HIGHER-DIMENSIONAL ORIGIN OF EXTENDED BLACK HOLE THERMODYNAMICS

Antonia Micol Frassino, University of Alcalá & ICC University of Barcelona

Tours, 7th, June 2024

antonia.frassino@uah.es

OUTLINE

Goal: Use braneworld holography to

- *⋆* Show that dynamical Λ is natural
- *⋆* Elucidate the origin of the extended BH thermodynamics

Outline:

1. Extended BH thermodynamics

- *•* Smarr relation
- *•* Thermodynamic Volume
- *•* Isoperimetric inequalities
- 2. Chemical phenomena: Phase transitions, VdW, triple points
- 3. Braneworld holography: review
	- Quantum black holes on the brane
	- *•* Extended thermodynamics of quantum black holes

EXTENDED BLACK HOLE THERMODYNAMICS

Schwarzschild black hole in AF:

$$
ds^2=-\left(1-\frac{2M}{r}\right)dt^2+\frac{dr^2}{1-\frac{2M}{r}}+r^2d\Omega^2
$$

- *•* IMPORTANT QUANTITIES: 1. Asymptotic mass (total energy)
- 2. BH horizon radius $r_h = 2M$
- Surface area: $A = 4\pi r_h^2$ never decreases
- 3. Surface gravity *κ*
- *•* OBSERVATION *⇒* Black hole mechanics

$$
\delta M = \kappa \delta A \xleftrightarrow{\text{Bekenstein}} \delta E = T \delta S
$$

• Hawking (1974) When quantum effects are taken into account, black holes radiate away particles

LAWS OF BLACK HOLE THERMODYNAMICS

First law of black hole thermodynamics:

$$
\delta M = T\delta S + \Omega \delta J + \Phi \delta Q
$$

Smarr-Gibbs-Duhem relation (when $\Lambda = 0$):

$$
M=2TS+2\Omega J+\Phi Q
$$

1st law and Smarr relation are connected by a scaling argument Euler's theorem:

$$
f(\alpha^p x, \ \alpha^q y) = \alpha^r \ f(x,y) \Longrightarrow r \ f(x,y) = p \left(\frac{\partial f}{\partial x} \right) x + q \left(\frac{\partial f}{\partial y} \right) y
$$

Smarr relation for $\Lambda \neq 0$:

Mass of the <u>black hole in AdS</u>: $M = M(A, \Lambda)$ *⇒* since [Λ] = L *−*2 *,* [A] = L 2 *,* [M] = L, follows

$$
M = 2A \left(\frac{\partial M}{\partial A}\right) - 2\Lambda \left(\frac{\partial M}{\partial \Lambda}\right)
$$

It can be generalized in generic spacetime dimensions

• P*δ*V is commonplace in everyday thermodynamics *⇒* but there is no obvious notion of P or V associated with a black hole

- *•* P*δ*V is commonplace in everyday thermodynamics *⇒* but there is no obvious notion of P or V associated with a black hole
- *•* In the last few years a new perspective has emerged that incorporates these P and V into black hole thermodynamics [Dolan, Creighton, Mann, Kastor, Traschen, Padmanabhan, Cvetic, Gibbons, Kubiznak, Pope, Gregory, Fischler, Nguyen, Johnson, Karch, Ortin,….]
- *•* The idea: pressure can be associated with a negative Λ *⇒* a form of energy whose (positive) pressure is equal in magnitude to its (negative) energy density

BRIEF HISTORY OF THE DYNAMICAL Λ: An old tale

- *•* Study of the dynamics of the gravitational field when Λ *<* 0. Regard Λ as a constant of the motion ("hair" in BH as M or Q) rather than as a fundamental parameter. [Henneaux, Teitelboim, Asymptotically Anti-de Sitter Spaces, Commun. Math. Phys. 98, 391-424 (1985)]
- *•* Examine the consequences of this new role of Λ for the laws governing the evolution of event horizons in BHs and cosmology [Teitelboim, The Cosmological constant as a thermodynamic black hole parameter, PLB 158 (1985)]

