08, 263 (2022)  
A. Ayala et al, Phys. Rev. D 109, 074018 (2024)  
A. Ayala, D. de la Cruz, L. A. Hernández, J. Salinas, Phys. Rev. D 102, 056019 (2020)  
A. Ayala, D. de la Cruz, S. Hernández-Ortíz, L. A. Hernández, J. Salinas, Phys. Lett. B 801, 135169 (2020)  
J. I. Kapusta, E. Rrapaj, S. Rudaz, Phys. Rev. C 101, 024907 (2020)  
J. Hu, Phys. Rev. D 105, 096021 (2022)  
J. Hu, Z. Xu, Phys. Rev. D 107, 016010 (2023)  
DW, M. Shokri, D. H. Rischke, 2405.00533 (2024)  
S. Lin, H. Tang, 2406.17632 (2024)  
G. Torrieri, D. Montenegro, Phys. Rev. D 107, 076010 (2023)



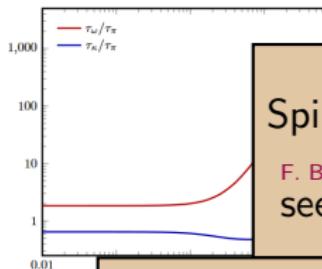
A. Ayala et al, Phys. Rev. D 109, 074018 (2024)



A. Ayala, D. de la Cruz, L. A. Hernández, J. Salinas, Phys. Rev. D 102, 056019 (2020)

# Spin relaxation timescales

- Relaxation time dependence  
large  
→ Spin relaxation



Updates on spin hydrodynamics  
see **M. Shokri's talk, 23.07. 16:30**

ally get  
neglected!

Spin hydro from quantum statistics

F. Becattini, A. Daher, X.-L. Sheng, Phys. Lett. B 850, 138533 (2024)  
see also **A. Daher's talk, 23.07. 17:00**

DW, M. Sh

Vortical waves in fluids with AVH charges

S. Morales-Tejera, V. E. Ambruş, M. N. Chernodub, 2403.19755 & 2403.19756 (2024)  
see also **S. Morales-Tejera's talk, 22.07. 11:30**

Anomalous hydro transport in Weyl semimetals

A. Amoretti, D. K. Brattan, L. Martinoia, I. Matthaiakakis, J. Rongen, JHEP 02, 071 (2024)  
see also **L. Martinoia's talk, 25.07. 15:30**



Hernández, J. Salinas,  
20)

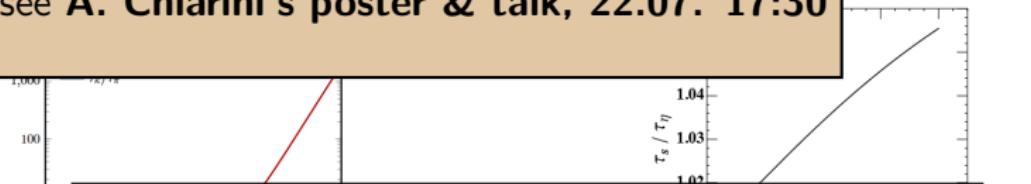
# Spin relaxation timescales

I, can potentially get

Ideal spin hydro on rotating background

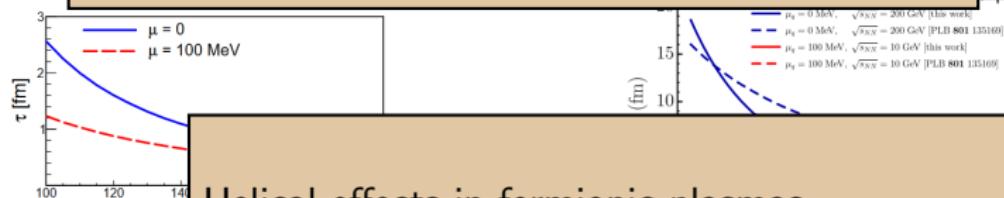
see A. Chiarini's poster & talk, 22.07. 17:30

kinematics cannot be neglected!



Helicity relaxation time in fermionic plasmas  
see V.E. Ambruš's poster & talk, 22.07. 18:05

DW, M.



