HIGHER-DIMENSIONAL ORIGIN OF EXTENDED BLACK HOLE THERMODYNAMICS

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OUTLINE

Goal: Use braneworld holography to

- $\star\,$ Show that dynamical Λ is natural
- * Elucidate the origin of the extended BH thermodynamics

Outline:

1. Extended BH thermodynamics

- Smarr relation
- Thermodynamic Volume
- Isoperimetric inequalities
- 2. Chemical phenomena: Phase transitions, VdW, triple points
- 3. Braneworld holography: review
 - Quantum black holes on the brane
 - Extended thermodynamics of quantum black holes

EXTENDED BLACK HOLE THERMODYNAMICS

Schwarzschild black hole in AF:

$$ds^2 = -\left(1-\frac{2M}{r}\right)dt^2 + \frac{dr^2}{1-\frac{2M}{r}} + r^2 d\Omega^2 \label{eq:ds2}$$

- <u>IMPORTANT QUANTITIES:</u> 1. Asymptotic mass (total energy)
- 2. BH horizon radius $r_h = 2M$ Surface area: $A = 4\pi r_h^2$ never decreases
- 3. Surface gravity κ
- <u>OBSERVATION</u> \Rightarrow Black hole mechanics

$$\delta \mathsf{M} = \kappa \delta \mathsf{A} \xleftarrow{\mathsf{Bekenstein}} \delta \mathsf{E} = \mathsf{T} \delta \mathsf{S}$$

• <u>Hawking (1974)</u> When quantum effects are taken into account, black holes radiate away particles



LAWS OF BLACK HOLE THERMODYNAMICS

First law of black hole thermodynamics:

 $\delta \mathsf{M} = \mathsf{T} \delta \mathsf{S} + \Omega \delta \mathsf{J} + \Phi \delta \mathsf{Q}$

Smarr-Gibbs-Duhem relation (when $\Lambda = 0$) :

$$M=2TS+2\Omega J+\Phi Q$$

Energy	E	\longleftrightarrow	Μ	BH mass
Temperature	Т	\longleftrightarrow	$\frac{\hbar\kappa}{2\pi}$	Surface gravity
Entropy	S	\longleftrightarrow	$\frac{A}{4G\hbar}$	Horizon Area
$\delta E = T\delta S +$	work terms	$\longleftrightarrow \delta M$	$=rac{\kappa}{8\pi G}$	$\delta A + \Omega \delta J + \Phi \delta Q$

1st law and Smarr relation are connected by a scaling argument <u>Euler's theorem:</u>

$$f(\alpha^{p}x, \ \alpha^{q}y) = \alpha^{r} f(x, y) \Longrightarrow r f(x, y) = p\left(\frac{\partial f}{\partial x}\right)x + q\left(\frac{\partial f}{\partial y}\right)y$$

Smarr relation for $\Lambda \neq 0$:

Mass of the <u>black hole in AdS</u>: $M = M(A, \Lambda)$ \Rightarrow since $[\Lambda] = L^{-2}$, $[A] = L^2$, [M] = L, follows

$$\mathsf{M} = 2\mathsf{A}\left(\frac{\partial\mathsf{M}}{\partial\mathsf{A}}\right) - 2\mathsf{A}\left(\frac{\partial\mathsf{M}}{\partial\mathsf{A}}\right)$$

It can be generalized in generic spacetime dimensions

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 ⇒ but there is no obvious notion of P or V associated with a black hole
- In the last few years a new perspective has emerged that incorporates these P and V into black hole thermodynamics [Dolan, Creighton, Mann, Kastor, Traschen, Padmanabhan, Cvetic, Gibbons, Kubiznak, Pope, Gregory, Fischler, Nguyen, Johnson, Karch, Ortin,....]
- The idea: pressure can be associated with a negative Λ
 ⇒ a form of energy whose (positive) pressure is equal in magnitude to its (negative) energy density

BRIEF HISTORY OF THE DYNAMICAL Λ : An old tale

- Study of the dynamics of the gravitational field when Λ < 0. Regard Λ as a constant of the motion ("hair" in BH as M or Q) rather than as a fundamental parameter. [Henneaux, Teitelboim, Asymptotically Anti-de Sitter Spaces, Commun. Math. Phys. 98, 391-424 (1985)]
- Examine the consequences of this new role of Λ for the laws governing the evolution of event horizons in BHs and cosmology [Teitelboim, The Cosmological constant as a thermodynamic black hole parameter, PLB 158 (1985)]