- 1. Consider an asymptotically AdS black hole spacetime
- 2. Identify the cosmological constant with a thermodynamic pressure

$$
P = -\frac{\Lambda}{8\pi} = \frac{3}{8\pi L^2}
$$

3. Allow this to be a (thermo)dynamical quantity

- 1. Consider an asymptotically AdS black hole spacetime
- 2. Identify the cosmological constant with a thermodynamic pressure

$$
P = -\frac{\Lambda}{8\pi} = \frac{3}{8\pi L^2}
$$

3. Allow this to be a (thermo)dynamical quantity

It brings our understanding of certain properties of AdS black holes more closely in parallel with well-known results in the AF case. [Kastor, Ray and Traschen, CQG 26 (2009) 195011, arXiv:0904.2765]

$$
\delta M = T \delta S - P \delta V + \sum_i \Omega_i \delta J_i + \Phi \delta Q
$$

- 1 Consider an asymptotically AdS black hole spacetime
- 2 Identify the cosmological constant with a thermodynamic pressure

$$
P = -\frac{\Lambda}{8\pi} = \frac{3}{8\pi L^2}
$$

3 Allow this to be a (thermo)dynamical quantity

- Consistency between 1st law and Smarr formula in AdS
- *•* Concept of the thermodynamic Volume (conjugate quantity) *→* Isoperimetric inequalities conjecture for AdS black hole
- *•* Study of black hole phase transitions

BLACK HOLE EXTENDED PHASE SPACE

$$
\delta H = T\delta S + V\delta P + \cdots \longleftrightarrow \delta M = \frac{\kappa}{8\pi G} \delta A + V\delta P + \cdots
$$

The Smarr Relation, in general dimensions, reads:

$$
\frac{d-3}{d-2} M = TS + \sum_i \Omega^i J^i + \frac{d-3}{d-2} \Phi \, Q - \frac{2}{d-2} PV
$$

THERMODYNAMIC VOLUME

Extended phase space thermodynamics enables one to define a new "intrinsic" quantity $-$ thermodynamic volume $$ associated with the BH horizon.

$$
V \equiv \left(\frac{\partial M}{\partial P}\right)_{S,Q,J,\ldots}
$$

- *•* Often gives a finite result in the limit to AF (P *→* 0)
- *•* Smoothly connected to its AdS counterpart: a way for defining a thermodynamic volume of asymptotically flat black holes.

Extended phase space thermodynamics enables one to define a new "intrinsic" quantity $-$ thermodynamic volume $$ associated with the BH horizon.

$$
V \equiv \left(\frac{\partial M}{\partial P}\right)_{S,Q,J,\ldots}
$$

- *•* Often gives a finite result in the limit to AF (P *→* 0)
- *•* Smoothly connected to its AdS counterpart: a way for defining a thermodynamic volume of asymptotically flat black holes.

Geometrical derivation: Surface integral of a two-form potential from the Killing field \propto a finite, **effective volume** for the region outside the AdS black hole horizon [Kastor, Ray, Traschen, Enthalpy and the Mechanics of AdS Black Holes, Class. Quant. Grav. 26:195011 (2009)]

Extended phase space thermodynamics enables one to define a new "intrinsic" quantity $-$ thermodynamic volume $$ associated with the BH horizon.

$$
V \equiv \left(\frac{\partial M}{\partial P}\right)_{S,Q,J,\ldots}
$$

- *•* Often gives a finite result in the limit to AF (P *→* 0)
- *•* 'Smoothly connected' to its AdS counterpart: a way for defining a thermodynamic volume of asymptotically flat black holes.

Different proposal: The thermodynamic volume should be replaced with a more general notion of gravitational tension that describes the extra energy associated with the presence of gravitational fields surrounding a black hole. [Armas, Obers and Sanchioni, Gravitational Tension, Spacetime Pressure and Black Hole Volume, JHEP 09 (2016) 124, arXiv:1512.09106]

THERMODYNAMIC VOLUME AND THE ISOPERIMETRIC INEQUALITIES

Euclidean space: The isoperimetric inequality for volume V bounded by a surface of area A

$$
\mathcal{R} = \left[\frac{V}{\mathcal{V}_0}\right]^{1/(d-1)} \left(\frac{\mathcal{A}_0}{A}\right)^{\frac{1}{d-2}} \leq 1
$$

 \mathcal{A}_0 and \mathcal{V}_0 : area and volume of the unit (d−2)-sphere

The isoperimetric inequality states that a sphere has the smallest surface area per given V.