Helical effects in fermionic plasmas  
see V.E. Ambruš's poster & talk, 22.07. 18:10

A. Ayala et al, Phys.

(22)

inas,

That's not all Folks: Alignment

# Alignment of $\phi$ -mesons

- Spin-1 particles feature tensor polarization ( $\hat{\wedge}$  alignment)
- Intuition: “Polarization counts the difference between spin-projections +1 and -1, alignment counts spin-projection 0”

- ▶ Larger than expected
- ▶ Many theoretical developments

Z.-T. Liang, X.-N. Wang,

Phys. Lett. B 629, 20-26 (2005)

X.-L. Sheng, L. Oliva, Q. Wang,

Phys. Rev. D 101, 096005 (2020)

X.-L. Xia, H. Li, X.-G. Huang, H.-Z. Huang,

PLB 817 (2021) 136325

X.-L. Sheng, L. Oliva, Z.-T. Liang, Q. Wang,

X.-N. Wang, Phys. Rev. D 109, 036004 (2024)

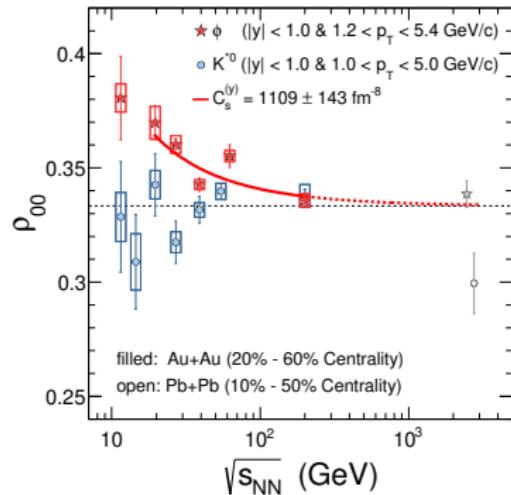
F. Li, S. Y. F. Liu, 2206.11890 (2022)

DW, N. Weickgenannt, E. Speranza,

Phys. Rev. Res. 5, 013187 (2023)

J.-H. Chen, Z.-T. Liang, Y.-G. Ma, X.-L. Sheng,

Q. Wang, 2407.06480



STAR collaboration, Nature 614, 244-248 (2023)

# Alignment in QKT

- Spin-1 Wigner function has 16 components, 9 of which are independent

$$W^{\mu\nu} = E^{\mu\nu} f_E + \frac{k^{(\mu}}{2k} F_S^{\nu)} + F_K^{\mu\nu} + K^{\mu\nu} f_K + i \frac{k^{[\mu}}{2k} F_A^{\nu]} + i \epsilon^{\mu\nu\alpha\beta} \frac{k_\alpha}{m} G_\beta$$

- ▶  $f_K$  → particle-number density
- ▶  $G^\mu$  → polarization
- ▶  $F_K^{\mu\nu}$  → tensor polarization/ alignment
- Several approaches on the market (incomplete list)
  - ▶ Coalescence  
Y.-G. Yang, R.-H. Fang, Q. Wang, X.-N. Wang, Phys. Rev. C, 97, 034917 (2018)
  - ▶ Strong force fields  
X.-L. Sheng, L. Oliva, Z.-T. Liang, Q. Wang, X.-N. Wang, Phys. Rev. Lett. 131, 042304 (2023)  
X.-L. Sheng, S. Pu, Q. Wang, Phys. Rev. C 108, 054902 (2023)  
S. Fang, S. Pu, D.-L. Yang, Phys. Rev. D 109, 034034 (2024)  
X.-L. Sheng, L. Oliva, Z.-T. Liang, Q. Wang, X.-N. Wang, Phys. Rev. D 109, 036004 (2024)
  - ▶ Holography  
Y.-Q. Zhao, X.-L. Sheng, S.-W. Li, D. Hou, 2403.07468  
X.-L. Sheng, Y.-Q. Zhao, S.-W. Li, F. Becattini, D. Hou, Defu, 2403.07522
  - ▶ Hydrodynamic gradients  
DW, N. Weickgenannt, E. Speranza, Phys. Rev. Res. 5, 013187 (2023)  
W.-B. Dong, Y.-L. Yin, x.-L. Sheng, S.-Z. Yang, Q. Wang, Phys. Rev. D 109, 056025 (2024)

# Summary and (personal) outlook

- Quantum kinetic theory is a versatile effective microscopic theory
  - ▶ At (global) equilibrium: allows to recover, e.g., CME, CVE, CSE, ...
  - ▶ At (local) equilibrium: provides a basis for ideal (spin) hydrodynamics
  - ▶ Near local equilibrium: provides a basis for dissipative (spin) hydrodynamics
  - ▶ Away from equilibrium: can be studied as a full-fledged nonequilibrium transport theory
- Future applications & developments (I would like to see):
  - ▶ Study of spin hydro from QKT in various setups, in particular polarization dynamics
  - ▶ Numerical implementation of kinetic equations to first order in  $\hbar$
  - ▶ Application to astrophysical scenarios, e.g., spin transport in neutron stars