- 1. Consider an asymptotically AdS black hole spacetime
- 2. Identify the cosmological constant with a thermodynamic pressure

$$\mathsf{P} = -\frac{\Lambda}{8\pi} = \frac{3}{8\pi\mathsf{L}^2}$$

3. Allow this to be a (thermo)dynamical quantity

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It brings our understanding of certain properties of AdS black holes more closely in parallel with well-known results in the AF case. [Kastor, Ray and Traschen, CQG 26 (2009) 195011, arXiv:0904.2765]

$$\delta \mathsf{M} = \mathsf{T} \delta \mathsf{S} - \mathsf{P} \delta \mathsf{V} + \sum_{i} \Omega_{i} \delta \mathsf{J}_{i} + \Phi \delta \mathsf{Q}$$

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- Consistency between 1st law and Smarr formula in AdS
- Concept of the thermodynamic Volume (conjugate quantity)
 → Isoperimetric inequalities conjecture for AdS black hole
- Study of black hole phase transitions

BLACK HOLE EXTENDED PHASE SPACE

Enthalpy	Н	\longleftrightarrow	Μ	BH mass
Temperature	Т	\longleftrightarrow	$\frac{\hbar\kappa}{2\pi}$	Surface gravity
Entropy	S	\longleftrightarrow	$\frac{A}{4G\hbar}$	Horizon Area
Pressure	Ρ	\longleftrightarrow	$-\frac{\Lambda}{8\pi}$	Cosmological Constant

$$\delta H = T\delta S + V\delta P + \dots \longleftrightarrow \delta M = \frac{\kappa}{8\pi G}\delta A + V\delta P + \dots$$

The Smarr Relation, in general dimensions, reads:

$$\frac{d-3}{d-2}\,M=T\,S+\sum_i\Omega^i\,J^i+\frac{d-3}{d-2}\Phi\,Q-\frac{2}{d-2}PV$$

THERMODYNAMIC VOLUME

Extended phase space thermodynamics enables one to define a new "intrinsic" quantity — **thermodynamic volume** — associated with the BH horizon.

$$V \equiv \left(\frac{\partial M}{\partial P}\right)_{S,Q,J,..}$$

- Often gives a finite result in the limit to AF (P \rightarrow 0)
- Smoothly connected to its AdS counterpart: a way for defining a thermodynamic volume of asymptotically flat black holes.

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Geometrical derivation: Surface integral of a two-form potential from the Killing field \propto a finite, **effective volume** for the region outside the AdS black hole horizon [Kastor, Ray, Traschen, Enthalpy and the Mechanics of AdS Black Holes, Class. Quant. Grav. 26:195011 (2009)]

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- 'Smoothly connected' to its AdS counterpart: a way for defining a thermodynamic volume of asymptotically flat black holes.

Different proposal: The **thermodynamic volume** should be replaced with a more general notion of **gravitational tension** that describes the extra energy associated with the presence of gravitational fields surrounding a black hole. [Armas, Obers and Sanchioni, Gravitational Tension, Spacetime Pressure and Black Hole Volume, JHEP 09 (2016) 124, arXiv:1512.09106]

THERMODYNAMIC VOLUME AND THE ISOPERIMETRIC INEQUALITIES

Euclidean space: The isoperimetric inequality for volume V bounded by a surface of area A

$$\mathcal{R} = \left[\frac{V}{\mathcal{V}_0}\right]^{1/(d-1)} \left(\frac{\mathcal{A}_0}{A}\right)^{\frac{1}{d-2}} \leq 1$$

 \mathcal{A}_0 and $\mathcal{V}_0:$ area and volume of the unit (d–2)-sphere

The isoperimetric inequality states that a sphere has the smallest surface area per given V.