Conjecture for the thermodynamic volume:

Reverse Isoperimetric Inequality (RII): [Cvetic, Gibbons, Kubiznak and Pope, "Black Hole Enthalpy and an Entropy Inequality for the Thermodynamic Volume", PRD

84 024037 (2011)]

$$
\mathcal{R} = \left[\frac{V}{\mathcal{V}_0}\right]^{1/(D-1)} \left(\frac{\mathcal{A}_0}{A}\right)^{\frac{1}{D-2}} \ge 1
$$

- *⋆* This inequality is the "inverse" of the isoperimetric inequality
- *⋆* At fixed thermodynamic volume, the Schwarzschild-AdS black hole has maximum entropy (saturate the RII)

$$
A_{Sol}(V) \leq A_{Schw}(V)
$$

• Violated only in two cases: Charged BTZ & rotating AdS black hole solutions with non-compact event horizons (supergravity)

$$
\mathcal{R} = \left[\frac{(D-1)V}{\omega_{D-2}} \right]^{\frac{1}{D-1}} \left(\frac{\omega_{D-2}}{A} \right)^{\frac{1}{D-2}} \ge 1
$$

⋆ The original RII holds as an equality for certain solutions (Schwarzschild-AdS, Reissner-Nordstrom-AdS, …)

 $A_{Sol}(V) < A_{Schw}(V)$

- *•* Does there exist a generalization that holds with angular momentum?
- *•* Can the original RII be one member of a hierarchy of inequalities that relate the thermodynamic volume and entropy ?

NEW CONJECTURE: STRONG VARIANT (RRII)

[Amo*,* Frassino*,* Hennigar*,* Phys*.*Rev*.*Lett*.*131(2023)24*,* 241401arXiv : 2307*.*03011]

 $A_{Sol}(V, J, M) \leq A_{Kerr}(V, J, M)$

For fixed values of (M*,* J*,* V) the Kerr-AdS black hole (if it exists) has maximum entropy.

- *•* Any deformation of the solution, e.g. through the incorporation of additional charges or matter fields, leads to a decrease in the black hole entropy.
- *•* In the limit Jⁱ *→* 0, the Kerr-AdS area reduces to the Schwarzschild-AdS area and the previous RII is recovered

CHEMICAL PHENOMENA

HOW TO STUDY CHEMICAL PHASES

- *•* Let´s consider charged (and rotating) AdS black holes in a canonical (fixed Q or J) ensamble
- *•* The corresponding thermodynamic potential is Gibbs free energy

$$
G = M - TS = G(P, T, J, Q)
$$

An equilibrium state corresponds to the global minimum of G

• Local thermodynamic stability: positivity of the specific heat

$$
C_P=C_{P,J,Q}=T\left(\frac{\partial S}{\partial T}\right)_{P,J,Q}
$$

- *•* Phase diagrams: P-T diagrams
- *•* Critical points: calculate critical exponents

NOVEL CHEMICAL-TYPE PHASE BEHAVIOUR

Van der Waals system in charged AdS-Schwarzschild 4D BHs

- ∙ The VdW analogy for a charged black hole was discovered in: [Chamblin, Emparan, Johnson and Myers, "Charged AdS Black Holes and Catastrophic Holography ", PRD 60 (1999) 064018]
- ∙ Properties of these transitions in the 'extend phase space' have been worked out in: [Kubiznak and Mann, "P-V criticality of charged AdS black holes", JHEP 1207 (2012) 033]
- ∙ In this approach, HP phase transition *→* solid-liquid phase transition.

GIBBS FREE ENERGY FOR CHARGED ADS BHS

"ISOLATED" CRITICAL POINTS FROM LOVELOCK GRAVITY

 $\alpha = 1, 1.65, \sqrt{3}, 1.85$

$3RD$ ORDER LOVELOCK $q = 0$, $d = 7$, $\kappa = -1$, $\alpha = \alpha_2/\sqrt{\alpha_3}$

In the Gibbs free energy: two swallowtails emerge,

giving rise to two first-order phase transitions between small and large black holes. [Frassino, Kubiznak, Mann, Simovic, JHEP 1409 (2014)]

$3RD$ ORDER LOVELOCK $q = 0$, $d = 7$, $\kappa = -1$, $\alpha = \alpha_2/\sqrt{\alpha_3}$

