

Elliptic Quantum Toroidal Algebra $U_{q,t,p}(\mathfrak{gl}_{1,tor})$ and Affine Quiver Gauge Theories

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- 1 Introduction
 - $U_{q,p}(\widehat{\mathfrak{g}})$ and $W_{p,p^*}(\mathfrak{g})$
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Review of EQG $U_{q,p}(\widehat{\mathfrak{g}})$ ($\widehat{\mathfrak{g}}$: affine Lie alg.)

$U_{q,p}(\widehat{\mathfrak{g}})$: (K '98, Jimbo-K-Odake-Shiraishi '99, ...)

- elliptic (p : elliptic nome) and dynamical analogue of $U_q(\widehat{\mathfrak{g}})$ in the Drinfeld realization
 - elliptic Drinfeld currents $E_j(z), F_j(z), \psi_j^\pm(z)$
 - Π_j : **dynamical parameters** ($j = 1, \dots, \text{rk } \mathfrak{g}$)

- $U_{q,p}(\widehat{\mathfrak{gl}}_N) \cong E_{q,p}(\widehat{\mathfrak{gl}}_N)$ (K '18)

$E_{q,p}(\widehat{\mathfrak{gl}}_N)$: central extension of Felder's EQG $RLL = LLR^*$

- Hopf algebroid str. as a co-alg. str.,

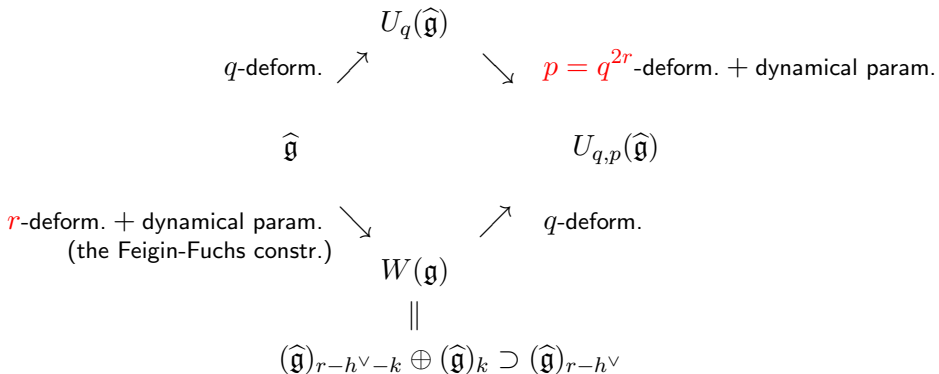
$\Delta : U_{q,p} \rightarrow U_{q,p} \widetilde{\otimes} U_{q,p}$ algebra hom.

\rightsquigarrow **Vertex operators** $\Phi(z) : \mathcal{F} \rightarrow V_z \widetilde{\otimes} \mathcal{F}'$ s.t $\Phi(z)x = \Delta(x)\Phi(z), \forall x \in U_{q,p}$.

$\rightsquigarrow \langle \Phi(z_1)\Phi(z_2) \cdots \Phi(z_n) \rangle$ deformation of conformal block !

Characterizations of $U_{q,p}(\widehat{\mathfrak{g}})$

- p -deform. of the quantum aff. alg. $U_q(\widehat{\mathfrak{g}})$ + dynamical param.
- &
- q -deform. of the Feigin-Fuchs construction of the W -algebra $W(\mathfrak{g})$ of the coset type



$U_{q,p}(\widehat{\mathfrak{g}})$ is a q -deformation of the Feigin-Fuchs construction of $W(\mathfrak{g}) = (\widehat{\mathfrak{g}})_{r-h^\vee-k} \oplus (\widehat{\mathfrak{g}})_k \supset (\widehat{\mathfrak{g}})_{r-h^\vee}$. The Feigin-Fuchs construction is nothing but a r -deformation of $\widehat{\mathfrak{g}}$ to $W(\mathfrak{g})$.

| | | |
|---|--|---|
| <p>e.g. $\widehat{\mathfrak{sl}}_2 \quad (c=1)$</p> $T(z) = \frac{1}{2} (\partial\phi(z))^2$ $e(z) = e^\alpha z^h : e^{\sqrt{2}\phi(z)} :$ $f(z) = e^{-\alpha} z^{-h} : e^{-\sqrt{2}\phi(z)} :$ $[\sqrt{2}a_m, \sqrt{2}a_n] = 2m\delta_{m+n,0}$ <p>q-deform \downarrow</p> $[\alpha_m, \alpha_n] = \frac{[2m]_q [m]_q}{m} \delta_{m+n,0}$ $U_q(\widehat{\mathfrak{sl}}_2)$ | <p>r-deform.</p> \longrightarrow \rightsquigarrow \rightsquigarrow \rightsquigarrow \rightsquigarrow \rightsquigarrow \rightsquigarrow \rightsquigarrow | <p>$\text{Vir.} \cong (\widehat{\mathfrak{sl}}_2)_{r-3} \oplus (\widehat{\mathfrak{sl}}_2)_1 \supset (\widehat{\mathfrak{sl}}_2)_{r-2}$</p> $\left(c_{Vir} = 1 - 24\alpha_0^2, \quad \alpha_0 = \frac{1}{2\sqrt{r(r-1)}} \right)$ $T_{FF}(z) = \frac{1}{2} (\partial\phi(z))^2 + \sqrt{2}\alpha_0 \partial^2 \phi(z)$ $S_+(z) = e^{\sqrt{\frac{r}{r-1}}\alpha} z^{\sqrt{\frac{r}{r-1}}h} : e^{\sqrt{\frac{2r}{r-1}}\phi(z)} :$ $S_-(z) = e^{-\sqrt{\frac{r-1}{r}}\alpha} z^{-\sqrt{\frac{r-1}{r}}h} : e^{-\sqrt{\frac{2(r-1)}{r}}\phi(z)} :$ $\left[\sqrt{\frac{2r}{r-1}}a_m, \sqrt{\frac{2r}{r-1}}a_n \right] = 2m \frac{r}{r-1} \delta_{m+n,0}$ <p>q-deform \downarrow</p> $[\alpha_m, \alpha_n] = \frac{[2m]_q [m]_q}{m} \frac{1-p^m}{1-p^{*m}} \delta_{m+n,0}$ $U_{q,p}(\widehat{\mathfrak{sl}}_2) \quad p^* = q^{2(r-1)} = pq^{-2}$ |
|---|--|---|

Dynamical parameters?