Conjecture for the thermodynamic volume:

Reverse Isoperimetric Inequality (RII): [Cvetic, Gibbons, Kubiznak and Pope,

"Black Hole Enthalpy and an Entropy Inequality for the Thermodynamic Volume", PRD 84 024037 (2011)]

$$\mathcal{R} = \left[\frac{V}{\mathcal{V}_0}\right]^{1/(D-1)} \left(\frac{\mathcal{A}_0}{A}\right)^{\frac{1}{D-2}} \geq 1$$

- * This inequality is the "inverse" of the isoperimetric inequality
- At fixed thermodynamic volume, the Schwarzschild-AdS black hole has maximum entropy (saturate the RII)

 $A_{Sol}(V) \le A_{Schw}(V)$

• Violated only in two cases: Charged BTZ & rotating AdS black hole solutions with non-compact event horizons (supergravity)

$$\mathcal{R} = \left[\frac{(D-1)V}{\omega_{D-2}}\right]^{\frac{1}{D-1}} \left(\frac{\omega_{D-2}}{A}\right)^{\frac{1}{D-2}} \ge 1$$

* The original RII holds as an equality for certain solutions (Schwarzschild-AdS, Reissner-Nordstrom-AdS, ...)

$$A_{Sol}(V) \le A_{Schw}(V)$$

- Does there exist a generalization that holds with angular momentum?
- Can the original RII be one member of a hierarchy of inequalities that relate the thermodynamic volume and entropy ?

NEW CONJECTURE: STRONG VARIANT (RRII)

[Amo, Frassino, Hennigar, Phys.Rev.Lett.131(2023)24, 241401arXiv : 2307.03011]

 $A_{Sol}(V,J,M) \leq A_{Kerr}(V,J,M)$

For fixed values of (M, J, V) the Kerr-AdS black hole (if it exists) has maximum entropy.

- Any deformation of the solution, e.g. through the incorporation of additional charges or matter fields, leads to a decrease in the black hole entropy.
- In the limit $J_i \to 0,$ the Kerr-AdS area reduces to the Schwarzschild-AdS area and the previous RII is recovered

CHEMICAL PHENOMENA

HOW TO STUDY CHEMICAL PHASES

- Let's consider charged (and rotating) AdS black holes in a canonical (fixed Q or J) ensamble
- The corresponding thermodynamic potential is **Gibbs free** energy

$$G = M - TS = G(P, T, J, Q)$$

An equilibrium state corresponds to the global minimum of G

• Local thermodynamic stability: positivity of the specific heat

$$C_P = C_{P,J,Q} = T \left(\frac{\partial S}{\partial T} \right)_{P,J,C}$$

- Phase diagrams: P-T diagrams
- Critical points: calculate critical exponents

NOVEL CHEMICAL-TYPE PHASE BEHAVIOUR

Van der Waals system in charged AdS-Schwarzschild 4D BHs



- The VdW analogy for a charged black hole was discovered in: [Chamblin, Emparan, Johnson and Myers, "Charged AdS Black Holes and Catastrophic Holography ", PRD 60 (1999) 064018]
- Properties of these transitions in the 'extend phase space' have been worked out in: [Kubiznak and Mann, "P-V criticality of charged AdS black holes", JHEP 1207 (2012) 033]
- $\cdot\,$ In this approach, HP phase transition \rightarrow solid-liquid phase transition.

GIBBS FREE ENERGY FOR CHARGED ADS BHS



"ISOLATED" CRITICAL POINTS FROM LOVELOCK GRAVITY

Lovelock higher curvature gravity:

 $\alpha = 1, 1.65, \sqrt{3}, 1.85$

3RD ORDER LOVELOCK q = 0, d = 7, κ = -1, $\alpha = \alpha_2/\sqrt{\alpha_3}$

$$\alpha = 1.65$$
 $\alpha = \sqrt{3}$

In the Gibbs free energy: two swallowtails emerge,

giving rise to two first-order phase transitions between small and large black holes. [**Frassino**, Kubiznak, Mann, Simovic, JHEP 1409 (2014)]

3RD ORDER LOVELOCK q = 0, d = 7, κ = -1, $\alpha = \alpha_2/\sqrt{\alpha_3}$

- The system can be solved analytically (corresponding BH has M = 0)
- The special isolated critical point is characterized by non-standard critical exponents

$$ilde{lpha}=0, \qquad ilde{eta}=1, \qquad ilde{\gamma}=2, \qquad ilde{\delta}=3$$

variable Λ : braneworld holography

[Frassino, Pedraza, Svesko, Visser, Phys. Rev. Lett. 130 (2023), 161501 (arXiv:2212.14055)]