- *•* The system can be solved analytically (corresponding BH has $M = 0$
- *•* The special isolated critical point is characterized by non-standard critical exponents

$$
\tilde{\alpha} = 0, \qquad \tilde{\beta} = 1, \qquad \tilde{\gamma} = 2, \qquad \tilde{\delta} = 3
$$

VARIABLE Λ : BRANEWORLD HOLOGRAPHY

[Frassino, Pedraza, Svesko, Visser, Phys. Rev. Lett. 130 (2023), 161501 (arXiv:2212.14055)]

As it happened with the initial BH thermodynamics – started from an analogy – now we go beyond the analogy and find a stronger indication of the extended thermodynamics:

- *•* Holographic braneworlds are used to present a higher-dimensional origin of extended BH thermodynamics.
- *•* In this framework, classical, asymptotically AdS black holes map to quantum BHs in one dimension less, with a conformal matter sector that backreacts on th brane geometry.
- *•* Varying the brane tension alone leads to a dynamical cosmological constant on thebrane, and, correspondingly, a variable pressure attributed to the brane black hole.
- *•* Thus, standard thermodynamics in the bulk (including a work term coming from the brane) induces extended thermodynamics on the brane, exactly, to all orders in the backreaction.

Basic idea of BRANEWORLD gravity: recover gravity localized on a lower dimensional surface of a higher dimensional bulk spacetime

One application of considering warped geometries with branes is that it provides a generalization of AdS/CFT to spaces with boundaries. [de Haro, Skenderis, Solodukhin, "Gravity in warped compactifications and the holographic stress tensor´´, Classical and Quantum Gravity, 2001]

BRANEWORLDS MEET HOLOGRAPHY

AdS/CFT: Gravity theory in a $(d + 1)$ -dim spacetime with a d-dim asymptotic boundary $=$ CFT in a d-dim spacetime

Cutting the bulk with a brane

• The CFT is cutoff in the UV and one gets dynamics on the brane

Holographic interpretation (AdS/CFT):

- *•* Classical bulk governed by GR + brane *B*: $I_{\text{bulk}} = I_{\text{EH}} + I_{\text{brane}}$ with: $I_{\text{brane}} = -\tau \int_{\mathcal{B}} d^d z \sqrt{-h}$
- *•* Brane: Integrate out bulk from ∂AdS to $\mathcal{B}: I_{\text{eff}}^{\mathcal{B}} = I_{\text{grav}}[\mathcal{B}] + I_{\text{CFT}}^{\text{cut-off}}[\mathcal{B}]$

DOUBLY HOLOGRAPHIC INTERPRETATION

1 Start with a CFT_{d−1} and then couple it with a CFT_d

CFT^d*−*¹

3 Gravitational description in terms of the classical EE with a brane source, which can be solved classically

HOLOGRAPHICALLY INDUCED GRAVITY: GEOMETRY

Karch-Randall braneworld [Karch, Randall '00]

- *•* As in RS one glues on the other side of the brane an identical spacetime
- *•* Israel junction conditions fix the location of the brane [Emparan, Johnson, Myers, '99], [Balasubramanian, Kraus, '99]
- *•* 'Massive' gravity due to massive graviton localized on the brane [Karch, Randall, '00] (when the tension is very small and the brane is very close to the boundary and the graviton is almost massless.)

• Holographically induced scales

$$
G_d = \frac{(d-2)}{2L_{d+1}} G_{d+1}, \qquad \frac{1}{L_d^2} = \frac{2}{L_{d+1}^2} \left(1 - \frac{4\pi G_{d+1}L_{d+1}}{d-1}\,\tau\right)
$$

- *•* Natural to tune *τ ⇒* tuning position of the brane
- Brane tension controls effective Λ*B* on the brane

$$
\delta\tau = \frac{\delta\Lambda_{\mathcal{B}}}{8\pi\mathsf{G}_{\mathsf{d}}}
$$

⇒ Classical BHs thermodynamics in the bulk, plus work done by the brane would naturally induce extended thermodynamics of (quantum) BHs on the brane

BLACK HOLE ON THE BRANE I

- It is possible to construct exact 4D solutions describing localized black holes bound to a brane
- *•* To obtain these solutions, notice that a black hole on a brane in AdS is accelerating