The Feigin-Fuchs construction can be seen as a dynamical deformation of the Z -algebra part of $(\widehat{\mathfrak{g}})_k$!

$$\begin{array}{ccc}
 \widehat{\mathfrak{sl}}_2 \quad (c=1) & \xrightarrow[r\text{-deform.}]{\otimes "P, Q''} & \text{Vir.} \cong (\widehat{\mathfrak{sl}}_2)_{r-3} \oplus (\widehat{\mathfrak{sl}}_2)_1 \supset (\widehat{\mathfrak{sl}}_2)_{r-2} \\
 & & \left(c_{Vir} = 1 - 24\alpha_0^2, \quad \alpha_0 = \frac{1}{2\sqrt{r(r-1)}} \right) \\
 T(z) = \frac{1}{2} (\partial\phi(z))^2 & \rightsquigarrow & T_{FF}(z) = \frac{1}{2} (\partial\phi(z))^2 + \sqrt{2}\alpha_0 \partial^2\phi(z) + \dots \\
 e(z) = e^\alpha z^h : e^{\sqrt{2}\widehat{\phi}(z)} : & \rightsquigarrow & S_+(z) = e^\alpha z^h e^{-Q} z^{-\frac{P-1}{r-1}} : e^{\sqrt{\frac{2r}{r-1}}\widehat{\phi}(z)} : \\
 f(z) = e^{-\alpha} z^{-h} : e^{-\sqrt{2}\widehat{\phi}(z)} : & \rightsquigarrow & S_-(z) = e^{-\alpha} z^{-h} z^{\frac{P+h-1}{r}} : e^{-\sqrt{\frac{2(r-1)}{r}}\widehat{\phi}(z)} : \\
 [\sqrt{2}a_m, \sqrt{2}a_n] = 2m\delta_{m+n,0} & \rightsquigarrow & \left[\sqrt{\frac{2r}{r-1}}a_m, \sqrt{\frac{2r}{r-1}}a_n \right] = 2m \frac{r}{r-1} \delta_{m+n,0} \\
 q\text{-deform} \quad \downarrow & & q\text{-deform} \quad \downarrow \\
 [\alpha_m, \alpha_n] = \frac{[2m]_q [m]_q}{m} \delta_{m+n,0} & \xrightarrow[p\text{-deform.}]{\otimes "P, Q''} & [\alpha_m, \alpha_n] = \frac{[2m]_q [m]_q}{m} \frac{1-p^m}{1-p^{*m}} \delta_{m+n,0} \\
 U_q(\widehat{\mathfrak{sl}}_2) & & U_{q,p}(\widehat{\mathfrak{sl}}_2) \quad p = q^{2r}, \quad p^* = q^{2(r-1)}
 \end{array}$$

Higher level : $(q-)$ parafermions do not get $r-$ ($p-$) deformation

$$\widehat{\mathfrak{sl}}_2 \quad (c = k) \quad \xrightarrow[\otimes \text{"P, Q"}]{r\text{-deform.}} \quad (\widehat{\mathfrak{sl}}_2)_{r-2-k} \oplus (\widehat{\mathfrak{sl}}_2)_k \supset (\widehat{\mathfrak{sl}}_2)_{r-2}$$

$$\left(c_{Vir} = \frac{3k}{k+2} - 24\alpha_0^2, \quad \alpha_0 = \frac{1}{2} \sqrt{\frac{k}{r(k-k)}} \right)$$

$$T(z) = \frac{1}{2} (\partial\phi(z))^2 + T_{PF}(z) \quad \rightsquigarrow \quad T_{FF}(z) = \frac{1}{2} (\partial\phi(z))^2 + \sqrt{2}\alpha_0 \partial^2\phi(z) + T_{PF}(z) + \dots$$

$$e(z) = \Psi^+(z) e^\alpha z^{\frac{h}{k}} : e^{\frac{1}{k} \sqrt{2k} \widetilde{\phi}(z)} : \quad \rightsquigarrow \quad S_+(z) = \Psi^+(z) e^\alpha z^{\frac{h}{k}} e^{-Q} z^{-\frac{P-1}{r-k}} : e^{\frac{1}{k} \sqrt{\frac{2kr}{r-k}} \widetilde{\phi}(z)} :$$

$$f(z) = \Psi^-(z) e^{-\alpha} z^{-\frac{h}{k}} : e^{-\frac{1}{k} \sqrt{2k} \widetilde{\phi}(z)} : \quad \rightsquigarrow \quad S_-(z) = \Psi^-(z) e^{-\alpha} z^{-\frac{h}{k}} z^{\frac{P+h-1}{r}} : e^{-\frac{1}{k} \sqrt{\frac{2k(r-k)}{r}} \widetilde{\phi}(z)} :$$

$$\left[\sqrt{2k} a_m, \sqrt{2k} a_n \right] = 2mk \delta_{m+n,0} \quad \rightsquigarrow \quad \left[\sqrt{\frac{2kr}{r-k}} a_m, \sqrt{\frac{2kr}{r-k}} a_n \right] = 2mk \frac{r}{r-k} \delta_{m+n,0}$$

 q -deform \downarrow q -deform \downarrow

$$[\alpha_m, \alpha_n] = \frac{[2m]_q [km]_q}{m} \delta_{m+n,0}$$

 p -deform.
 $\otimes \text{"P, Q"}$

$$[\alpha_m, \alpha_n] = \frac{[2m]_q [km]_q}{m} \frac{1-p^m}{1-p^{*m}} \delta_{m+n,0}$$

$$\Psi^\pm(z) \rightarrow \Psi_q^\pm(z)$$

$$U_q(\widehat{\mathfrak{sl}}_2)$$

$$\Psi^\pm(z) \rightarrow \Psi_q^\pm(z)$$

$$U_{q,p}(\widehat{\mathfrak{sl}}_2) \quad p = q^{2r}, \quad p^* = q^{2(r-k)} \\ = pq^{-2k}$$

$U_{q,p}(\widehat{\mathfrak{g}})$ realizes $W_{p,p^*}(\mathfrak{g})$ of the coset type

$$(\widehat{\mathfrak{g}})_{r-h^\vee-k} \oplus (\widehat{\mathfrak{g}})_k \supset (\widehat{\mathfrak{g}})_{r-h^\vee}$$

Elliptic currents $E_i(z), F_i(z)$ of $U_{q,p}(\widehat{\mathfrak{g}})$

\iff screening currents $S_i^+(z), S_i^-(z)$ of $W_{p,p^*}(\mathfrak{g})$ ($p^* = pq^{-2k}$, $(p, p^*) \Leftrightarrow (q, t)$)