As it happened with the initial BH thermodynamics – started from an analogy – now we go beyond the analogy and find a stronger indication of the extended thermodynamics:

- Holographic braneworlds are used to present a higher-dimensional origin of extended BH thermodynamics.
- In this framework, classical, asymptotically AdS black holes map to quantum BHs in one dimension less, with a conformal matter sector that backreacts on th brane geometry.
- Varying the brane tension alone leads to a dynamical cosmological constant on thebrane, and, correspondingly, a variable pressure attributed to the brane black hole.
- Thus, standard thermodynamics in the bulk (including a work term coming from the brane) induces extended thermodynamics on the brane, exactly, to all orders in the backreaction.

Basic idea of BRANEWORLD gravity: recover gravity localized on a lower dimensional surface of a higher dimensional bulk spacetime

One application of considering warped geometries with branes is that it provides a generalization of AdS/CFT to spaces with boundaries. [de Haro, Skenderis, Solodukhin, "Gravity in warped compactifications and the holographic stress tensor", Classical and Quantum Gravity, 2001]

BRANEWORLDS MEET HOLOGRAPHY

<u>AdS/CFT:</u> Gravity theory in a (d + 1)-dim spacetime with a d-dim asymptotic boundary = CFT in a d-dim spacetime

Cutting the bulk with a brane

• The CFT is cutoff in the UV and one gets dynamics on the brane

Holographic interpretation (AdS/CFT):

- Classical bulk governed by GR + brane B: $I_{bulk} = I_{EH} + I_{brane}$ with: $I_{brane} = -\tau \int_{B} d^{d}z \sqrt{-h}$
- Brane: Integrate out bulk from ∂AdS to \mathcal{B} : $I_{eff}^{\mathcal{B}} = I_{grav}[\mathcal{B}] + I_{CFT}^{cut-off}[\mathcal{B}]$

DOUBLY HOLOGRAPHIC INTERPRETATION

1 Start with a $\ensuremath{\mathsf{CFT}}_{d-1}$ and then couple it with a $\ensuremath{\mathsf{CFT}}_d$

 $\frac{2}{-1} \frac{\text{Brane perspective: Replace the original CFT_{d-1}}{\text{by a d-dimensional bulk}}$

 \Rightarrow EFT of gravity coupled to an holographic CFT_d and a 'bath' that is connected to the bulk with transparent b.c.

3 <u>Gravitational description in terms of the classical EE with a brane</u> source, which can be solved classically

HOLOGRAPHICALLY INDUCED GRAVITY: GEOMETRY

Karch-Randall braneworld [Karch, Randall '00]

- As in RS one glues on the other side of the brane an identical spacetime
- Israel junction conditions fix the location of the brane [Emparan, Johnson, Myers, '99], [Balasubramanian, Kraus, '99]
- 'Massive' gravity due to massive graviton localized on the brane [Karch, Randall, '00] (when the tension is very small and the brane is very close to the boundary and the graviton is almost massless.)

• Holographically induced scales

$$G_{d} = \frac{(d-2)}{2L_{d+1}}G_{d+1}, \qquad \frac{1}{L_{d}^{2}} = \frac{2}{L_{d+1}^{2}}\left(1 - \frac{4\pi G_{d+1}L_{d+1}}{d-1}\tau\right)$$

- Natural to tune $\tau \Rightarrow$ tuning position of the brane
- Brane tension controls effective $\Lambda_{\mathcal{B}}$ on the brane

$$\delta \tau = \frac{\delta \Lambda_{\mathcal{B}}}{8\pi G_{d}}$$

⇒ Classical BHs thermodynamics in the bulk, plus work done by the brane would **naturally** induce **extended** thermodynamics of (quantum) BHs on the brane

BLACK HOLE ON THE BRANE I

- It is possible to construct exact 4D solutions describing localized black holes bound to a brane
- To obtain these solutions, notice that a black hole on a brane in AdS is **accelerating**

There is a solution to Einstein's equation that describes accelerating black holes: **the C-metric**