There is a solution to Einstein's equation that describes accelerating black holes: the C-metric

- *⋆* This solution can be extended to include the cosmological constant Λ
- *⋆* Mechanism to accelerate these black holes: cosmic strings pulling on the black holes *→* usually contains conical singularities along the axis from the BH to *∞*

AdS₄ C-metric with Karch-Randal brane
\n
$$
ds^2 = \frac{\ell^2}{(\ell + xr)} \left[-H(r)dt^2 + H^{-1}(r)dr^2 + r^2 \left(G^{-1}(x)dx^2 + G(x)d\phi^2 \right) \right]
$$

Accelerating due to cosmic string, acceleration $\tau = 1/(2\pi G_4 \ell)$

Brane:

- Umbilic surface at $x = 0$: K_{ij} = $\ell^{-1}h_{ij}$
- Brane at $x = 0$, where Israel-junction conditions are satisfied

EXACT SOLUTION: ACCELERATING BLACK HOLES

AdS⁴ C-metric with Karch-Randal brane

$$
ds^{2} = \frac{\ell^{2}}{(\ell + xr)} \left[-H(r)dt^{2} + H^{-1}(r)dr^{2} + r^{2} (G^{-1}(x)dx^{2} + G(x)d\phi^{2}) \right]
$$

$$
H(r) = \kappa + \frac{r^2}{\ell_3^2} - \left[\frac{\mu \ell}{r} \right] \qquad G(x) = 1 - \kappa x^2 - \left[\mu x^3 \right]
$$

• Parameters:

 \cdot $\kappa = \pm 1, 0 \Rightarrow$ slicing on the brane;

∙ *µ ≥* 0 mass parameter: quantum corrections on the brane

- \cdot *ℓ* is acceleration & brane position $\tau = 1/(2\pi G_4 \ell)$
- ∙ *ℓ*3: related to the brane cosmological constant:

$$
\frac{1}{\ell_4^2} = \frac{1}{\ell^2} + \frac{1}{\ell_3^2}
$$

• Given the symmetries *⇒* brane x = 0 [Emparan, Horowitz, Myers '99]

To introduce a brane into the spacetime, we need a surface whose extrinsic curvature is proportional to the intrinsic metric.

 \cdot The metric on the brane is obtained by selecting $x = 0$

Brane geometry:

$$
ds^{2} = -f(r)dt^{2} + f^{-1}(r)dr^{2} + r^{2}d\phi^{2}, \qquad f(r) = \frac{r^{2}}{\ell_{3}^{2}} + \kappa - \frac{\mu\ell}{r}
$$

- \star Classical limit: $\mu = 0$ \Rightarrow *κ* = −1 (BTZ), *κ* = +1 (Global or Conical AdS₃)
- \star Quantum effects: $\mu \neq 0$ *⇒ κ* = *−*1 (qBTZ) different properties of the horizon & curvature singularity
	- *•* Recover 2 + 1 gravity at large distances along the brane *•* Deviations from 2 + 1 gravity arise at order 1*/*r, reflecting the 4D nature of the black hole.

(QUANTUM) BLACK HOLES ON A BRANE

• Classical dynamics of AdS_{d+1} bulk encodes quantum dynamics of brane [Emparan, Fabbri, Kaloper '02]

Classical GR *⇔* Semi-classical gravity

• Classical BHs localized on braneworld *↔* quantum BHs

• Study semi-classical backreaction to all orders

 $G_{\mu\nu}(g_{\alpha\beta}) = 8\pi G_N \langle T_{\mu\nu}\rangle$

Quantum BTZ black hole: [Emparan, Frassino, Way, '20]

- The stress tensor for the CFT₃ in this state is the stress tensor of a thermal CFT in equilibrium with the BH
- *•* It has the generic structure of the renormalized stress tensor of conformal fields in the presence of the BTZ black hole

$$
\langle T^{\nu}_{\mu} \rangle = \frac{\ell}{16\pi G_3} \frac{F(M)}{r^3} \text{diag}\{1, 1, -2\} + \dots
$$

- *•* Strength of backreaction controlled by *ℓ*
- *•* Comparison with other calculations:
	- 1. Free conformal scalar in BTZ
	- 2. Holographic w/out backreaction (*ℓ →* 0)