Theorem 1.1 (K '14)

For $\widehat{\mathfrak{g}} = A_N^{(1)}, D_N^{(1)}, B_N^{(1)}$, let $\Phi^D(z)$ be the intertwiner of the $U_{q,p}(\widehat{\mathfrak{g}})$ -modules

$\Phi^D(z) : F_\omega \rightarrow V_z \otimes F_{\omega'}$ s.t. $\Phi^D(z)x = \Delta^D(x)\Phi^D(z) \quad \forall x \in U_{q,p}$ w.r.t.

the Drinfeld coproduct Δ^D . Then the generating functions $T(z)$ of $W_{p,p^*}(\mathfrak{g})$ is realized as

$$T(z) = \sum_{\mu} \Phi_{\mu}^D(p^{-1}z)^{-1} \Phi_{\mu}^D(z).$$

In particular, $U_{q,p}(B_N^{(1)})$ gives a deformation of Fateev-Lukyanov's WB_N algebra. Later we will show $U_{q,t,p}(\mathfrak{gl}_{1,tor})$ realizes $W_{p,p^*}(\Gamma(\widehat{A}_0))$.

Review of Quantum Toroidal Algebra $U_{q,t}(\mathfrak{gl}_{1,tor})$

- $\mathfrak{gl}_{1,tor} = \bigoplus_{(m,n) \neq (0,0)} \mathbb{C}x^m y^n \oplus \mathbb{C}c_1 \oplus \mathbb{C}c_2, \quad xy = qyx$
(Kac-Radul '93, Berman-Gao-Krylyuk '96)
- $U_{q,t}(\mathfrak{gl}_{1,tor})$ is a t -deform. of $\mathfrak{gl}_{1,tor} \cong (q,t)$ -deform. of $W_{1+\infty}$ (Miki '07)
 \cong the elliptic Hall algebra (Burban-Schiffmann '05, Schiffmann-Vasserot '11)
- $U_{q,t}(\mathfrak{gl}_{1,tor})$ is a relevant QG str. to discuss the instanton calculus and the AGT corresp. for the 5d & 6d lifts of the 4d $\mathcal{N} = 2$ SUSY gauge theories (linear quiver gauge theories).
 - $W_{q,t}(\mathfrak{g})$ is realized in $U_{q,t}(\mathfrak{gl}_{1,tor}) \otimes \cdots \otimes U_{q,t}(\mathfrak{gl}_{1,tor})$
(Miki '07, Feigin-Hashizume-Hoshimo-Shiraishi-Yanagida '09, Berstein-Feigin-Merzon '15)
 - Intertwiners of $U_{q,t}(\mathfrak{gl}_{1,tor})$ w.r.t. the Drinfeld copro. realize the refined topological vertices (Awata-Feigin-Shiraishi '12)
 - A certain block of composition of such intertwiners realizes the intertwiner of $U_{q,p}(\widehat{\mathfrak{sl}}_N)$ (\sim the vertex operator of $W_{q,t}(\mathfrak{sl}_N)$)
(Zenkevich '18, Fukuda-Okubo-Shiraishi '19, '20)

This talk : Elliptic Quantum Toroidal Algebra $U_{q,t,p}(\mathfrak{gl}_{1,tor})$

Main points:

- It has a nice co-alg. str. w.r.t. the Drinfeld copro., which yields the **intertwiner** $\Phi(u)$ and its “sifted inverse” $\Phi^*(u)$
- It realizes **the Jordan quiver W -alg.** $W_{p,p^*}(\Gamma(\widehat{A}_0))$ (Kimura-Pestun '15), possessing the $SU(4)$ Ω -deformation parameters : q, t, p, p^* s.t.
 $q/t = p^*/p$ (Nekrasov '16)
- It is a relevant QG str. to study instanton PFs of the 5d & 6d lifts of **the 4d $\mathcal{N} = 2^*$ gauge theories** (i.e. the 4d $\mathcal{N} = 2$ theories coupled with the adjoint matter) known also as **the Jordan quiver gauge theories (the ADHM gauge theories)**

Elliptic Quantum Toroidal Algebra $U_{q,t,p}(\mathfrak{gl}_{1,tor})$

Elliptic Quantum Toroidal Algebra $U_{q,t,p}(\mathfrak{gl}_{1,tor})$

Let $q, t, p \in \mathbb{C}^*$, $|q|, |t|, |p| < 1$.

We define $U_{q,t,p}(\mathfrak{gl}_{1,tor})$ to be a $\mathbb{C}[[p]]$ -algebra generated by

$$\alpha_m, \quad x_n^\pm, \quad K^{\pm 1}, \quad \gamma^{\pm 1/2} \quad (m \in \mathbb{Z} \setminus \{0\}, n \in \mathbb{Z}).$$

Let us set

$$\psi^+(z) = K \exp\left(-\sum_{m>0} \frac{p^m}{1-p^m} \alpha_{-m} (\gamma^{-1/2} z)^m\right) \exp\left(\sum_{m>0} \frac{1}{1-p^m} \alpha_{-m} (\gamma^{-1/2} z)^{-m}\right),$$

$$\psi^-(z) = K^{-1} \exp\left(-\sum_{m>0} \frac{1}{1-p^m} \alpha_{-m} (\gamma^{1/2} z)^m\right) \exp\left(\sum_{m>0} \frac{p^m}{1-p^m} \alpha_{-m} (\gamma^{1/2} z)^{-m}\right),$$

$$x^\pm(z) = \sum_{n \in \mathbb{Z}} x_n^\pm z^{-n}$$

: elliptic Drinfeld currents

$\gamma^{1/2}$, K : central,

$$[\alpha_m, \alpha_n] = -\frac{\kappa_m}{m}(\gamma^m - \gamma^{-m})\gamma^{-m} \frac{1-p^m}{1-p^{*m}} \delta_{m+n,0}, \quad p^* = p\gamma^{-2}$$

$$[\alpha_n, x^+(z)] = -\frac{\kappa_n}{n} \frac{1-p^n}{1-p^{*n}} (\gamma^{-3n/2}z)^n x^+(z, p),$$

$$[\alpha_n, x^-(z)] = \frac{\kappa_n}{n} (\gamma^{-1/2}z)^n x^-(z, p),$$

$$[x^+(z), x^-(w)] = \frac{(1-q)(1-1/t)}{(1-q/t)} (\delta(\gamma^{-1}z/w)\psi^+(w) - \delta(\gamma z/w)\psi^-(\gamma^{-1}w)),$$

$$z^3 G^+(w/z)g(w/z; p^*)x^+(z)x^+(w) = -w^3 G^+(z/w)g(z/w; p^*)x^+(w)x^+(z),$$

$$z^3 G^-(w/z)g(w/z; p)^{-1}x^-(z)x^-(w) = -w^3 G^-(z/w)g(z/w; p)^{-1}x^-(w)x^-(z),$$

$$g\left(\frac{w}{z}; p^*\right)g\left(\frac{u}{w}; p^*\right)g\left(\frac{u}{z}; p^*\right) \left(\frac{w}{u} + \frac{w}{z} - \frac{z}{w} - \frac{u}{w}\right) x^+(z)x^+(w)x^+(u)$$