- $\star\,$ This solution can be extended to include the cosmological constant $\Lambda\,$
- ★ Mechanism to accelerate these black holes:
 cosmic strings pulling on the black holes
 → usually contains conical singularities along the axis from the BH to ∞

$$dS_4 \text{ C-metric with Karch-Randal brane}$$

$$ds^2 = \frac{\ell^2}{(\ell + xr)} \left[-H(r)dt^2 + H^{-1}(r)dr^2 + r^2 \left(G^{-1}(x)dx^2 + G(x)d\phi^2 \right) \right]$$

Accelerating due to cosmic string, acceleration $au=1/(2\pi G_4\ell)$

Brane:

- Umbilic surface at x = 0: $K_{ij} = \ell^{-1}h_{ij}$
- Brane at x = 0, where Israel-junction conditions are satisfied

EXACT SOLUTION: ACCELERATING BLACK HOLES

AdS₄ C-metric with Karch-Randal brane

$$ds^{2} = \frac{\ell^{2}}{(\ell + xr)} \left[-H(r)dt^{2} + H^{-1}(r)dr^{2} + r^{2} \left(G^{-1}(x)dx^{2} + G(x)d\phi^{2} \right) \right]$$

$$H(r) = \kappa + \frac{r^2}{\ell_3^2} - \boxed{\frac{\mu\ell}{r}} \qquad G(x) = 1 - \kappa x^2 - \boxed{\mu x^3}$$

• Parameters:

 $\cdot \kappa = \pm 1, 0 \Rightarrow$ slicing on the brane;

 $\cdot \mu \ge$ 0 mass parameter: quantum corrections on the brane

- $\cdot \ \ell$ is acceleration & brane position $au = 1/(2\pi G_4 \ell)$
- $\cdot \ \ell_3$: related to the brane cosmological constant:

$$\frac{1}{\ell_4^2} = \frac{1}{\ell^2} + \frac{1}{\ell_3^2}$$

• Given the symmetries \Rightarrow brane x = 0 [Emparan, Horowitz, Myers '99]

To introduce a brane into the spacetime, we need a surface whose extrinsic curvature is proportional to the intrinsic metric.

 $\cdot\,$ The metric on the brane is obtained by selecting x=0

Brane geometry:

$$ds^{2} = -f(r)dt^{2} + f^{-1}(r)dr^{2} + r^{2}d\phi^{2}, \qquad f(r) = \frac{r^{2}}{\ell_{3}^{2}} + \kappa - \frac{\mu\ell}{r}$$

- ★ Classical limit: $\mu = 0$ ⇒ $\kappa = -1$ (BTZ), $\kappa = +1$ (Global or Conical AdS₃)
- * Quantum effects: $\mu \neq 0$ $\Rightarrow \kappa = -1$ (qBTZ) different properties of the horizon & curvature singularity
 - Recover 2 + 1 gravity at large distances along the brane
 - Deviations from 2 + 1 gravity arise at order 1/r, reflecting the 4D nature of the black hole.

(QUANTUM) BLACK HOLES ON A BRANE

• Classical dynamics of AdS_{d+1} bulk encodes quantum dynamics of brane [Emparan, Fabbri, Kaloper '02]

Classical GR ⇔ Semi-classical gravity

• Classical BHs localized on braneworld \leftrightarrow quantum BHs

• Study semi-classical backreaction to all orders

 $G_{\mu\nu}(g_{\alpha\beta}) = 8\pi G_N \langle T_{\mu\nu} \rangle$

Quantum BTZ black hole: [Emparan, Frassino, Way, '20]

- The stress tensor for the CFT₃ in this state is the stress tensor of a thermal CFT in equilibrium with the BH
- It has the generic structure of the renormalized stress tensor of conformal fields in the presence of the BTZ black hole

$$\langle T^{\nu}_{\mu} \rangle = \frac{\ell}{16\pi G_3} \frac{F(M)}{r^3} diag\{1, 1, -2\} + \dots$$

- Strength of backreaction controlled by ℓ
- Comparison with other calculations:
 - 1. Free conformal scalar in BTZ
 - 2. Holographic w/out backreaction ($\ell \rightarrow 0$)