## Appendix

# Conserved currents in QKT

## Conserved currents

$$\frac{1}{2} T^{(\mu\nu)} = \int d\Gamma k^\mu k^\nu f ,$$

$$S^{\lambda\mu\nu} = \frac{1}{2m} \int d\Gamma k^\lambda \epsilon^{\mu\nu\alpha\beta} k_\alpha s_\beta f .$$

$$T^{[\mu\nu]} = \frac{1}{2} \int [d\Gamma] \widetilde{\mathcal{W}} \Delta^{[\mu} k^{\nu]} (f_1 f_2 - f f')$$

## Conservation laws

$$\int d\Gamma k^\mu C[f] = 0$$

$$\frac{\hbar}{2m} \int d\Gamma \epsilon^{\mu\nu\alpha\beta} k_\alpha s_\beta C[f] = \frac{\hbar}{m} \int \frac{d^4 k}{(2\pi\hbar)^4} k^{[\mu} \mathcal{D}_{\nu]}^\nu$$

---

$$[d\Gamma] := d\Gamma_1 d\Gamma_2 d\Gamma d\Gamma'$$

# Polarization observables in kinetic theory

## Vector Polarization (Pauli-Lubanski Pseudovector)

$$S^\mu(\mathbf{k}) := \text{Tr} \left[ \hat{S}^\mu \hat{\rho}(\mathbf{k}) \right] = \frac{1}{N(\mathbf{k})} \int d\Sigma_\lambda k^\lambda \int dS(\mathbf{k}) \mathfrak{s}^\mu f(\mathbf{x}, \mathbf{k}, \mathfrak{s})$$

## Tensor Polarization

$$\begin{aligned}\rho_{00}(\mathbf{k}) &= \frac{1}{3} - \sqrt{\frac{2}{3}} \epsilon_\mu^{(0)}(\mathbf{k}) \epsilon_\nu^{(0)}(\mathbf{k}) \Theta^{\mu\nu}(\mathbf{k}) \\ \Theta^{\mu\nu}(\mathbf{k}) &:= \frac{1}{2} \sqrt{\frac{3}{2}} \text{Tr} \left[ \left( \hat{S}^{(\mu} \hat{S}^{\nu)} + \frac{4}{3} K^{\mu\nu} \right) \hat{\rho}(\mathbf{k}) \right] \\ &= \frac{1}{2} \sqrt{\frac{3}{2}} \frac{1}{N(\mathbf{k})} \int d\Sigma_\lambda k^\lambda \int dS(\mathbf{k}) K_{\alpha\beta}^{\mu\nu} \mathfrak{s}^\alpha \mathfrak{s}^\beta f(\mathbf{x}, \mathbf{k}, \mathfrak{s})\end{aligned}$$

$$N(\mathbf{k}) := \int d\Sigma_\gamma k^\gamma \int dS(\mathbf{k}) f(\mathbf{x}, \mathbf{k}, \mathfrak{s}), \quad \hat{S}^\mu := -(1/2m) \epsilon^{\mu\nu\alpha\beta} \hat{J}_{\nu\alpha} \hat{P}_\beta$$

# Moment expansion

- Split distribution function  $f = f_{\text{eq}} + \delta f$
- Perform moment expansion including spin degrees of freedom

## Irreducible moments

$$\rho_{\textcolor{red}{r}}^{\mu_1 \dots \mu_\ell}(x) := \int d\Gamma \textcolor{blue}{E}_{\mathbf{k}}^r k^{\langle \mu_1} \dots k^{\mu_\ell \rangle} \delta f(x, k, \mathfrak{s})$$

$$\tau_{\textcolor{red}{r}}^{\mu, \mu_1 \dots \mu_\ell}(x) := \int d\Gamma \mathfrak{s}^\mu \textcolor{blue}{E}_{\mathbf{k}}^r k^{\langle \mu_1} \dots k^{\mu_\ell \rangle} \delta f(x, k, \mathfrak{s})$$

$$\psi_{\textcolor{red}{r}}^{\mu\nu, \mu_1 \dots \mu_\ell}(x) := \int d\Gamma K_{\alpha\beta}^{\mu\nu} \mathfrak{s}^\alpha \mathfrak{s}^\beta \textcolor{blue}{E}_{\mathbf{k}}^r k^{\langle \mu_1} \dots k^{\mu_\ell \rangle} \delta f(x, k, \mathfrak{s})$$