+permutations in $z, w, u = 0$,

$$g\left(\frac{w}{z}; p\right)^{-1}g\left(\frac{u}{w}; p\right)^{-1}g\left(\frac{u}{z}; p\right)^{-1} \left(\frac{w}{u} + \frac{w}{z} - \frac{z}{w} - \frac{u}{w}\right) x^-(z)x^-(w)x^-(u)$$

+permutations in $z, w, u = 0$,

where

$$\kappa_m = (1-q^m)(1-t^{-m})(1-(t/q)^m), \quad G^\pm(z) = (1-q^{\pm 1}z)(1-t^{\mp 1}z)(1-(t/q)^{\pm 1}z)$$

$$g(z; p) = \exp\left(\sum_{m>0} \frac{\kappa_m}{m} \frac{p^m}{1-p^m} z^m\right) \in \mathbb{C}[[p]][[z]] \quad \text{etc.}$$

Hopf Algebroid Structure via Δ^D

Let $\tilde{\otimes}$ denote the ordinary tensor product with an extra condition

$$F(z, p^*)a\tilde{\otimes}b = a\tilde{\otimes}F(z, p)b, \quad p^* = p\gamma^{-2}$$

The following gives the Drinfeld coproduct for $\mathcal{U}_{q,t,p} = U_{q,t,p}(\mathfrak{gl}_{1,tor})$.

$$\Delta^D(\gamma^{\pm 1/2}) = \gamma^{\pm 1/2}\tilde{\otimes}\gamma^{\pm 1/2},$$

$$\Delta^D(\psi^{\pm}(z)) = \psi^{\pm}(\gamma_{(2)}^{\mp 1/2}z)\tilde{\otimes}\psi^{\pm}(\gamma_{(1)}^{\pm 1/2}z)$$

$$\Delta^D(x^+(z)) = 1\tilde{\otimes}x^+(\gamma_{(1)}^{-1/2}z) + x^+(\gamma_{(2)}^{1/2}z)\tilde{\otimes}\psi^-(\gamma_{(1)}^{-1/2}z),$$

$$\Delta^D(x^-(z)) = x^-(\gamma_{(2)}^{-1/2}z)\tilde{\otimes}1 + \psi^+(\gamma_{(2)}^{-1/2}z)\tilde{\otimes}x^-(\gamma_{(1)}^{1/2}z).$$

Here $\gamma_{(1)} = \gamma\tilde{\otimes}1$, $\gamma_{(2)} = 1\tilde{\otimes}\gamma$.

Typical $U_{q,t,p}(\mathfrak{gl}_{1,tor})$ -modules

Vector Representation $V(u)$ $u \in \mathbb{C}^*$

Definition 3.1

We say that an $\mathcal{U}_{q,t,p}$ -module has level $(k, l) \in \mathbb{C}^2$ if $\gamma^{1/2}$ acts by $(t/q)^{k/4}$ and K acts by $(q/t)^{l/2}$.

For $u \in \mathbb{C}^*$, $V(u) := \text{Span}_{\mathbb{C}} \{ [u]_j \ (j \in \mathbb{Z}) \}$ has a level- $(0, 0)$ $\mathcal{U}_{q,t,p}$ -module structure by

$$\begin{aligned} x^+(z)[u]_j &= a^+(p)\delta(q^j u/z)[u]_{j+1}, \\ x^-(z)[u]_j &= a^-(p)\delta(q^{j-1} u/z)[u]_{j-1}, \\ \psi^\pm(z)[u]_j &= \frac{\theta_p(q^j t^{-1} u/z)\theta_p(q^{j-1} t u/z)}{\theta_p(q^j u/z)\theta_p(q^{j-1} u/z)} \Big|_{\pm} [u]_j \end{aligned}$$

where

$$\begin{aligned} a^\pm(p) &= (1 - t^{\pm 1}) \frac{(p(t/q)^{\pm 1}; p)_\infty (pt^{\mp 1}; p)_\infty}{(p; p)_\infty (pq^{\mp 1}; p)_\infty}, \\ (z; p)_\infty &= \prod_{n=0}^{\infty} (1 - zp^n), \quad \theta_p(z) = (z; p)_\infty (p/z; p)_\infty. \end{aligned}$$

Note $\gamma^{1/2} = 1$, hence $p^* = p$ on $V(u)$.

Semi-Infinite Tensor Product Rep. $\mathcal{F}_u^{(0,1)}$ (q -Fock Rep.)In the trig. case: Feigin²-Jimbo-Miwa-Mukhin '11

Applying Δ^D repeatedly, one can extend the level $(0,0)$ $\mathcal{U}_{q,t,p}$ - module structure inductively to the semi-infinite tensor product rep. of level $(0,1)$

$$\mathcal{F}_u^{(0,1)} \subset V(u) \tilde{\otimes} V(u(t/q)^{-1}) \tilde{\otimes} V(u(t/q)^{-2}) \tilde{\otimes} \dots$$

spanned by vectors

$$|\lambda\rangle_u = [u]_{\lambda_1-1} \tilde{\otimes} [u(t/q)^{-1}]_{\lambda_2-2} \tilde{\otimes} [u(t/q)^{-2}]_{\lambda_3-3} \tilde{\otimes} \dots,$$

$$\lambda = (\lambda_1 \geq \lambda_2 \geq \dots) \quad \lambda_l = 0 \text{ for } l \gg 1.$$

Theorem 3.2

The following gives a level-(0,1) action of $\mathcal{U}_{q,t,p}$ on $\mathcal{F}_u^{(0,1)}$.