BRANEWORLD THERMODYNAMICS

Bulk BH thermodynamics doubles as thermodynamics of qBTZ:

$$
T = T_{qBTZ}
$$

$$
S_{gen} \equiv S_{Wald} + S_{outside}
$$

$$
S_{gen} = \frac{A_{d+1}}{4G_{D+1}}
$$
 (Bulk entropy), $S_{Wald} = \frac{A_d}{4G_d} + ...$ (Brane entropy)

First law of quantum black holes [Emparan, Frassino, Way, '20]

 $dM = TdS_{gen}$

- *•* Interplay btw 3D (M) and 4D (S*,* T*,* Ω*,* J)
- S_{Wald} alone will not satisfy these relations!
- *•* In *ℓ →* 0 limit, recover thermodynamics of BTZ
- *•* Holds exactly to all orders in the backreaction and higher-curvature corrections

ACCELERATING BLACK HOLES: VARYING TENSION

- *•* Treat tension as variable, like fluid surface tension [Frassino, Pedraza, Svesko, Visser, Phys. Rev. Lett. 130 (2023), 161501 (arXiv:2212.14055)]
- *•* Brane performs work on the bulk BH system

Bulk first law:

 $dM = TdS + A_{\tau}d\tau$

$$
A_{tau} \equiv \left(\frac{\partial M}{\partial \tau}\right)_{S} \quad \text{- "regularized brane area"}
$$

Bulk Smarr law:

$$
M=2TS-2P_4V_4-\tau A_\tau,\qquad P_4=-\frac{\Lambda_4}{8\pi G_4}
$$

EXTENDED THERMODYNAMICS OF QBTZ

⇒ variable *τ* induces extended thermodynamics!

$$
\delta \tau = \frac{\delta \Lambda_3}{8\pi G_3} = -\delta P_3
$$

• Extended first law of qBTZ:

$$
dM=TdS_{\text{gen}}+V_3dP_3
$$

• Smarr law for qBTZ:

$$
0 = TS_{gen} - 2P_3V_3 + \mu_3c_3
$$

⋆ 3D extended thermodynamics for charged and rotating BTZ [Frassino, Mann, Mureika, '22]

REENTRANT PHASE TRANSITIONS OF QUANTUM BLACK HOLES

Backreaction of quantum fields on black hole geometries can trigger new thermal phase transitions

 $F_{\text{OBTZ}} = M - TS_{\text{gen}}$

- ∙ Large backreaction *⇒* 'reentrant' phase transitions As T increases, TAdS *−→*1st qBTZ *−→*0th TAdS
- ∙ Intermediate BH always thermodynamically stable, C^P³ *>* 0

[Frassino, Pedraza, Svesko, Visser, Phys. Rev. D (2024) (arXiv:2310.12220)]

The double-holographic dictionary allows many new perspectives:

• E.g., on the evaporation problem of the BH for example – with the emergence for example of the so-called islands

In our setup:

- Single holography: AdS₄/CFT₃
	- \cdot Dual CFT₃ on bdry with $c_3 = L_4^2/G_4 \sim \ell$
- Double holography: AdS₃/CFT₂
	- ∙ Dual CFT² with c² = 3L3*/*2G³

$$
dE = TdS_2 - P_2dV_2 + \mu_2dc_2
$$

[Frassino, Pedraza, Svesko, Visser, Phys. Rev. Lett. 130 (2023), 161501 (arXiv:2212.14055)]

CONCLUSIONS

SUMMARY

Summary:

- \cdot Bulk holographic AdS_{d+1} coupled to a brane with dynamical gravity
- ∙ classical BHs *↔* semi-classical BHs
- δ Brane tension controls effective Λ_B on the brane $\delta\tau = \frac{\delta\Lambda_B}{8\pi G_B}$
- ∙ Mechanical work due to the brane induces extended themodynamics
- ∙ New thermal phase structure of black holes corrected due to semi-classical backreaction

Future directions:

- ∙ Other quantum black holes (rotating, dS)
- ∙ Quantum Penrose Inequalities and quantum RII [In preparation: 2406.XXXXX]
- ∙ Cosmic Censorship [Frassino, Rocha, Sanna 2405.04597 + in preparation]
- ∙ Stringy origins? [Karch, Sun, Uhlemann, '21]

THANK YOU