- Equations of motion can be derived from Boltzmann equation
- Knowing the evolution of all moments is equivalent to solving the Boltzmann equation

$$k^{\langle \mu_1 \dots \mu_\ell \rangle} := \Delta_{\nu_1 \dots \nu_\ell}^{\mu_1 \dots \mu_\ell} k^{\nu_1} \dots k^{\nu_\ell}$$

# Nonlocal collisions

DW, NW, ES, 2306.05936 (2023)

## Spacetime shifts

$$\Delta^\mu := -\frac{i\hbar}{8m} \frac{m^4}{\mathcal{W}} M^{\gamma_1 \gamma_2 \delta_1 \delta_2} M^{\zeta_1 \zeta_2 \eta_1 \eta_2} h_{1,\gamma_1 \eta_1} h_{2,\gamma_2 \eta_2} h'_{\zeta_2 \delta_2} [h, \gamma^\mu]_{\zeta_1 \delta_1}$$

- Depend on the transfer-matrix elements

$$\langle 11' | \hat{t} | 22' \rangle = \bar{u}_{1,\alpha} \bar{u}_{1',\beta} u_{2,\gamma} u_{2',\delta} M^{\alpha \beta \gamma \delta}$$

- Manifestly covariant
  - no “no-jump” frame

---

$$h := \frac{1}{4} (\mathbb{1} + \gamma_5 \not{s})(\not{k} + m)$$

# Moment equations: Spin-rank 1

- Same procedure as for the moments of spin-rank 0

Moment equation for  $\ell = 2$

$$\dot{\tau}_r^{\langle\mu\rangle,\nu\lambda} - \mathfrak{C}_{r-1}^{\langle\mu\rangle,\nu\lambda} = \dots$$

- Navier-Stokes limit:  $\mathfrak{C}_{r-1}^{\langle\mu\rangle,\nu\lambda} = 0$
- Contains local and nonlocal contributions
  - ▶  $\mathfrak{C}_{r,\text{local}}^{\langle\mu\rangle,\nu\lambda} \sim \tau_r^{\mu,\nu\lambda}$
  - ▶  $\mathfrak{C}_{r,\text{nonlocal}}^{\langle\mu\rangle,\nu\lambda} \sim \sigma_\rho^{\langle\nu} \epsilon^{\lambda\rangle\mu\alpha\rho} u_\alpha$
- Leads to shear-induced polarization, coefficient independent of total cross-section
- Magnitude not yet clear

N. Weickgenannt, DW, E. Speranza, D. H. Rischke, Phys. Rev. D 106, L091901 (2022)

N. Weickgenannt, DW, E. Speranza, D. H. Rischke, Phys. Rev. D 106, 096014 (2022)

# Moment equations: Spin-rank 2

## Moment equation for $\ell = 0$

$$\begin{aligned}\dot{\psi}_r^{\langle\mu\nu\rangle} - \mathfrak{C}_{r-1}^{\langle\mu\nu\rangle} &= -\frac{\theta}{3} \left[ (r+2)\psi_r^{\langle\mu\nu\rangle} - (r-1)m^2\psi_{r-2}^{\langle\mu\nu\rangle} \right] \theta + r\psi_{r-1}^{\langle\mu\nu\rangle,\alpha} \dot{u}_\alpha \\ &\quad - \Delta_{\alpha\beta}^{\mu\nu} \nabla_\gamma \psi_{r-1}^{\alpha\beta,\gamma} + (r-1)\psi_{r-2}^{\langle\mu\nu\rangle,\alpha\beta} \sigma_{\alpha\beta}\end{aligned}$$

- No dependence on **equilibrium** quantities appears because moments of spin-rank 2 do not appear in any conserved current
- Nonetheless, they are important to e.g. describe **tensor polarization** of spin-1 particles

DW, NW, ES, 2207.01111 (2022)

- ▶ Main argument:  $\mathfrak{C}_{r-1}^{\langle\mu\nu\rangle} \simeq A_r \psi_r^{\mu\nu} + B_r \pi^{\mu\nu}$  leads to  $\psi_r^{\mu\nu} \sim \pi^{\mu\nu}$  in the Navier-Stokes limit

---

$$\Delta_{\alpha\beta}^{\mu\nu} := (\Delta_\alpha^{(\mu} \Delta_\beta^{\nu)})/2 - (1/3)\Delta^{\mu\nu}\Delta_{\alpha\beta}$$