$$x^+(z)|\lambda\rangle_u = a^+(p) \sum_{i=1}^{\ell(\lambda)+1} \delta(u_i/z) \prod_{j=1}^{i-1} \frac{\theta_p(tu_i/u_j)\theta_p(qu_i/tu_j)}{\theta_p(qu_i/u_j)\theta_p(u_i/u_j)} |\lambda + \mathbf{1}_i\rangle_u,$$

$$x^-(z)|\lambda\rangle_u = a^-(p)(q/t)^{1/2} \sum_{i=1}^{\ell(\lambda)} \delta(q^{-1}u_i/z) \prod_{j=i+1}^{\ell(\lambda)} \frac{\theta_p(qu_j/tu_i)}{\theta_p(u_j/tu_i)} \prod_{j=i+1}^{\ell(\lambda)+1} \frac{\theta_p(tu_j/u_i)}{\theta_p(qu_j/u_i)} |\lambda - \mathbf{1}_i\rangle_u,$$

$$\psi^+(z)|\lambda\rangle_u = (q/t)^{1/2} \prod_{j=1}^{\ell(\lambda)} \frac{\theta_p(t^{-1}u_j/z)}{\theta_p(q^{-1}u_j/z)} \prod_{j=1}^{\ell(\lambda)+1} \frac{\theta_p(tu_j/qz)}{\theta_p(u_j/z)} |\lambda\rangle_u,$$

$$\psi^-(z)|\lambda\rangle_u = (q/t)^{-1/2} \prod_{j=1}^{\ell(\lambda)} \frac{\theta_p(tz/u_j)}{\theta_p(qz/u_j)} \prod_{j=1}^{\ell(\lambda)+1} \frac{\theta_p(qz/tu_j)}{\theta_p(z/u_j)} |\lambda\rangle_u,$$

where we set $u_i = q^{\lambda_i} t^{-i+1} u$.

Geometric Interpretation

Conjecture 3.1

The action in Theorem 3.2 gives a level-(0,1) action of $U_{q,t,p}$ on $\bigoplus_{|\lambda|} E_T(\text{Hilb}_{|\lambda|}(\mathbb{C}^2))$ with $T = \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* \ni (q, t, u)$ via

$$|\lambda\rangle_u \text{'s} \Leftrightarrow \text{fixed point classes } [\lambda] \text{'s}$$

Moreover,

$$[\lambda] = \sum_{\mu} \text{Stab}_{\mathfrak{e}}^{-1}(\mu) \Big|_{\lambda} \text{Stab}_{\mathfrak{e}}(\mu)$$

gives an *elliptic analogue of Macdonald symmetric function*.

Cf. $U_{q,t}(\mathfrak{gl}_{1,tor}) \curvearrowright \bigoplus_n K_T(\text{Hilb}_n(\mathbb{C}^2))$ Feigin-Tsybaliuk '11
 $U_{q,p}(\widehat{\mathfrak{gl}}_N) \curvearrowright \bigoplus_{\lambda} E_T(T^* \text{flag})$ K '18

Theorem 3.3 (Level (0,0) rep. in terms of the elliptic Ruijsenaars op.)
(Cf. Miki '07 trig.case)

For $f(x_1, \dots, x_N) \in \mathbb{C}[[x_1^{\pm 1}, \dots, x_N^{\pm 1}]]$,

let $T_{q,x_i} f(\dots, x_i, \dots) = f(\dots, qx_i, \dots)$.

$$x^+(z) = a^+(p) \sum_{i=1}^N \prod_{j \neq i} \frac{\theta_p(tx_i/x_j)}{\theta_p(x_i/x_j)} \delta(x_i/z) T_{q,x_i},$$

$$x^-(z) = -a^-(p) \sum_{i=1}^N \prod_{j \neq i} \frac{\theta_p(t^{-1}x_i/x_j)}{\theta_p(x_i/x_j)} \delta(q^{-1}x_i/z) T_{q,x_i}^{-1},$$

$$\psi^{\pm}(z) = \prod_{j=1}^N \frac{\theta_p(t^{-1}x_j/z) \theta_p(q^{-1}tx_j/z)}{\theta_p(x_j/z) \theta_p(q^{-1}x_j/z)} \Bigg|_{\pm},$$

or

$$\alpha_m = \frac{(1-t^{-m})(1-(q/t)^{-m})}{m} \sum_{j=1}^N x_j^m \quad (m \in \mathbb{Z} \setminus \{0\}).$$

In particular, the zero-mode $x_0^+ = \oint_{|z|=0} \frac{dz}{2\pi iz} x^+(z)$ acts as the elliptic Ruijsenaars difference operator.

Level $(1, N)$ Representation $\mathcal{F}_u^{(1,N)}$ of $U_{q,p}(\mathfrak{gl}_{1,tor})$

Proposition 3.4 (Feigin-Hashizume-Hoshino-Shiraishi-Yanagida'09)

The following gives a level $(1, N)$ representation on the Fock module $\mathcal{F}_u^{(1,N)}$ of α_m carrying a vacuum weight $u \in \mathbb{C}^*$.

$$x^+(z) = uz^{-N} \left(\frac{t}{q}\right)^{\frac{3N}{4}} \exp \left\{ - \sum_{n>0} \frac{(t/q)^{n/4}}{1 - (t/q)^n} \alpha_{-n} z^n \right\} \exp \left\{ \sum_{n>0} \frac{(t/q)^{3n/4}}{1 - (t/q)^n} \alpha_n z^{-n} \right\},$$

$$x^-(z) = u^{-1} z^N \left(\frac{t}{q}\right)^{-\frac{3N}{4}} \exp \left\{ \sum_{n>0} \frac{(t/q)^{n/4}}{1 - (t/q)^n} \alpha'_{-n} z^n \right\} \exp \left\{ - \sum_{n>0} \frac{(t/q)^{3n/4}}{1 - (t/q)^n} \alpha'_n z^{-n} \right\},$$

$$\psi^+(z) = \left(\frac{t}{q}\right)^{-\frac{N}{2}} \exp \left\{ - \sum_{n>0} \frac{p^n (t/q)^{-n/4}}{1 - p^n} \alpha_{-n} z^n \right\} \exp \left\{ \sum_{n>0} \frac{(t/q)^{n/4}}{1 - p^n} \alpha_n z^{-n} \right\},$$

$$\psi^-(z) = \left(\frac{t}{q}\right)^{\frac{N}{2}} \exp \left\{ - \sum_{n>0} \frac{(t/q)^{n/4}}{1 - p^n} \alpha_{-n} z^n \right\} \exp \left\{ \sum_{n>0} \frac{p^n (t/q)^{-n/4}}{1 - p^n} \alpha_n z^{-n} \right\},$$

where

$$\alpha'_m = \frac{1 - p^{*m}}{1 - p^m} \gamma^m \alpha_m \quad (m \in \mathbb{Z}_{\neq 0}), \quad \gamma^{1/2} = (t/q)^{1/4}, \quad \text{hence } p^* = p\gamma^{-2} = pq/t.$$