BRANEWORLD THERMODYNAMICS

INDUCED THERMODYNAMICS OF QBTZ

Bulk BH thermodynamics doubles as thermodynamics of qBTZ:

$$\begin{array}{rcl} T &=& T_{qBTZ} \\ S_{gen} &\equiv& S_{Wald} + S_{outside} \end{array}$$

$$S_{gen} = \frac{A_{d+1}}{4G_{D+1}}$$
 (Bulk entropy), $S_{Wald} = \frac{A_d}{4G_d} + ...$ (Brane entropy)

First law of quantum black holes [Emparan, Frassino, Way, '20]

 $dM = TdS_{gen}$

- Interplay btw 3D (M) and 4D (S,T, $\Omega,J)$
- S_{Wald} alone will not satisfy these relations!
- In $\ell \rightarrow 0$ limit, recover thermodynamics of BTZ
- Holds exactly to all orders in the backreaction and higher-curvature corrections

ACCELERATING BLACK HOLES: VARYING TENSION

- Treat tension as variable, like fluid surface tension [Frassino, Pedraza, Svesko, Visser, Phys. Rev. Lett. 130 (2023), 161501 (arXiv:2212.14055)]
- Brane performs work on the bulk BH system

Bulk first law:

 $dM = TdS + A_{\tau}d\tau$

$$A_{tau} \equiv \left(\frac{\partial M}{\partial \tau}\right)_{s}$$
 - "regularized brane area"

Bulk Smarr law:

$$\mathsf{M}=2\mathsf{T}\mathsf{S}-2\mathsf{P}_4\mathsf{V}_4-\tau\mathsf{A}_\tau,\qquad\mathsf{P}_4=-\frac{\Lambda_4}{8\pi\mathsf{G}_4}$$

EXTENDED THERMODYNAMICS OF QBTZ

 \Rightarrow variable τ induces extended thermodynamics!

$$\delta \tau = \frac{\delta \Lambda_3}{8\pi G_3} = -\delta \mathsf{P}_3$$

• Extended first law of qBTZ:

$$dM = TdS_{gen} + V_3dP_3$$

• Smarr law for qBTZ:

$$0 = TS_{gen} - 2P_3V_3 + \mu_3c_3$$

* 3D extended thermodynamics for charged and rotating BTZ [Frassino, Mann, Mureika, '22]

REENTRANT PHASE TRANSITIONS OF QUANTUM BLACK HOLES

Backreaction of quantum fields on black hole geometries can trigger new thermal phase transitions

 $F_{qBTZ} = M - TS_{gen}$

- $\cdot\,$ Intermediate BH always thermodynamically stable, $C_{P_3}>0$

[Frassino, Pedraza, Svesko, Visser, Phys. Rev. D (2024) (arXiv:2310.12220)]

The double-holographic dictionary allows many new perspectives:

• E.g., on the evaporation problem of the BH for example – with the emergence for example of the so-called **islands**

In our setup:

- Single holography: AdS₄/CFT₃
 - $\cdot\,$ Dual CFT_3 on bdry with $c_3 = L_4^2/G_4 \sim \ell$
- Double holography: AdS₃/CFT₂
 - $\cdot \,$ Dual CFT_2 with $c_2=3L_3/2G_3$

$$dE = TdS_2 - P_2dV_2 + \mu_2dc_2$$

[Frassino, Pedraza, Svesko, Visser, Phys. Rev. Lett. 130 (2023), 161501 (arXiv:2212.14055)]

CONCLUSIONS

SUMMARY

Summary:

- $\cdot\,$ Bulk holographic AdS_{d+1} coupled to a brane with dynamical gravity
- $\cdot \textbf{ classical } \mathsf{BHs} \leftrightarrow \textbf{semi-classical } \mathsf{BHs}$
- · Brane tension controls effective $\Lambda_{\mathcal{B}}$ on the brane $\delta \tau = \frac{\delta \Lambda_{\mathcal{B}}}{8\pi G_{\mathrm{BR}}}$
- Mechanical work due to the brane induces extended themodynamics
- New thermal phase structure of black holes corrected due to semi-classical backreaction

Future directions:

- · Other quantum black holes (rotating, dS)
- Quantum Penrose Inequalities and quantum RII [In preparation: 2406.XXXX]
- · Cosmic Censorship [Frassino, Rocha, Sanna 2405.04597 + in preparation]
- · Stringy origins? [Karch, Sun, Uhlemann, '21]

THANK YOU