The Intertwining Operator $\Phi(u)$ of $U_{q,t,p}(\mathfrak{gl}_{1,tor})$ -modules

$$\Phi(u) : \mathcal{F}_{-uv}^{(1,N+1)} \rightarrow \mathcal{F}_u^{(0,1)} \tilde{\otimes} \mathcal{F}_v^{(1,N)}$$

$$\Delta^D(x)\Phi(u) = \Phi(u)x \quad (\forall x \in \mathcal{U}_{q,t,v})$$

Theorem 4.1

$$\Phi(u) = \sum_{\lambda} |\lambda\rangle'_u \tilde{\otimes} \Phi_{\lambda}(u),$$

$$\Phi_{\lambda}(u) = \frac{q^{n(\lambda')} t^*(\lambda, u, v, N) N_{\lambda}(p)}{c_{\lambda}} \Phi_{\emptyset}(u) \prod_{(i,j) \in \lambda} \tilde{x}^-((t/q)^{1/4} t^{-i+1} q^{j-1} u),$$

$$\Phi_{\emptyset}(u) = \exp \left\{ - \sum_{m>0} \frac{\alpha'_{-m}}{\kappa_m} ((t/q)^{1/2} u)^m \right\} \exp \left\{ \sum_{m>0} \frac{\alpha'_m}{\kappa_m} ((t/q)^{1/2} u)^{-m} \right\}.$$

where $|\lambda\rangle'_u = \frac{c_{\lambda}(p)}{c'_{\lambda}(p)} |\lambda\rangle_u$, $\tilde{x}^-(z) = uz^{-N} (t/q)^{3N/4} x^-(z)$, $N_{\lambda}(0) = N'_{\lambda}(0) = 1$,

$$\langle P_{\lambda}, P_{\lambda} \rangle_{q,t} = \frac{c'_{\lambda}}{c_{\lambda}} \rightsquigarrow \frac{c'_{\lambda} N_{\lambda}(p)}{c_{\lambda} N'_{\lambda}(p)} = \frac{\prod_{\square \in \lambda} \theta_p(q^{a(\square)+1} t^{\ell(\square)})}{\prod_{\square \in \lambda} \theta_p(q^{a(\square)} t^{\ell(\square)+1})} =: \frac{c'_{\lambda}(p)}{c_{\lambda}(p)},$$

$$t^*(\lambda, u, v, N) = (q^{-1}v)^{-|\lambda|} (-u)^{N|\lambda|} \left((-1)^{|\lambda|} q^{n(\lambda') + |\lambda|/2} t^{-n(\lambda) - |\lambda|/2} \right)^N$$

Cf. trig. case : Awata-Feigin-Shiraishi '12

The “shifted inverse” $\Phi^*(v) : \mathcal{F}_u^{(0,1)} \tilde{\otimes} \mathcal{F}_v^{(1,N)} \rightarrow \mathcal{F}_{-uv}^{(1,N+1)}$

We define $\Phi^*(u)$ by

$$\begin{aligned} \Phi_\lambda^*(v)\xi &= \Phi^*(v)(|\lambda\rangle'_u \tilde{\otimes} \xi) \quad \xi \in \mathcal{F}_v^{(1,N)} \\ \Phi_\lambda^*(u) &= \frac{q^{n(\lambda')} t(\lambda, v, p^{-1}u, N) N'_\lambda(p)}{c'_\lambda} : \tilde{\Phi}_\lambda(p^{-1}u)^{-1} :, \end{aligned}$$

where

$$\tilde{\Phi}_\lambda(u) =: \Phi_\emptyset(u) \prod_{(i,j) \in \lambda} \tilde{x}^-((t/q)^{1/4} t^{-i+1} q^{j-1} u) :$$

Normalization factors were determined by requiring a similar relation to the naive dual intertwining relations “ $x\Phi^*(v) = \Phi^*(v)\Delta^D(x)$ ”, but they are not exactly the same ! Probably this is due to a lack of understanding of the dual rep. of $\mathcal{F}_u^{(0,1)}$.

$U_{q,t,p}(\mathfrak{gl}_{1,tor})$ and the Jordan Quiver W -alg.
 $W_{p,p^*}(\Gamma(\widehat{A}_0))$

Remember : $U_{q,p}(\widehat{\mathfrak{g}})$ realizes $W_{p,p^*}(\mathfrak{g})$

The elliptic currents $E_i(z), F_i(z)$ of $U_{q,p}(\widehat{\mathfrak{g}})$ give the screening currents $S_i^+(z), S_i^-(z)$ of $W_{p,p^*}(\mathfrak{g})$.

Theorem 5.1 (K '14)

Let Δ^D denote the Drinfeld coproduct and consider an intertwiner $\Phi^D(z) : F_\omega \rightarrow V_z \otimes F_{\omega'}$ s.t. $\Phi^D(z)x = \Delta^D(x)\Phi^D(z) \quad \forall x \in U_{q,p}$.

Then the generating functions $T(z)$ of $W_{p,p^*}(\mathfrak{g})$ is realized as

$$T(z) = \sum_{\mu} \Phi_{\mu}^D(p^{-1}z)^{-1} \Phi_{\mu}^D(z)$$

$U_{q,t,p}(\mathfrak{gl}_{1,tor})$ and Jordan Quiver W -algebra $W_{p,p^*}(\Gamma(\widehat{A}_0))$

Screening currents :

The level $(1, N)$ rep. of $\mathcal{U}_{q,t,p}$: $\gamma^{1/2} = (t/q)^{1/4}$, $p^* = p\gamma^{-2} = pq/t$

Setting $s_m^+ = \frac{(t/q)^{m/2}}{1 - (t/q)^m} \alpha_m$, $s_m^- = \frac{(t/q)^{m/2}}{1 - (t/q)^m} \alpha'_m$, we have

$$x^\pm((t/q)^{1/4}z) = \left(\frac{u}{z^N} \left(\frac{t}{q} \right)^{N/2} \right)^{\pm 1} : \exp \left\{ \pm \sum_{m \neq 0} s_m^\pm z^{-m} \right\} :,$$

and

$$[s_m^+, s_n^+] = -\frac{1}{m} \frac{1 - p^m}{1 - p^{*m}} (1 - q^{-m})(1 - t^m) \delta_{m+n,0},$$

$$[s_m^-, s_n^-] = -\frac{1}{m} \frac{1 - p^{*-m}}{1 - p^{-m}} (1 - q^{-m})(1 - t^m) \delta_{m+n,0}.$$

One of $x^\pm((t/q)^{1/4}z)$ coincides with the screening current of the Jordan quiver W -algebra $W_{p,p^*}(\Gamma(\widehat{A}_0))$ in [Kimura-Pestun'15](#) with the $SU(4)$ Ω -deformation parameters p, p^*, q, t s.t. $p/p^* = t/q$ ([Nekrasov '16](#)).

Generating Function

$$T(u) = \Phi^*(u)\Phi(u) = \sum_{\lambda} \Phi_{\lambda}^*(u)\Phi_{\lambda}(u) = \sum_{\lambda} \mathcal{C}_{\lambda}(q,t,p) : \widetilde{\Phi}_{\lambda}^*(u)\widetilde{\Phi}_{\lambda}(u) :$$

One finds

$$: \widetilde{\Phi}_{\lambda}^*(u)\widetilde{\Phi}_{\lambda}(u) :=: \prod_{\square \in A(\lambda)} Y(u/q^{\square}) \prod_{\blacksquare \in R(\lambda)} Y((q/t)u/q^{\blacksquare})^{-1} :$$

where $q^{\square} = t^{i-1}q^{-j+1}$ for $\square = (i,j) \in \lambda$ etc. ,

$$Y(u) =: \exp \left\{ \sum_{m \neq 0} y_m u^{-m} \right\} :$$

with $y_m = \frac{1-p^m}{\kappa_m} (q/t)^{m/2} \alpha'_m$ satisfying

$$[y_m, y_n] = -\frac{1}{m} \frac{(1-p^{*m})(1-p^{-m})}{(1-q^m)(1-t^{-m})} \delta_{m+n,0}.$$

Hence this operator part coincides with the one of $W_{p,p^*}(\Gamma(\widehat{A}_0))$ in

Kimura-Pestun'15.

OPE coefficient \times normalization factors of $\Phi_\lambda^*(u), \Phi_\lambda(u)$ yields

$$\mathcal{C}_\lambda(q, t, p) = \mathcal{C} \mathfrak{q}^{|\lambda|} Z_\lambda^{\widehat{A}_0}(t, q^{-1}, p)$$

where

$$\mathfrak{q} = p^{*-1} p^{N-1} (t/q)^{1/2}, \quad \mathcal{C} = \frac{(p^{-1}t; q, t, p)_\infty}{(q; q, t, p)_\infty},$$

$$Z_\lambda^{\widehat{A}_0}(t, q^{-1}, p^*) = \prod_{\square \in \lambda} \frac{(1 - pq^{a(\square)+1} t^{\ell(\square)})(1 - pq^{-a(\square)} t^{-\ell(\square)-1})}{(1 - q^{a(\square)+1} t^{\ell(\square)})(1 - q^{-a(\square)} t^{-\ell(\square)-1})}.$$

Note that $\sum_{\lambda, |\lambda|=k} Z_\lambda^{\widehat{A}_0}(t, q^{-1}, p^*)$ is the equivariant Hirzebruch χ_y -genus with $y = p$ of $\text{Hilb}_k(\mathbb{C}^2)$ (Li-Liu-Zhou'04). Hence

$$\begin{aligned} T(u) &= \sum_{\lambda} \Phi_\lambda^*(u) \Phi_\lambda(u) \\ &= \mathcal{C} \sum_{\lambda} \mathfrak{q}^{|\lambda|} Z_\lambda^{\widehat{A}_0}(t, q^{-1}, p) : \prod_{\square \in A(\lambda)} Y(u/q^\square) \prod_{\blacksquare \in R(\lambda)} Y((q/t)u/q^{\blacksquare})^{-1} : \end{aligned}$$

coincides with the gen. fnc. of $W_{p,p^*}(\Gamma(\widehat{A}_0))$ in Kimura-Pestun'15.

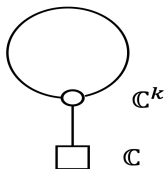
Instanton Calculus in the 5d & 6d Lifts of the 4d $\mathcal{N} = 2^*$ Theories

The 5d & 6d lifts of the $\mathcal{N} = 2^* U(1)$ Theory

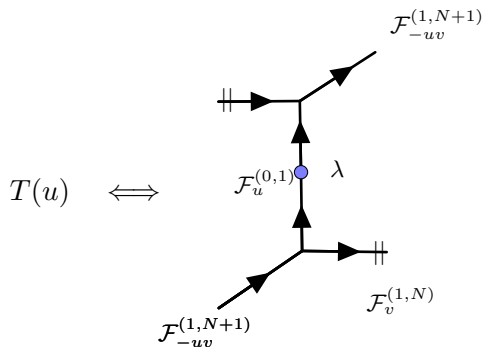
$$T(u) = \mathcal{C} \sum_{\lambda} \mathfrak{q}^{|\lambda|} Z_{\lambda}^{\hat{A}_0}(t, q^{-1}, p) : \prod_{\square \in A(\lambda)} Y(u/q^{\square}) \prod_{\blacksquare \in R(\lambda)} Y((q/t)u/q^{\blacksquare})^{-1} :$$

From this we immediately obtain the instanton PF of the 5d lift of the $\mathcal{N} = 2^* U(1)$ Theory

$$\langle 0|T(u)|0\rangle = \mathcal{C} \sum_{\lambda} \mathfrak{q}^{|\lambda|} Z_{\lambda}^{\hat{A}_0}(t, q^{-1}, p) = \mathcal{C} \sum_{k \geq 0} \mathfrak{q}^k \underbrace{\sum_{\substack{\lambda \\ |\lambda|=k}} Z_{\lambda}^{\hat{A}_0}(t, q^{-1}, p)}_{\chi_p\text{-genus of Hilb}_k(\mathbb{C}^2)}$$



This result and $T(u) = \sum_{\lambda} \Phi_{\lambda}^*(u) \Phi_{\lambda}(u)$ indicate



(Hollowood-Iqbal-Vafa'08)

The 5d & 6d lifts of the $\mathcal{N} = 2^* U(1)$ Theory

The trace gives the instanton PF of the 6d lift of the $\mathcal{N} = 2^* U(1)$ theory

$$\mathrm{tr}_{\mathcal{F}_{-uv}^{(1,N+1)}} Q^{-d} T(u) = \mathcal{C}_Q \sum_{\lambda} \mathfrak{q}^{|\lambda|} Z_{\lambda}^{\hat{A}_0}(t, q^{-1}, p; Q), \quad v \in \mathbb{C}^*$$

where

$$Z_{\lambda}^{\hat{A}_0}(t, q^{-1}, p; Q) = \prod_{\square \in \lambda} \frac{\theta_Q(pq^{a(\square)+1}t^{\ell(\square)})\theta_Q(pq^{-a(\square)}t^{-\ell(\square)-1})}{\theta_Q(q^{a(\square)+1}t^{\ell(\square)})\theta_Q(q^{-a(\square)}t^{-\ell(\square)-1})}.$$

Note :

$\sum_{\lambda, |\lambda|=k} Z_{\lambda}^{\hat{A}_0}(t, q^{-1}, p; Q)$ is the equivariant elliptic genus of $\mathrm{Hilb}_k(\mathbb{C}^2)$.

(Haghighat-Iqbal-Kozcaz-Lockhart-Vafa'15)

The 5d & 6d Lifts of the $\mathcal{N} = 2^* U(M)$ Theory

$$\begin{aligned}
T(u_1) \cdots T(u_M) &= \mathcal{C}_M \sum_{k=0}^{\infty} \mathfrak{q}_M^k \sum_{\substack{\lambda^{(1)}, \dots, \lambda^{(M)} \\ \sum |\lambda^{(j)}| = k}} \prod_{i,j}^M Z_{\lambda^{(i)}, \lambda^{(j)}}(u_{j,i}; t, q^{-1}, p) \\
&\times : \prod_{l=1}^M \prod_{\square \in A(\lambda^{(l)})} Y(u_l/q^{\square}) \prod_{\blacksquare \in R(\lambda^{(l)})} Y((q/t)u_l/q^{\blacksquare})^{-1} :,
\end{aligned}$$

where $u_{j,i} = u_j/u_i$,

$$\begin{aligned}
\mathfrak{q}_M &= \mathfrak{q} p^{-(M-1)} = p^{*-1} p^{M+N} (t/q)^{1/2}, \\
Z_{\lambda, \mu}(u; t, q^{-1}, p) &= \prod_{\square \in \lambda} \frac{(1 - puq^{a_{\mu}(\square)} + 1)t^{\ell_{\lambda}(\square)}}{(1 - uq^{a_{\mu}(\square)} + 1)t^{\ell_{\lambda}(\square)}} \prod_{\blacksquare \in \mu} \frac{(1 - puq^{-a_{\lambda}(\blacksquare)}t^{-\ell_{\mu}(\blacksquare)} - 1)}{(1 - uq^{a_{\lambda}(\blacksquare)}t^{-\ell_{\mu}(\blacksquare)} - 1)}
\end{aligned}$$

$T(u_1) \cdots T(u_M)$ gives the higher dim. extension of $W_{p,p^*}(\Gamma(\widehat{A}_0))$.

We obtain the instanton PF of the 5d lift of the $\mathcal{N} = 2^* U(M)$ theory

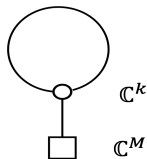
$$\langle 0 | T(u_1) \cdots T(u_M) | 0 \rangle = \mathcal{C}_M \sum_{k=0}^{\infty} \mathfrak{q}_M^k \chi_p(\mathfrak{M}_{k,M}),$$

where

$$\chi_p(\mathfrak{M}_{k,M}) = \sum_{\substack{\lambda^{(1)}, \dots, \lambda^{(M)} \\ \sum |\lambda^{(j)}| = k}} \prod_{i,j} Z_{\lambda^{(i)}, \lambda^{(j)}}(u_{j,i}; t, q^{-1}, p)$$

is the χ_y ($y = p$) genus of the moduli space of rank M instantons with charge k .

(Haghighat-Iqbal-Kozcaz-Lockhart-Vafa '15)



The trace gives the instanton PF of the 6d lift of the $\mathcal{N} = 2^* U(M)$ theory

$$\mathrm{tr}_{\mathcal{F}_{-u_1 v_1}^{(1, N+1)}} Q^{-d} T(u_1) \cdots T(u_M) = \mathcal{C}_{Q, M} \sum_{k=0}^{\infty} \mathfrak{q}_M^k \mathcal{E}_{p, Q}(\mathfrak{M}_{k, M}),$$

where

$$\begin{aligned} \mathcal{E}_{p, Q}(\mathfrak{M}_{k, M}) &= \sum_{\substack{\lambda^{(1)}, \dots, \lambda^{(M)} \\ \sum_j |\lambda^{(j)}| = k}} \prod_{i, j=1}^M \mathcal{Z}_{\lambda^{(i)}, \lambda^{(j)}}(u_{j, i}; t, q^{-1}, p; Q), \\ \mathcal{Z}_{\lambda^{(i)}, \lambda^{(j)}}(u; t, q^{-1}, p; Q) &= \prod_{\square \in \lambda^{(i)}} \frac{\theta_Q(p u q^{a_{\lambda^{(j)}}(\square)+1} t^{\ell_{\lambda^{(i)}}(\square)})}{\theta_Q(u q^{a_{\lambda^{(j)}}(\square)+1} t^{\ell_{\lambda^{(i)}}(\square)})} \prod_{\blacksquare \in \lambda^{(j)}} \frac{\theta_Q(p u q^{-a_{\lambda^{(i)}}(\blacksquare)} t^{-\ell_{\lambda^{(j)}}(\blacksquare)-1})}{\theta_Q(u q^{a_{\lambda^{(i)}}(\blacksquare)} t^{-\ell_{\lambda^{(j)}}(\blacksquare)-1})}. \end{aligned}$$

Note :

$\mathcal{E}_{p, Q}(\mathfrak{M}_{k, M})$ gives the equivariant elliptic genus of the moduli space of rank M instantons with charge k .

Hence we have shown a new AGT correspondence :

Instanton PF of the 5d & 6d lifts of the 4d $\mathcal{N} = 2^*$ th.

$$\iff \text{corr. fnc. of } W_{p,p^*}(\Gamma(\widehat{A}_0))$$

via a realization of $W_{p,p^*}(\Gamma(\widehat{A}_0))$ by $U_{q,t,p}(\mathfrak{gl}_{1,tor})$